

# Probabilistic Parsing: Issues & Improvement

LING 571 — Deep Processing Techniques for NLP

October 14, 2019

Shane Steinert-Threlkeld

# Announcements

- HW2 grades posted (mean 87)
- Reference code available in
  - `/dropbox/19-20/571/hw2/reference_code`
- NB: not needed for HW3; you can assume that all grammars are already in CNF

# Homework Tips

- Use `nltk.load` for reading grammars; will save you and TA time and headaches!
- Run your code on patas to produce the output you submit in TAR file
  - Some discrepancies found that seem due to different environment
- `readme.{txt|pdf}`: this should NOT be inside your TAR file, but a separate upload on Canvas

# Notes on HW #3

- Python's `range` has many use cases by manipulating start/end, and step
  - `range(n)` is equivalent to `range(0, n, 1)`
- Reminder: the `rhs=` argument in NLTK's `grammar.productions()` method only matches the *first* symbol, not an entire string
  - You'll want to implement an efficient look-up based on RHS
- HW3: compare your output to running HW1 parser on the same grammar/sentences [order of output in ambiguous sentences could differ]

# Indigenous Peoples' Day

- Seattle/Sealth
- For those of you taking 550:
  - The Lushootseed spelling [IPA] of Chief Seattle/Sealth:
    - siʔat [ˈsiʔaːt]
  - Duwamish — Dx<sup>w</sup>dəwʔabš [dx<sup>w</sup>dəwʔabʃ]
- IPA resources:
  - [https://en.wikipedia.org/wiki/International\\_Phonetic\\_Alphabet](https://en.wikipedia.org/wiki/International_Phonetic_Alphabet)
  - <http://web.mit.edu/6.mitx/www/24.900%20IPA/IPAapp.html>



# Indigenous Peoples' Day

- Studying non-English languages gives more holistic insight for NLP tasks
  - Many interesting phenomena in non-Indo-European languages
- [Lushootseed](#) exhibits debatable distinction between verbs and nouns [link to Glottolog page for more references]
  - **ʔuǰ<sup>w</sup>** ti **sbiaw**  
**goes** that-which **is-a-coyote**  
"The/a coyote goes"
  - **sbiaw** ti **ʔuǰ<sup>w</sup>** *via [Beck, 2013](#)*  
**is-a-coyote** that-which **goes**  
"The one who goes is a coyote"
  - (Translation distinction provided for clarity — semantically equivalent)
- Lillooet Salish quantification has repercussions for e.g. English ([Matthewson 2001](#))

# Indigenous Peoples' Day

- [UW American Indian Studies Courses](#)
  - (Sometimes including language courses, e.g. Southern Lushootseed)
- At the new Burke Museum on campus:
  - <https://www.burkemuseum.org/calendar/indigenous-peoples-day>

# PCFG Induction

# Learning Probabilities

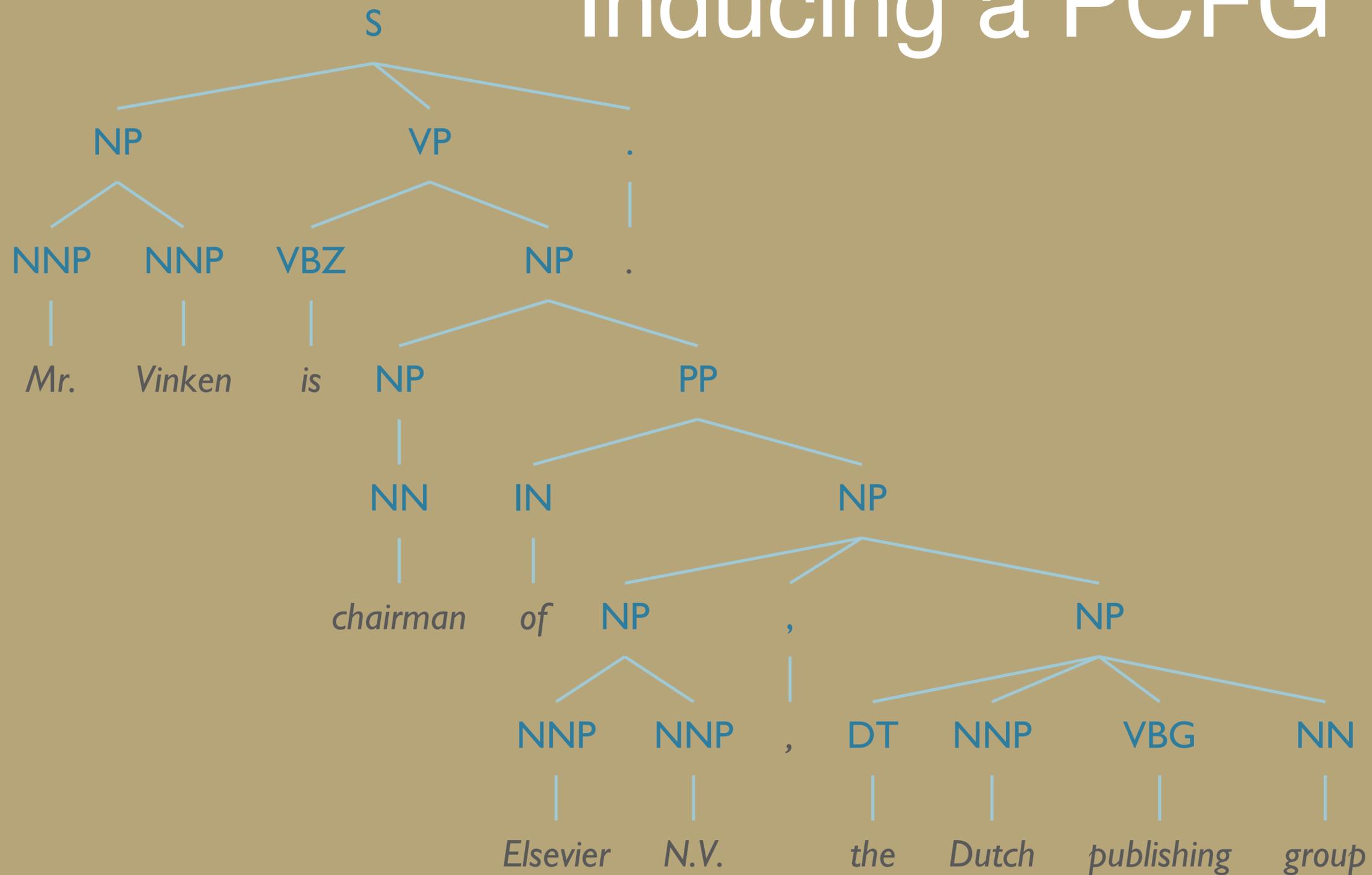
- Simplest way:
  - Use treebank of parsed sentences
  - To compute probability of a rule, count:
    - Number of times a nonterminal is expanded:
    - Number of times a nonterminal is expanded by a given rule:

$$\frac{\text{Count}(\alpha \rightarrow \beta)}{\sum_{\gamma} \text{Count}(\alpha \rightarrow \gamma)}$$

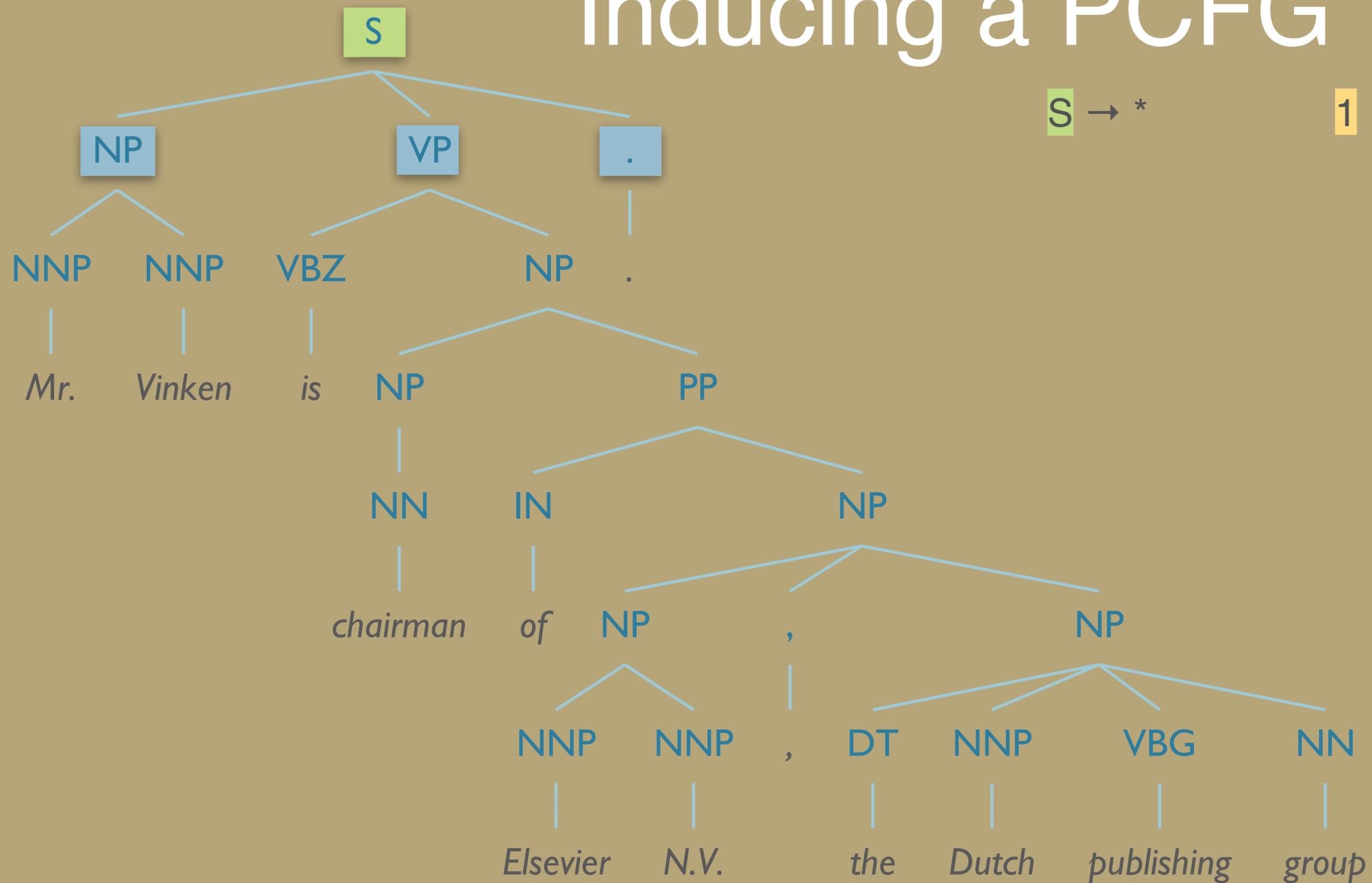
$$P(\alpha \rightarrow \beta | \alpha) = \frac{\text{Count}(\alpha \rightarrow \beta)}{\sum_{\gamma} \text{Count}(\alpha \rightarrow \gamma)} = \frac{\text{Count}(\alpha \rightarrow \beta)}{\text{Count}(\alpha)}$$

- Alternative: Learn probabilities by re-estimating
  - (Later)

