

Wrap-Up: Unsupervised Learning + Summary

LING 571 — Deep Processing Methods in NLP

December 9, 2020

Shane Steinert-Threlkeld

Implicature (?) of the Week

Over three decades later, I walked up to a counter in Antalya Airport to tell a disbelieving airline employee that our flight would shortly be canceled because the tanks being reported in the streets of Istanbul meant that a coup attempt was under way.* *It*

**A previous version of this article misstated the amount of time between 1980 and 2016. It is over three decades, not two.*

<https://www.theatlantic.com/ideas/archive/2020/12/trumps-farcical-inept-and-deadly-serious-coup-attempt/617309/#correction%202>

Un-/Semi-supervised Learning in NLP

A Roadblock to Deep Processing

- Deep processing of natural language data helps with:
 - Information retrieval
 - QA
 - WSD
 - Conversational AI
 - ...
- But....

Developing Deep Processing Systems

- Building a deep processing system requires lots of annotated data
 - For evaluation
 - For *training* an ML system

A roadblock

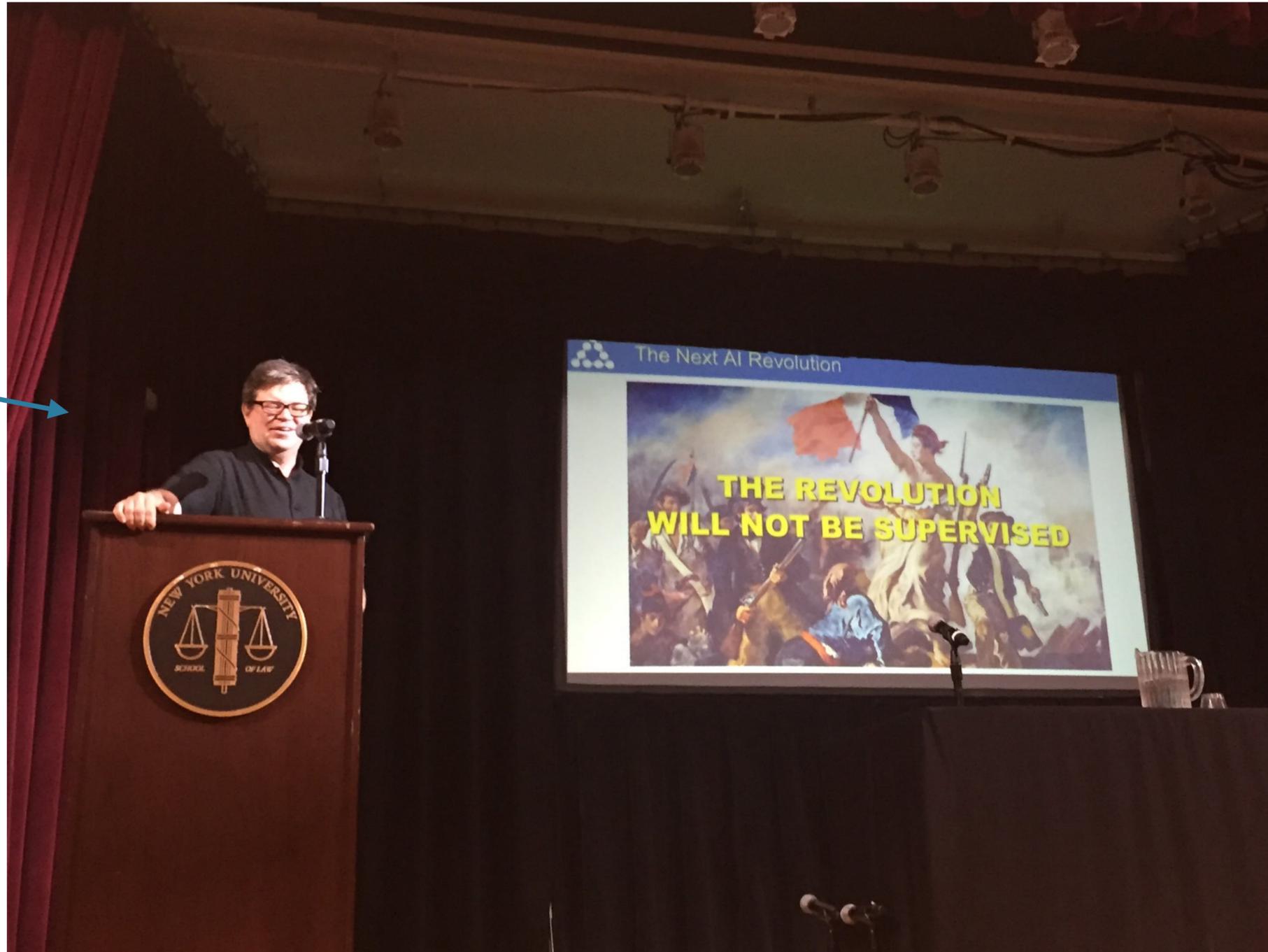
- The following are cheap:
 - Compute
 - Text [the web!]
- The following are expensive:
 - Human hours
 - Programmers
 - Data annotators