# Inducing a PCFG



# Inducing a PCFG

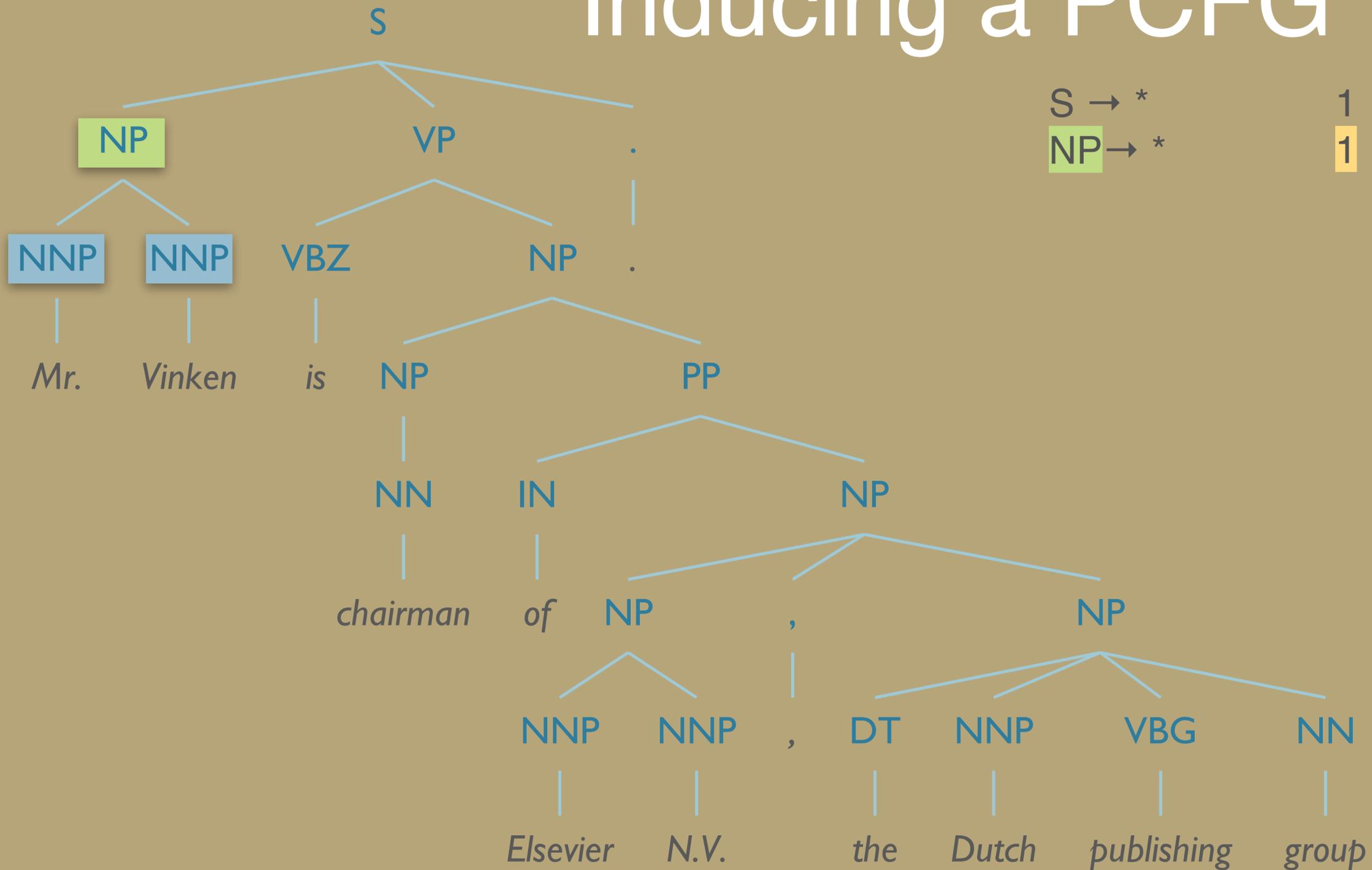


S → \*

1 S → NPVP.

1

# Inducing a PCFG

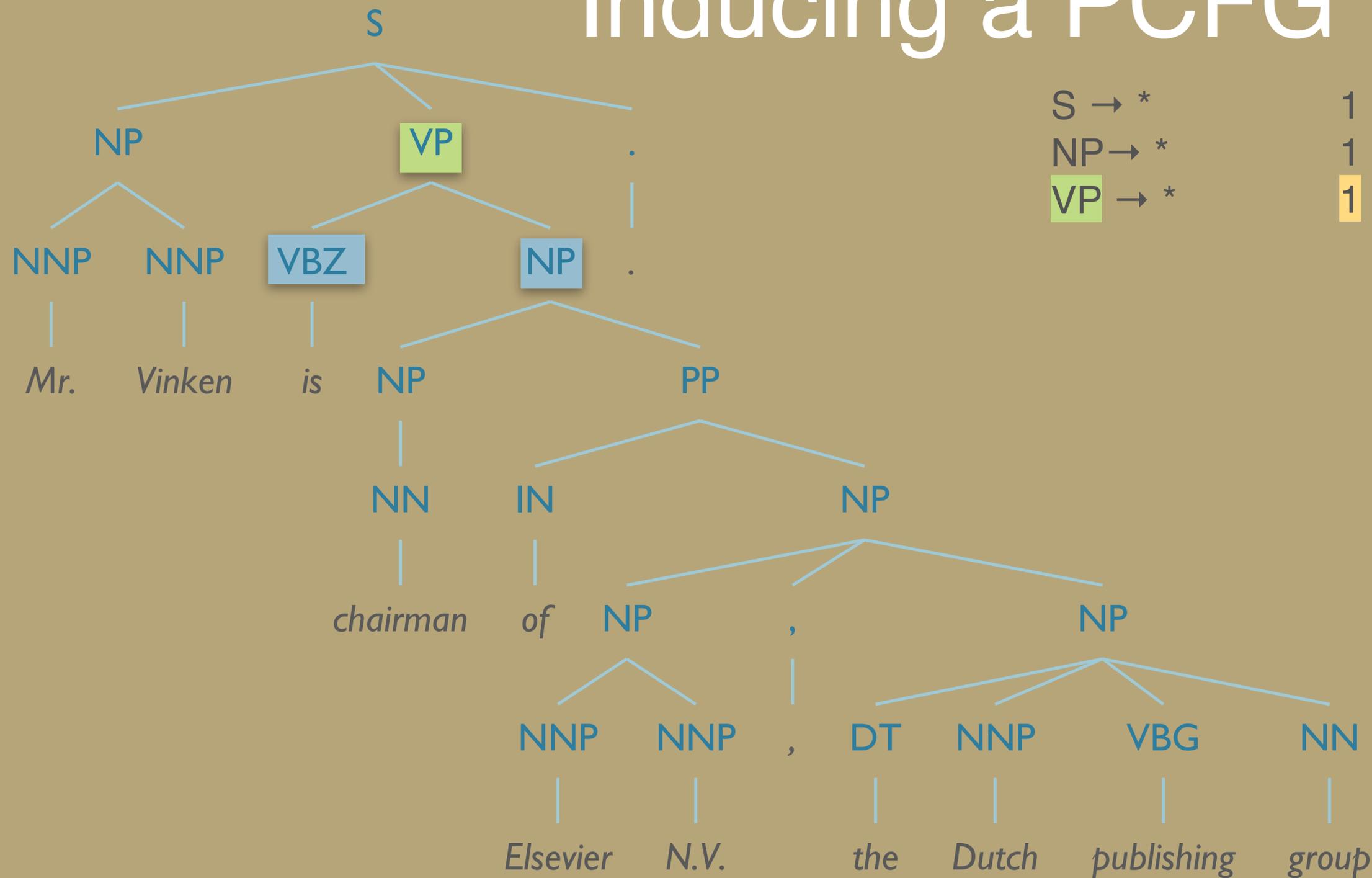


$S \rightarrow *$   
 $NP \rightarrow *$

1  $S \rightarrow NP VP .$   
 1  $NP \rightarrow NNP NNP$

1  
 1

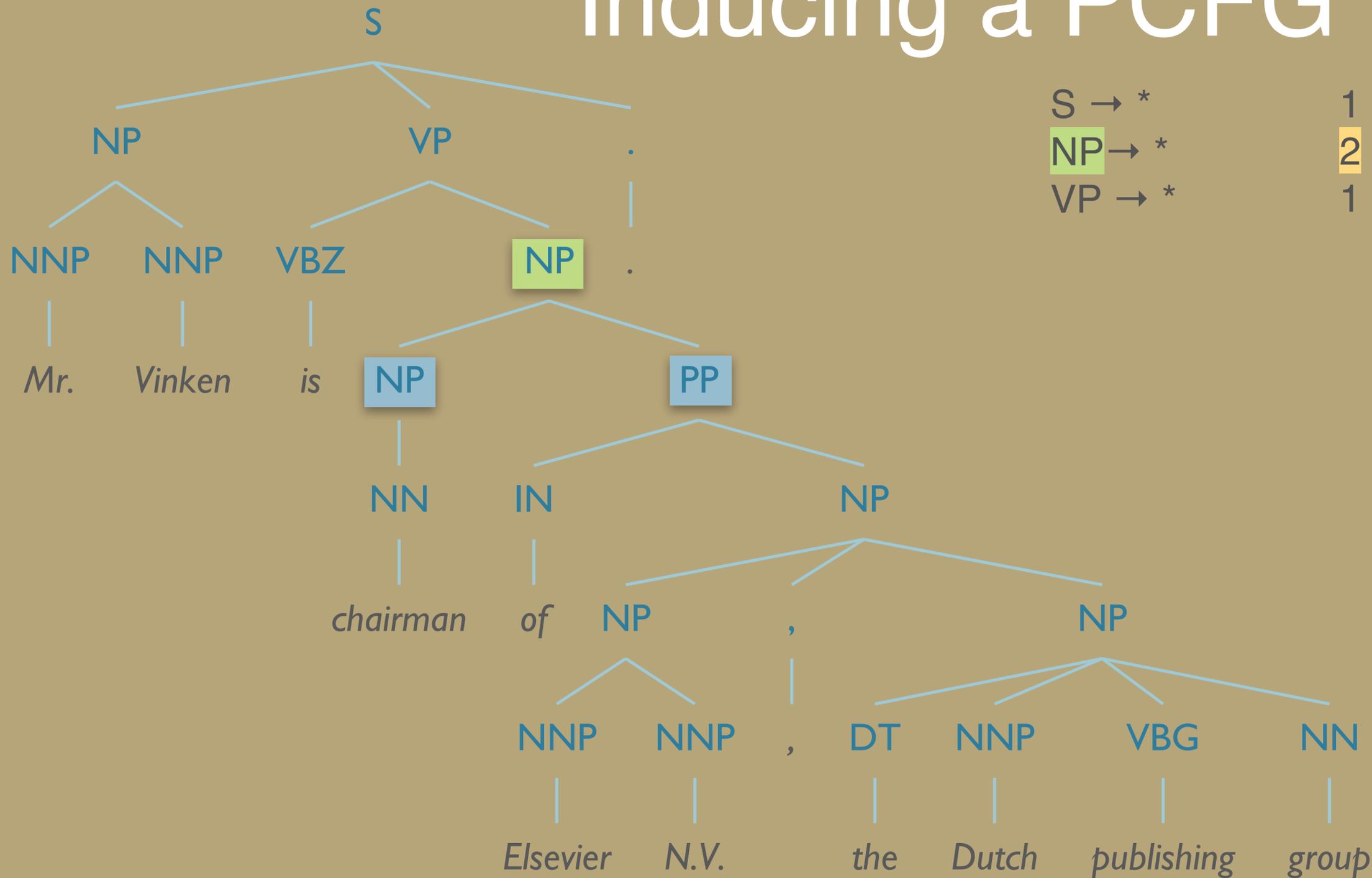
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$

$1 \ S \rightarrow NP \ VP \ .$       1  
 $1 \ NP \rightarrow NNP \ NNP$       1  
 $1 \ VP \rightarrow VBZ \ NP$       1

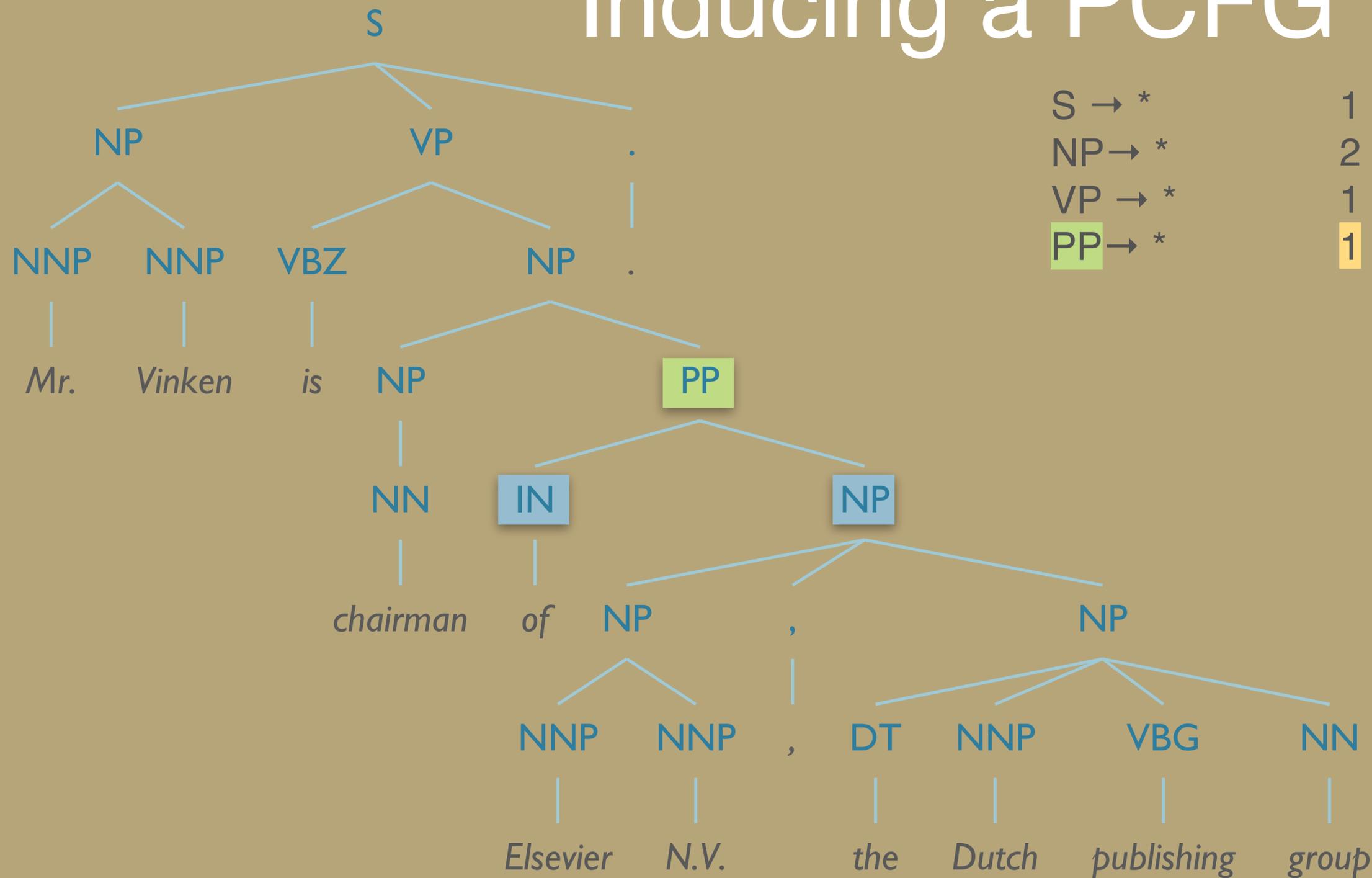
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$

1	$S \rightarrow NP VP .$	1
2	$NP \rightarrow NNP NNP$	1
1	$VP \rightarrow VBZ NP$	1
	$NP \rightarrow NP PP$	1

# Inducing a PCFG



$S \rightarrow *$

$NP \rightarrow *$

$VP \rightarrow *$

$PP \rightarrow *$

1  $S \rightarrow NP VP .$  1

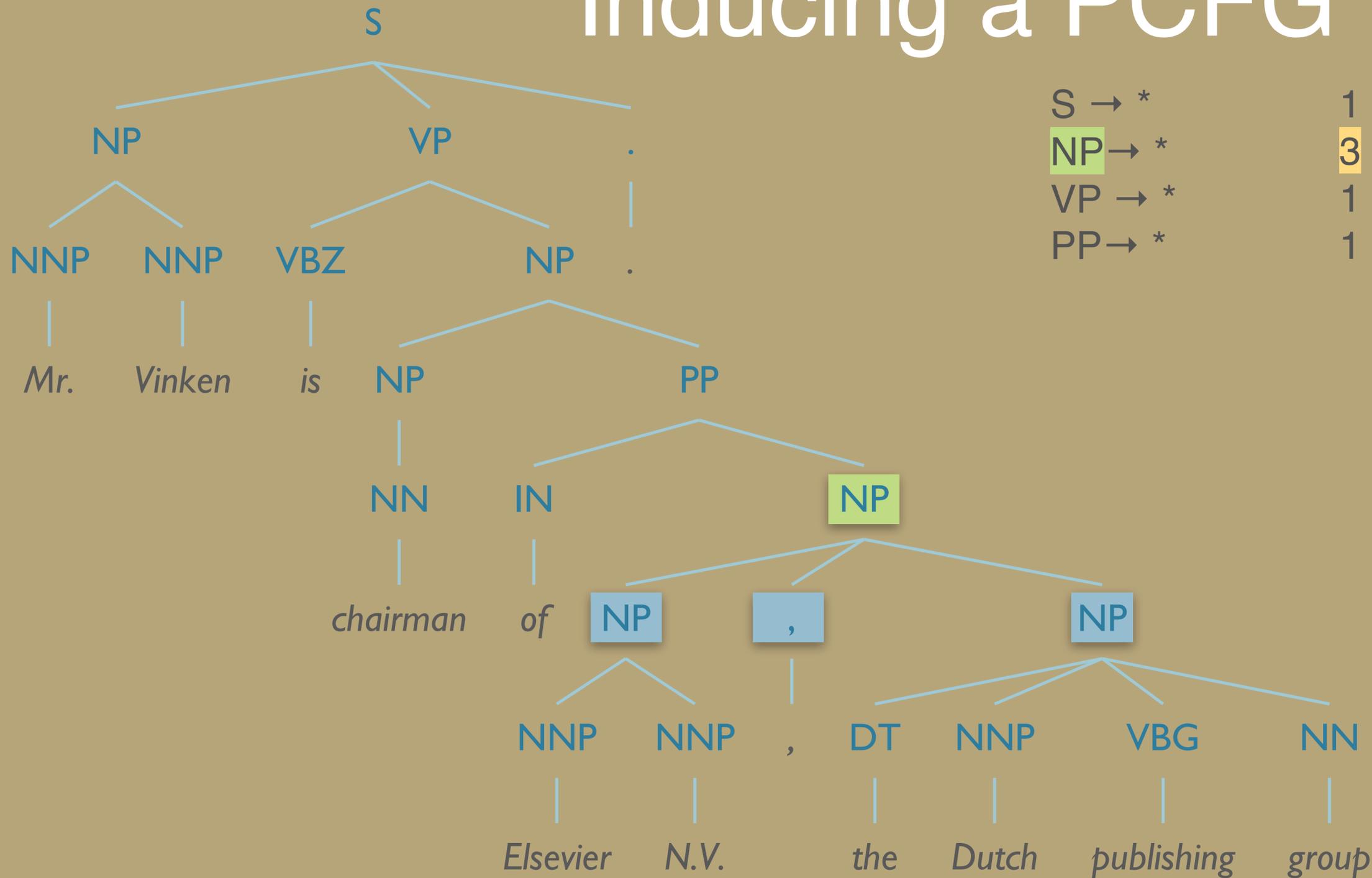
2  $NP \rightarrow NNP NNP$  1

1  $VP \rightarrow VBZ NP$  1

1  $NP \rightarrow NP PP$  1

$PP \rightarrow IN NP$  1

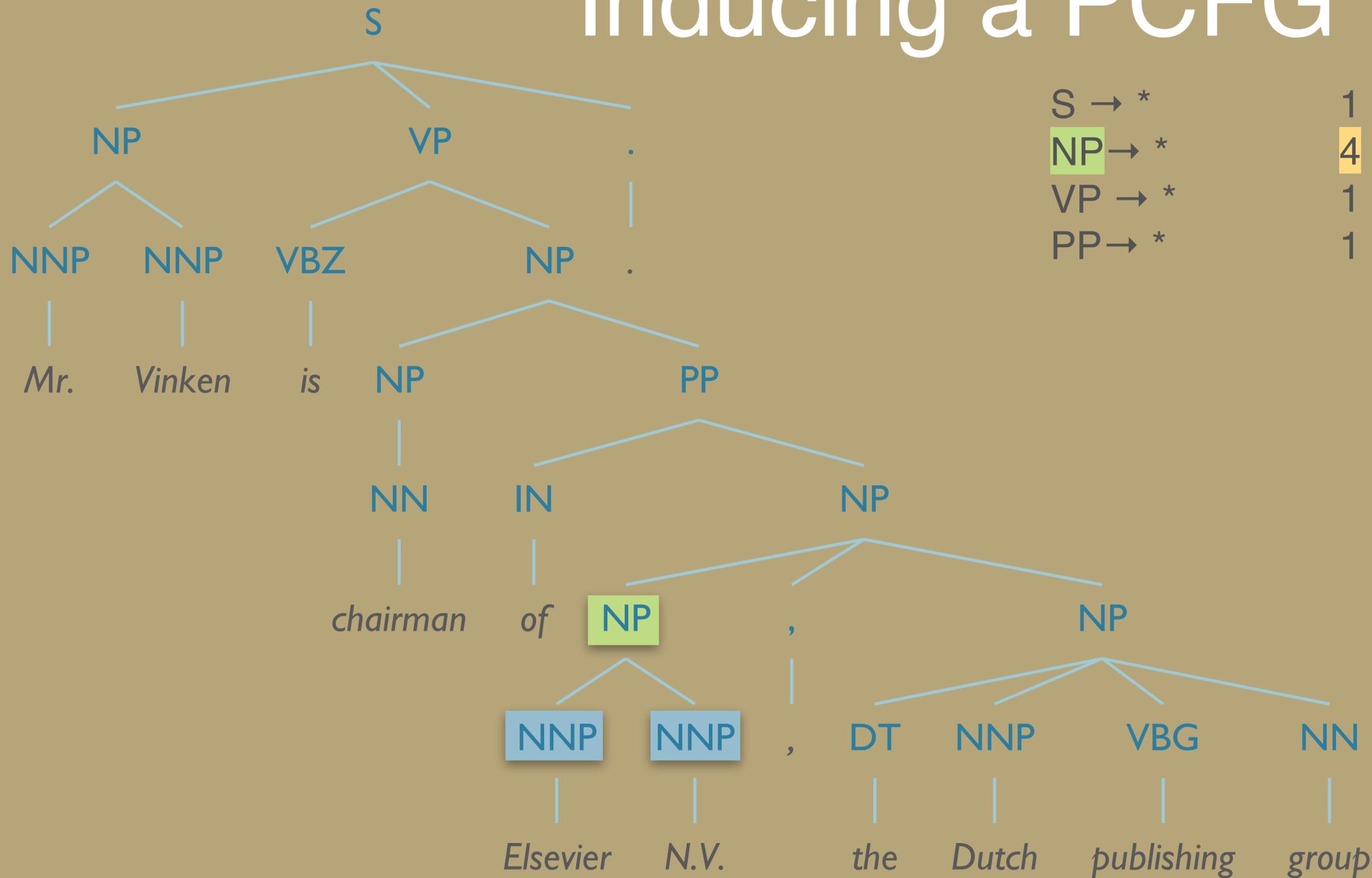
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$   
 $PP \rightarrow *$

1	$S \rightarrow NP VP .$	1
3	$NP \rightarrow NNP NNP$	1
1	$VP \rightarrow VBZ NP$	1
1	$NP \rightarrow NP PP$	1
	$PP \rightarrow IN NP$	1
	$NP \rightarrow NP , NP$	1

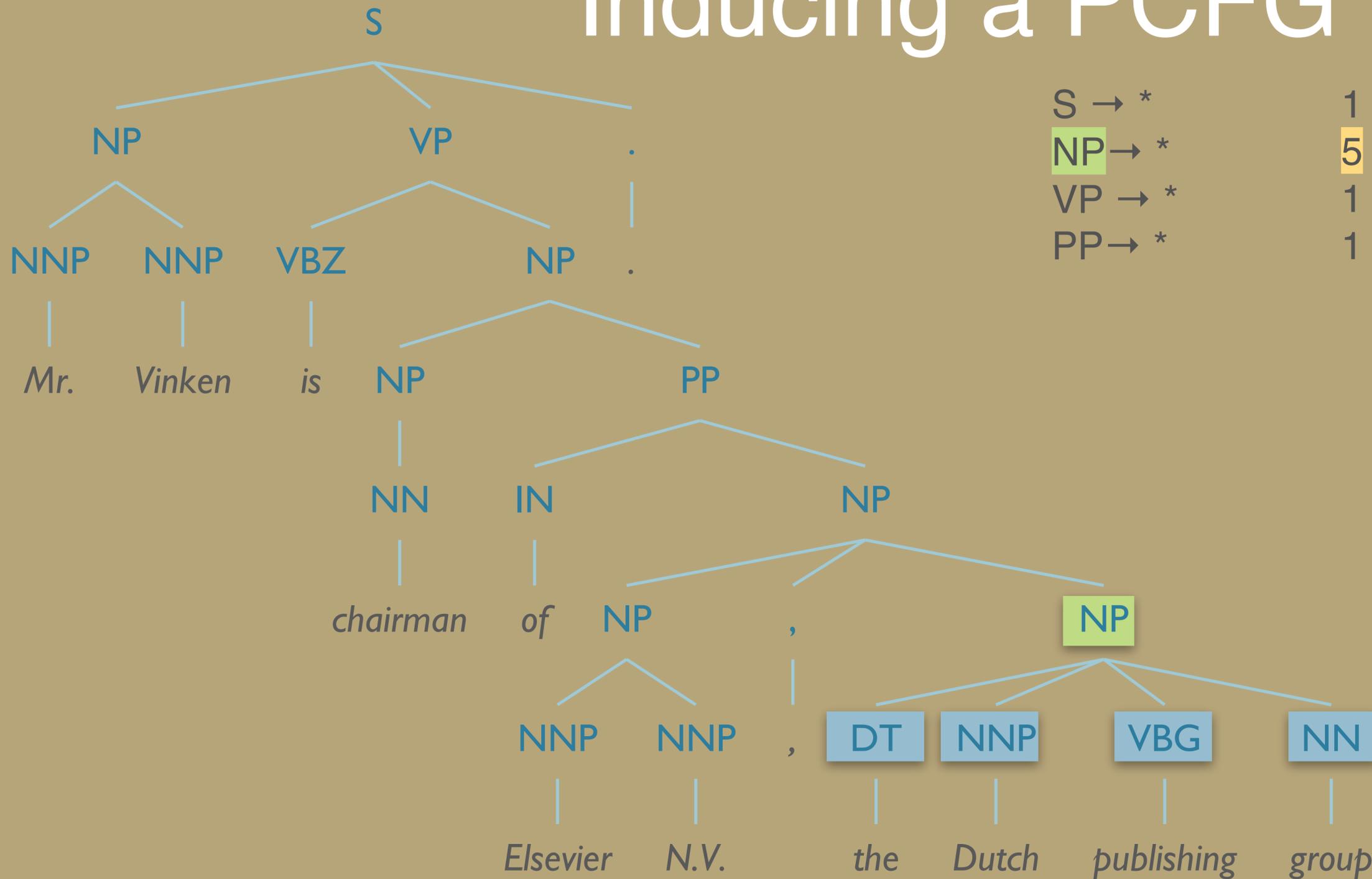
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$   
 $PP \rightarrow *$

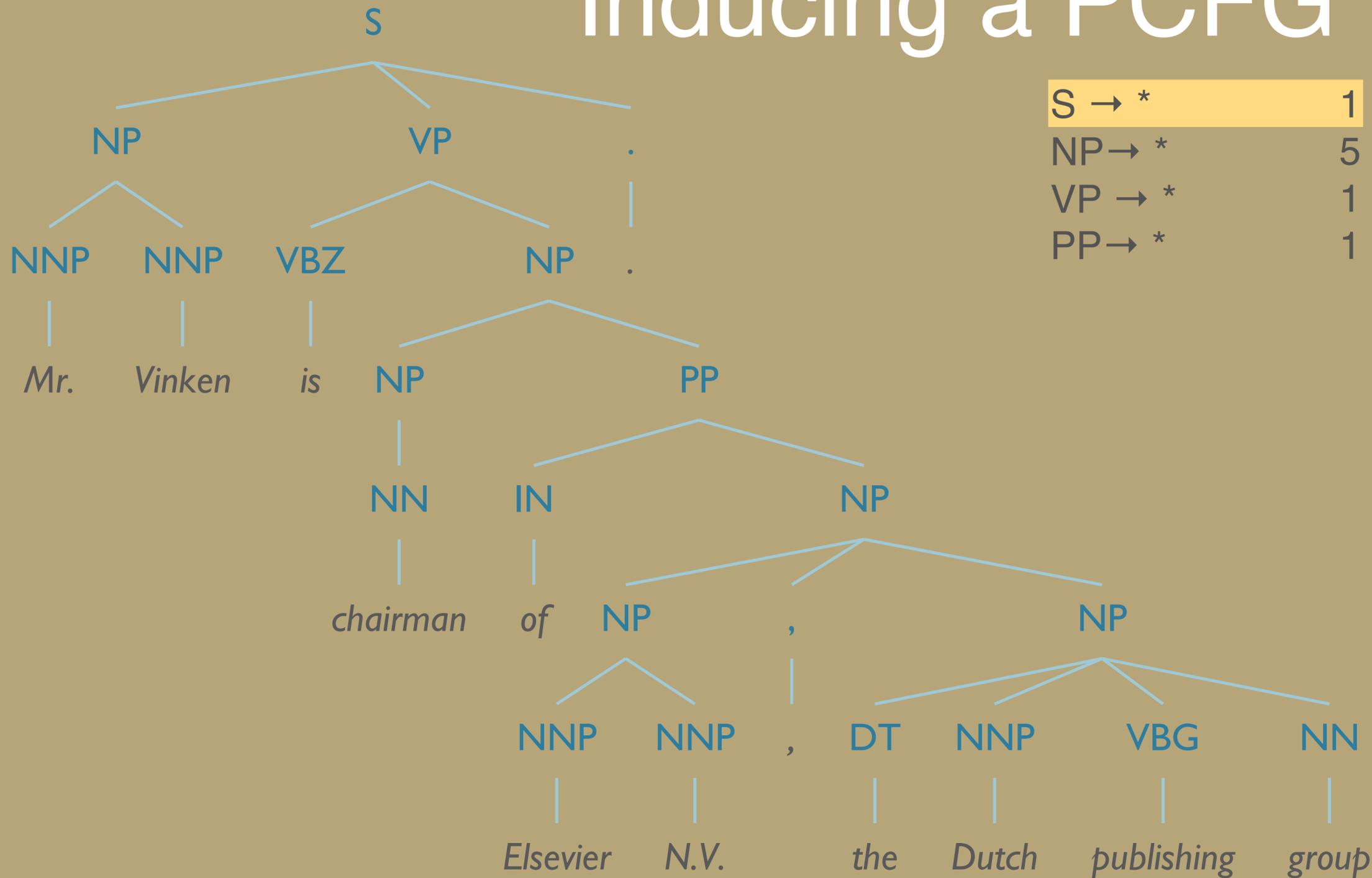
1	$S \rightarrow NP VP .$	1
4	$NP \rightarrow NNP NNP$	2
1	$VP \rightarrow VBZ NP$	1
1	$NP \rightarrow NP PP$	1
	$PP \rightarrow IN NP$	1
	$NP \rightarrow NP , NP$	1

# Inducing a PCFG



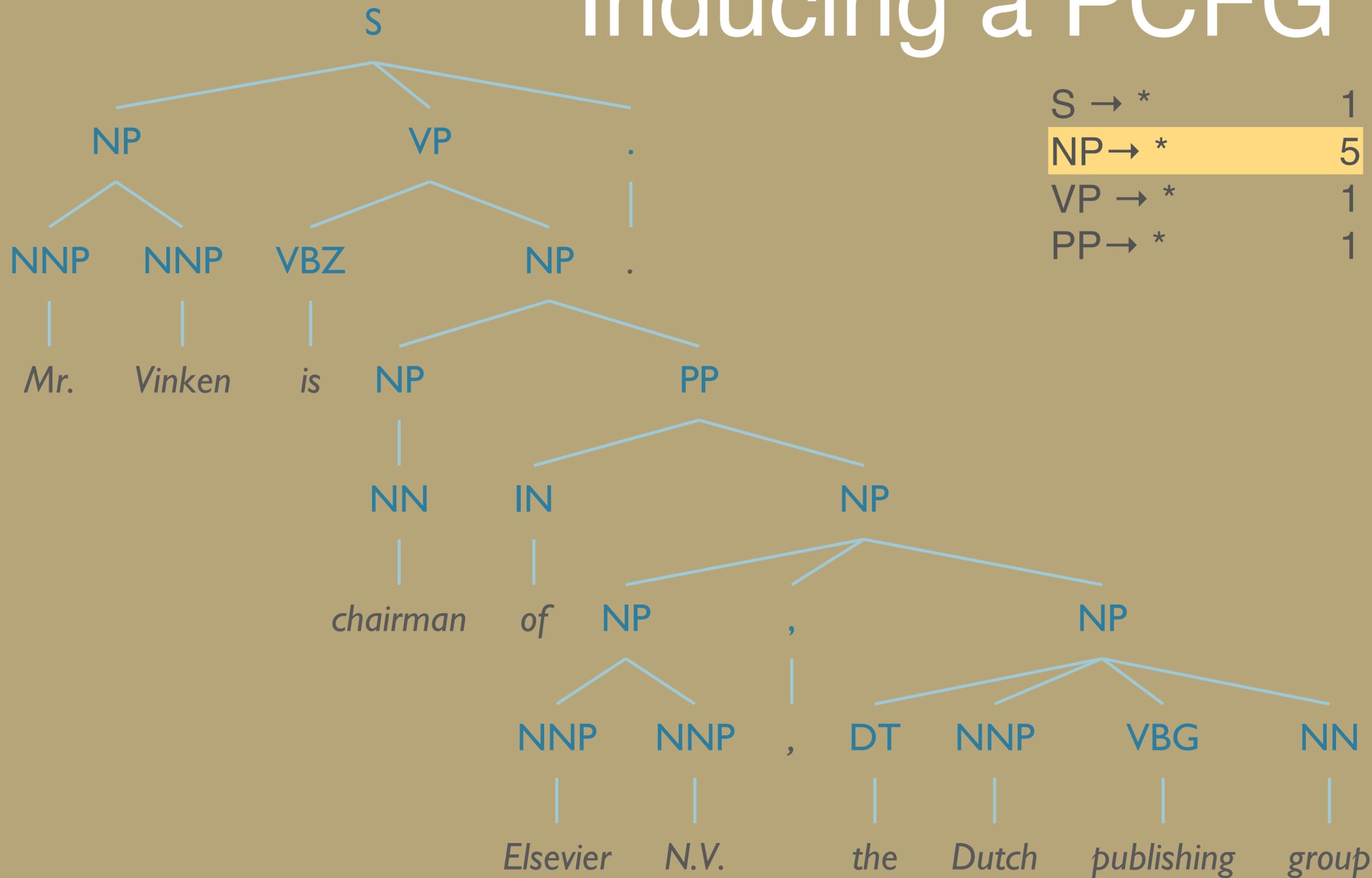
- S → \*
  - NP → \*
  - VP → \*
  - PP → \*
- |   |                    |   |
|---|--------------------|---|
| 1 | S → NP VP .        | 1 |
| 5 | NP → NNP NNP       | 2 |
| 1 | VP → VBZ NP        | 1 |
| 1 | NP → NP PP         | 1 |
|   | PP → IN NP         | 1 |
|   | NP → NP , NP       | 1 |
|   | NP → DT NNP VBG NN | 1 |

# Inducing a PCFG



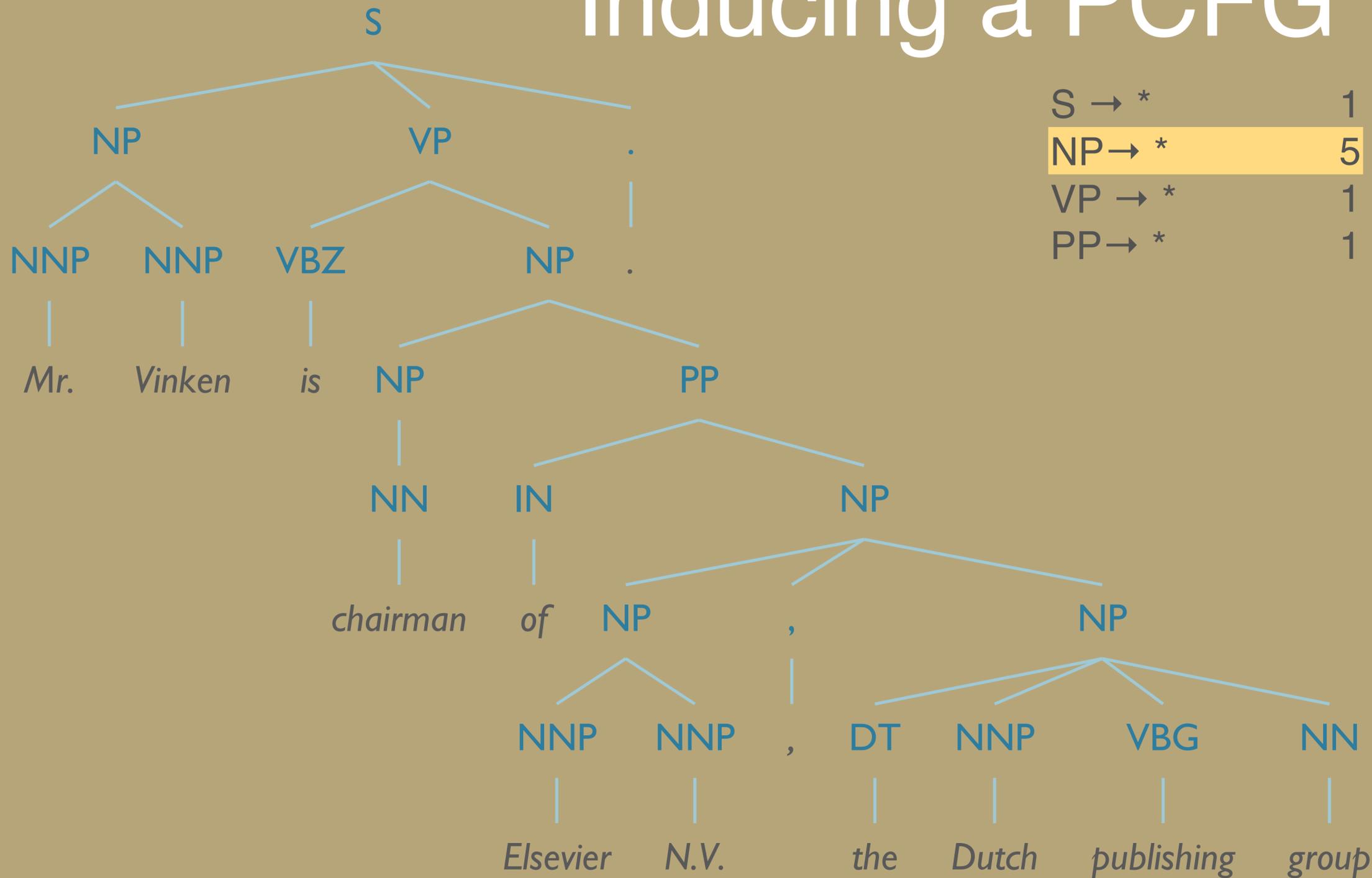
$S \rightarrow *$	1	$S \rightarrow NP VP .$	1
$NP \rightarrow *$	5	$NP \rightarrow NNP NNP$	2
$VP \rightarrow *$	1	$VP \rightarrow VBZ NP$	1
$PP \rightarrow *$	1	$NP \rightarrow NP PP$	1
		$PP \rightarrow IN NP$	1
		$NP \rightarrow NP , NP$	1
		$NP \rightarrow DT NNP VBG$	1
		$NN$	1

# Inducing a PCFG



$S \rightarrow *$	1	$S \rightarrow NP VP .$	1
$NP \rightarrow *$	5	$NP \rightarrow NNP NNP$	2/5
$VP \rightarrow *$	1	$VP \rightarrow VBZ NP$	1
$PP \rightarrow *$	1	$NP \rightarrow NP PP$	1/5
		$PP \rightarrow IN NP$	1
		$NP \rightarrow NP , NP$	1/5
		$NP \rightarrow DT NNP VBG$	1/5
		$NN$	

# Inducing a PCFG



$S \rightarrow *$	1	$S \rightarrow NP VP .$	1
$NP \rightarrow *$	5	$NP \rightarrow NNP NNP$	0.4
$VP \rightarrow *$	1	$VP \rightarrow VBZ NP$	1
$PP \rightarrow *$	1	$NP \rightarrow NP PP$	0.2
		$PP \rightarrow IN NP$	1
		$NP \rightarrow NP , NP$	0.2
		$NP \rightarrow DT NNP VBG$	0.2
		$NN$	

# Problems with PCFGs

# Problems with PCFGs

- Independence Assumption
  - Assume that rule probabilities are independent
- Lack of Lexical Conditioning
  - Lexical items should influence the choice of analysis