Main Idea

- Leverage the huge amounts of text to learn useful representations
- “Fine tune” on a much smaller amount of task-specific data
 - a.k.a. transfer learning

Can we leverage the cheap resources?

Yann LeCun



<https://twitter.com/rgblong/status/916062474545319938?lang=en>

Deep Contextualized Word Representations

[Peters et. al \(2018\)](#)

Deep Contextualized Word Representations

Peters et. al (2018)

- Prior vector-space embeddings have typically been derived:
 - Context-independent distributions (CBOW; e.g. GloVe)
 - CNNs over characters

Deep Contextualized Word Representations

Peters et. al (2018)

Deep Contextualized Word Representations

Peters et. al (2018)

- NAACL 2018 Best Paper Award

Deep Contextualized Word Representations

Peters et. al (2018)

- NAACL 2018 Best Paper Award
- **E**mbdings from **L**anguage **M**odels (ELMo)
 - [aka the OG NLP Muppet]



Deep Contextualized Word Representations

Peters et. al (2018)

- NAACL 2018 Best Paper Award
- **E**mbdings from **L**anguage **M**odels (ELMo)
 - [aka the OG NLP Muppet]
- Rather than treat embeddings as bag of words
 - Create embeddings by using sequential modeling (bi-LSTM)



Deep Contextualized Word Representations

Peters et. al (2018)

- Comparison to GloVe:

| | Source | Nearest Neighbors |
|-------|---|--|
| GloVe | play | playing, game, games, played, players, plays, player, Play, football, multiplayer |
| biLM | Chico Ruiz made a spectacular play on Alusik's grounder... | Kieffer, the only junior in the group, was commended for his ability to hit in the clutch, as well as his all-round excellent play . |
| | Olivia De Havilland signed to do a Broadway play for Garson... | ...they were actors who had been handed fat roles in a successful play , and had talent enough to fill the roles competently, with nice understatement. |

Deep Contextualized Word Representations

Peters et. al (2018)

- Intrinsic evaluation via WSD:

| Model | F ₁ |
|----------------------------|----------------|
| WordNet 1st Sense Baseline | 65.9 |
| Raganato et al(2017a) | 69.9 |
| Iacobacci et al.(2016) | 70.1 |
| CoVe, First Layer | 59.4 |
| CoVe, Second Layer | 64.7 |
| biLM, First layer | 67.4 |
| biLM, Second layer | 69.0 |

Deep Contextualized Word Representations

Peters et. al (2018)

- Used in place of other embeddings on multiple tasks:

| TASK | PREVIOUS SOTA | | OUR BASELINE | ELMo + BASELINE | INCREASE (ABSOLUTE/ RELATIVE) |
|-------|-------------------------------------|--------------|-----------------|--------------------|-------------------------------------|
| SQuAD | Liu et al.(2017) | 84.4 | 81.1 | 85.8 | 4.7 / 24.9% |
| SNLI | Chen et al.(2017) | 88.6 | 88.0 | 88.7 ± 0.17 | 0.7 / 5.8% |
| SRL | He et al.(2017) | 81.7 | 81.4 | 84.6 | 3.2 / 17.2% |
| Coref | Lee et al.(2017) | 67.2 | 67.2 | 70.4 | 3.2 / 9.8% |
| NER | Peters et al(2017) | 91.93 ± 0.19 | 90.15 | 92.22 ± 0.10 | 2.06 / 21% |
| SST-5 | McCann et al.(2017) | 53.7 | 51.4 | 54.7 ± 0.5 | 3.3 / 6.8% |