# Issues with PCFGs: Independence Assumption

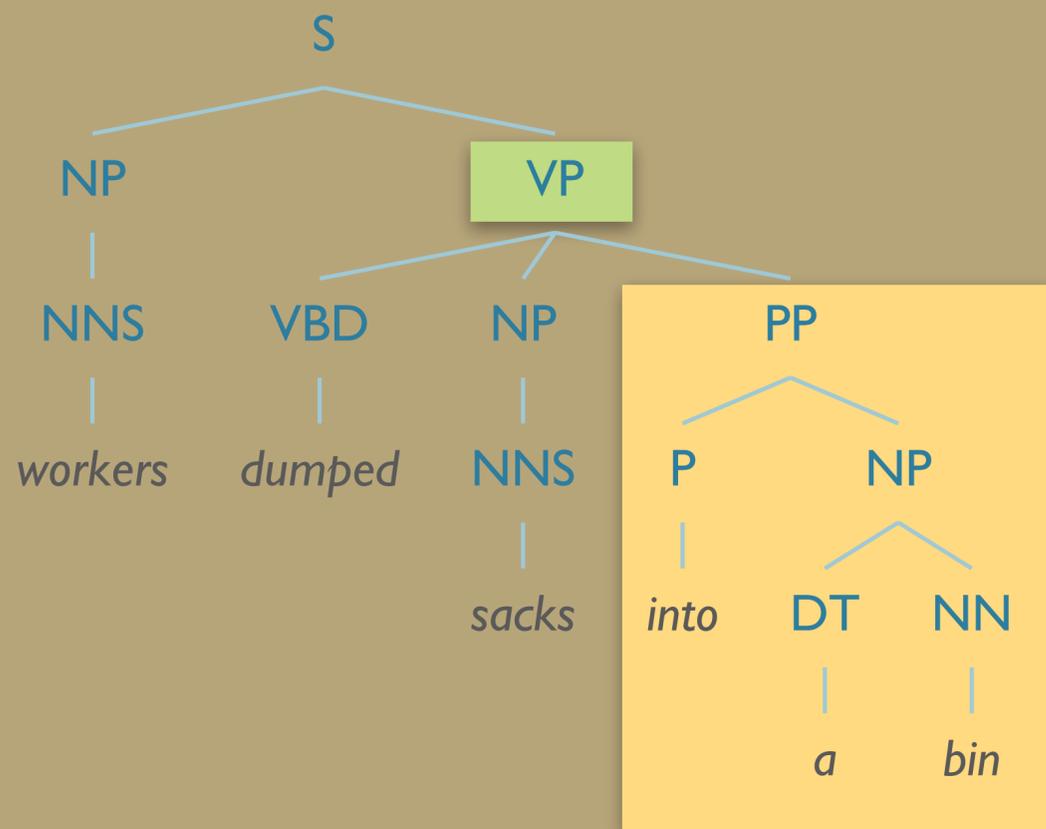
- *Context Free*  $\Rightarrow$  *Independence Assumption*
  - Rule expansion is context-independent
  - Allows us to multiply probabilities
- If we have two rules:
  - $NP \rightarrow DT NN$  [0.28]
  - $NP \rightarrow PRP$  [0.25]
- What does this new data tell us?
  - $NP \rightarrow DT NN$  [0.09 if  $NP_{\Theta=subject}$  else 0.66]
  - $NP \rightarrow PRP$  [0.91 if  $NP_{\Theta=subject}$  else 0.34]

Semantic Role of **NPs** in Switchboard Corpus

	<b>Pronominal</b>	<b>Non-Pronominal</b>
Subject	91%	9%
Object	34%	66%

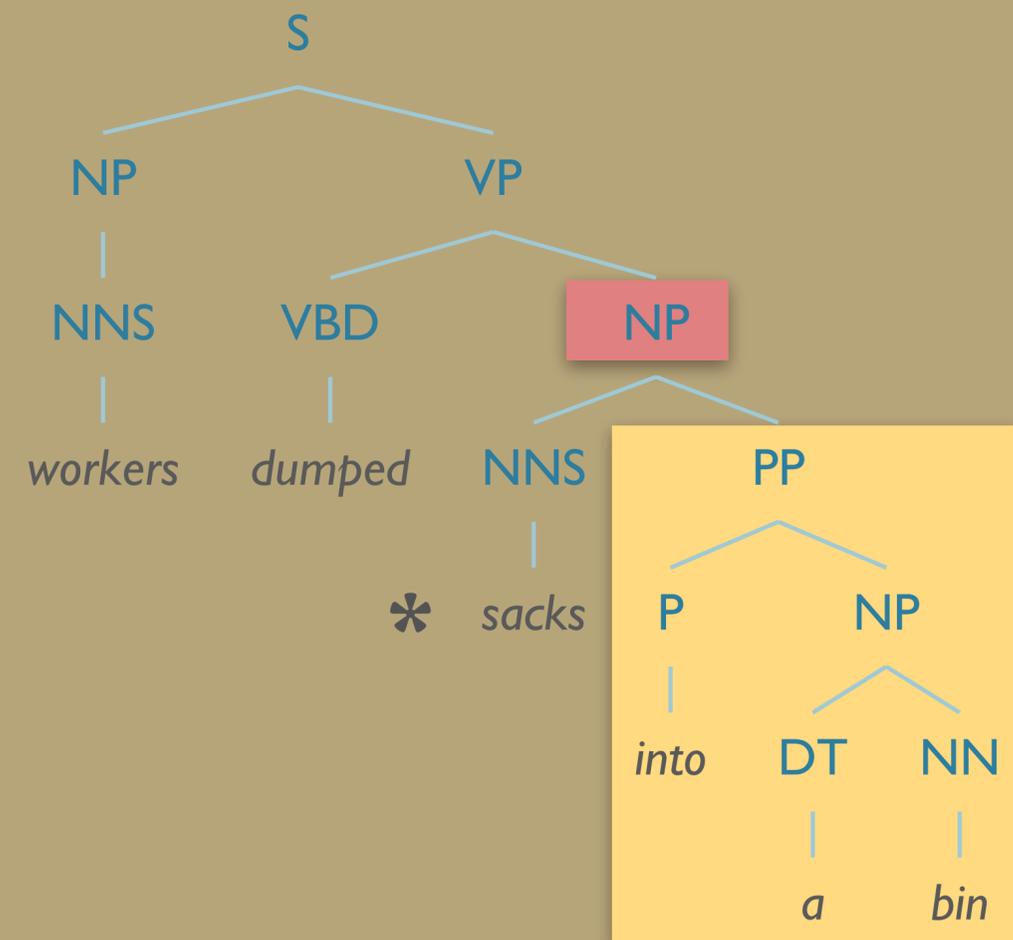
...Can try **parent annotation**

# Issues with PCFGs: Lexical Conditioning



("into a bin" = location of sacks after dumping)

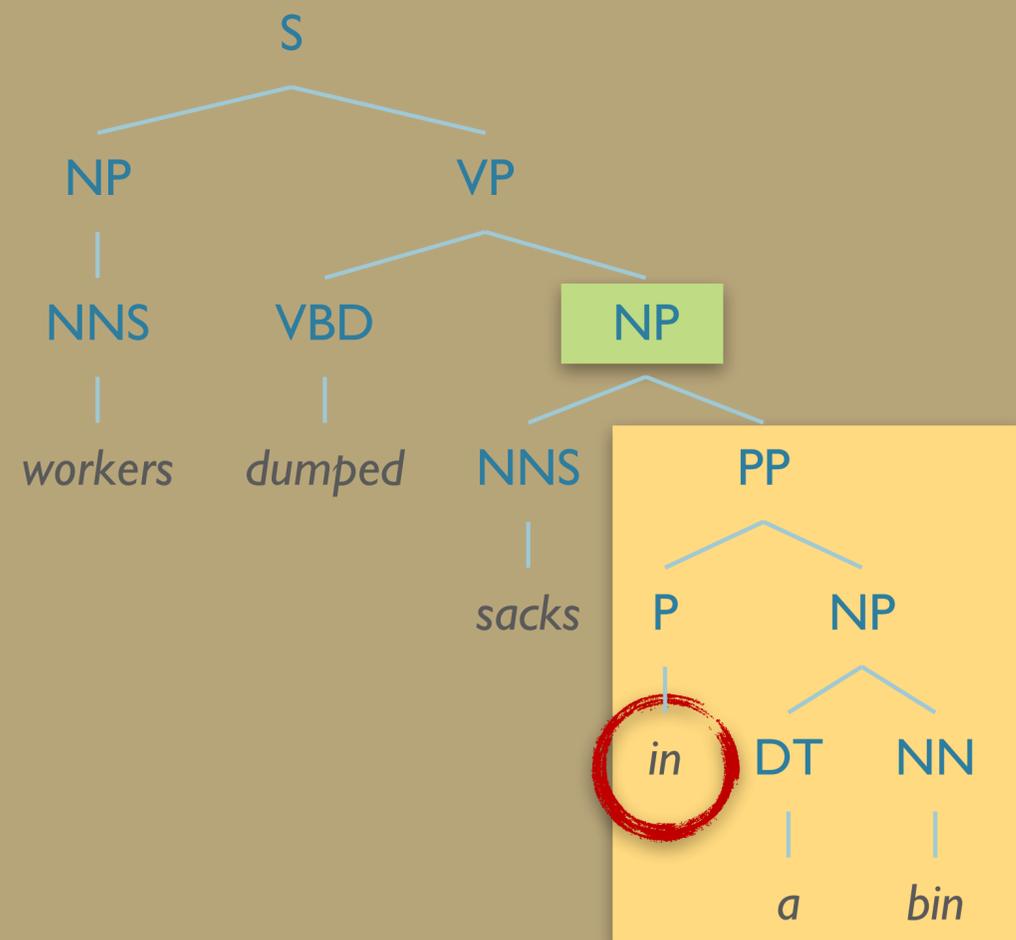
**OK!**



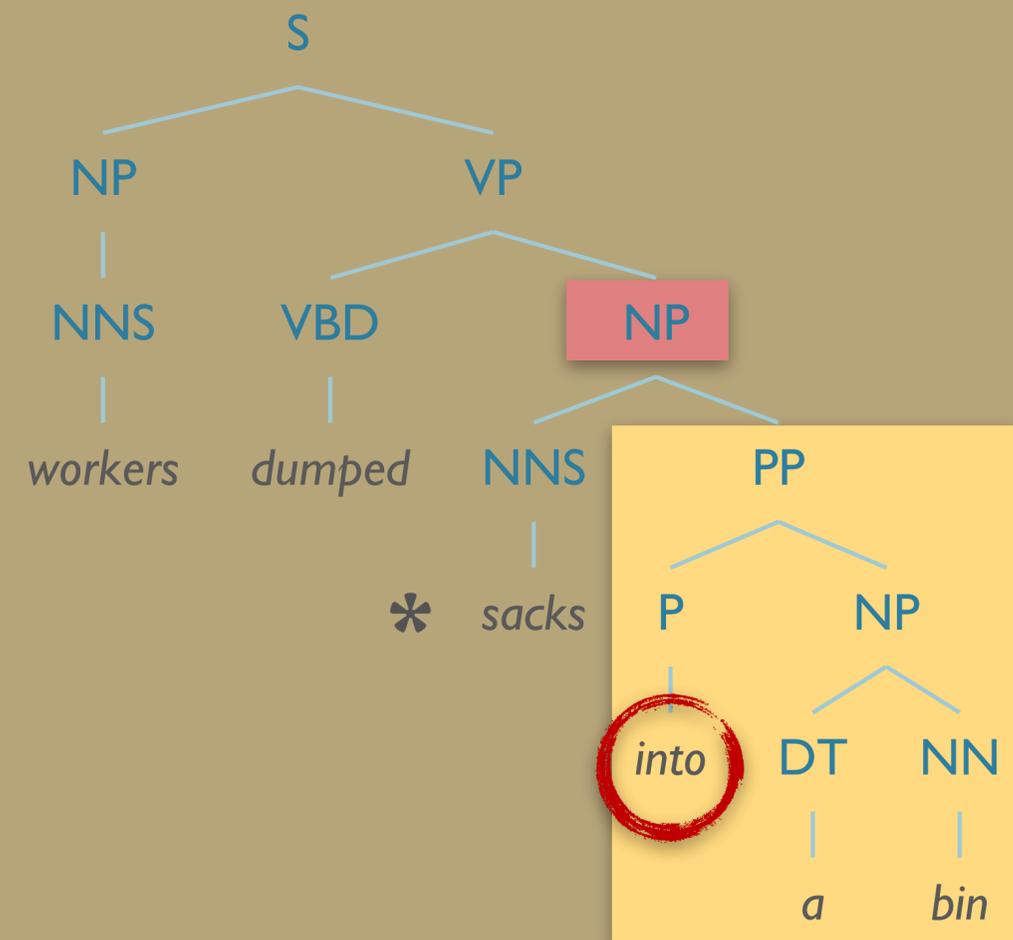
("into a bin" = \*the sacks which were located *in PP*)

**not OK**

# Issues with PCFGs: Lexical Conditioning



(“**in** a bin” = location of sacks **before** dumping)  
**OK!**

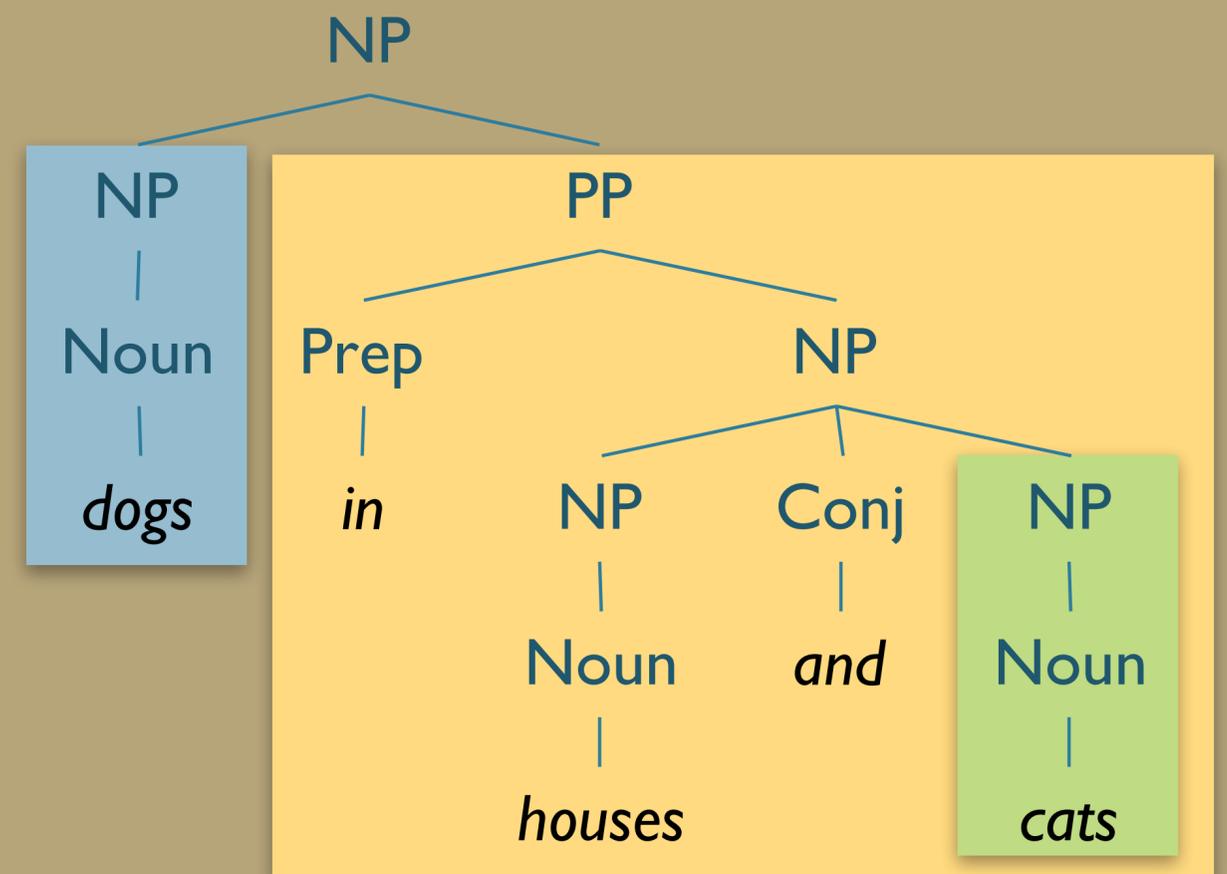
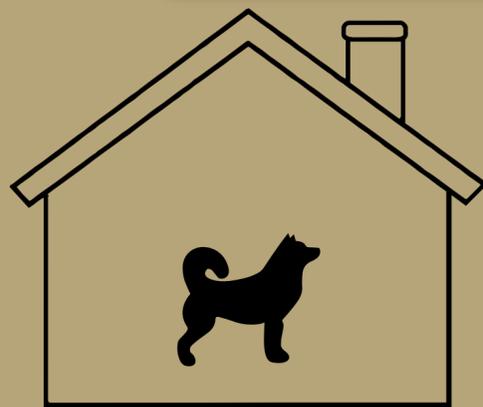
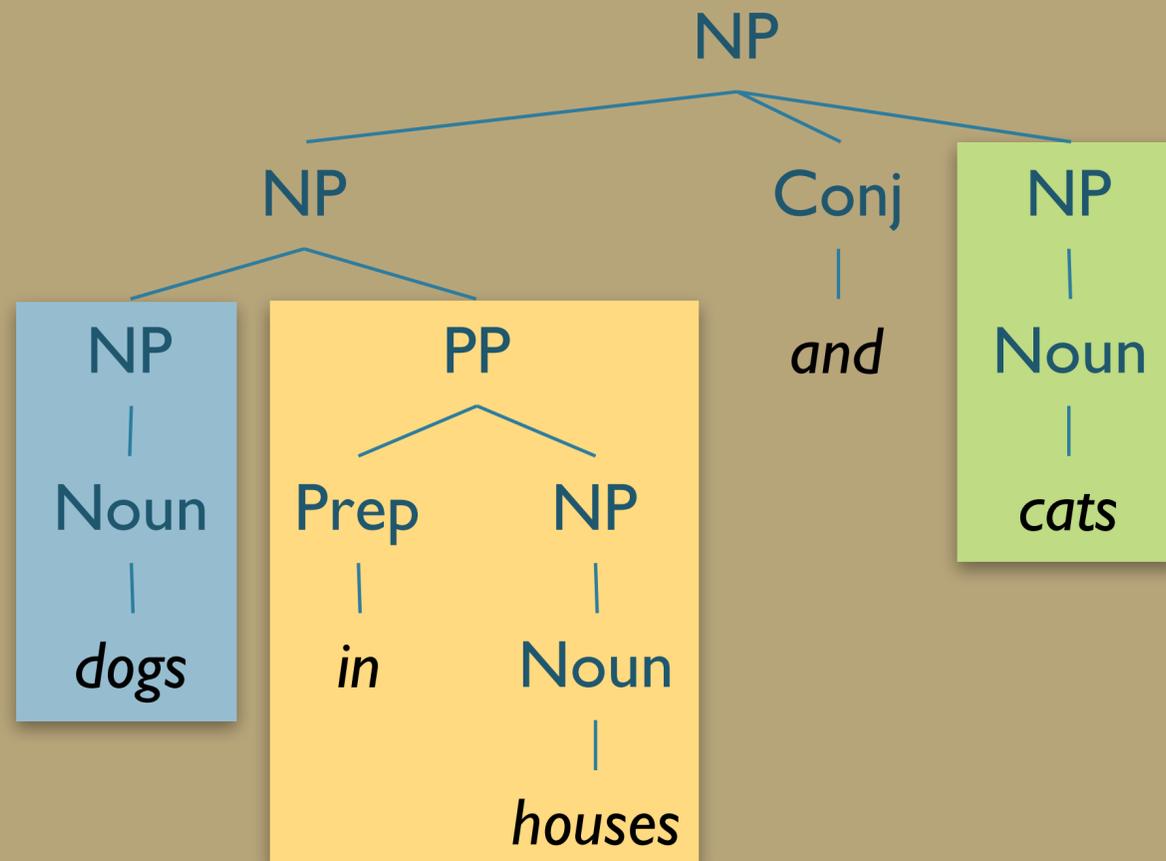


(“**into** a bin” = \*the sacks which were located **in PP**)  
**not OK**

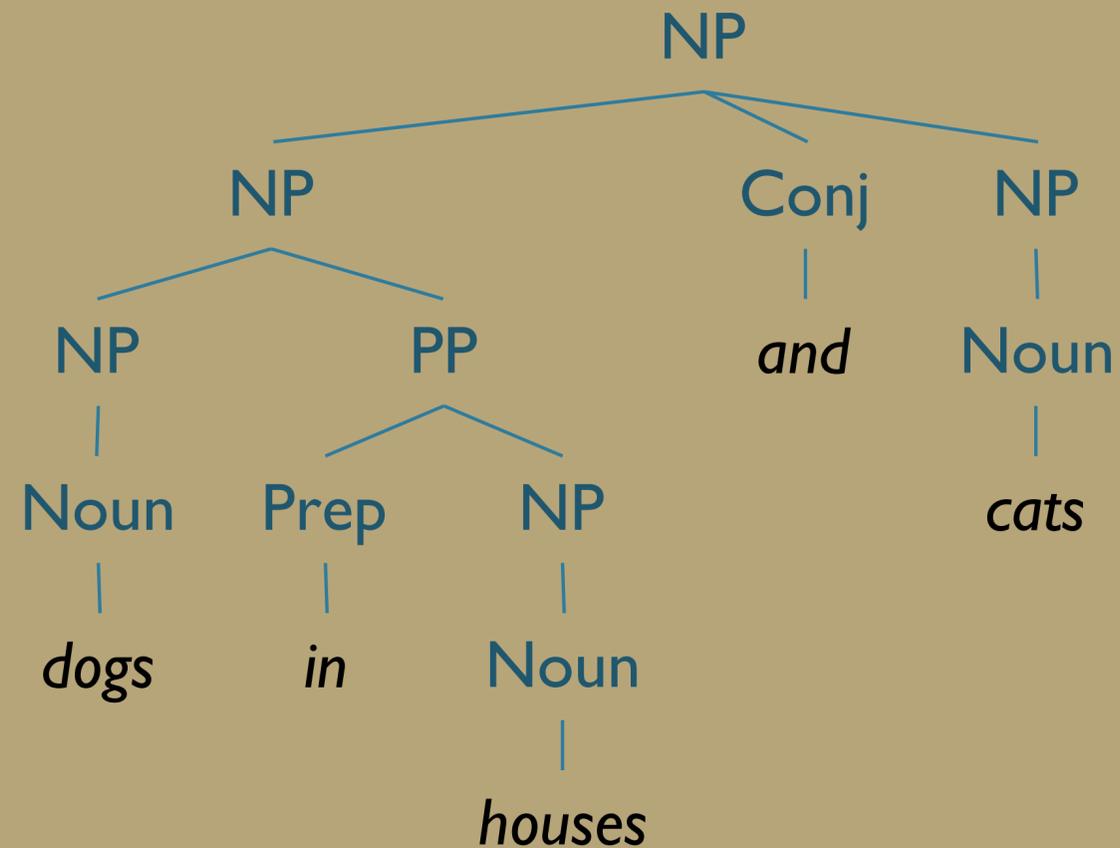
# Issues with PCFGs: Lexical Conditioning

- *workers dumped sacks into a bin*
  - *into* should **prefer** modifying *dumped*
  - *into* should **disprefer** modifying *sacks*
  
- *fishermen caught tons of herring*
  - *of* should **prefer** modifying *tons*
  - *of* should **disprefer** modifying *caught*

# Issues with PCFGs: Coordination Ambiguity

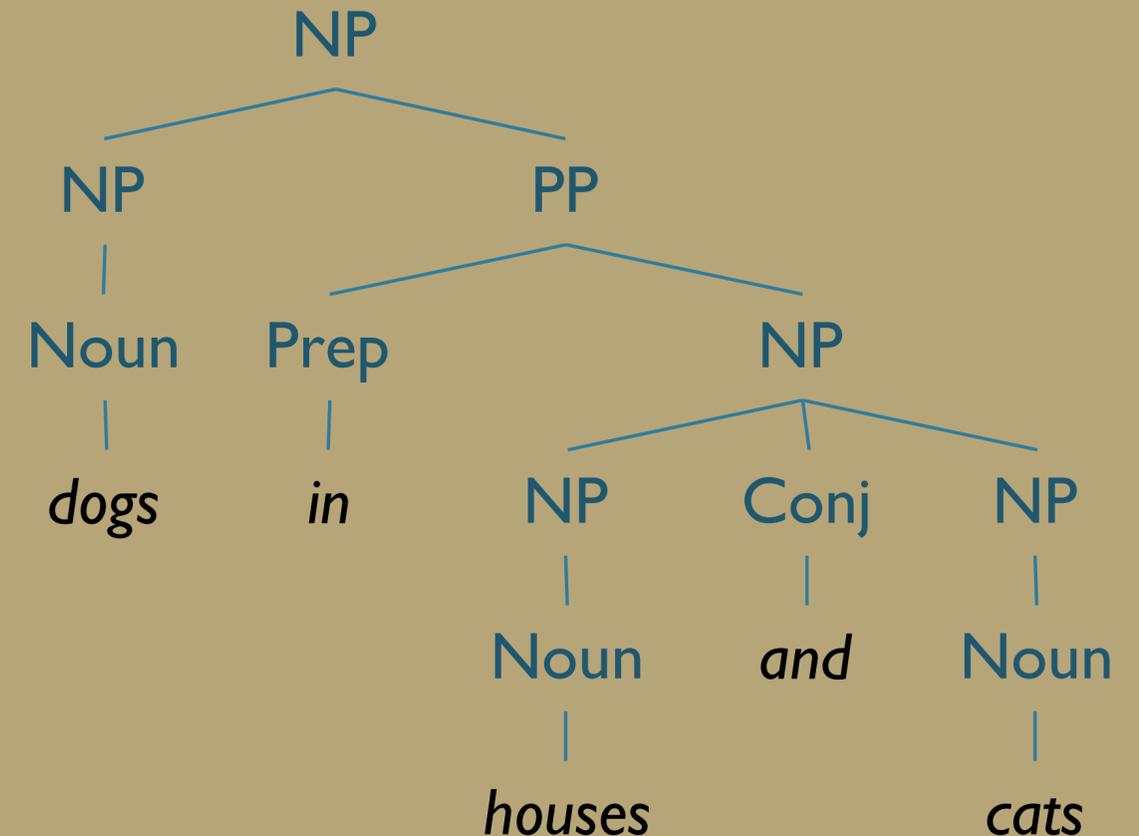


# Issues with PCFGs: Coordination Ambiguity



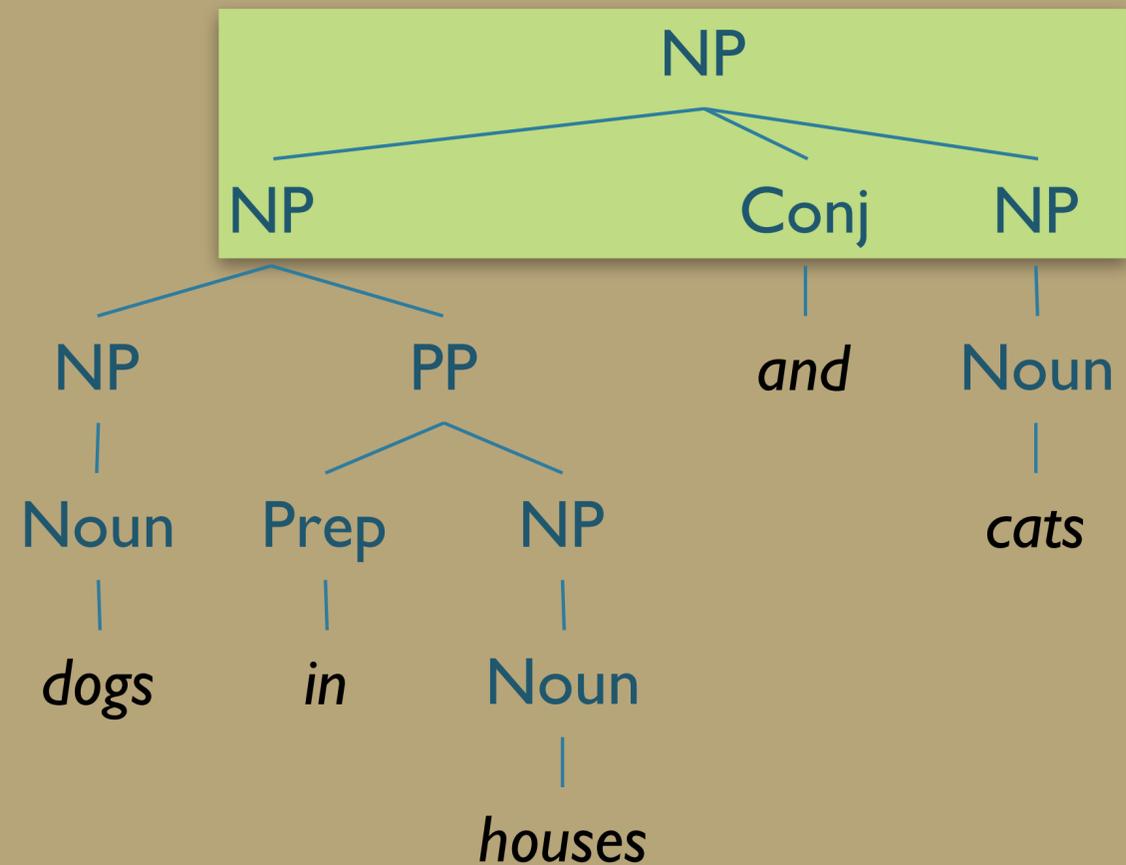
NP → NP Conj NP  
 NP → NP PP  
 Noun → "dogs"  
 PP → Prep NP  
 Prep → "in"  
 NP → Noun  
 Noun → "houses"  
 Conj → "and"  
 NP → Noun  
 Noun → "cats"

*Same Rules!*



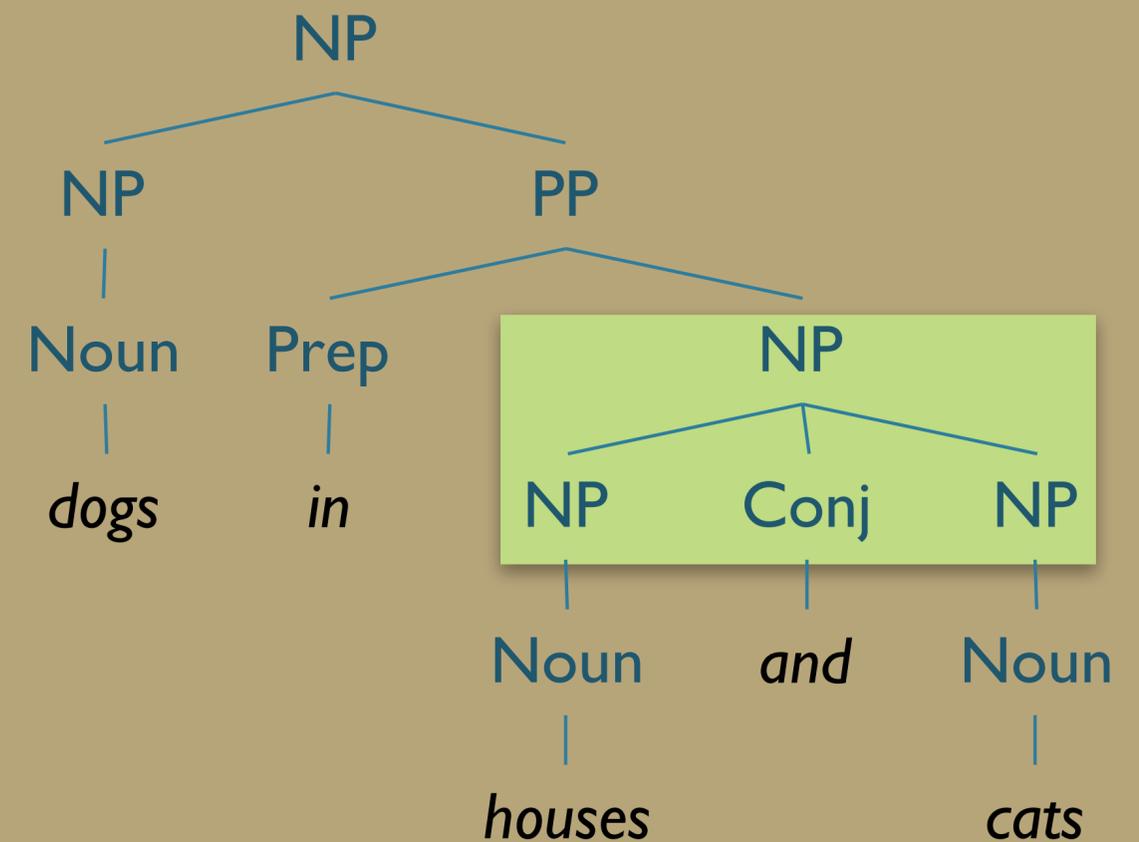
NP → NP PP  
 Noun → "dogs"  
 PP → Prep NP  
 Prep → "in"  
 NP → NP Conj NP  
 NP → Noun  
 Noun → "houses"  
 Conj → "and"  
 NP → Noun  
 Noun → "cats"

# Issues with PCFGs: Coordination Ambiguity



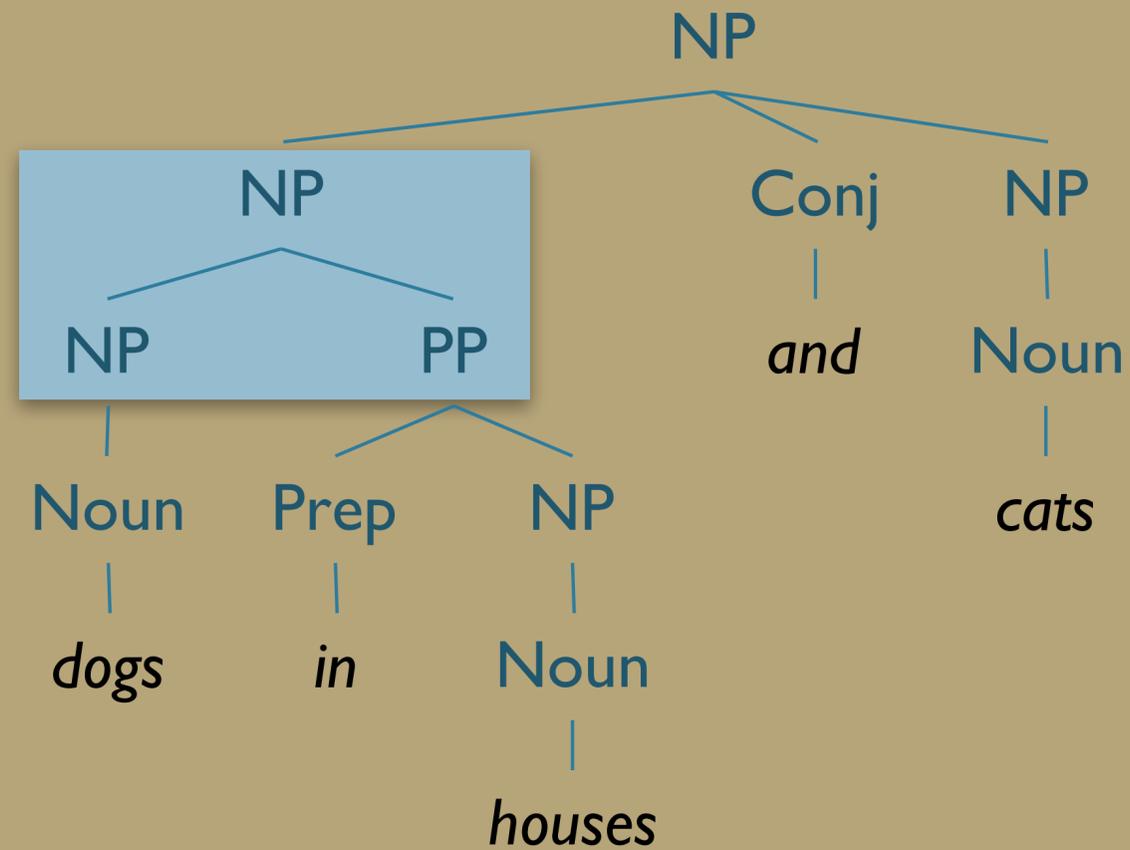
NP → NP Conj NP  
 NP → NP PP  
 Noun → "dogs"  
 PP → Prep NP  
 Prep → "in"  
 NP → Noun  
 Noun → "houses"  
 Conj → "and"  
 NP → Noun  
 Noun → "cats"

*Same Rules!*



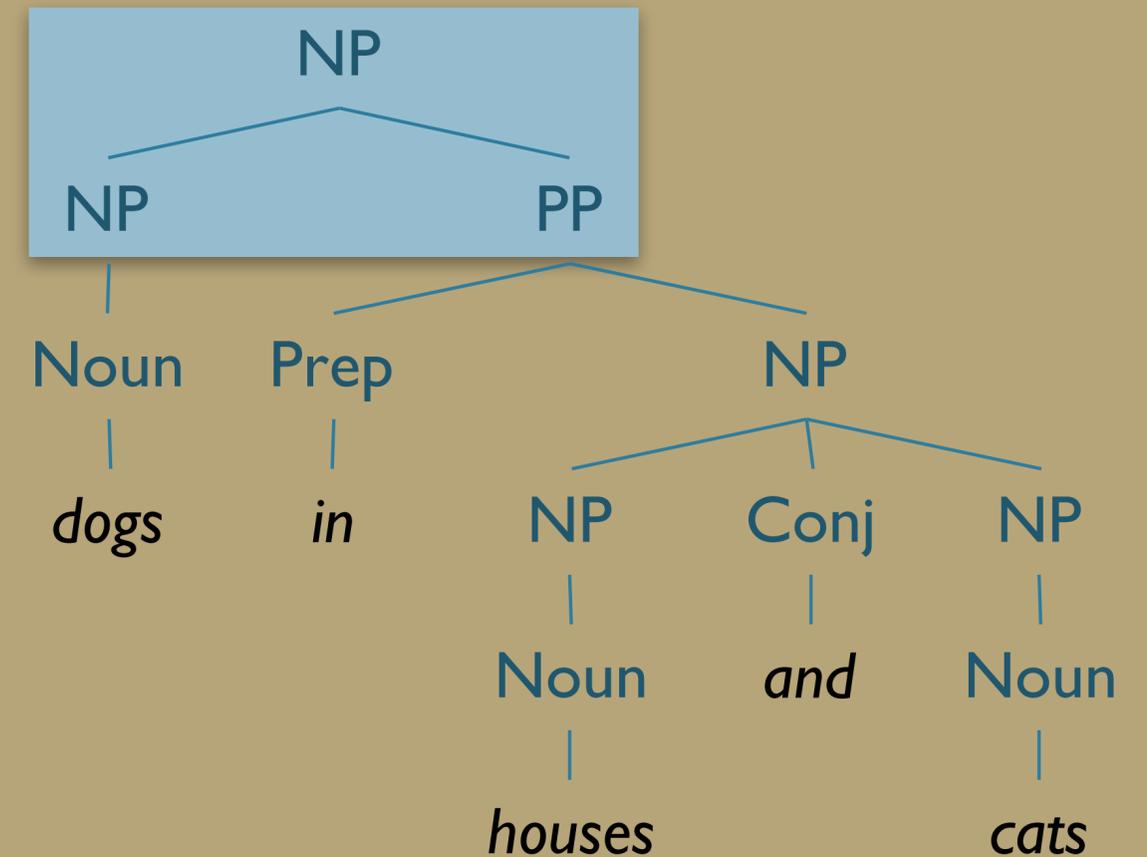
NP → NP PP  
 Noun → "dogs"  
 PP → Prep NP  
 Prep → "in"  
 NP → NP Conj NP  
 NP → Noun  
 Noun → "houses"  
 Conj → "and"  
 NP → Noun  
 Noun → "cats"

# Issues with PCFGs: Coordination Ambiguity



NP → NP Conj NP  
 NP → NP PP  
 Noun → "dogs"  
 PP → Prep NP  
 Prep → "in"  
 NP → Noun  
 Noun → "houses"  
 Conj → "and"  
 NP → Noun  
 Noun → "cats"

*Same Rules!*



NP → NP PP  
 Noun → "dogs"  
 PP → Prep NP  
 Prep → "in"  
 NP → NP Conj NP  
 NP → Noun  
 Noun → "houses"  
 Conj → "and"  
 NP → Noun  
 Noun → "cats"

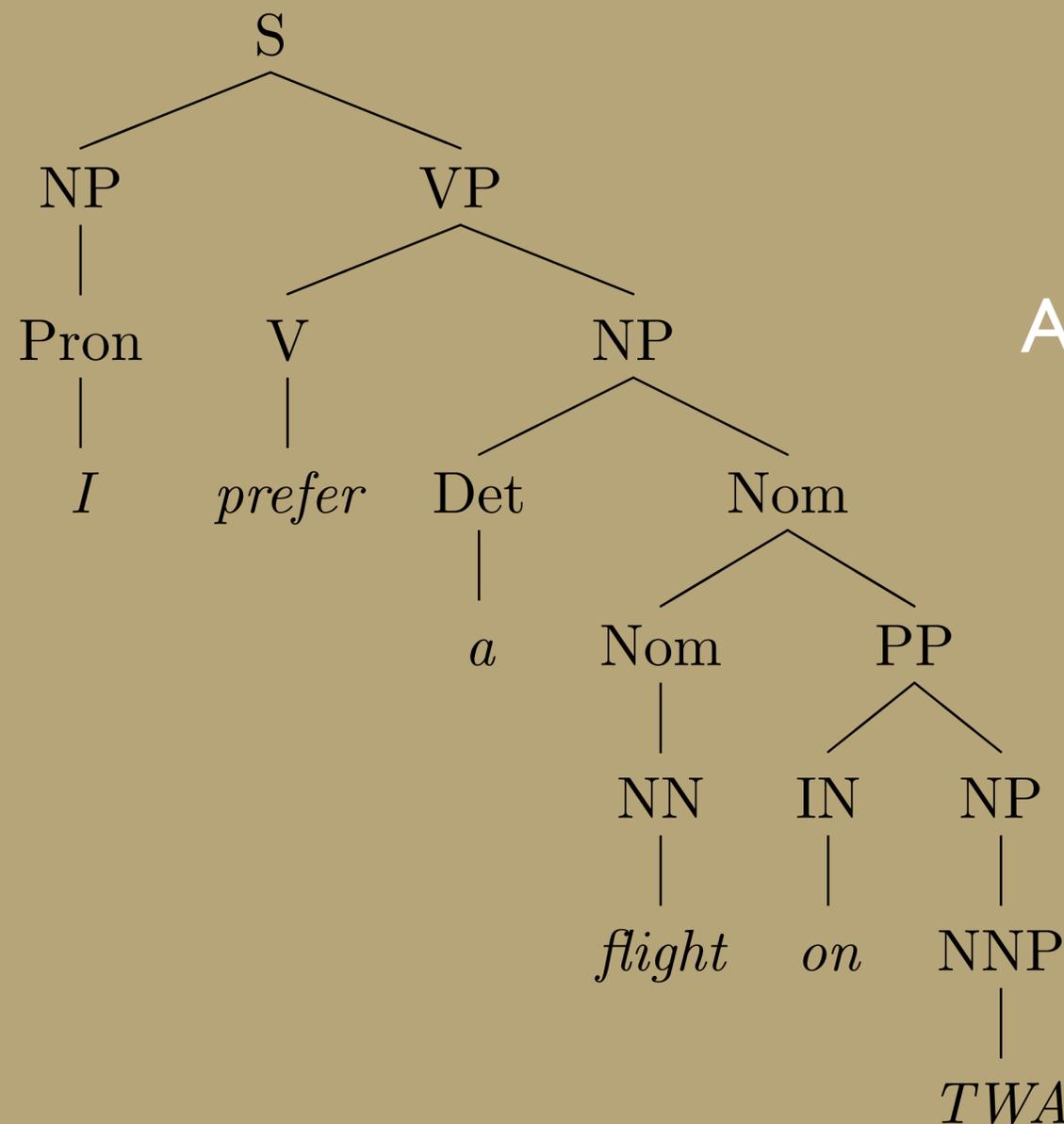
# Improving PCFGs

# Improving PCFGs

- **Parent Annotation**
- Lexicalization
- Markovization
- Reranking

# Improving PCFGs: Parent Annotation

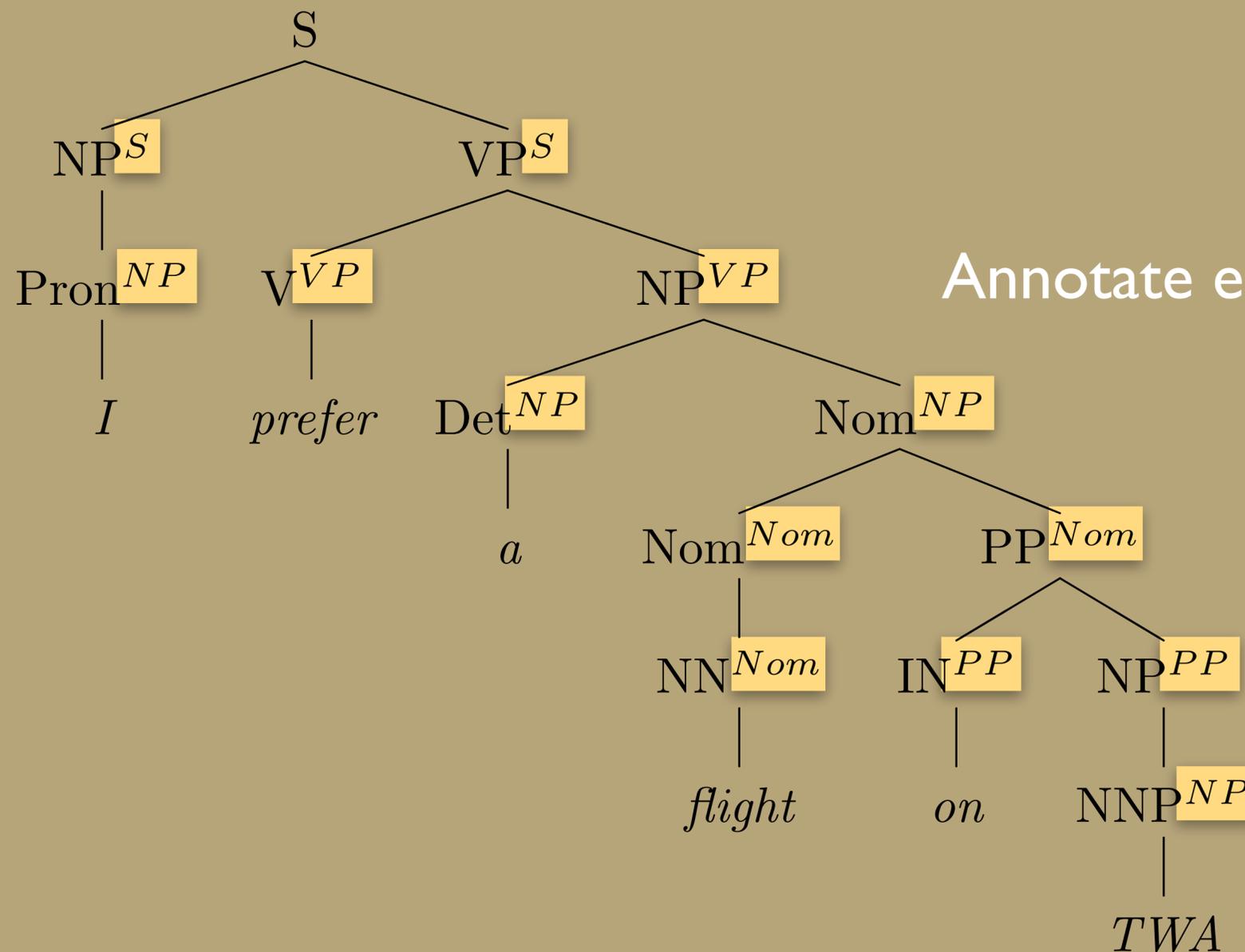
- To handle the  $NP \rightarrow PRP$  [**0.91 if  $NP_{\Theta=subject}$  else 0.34**]



Annotate each node with its parent

# Improving PCFGs: Parent Annotation

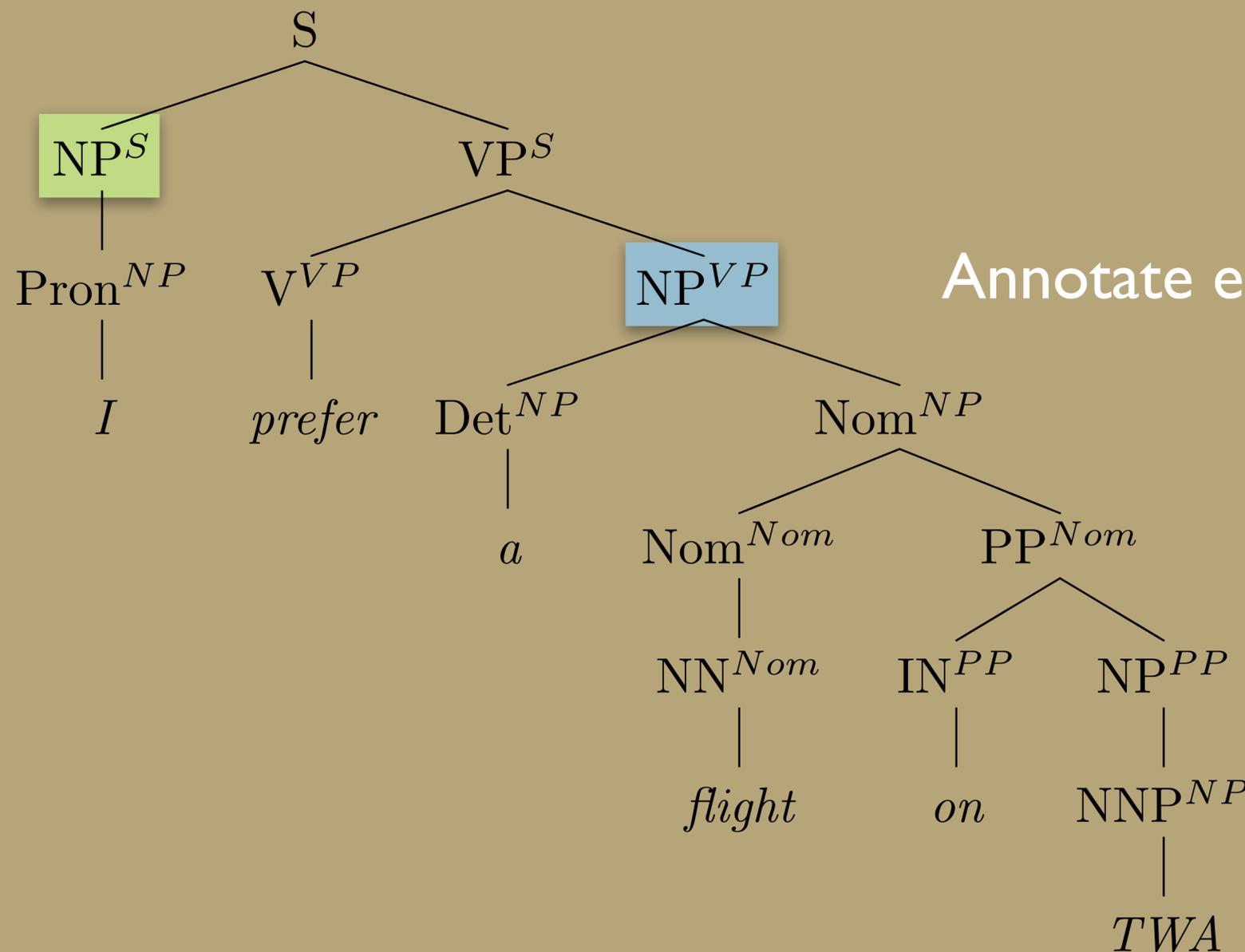
- To handle the  $NP \rightarrow PRP$  [**0.91 if  $NP_{\Theta=subject}$  else 0.34**]



Annotate each node with its parent

# Improving PCFGs: Parent Annotation

- To handle the  $NP \rightarrow PRP$  [0.91 if  $NP_{\Theta=subject}$  else 0.34]



Annotate each node with its parent

# Improving PCFGs: Parent Annotation

- Advantages:
  - Captures structural dependencies in grammar
- Disadvantages:
  - Explodes number of rules in grammar
  - Same problem with subcategorization
  - Results in sparsity problems
- Strategies to find an optimal number of splits
  - [Petrov et al \(2006\)](#)

# Improving PCFGs

- Parent Annotation
- **Lexicalization**
- Markovization
- Reranking

# Improving PCFGs: Lexical “Heads”

- Remember back to syntax intro (Lecture #1)
  - Phrases are “headed” by key words
    - **VP** are headed by **V**
    - **NP** by **NN, NNS, PRON**
    - **PP** by **PREP**
- We can take advantage of this in our grammar!

# Improving PCFGs: Lexical Dependencies

- As we've seen, some rules should be conditioned on certain words
- **Proposal:** annotate nonterminals with lexical head

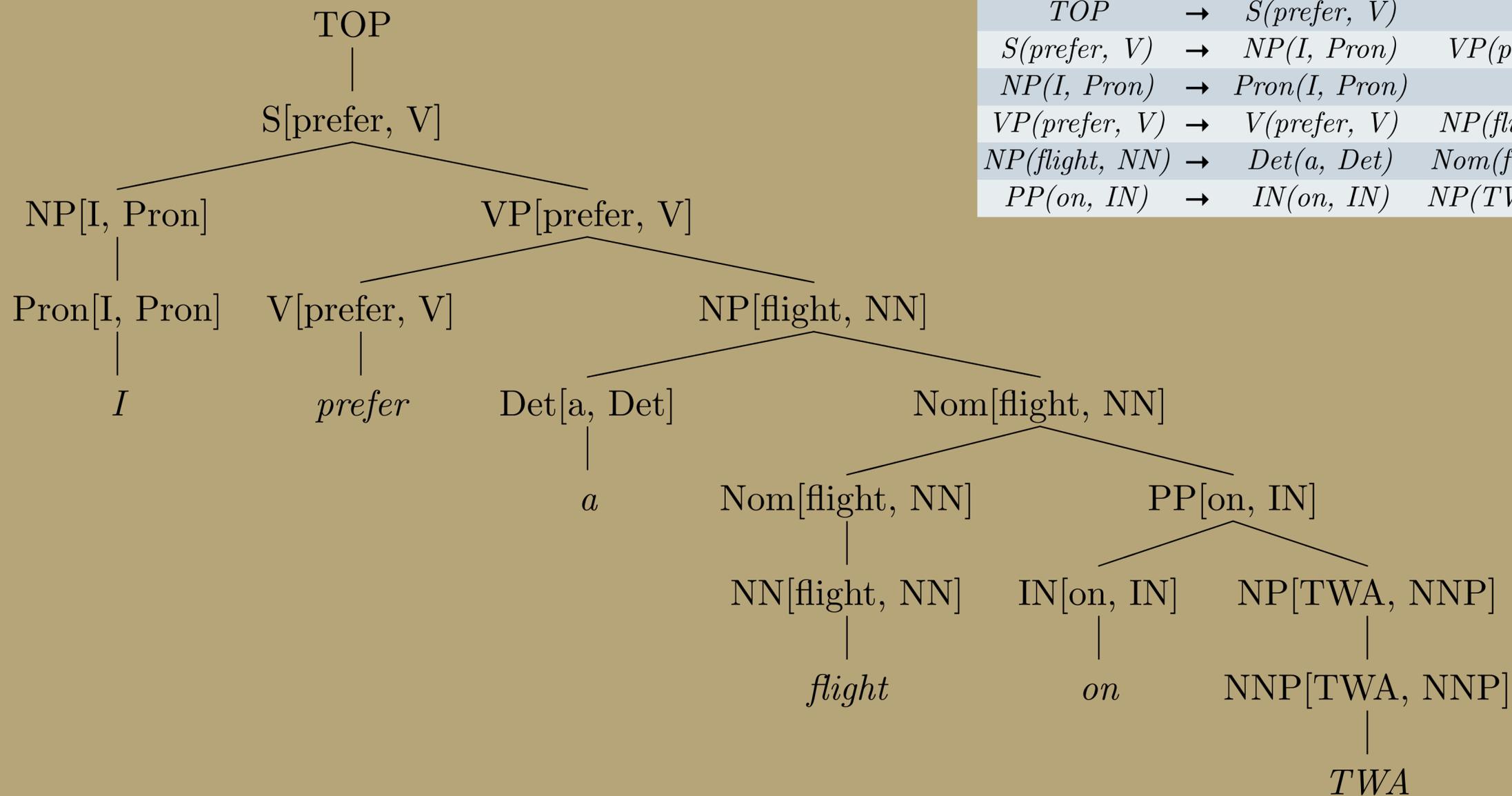
$VP \rightarrow VBD\ NP\ PP$

$VP(dumped) \rightarrow VBD(dumped)\ NP(sacks)\ PP(into)$

- **Additionally:** annotate with lexical head + POS

$VP(dumped, VBD) \rightarrow VBD(dumped, VBD)\ NP(sacks, NNS)\ PP(into, IN)$

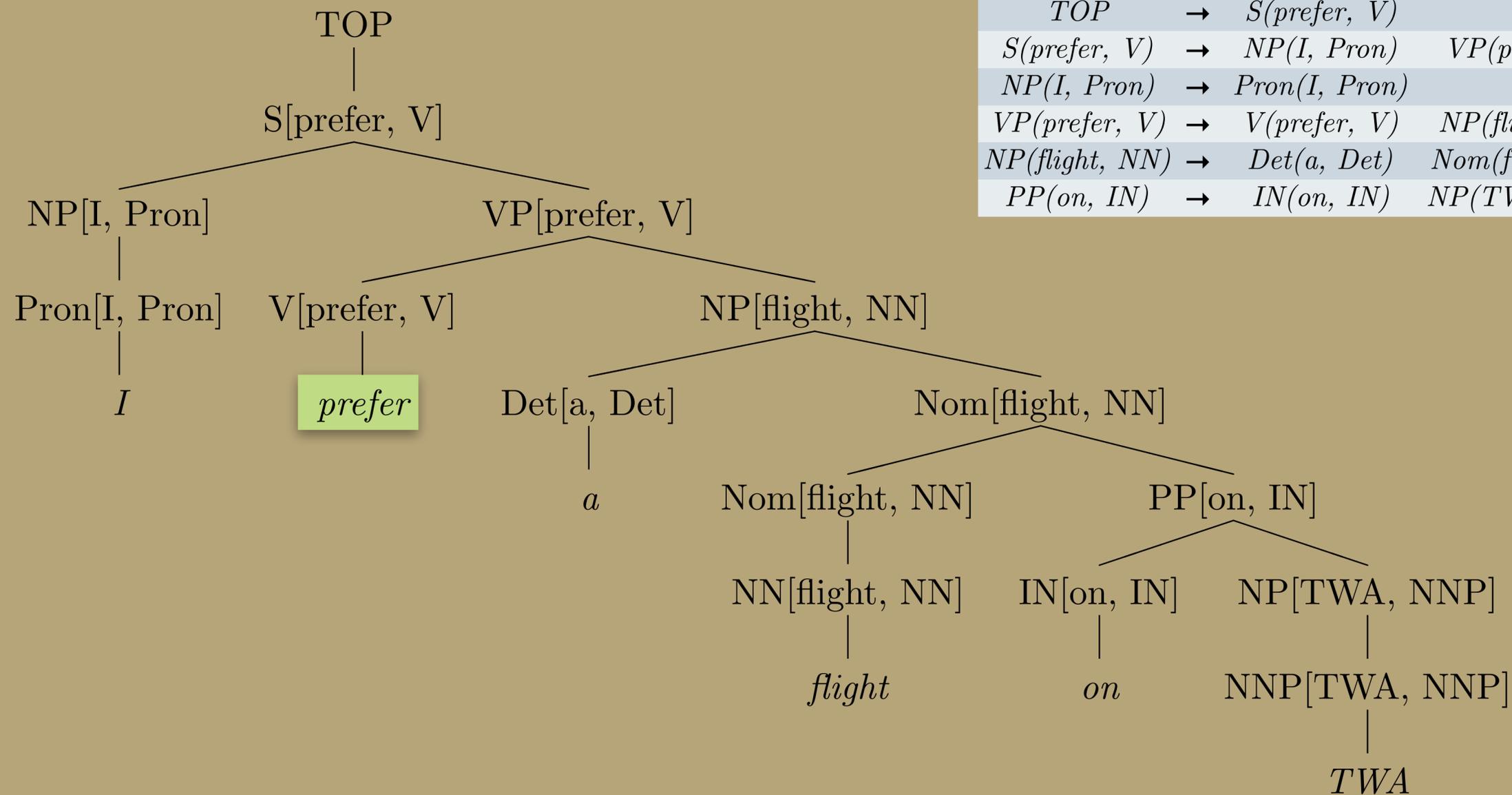
# Lexicalized Parse Tree



Internal Rules		
$TOP$	$\rightarrow$	$S(\text{prefer}, V)$
$S(\text{prefer}, V)$	$\rightarrow$	$NP(I, \text{Pron}) \quad VP(\text{prefer}, V)$
$NP(I, \text{Pron})$	$\rightarrow$	$Pron(I, \text{Pron})$
$VP(\text{prefer}, V)$	$\rightarrow$	$V(\text{prefer}, V) \quad NP(\text{flight}, NN)$
$NP(\text{flight}, NN)$	$\rightarrow$	$Det(a, Det) \quad Nom(\text{flight}, NN)$
$PP(\text{on}, IN)$	$\rightarrow$	$IN(\text{on}, IN) \quad NP(TWA, NNP)$

Lexical Rules		
$Pron(I, \text{Pron})$	$\rightarrow$	$I$
$V(\text{prefer}, V)$	$\rightarrow$	$\text{prefer}$
$Det(a, Det)$	$\rightarrow$	$a$
$NN(\text{flight}, NN)$	$\rightarrow$	$\text{flight}$
$IN(\text{on}, IN)$	$\rightarrow$	$\text{on}$
$NNP(NWA, NNP)$	$\rightarrow$	$TWA$

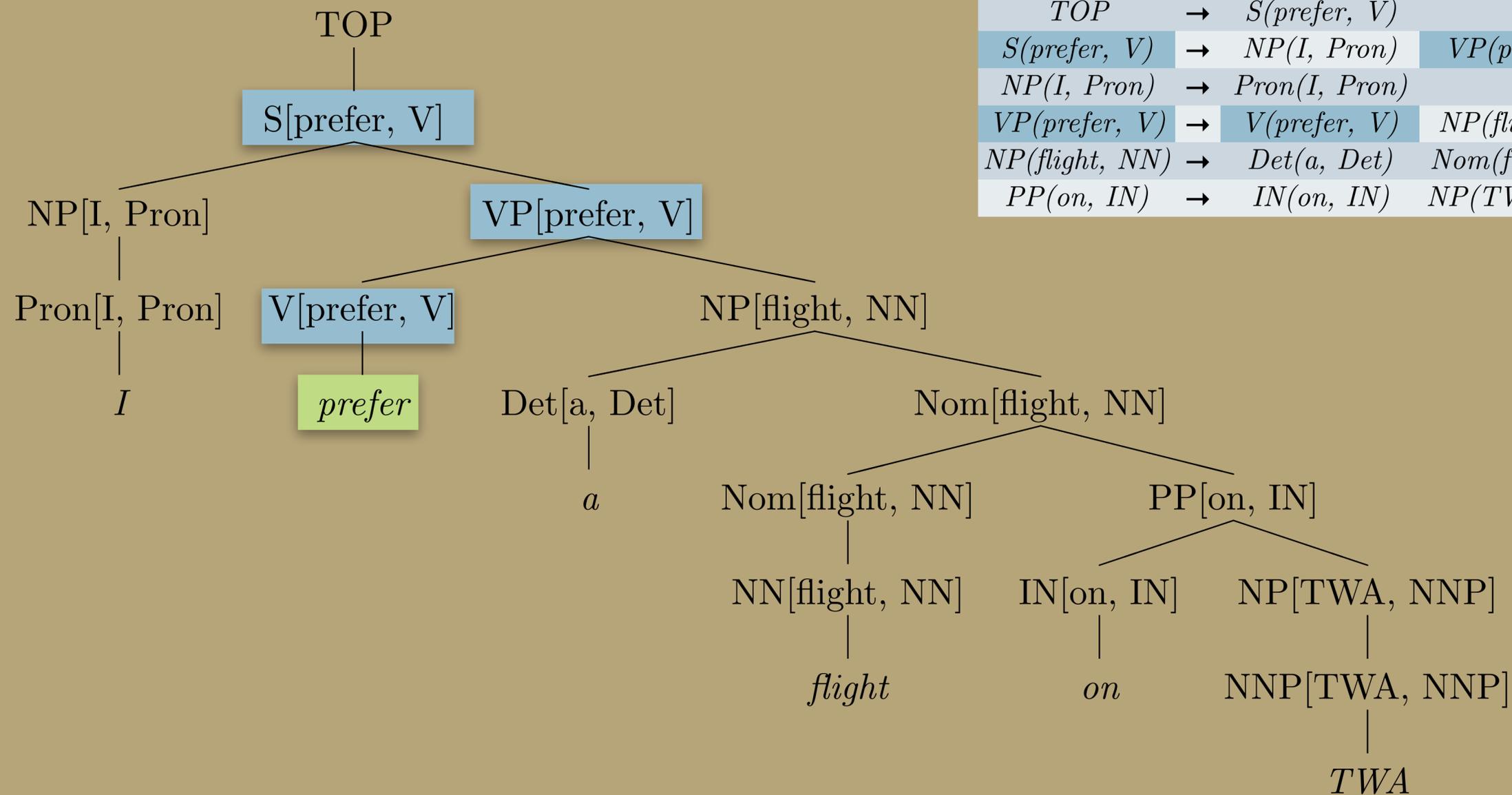
# Lexicalized Parse Tree



Internal Rules		
$TOP$	$\rightarrow$	$S(\text{prefer}, V)$
$S(\text{prefer}, V)$	$\rightarrow$	$NP(I, \text{Pron}) \quad VP(\text{prefer}, V)$
$NP(I, \text{Pron})$	$\rightarrow$	$Pron(I, \text{Pron})$
$VP(\text{prefer}, V)$	$\rightarrow$	$V(\text{prefer}, V) \quad NP(\text{flight}, NN)$
$NP(\text{flight}, NN)$	$\rightarrow$	$Det(a, Det) \quad Nom(\text{flight}, NN)$
$PP(\text{on}, IN)$	$\rightarrow$	$IN(\text{on}, IN) \quad NP(TWA, NNP)$

Lexical Rules		
$Pron(I, \text{Pron})$	$\rightarrow$	$I$
$V(\text{prefer}, V)$	$\rightarrow$	$\text{prefer}$
$Det(a, Det)$	$\rightarrow$	$a$
$NN(\text{flight}, NN)$	$\rightarrow$	$\text{flight}$
$IN(\text{on}, IN)$	$\rightarrow$	$\text{on}$
$NNP(TWA, NNP)$	$\rightarrow$	$TWA$

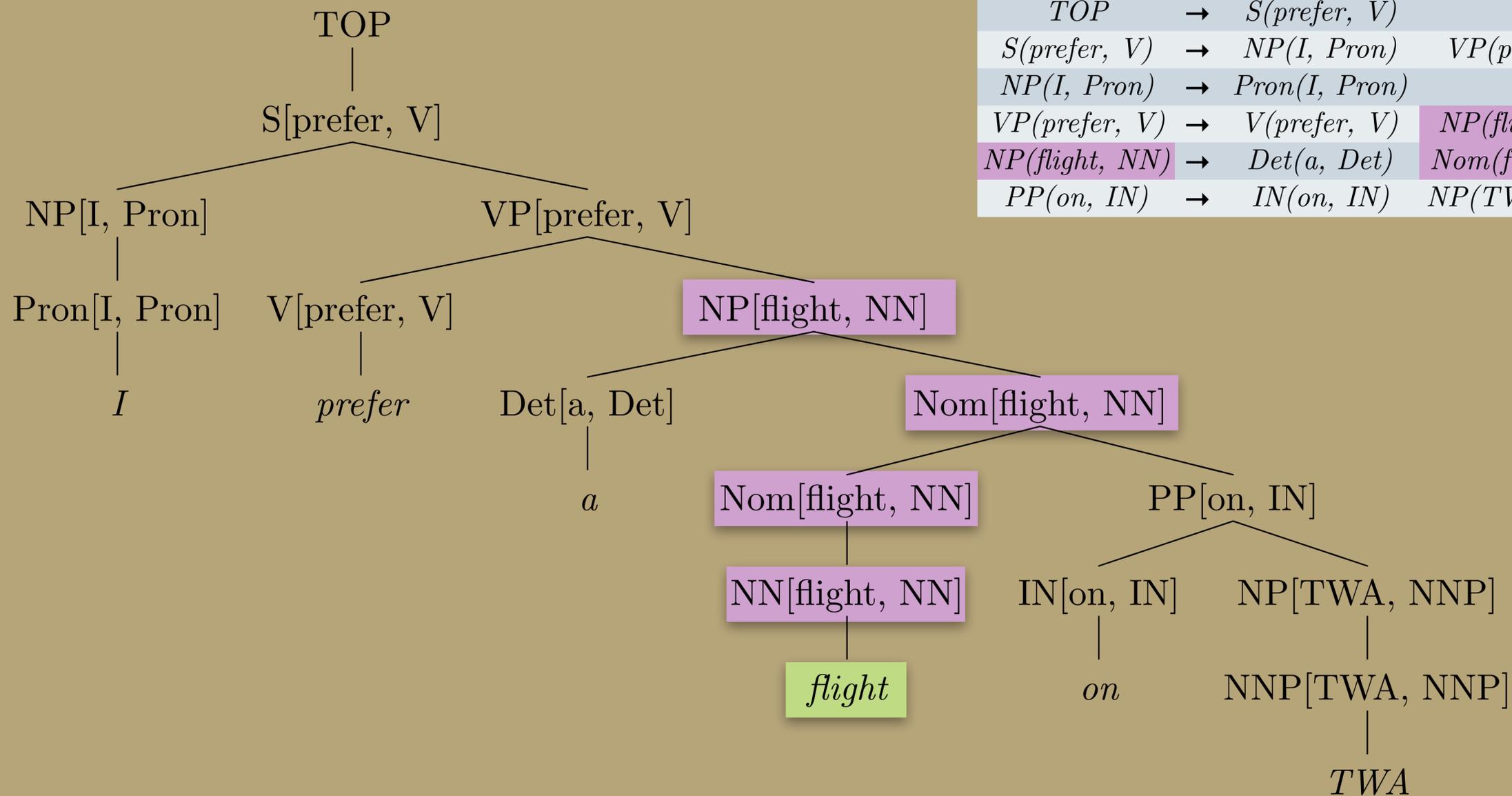
# Lexicalized Parse Tree



Internal Rules		
<i>TOP</i>	→	<i>S(prefer, V)</i>
<i>S(prefer, V)</i>	→	<i>NP(I, Pron) VP(prefer, V)</i>
<i>NP(I, Pron)</i>	→	<i>Pron(I, Pron)</i>
<i>VP(prefer, V)</i>	→	<i>V(prefer, V) NP(flight, NN)</i>
<i>NP(flight, NN)</i>	→	<i>Det(a, Det) Nom(flight, NN)</i>
<i>PP(on, IN)</i>	→	<i>IN(on, IN) NP(TWA, NNP)</i>

Lexical Rules		
<i>Pron(I, Pron)</i>	→	I
<i>V(prefer, V)</i>	→	prefer
<i>Det(a, Det)</i>	→	a
<i>NN(flight, NN)</i>	→	flight
<i>IN(on, IN)</i>	→	on
<i>NNP(TWA, NNP)</i>	→	TWA

# Lexicalized Parse Tree

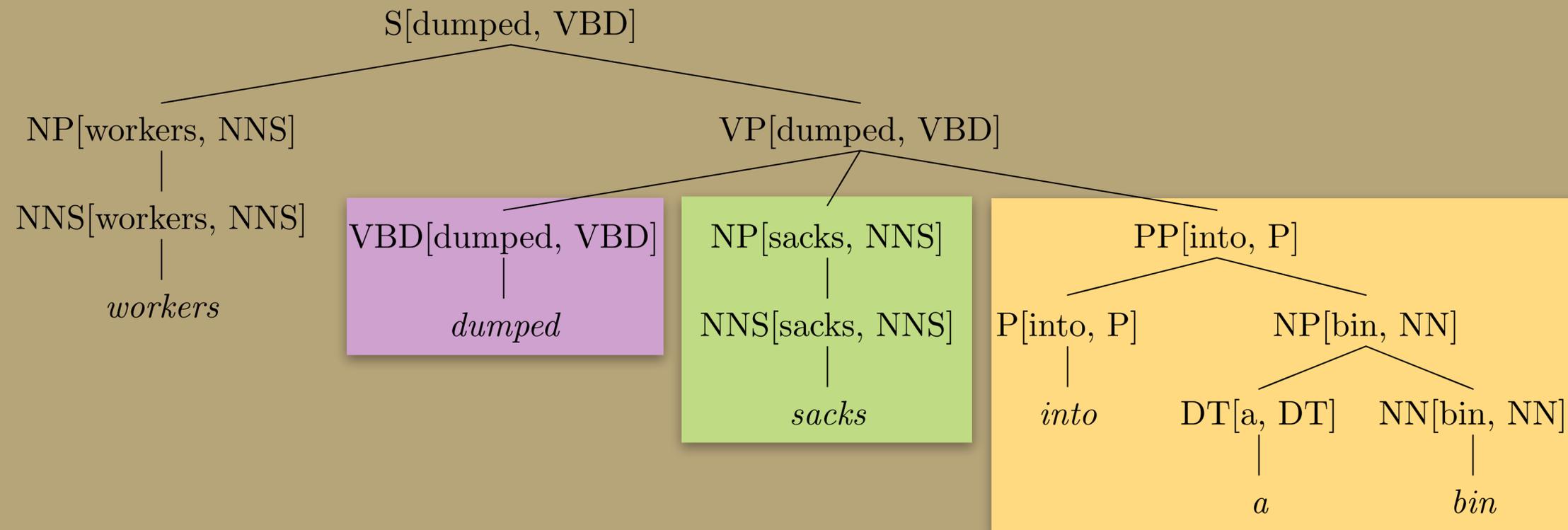


Internal Rules		
$TOP$	$\rightarrow$	$S(\text{prefer}, V)$
$S(\text{prefer}, V)$	$\rightarrow$	$NP(I, \text{Pron}) \quad VP(\text{prefer}, V)$
$NP(I, \text{Pron})$	$\rightarrow$	$Pron(I, \text{Pron})$
$VP(\text{prefer}, V)$	$\rightarrow$	$V(\text{prefer}, V) \quad NP(\text{flight}, NN)$
$NP(\text{flight}, NN)$	$\rightarrow$	$Det(a, Det) \quad Nom(\text{flight}, NN)$
$PP(\text{on}, IN)$	$\rightarrow$	$IN(\text{on}, IN) \quad NP(TWA, NNP)$

Lexical Rules		
$Pron(I, \text{Pron})$	$\rightarrow$	$I$
$V(\text{prefer}, V)$	$\rightarrow$	$\text{prefer}$
$Det(a, Det)$	$\rightarrow$	$a$
$NN(\text{flight}, NN)$	$\rightarrow$	$\text{flight}$
$IN(\text{on}, IN)$	$\rightarrow$	$\text{on}$
$NNP(TWA, NNP)$	$\rightarrow$	$TWA$

# Improving PCFGs: Lexical Dependencies

- Upshot: heads propagate up tree:
  - $VP \rightarrow VBD(\textit{dumped}, VBD) NP(\textit{sacks}, NNS) PP(\textit{into}, P)$  ✓
  - $NP \rightarrow NNS(\textit{sacks}, NNS) PP(\textit{into}, P)$  ✗



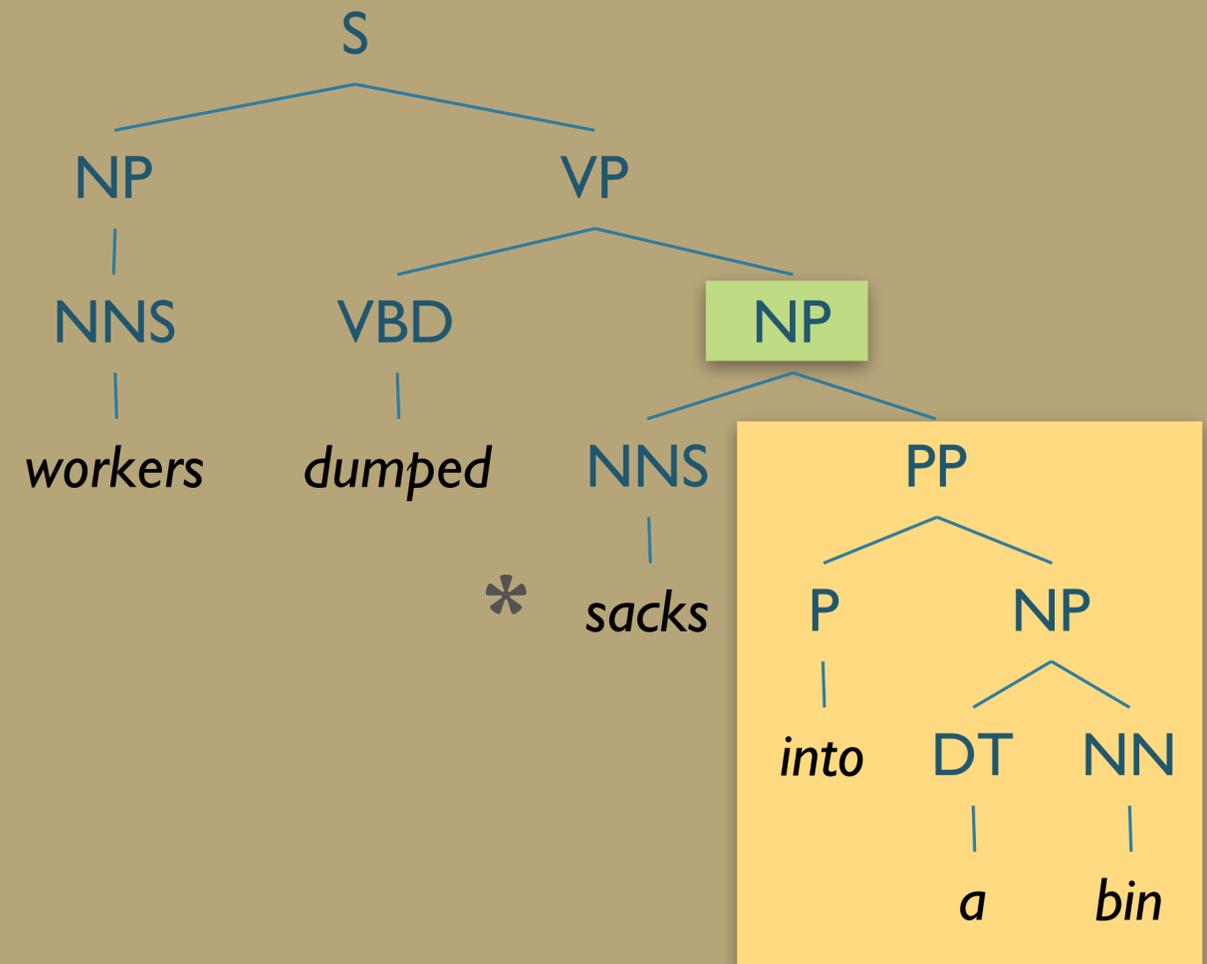
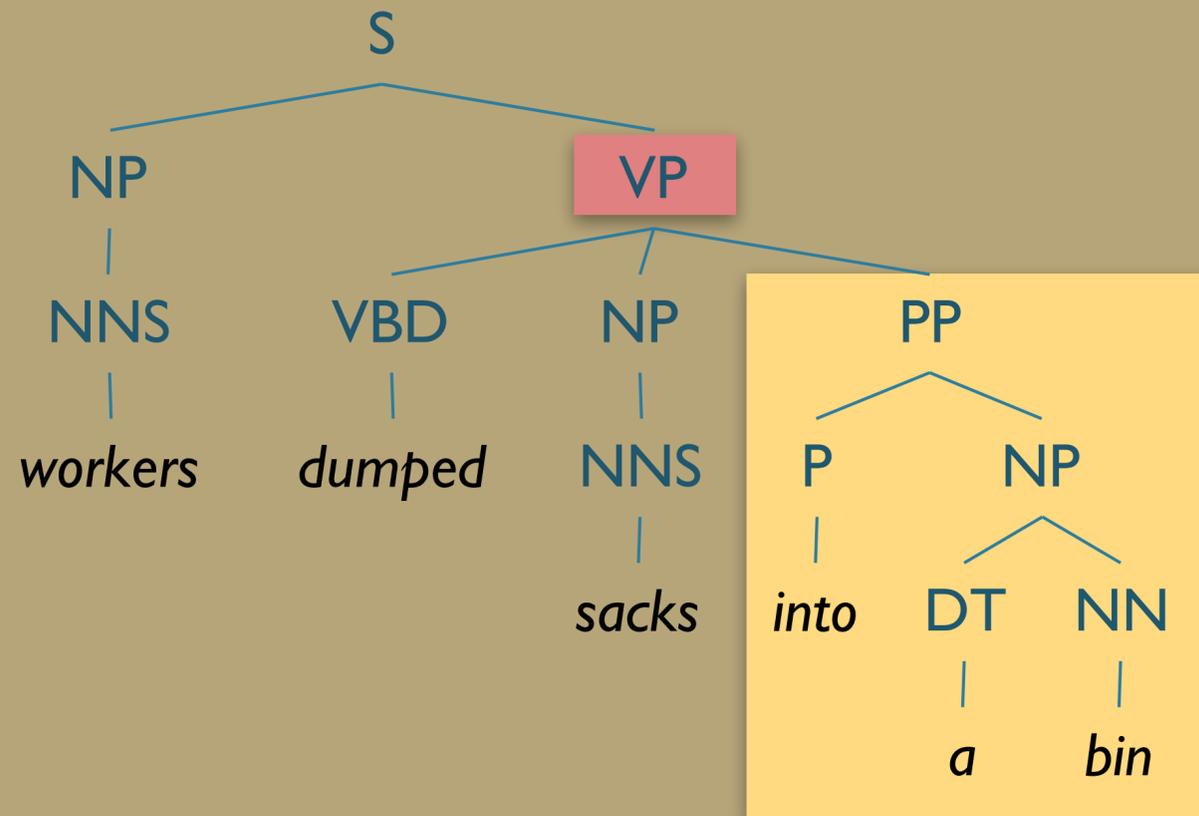
# Improving PCFGs: Lexical Dependencies

- Downside:
  - Rules far too specialized — will be sparse
- Solution:
  - Assume *conditional* independence
  - Create more rules

# Improving PCFGs: Collins Parser

- Proposal:
  - *LHS* → *LeftOfHead* ... *Head* ... *RightOfHead*
  - Instead of calculating  $P(\text{EntireRule})$ , which is sparse:
  - Calculate:
    - Probability that *LHS* has nonterminal phrase *H* given head-word *hw...*
    - × Probability of modifiers to the **left** given head-word *hw...*
    - × Probability of modifiers to the **right** given head-word *hw...*

# Collins Parser Example



# Collins Parser Example

$$P(VP \rightarrow VBD NP PP \mid VP, \textit{dumped})$$

$$\begin{aligned} &= \frac{\textit{Count}(VP(\textit{dumped}) \rightarrow VBD NP PP)}{\sum_{\beta} \textit{Count}(VP(\textit{dumped}) \rightarrow \beta)} \\ &= \frac{6}{9} = 0.67 \end{aligned}$$

$$P_R(\textit{into} \mid PP, \textit{dumped})$$

$$\begin{aligned} &= \frac{\textit{Count}(X(\textit{dumped}) \rightarrow \dots PP(\textit{into}) \dots)}{\sum_{\beta} \textit{Count}(X(\textit{dumped}) \rightarrow \dots PP \dots)} \\ &= \frac{2}{9} = 0.22 \end{aligned}$$

$$P(VP \rightarrow VBD NP \mid VP, \textit{dumped})$$

$$\begin{aligned} &= \frac{\textit{Count}(VP(\textit{dumped}) \rightarrow VBD NP)}{\sum_{\beta} \textit{Count}(VP(\textit{dumped}) \rightarrow \beta)} \\ &= \frac{1}{9} = 0.11 \end{aligned}$$

$$P_R(\textit{into} \mid PP, \textit{sacks})$$

$$\begin{aligned} &= \frac{\textit{Count}(X(\textit{sacks}) \rightarrow \dots PP(\textit{into}) \dots)}{\sum_{\beta} \textit{Count}(X(\textit{sacks}) \rightarrow \dots PP \dots)} \\ &= \frac{0}{0} \end{aligned}$$

# Improving PCFGs

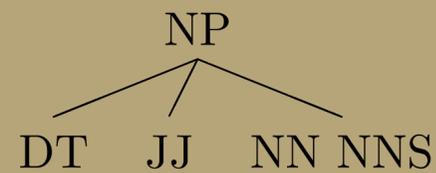
- Parent Annotation
- Lexicalization
- **Markovization**
- Reranking

# CNF Factorization & Markovization

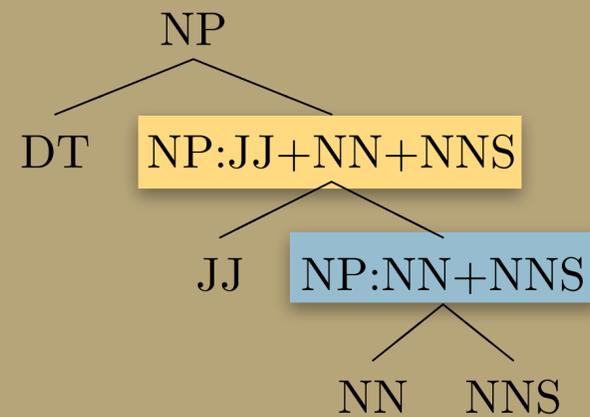
- CNF Factorization:
  - Converts n-ary branching to binary branching
  - Can maintain information about original structure
    - Neighborhood history and parent

# Different Markov Orders

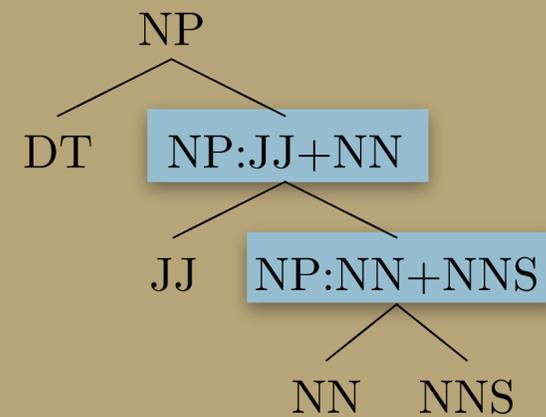
Original



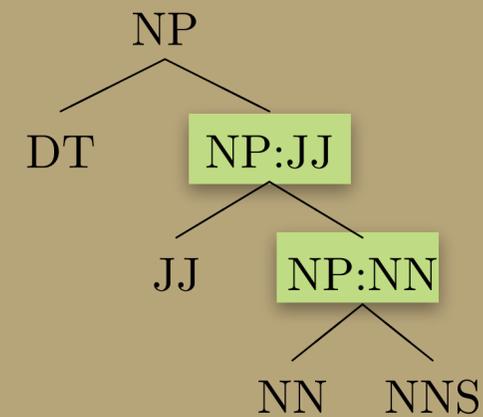
Order 3



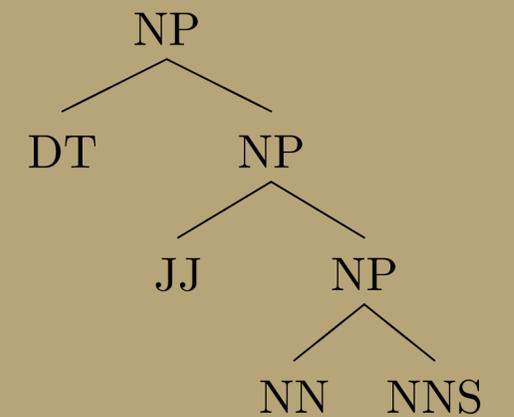
Order 2



Order 1



Order 0



# Markovization and Costs

PCFG	Time(s)	Words/s	V	P	LR	LP	F <sub>1</sub>
Right-factored	4848	6.7	10105	23220	69.2	73.8	71.5
Right-factored, Markov order-2	1302	24.9	2492	11659	68.8	73.8	71.3
Right-factored, Markov order-1	445	72.7	564	6354	68.0	730	70.5
Right-factored, Markov order-0	206	157.1	99	3803	61.2	65.5	63.3
Parent-annotated, Right-factored, Markov order-2	7510	4.3	5876	22444	76.2	78.3	77.2

from [Mohri & Roark 2006](#)

# Improving PCFGs

- Parent Annotation
- Lexicalization
- Markovization
- **Reranking**

# Reranking

- Issue: Locality
  - PCFG probabilities associated with rewrite rules
  - Context-free grammars are, well, context-free
  - Previous approaches create new rules to incorporate context
- Need approach that incorporates broader, global info

# Discriminative Parse Reranking

- General approach:
  - Parse using (L)PCFG
  - Obtain top-N parses
  - Re-rank top-N using better features
- Use discriminative model (e.g. MaxEnt) to rerank with features:
  - right-branching vs. left-branching
  - speaker identity
  - conjunctive parallelism
  - fragment frequency
  - ...

# Reranking Effectiveness

- How can reranking improve?
- Results from [Collins and Koo \(2005\)](#), with 50-best

<b>System</b>	<b>Accuracy</b>
Baseline	0.897
Oracle	0.968
Discriminative	0.917

- “Oracle” is to automatically choose the correct parse if in N-best

# Improving PCFGs: Tradeoffs

- **Pros:**
  - Increased accuracy/specificity
  - e.g. Lexicalization, Parent annotation, Markovization, etc
- **Cons:**
  - Explode grammar size
  - Increased processing time
  - Increased data requirements
- *How can we balance?*

# Improving PCFGs: Efficiency

- **Beam thresholding**
- Heuristic Filtering

# Efficiency

- PCKY is  $|G| \cdot n^3$ 
  - Grammar can be huge
  - Grammar can be extremely ambiguous
  - Hundreds of analyses not unusual
- ...but only care about best parses
- Can we use this to improve efficiency?

# Beam Thresholding

- Inspired by Beam Search
- Assume low probability parses unlikely to yield high probability overall
  - Keep only top k most probable partial parses
  - Retain only k choices per cell
    - For large grammars, maybe 50-100
    - For small grammars, 5 or 10

# Heuristic Filtering

- **Intuition:** Some rules/partial parses unlikely to create best parse
- **Proposal:** Don't store these in table.
- **Exclude:**
  - Low frequency: (singletons)
  - Low probability: constituents  $X$  s.t.  $P(X) < 10^{-200}$
  - Low relative probability:
    - Exclude  $X$  if there exists  $Y$  s.t.  $P(Y) > 100 \times P(X)$