SQuAD = [Stanford Question Answering Dataset](#)
SNLI = [Stanford Natural Language Inference Corpus](#)
SST-5 = [Stanford Sentiment Treebank](#)

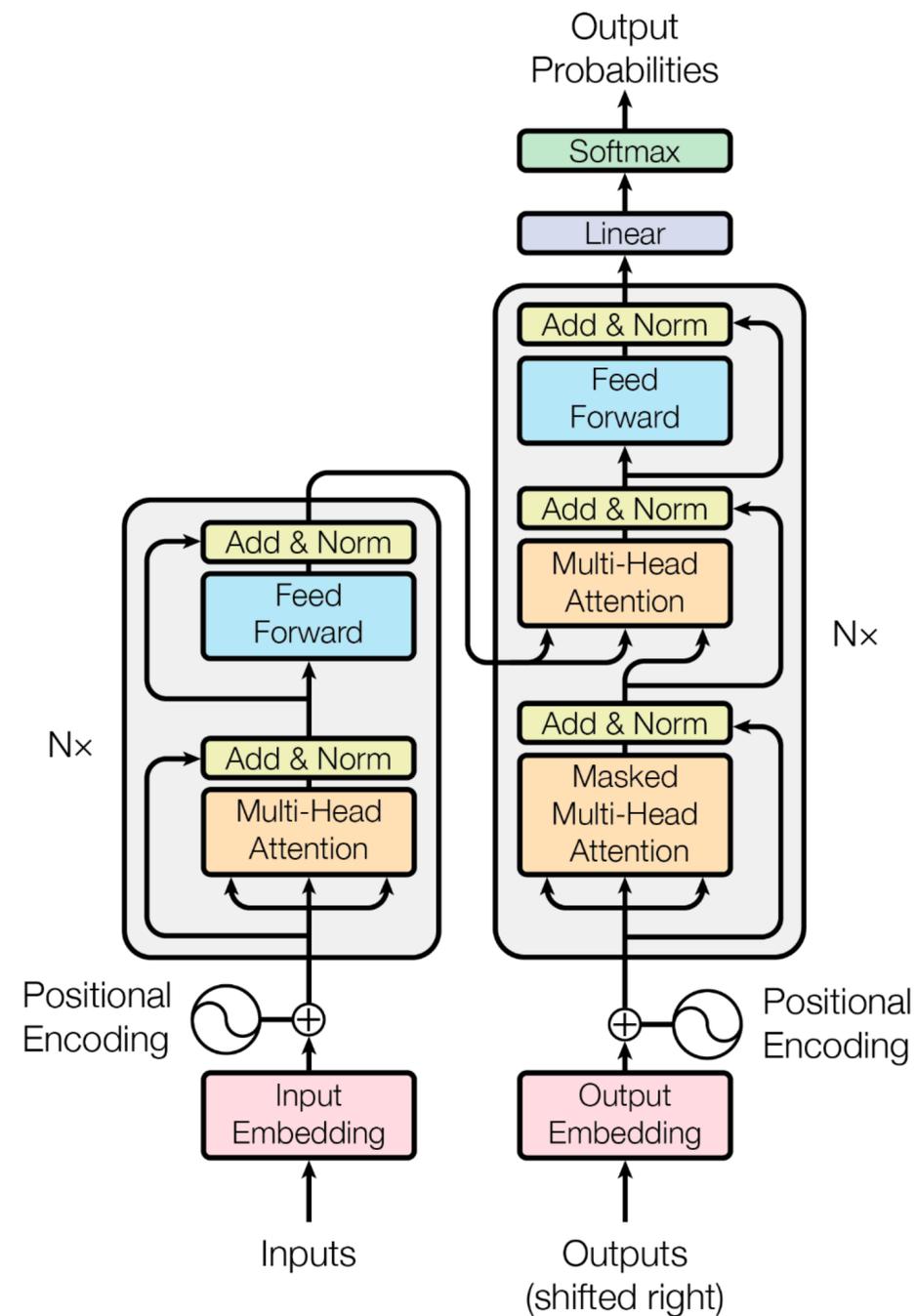


BERT

Bidirectional Encoder Representations from Transformers

[Devlin et al 2018](#)

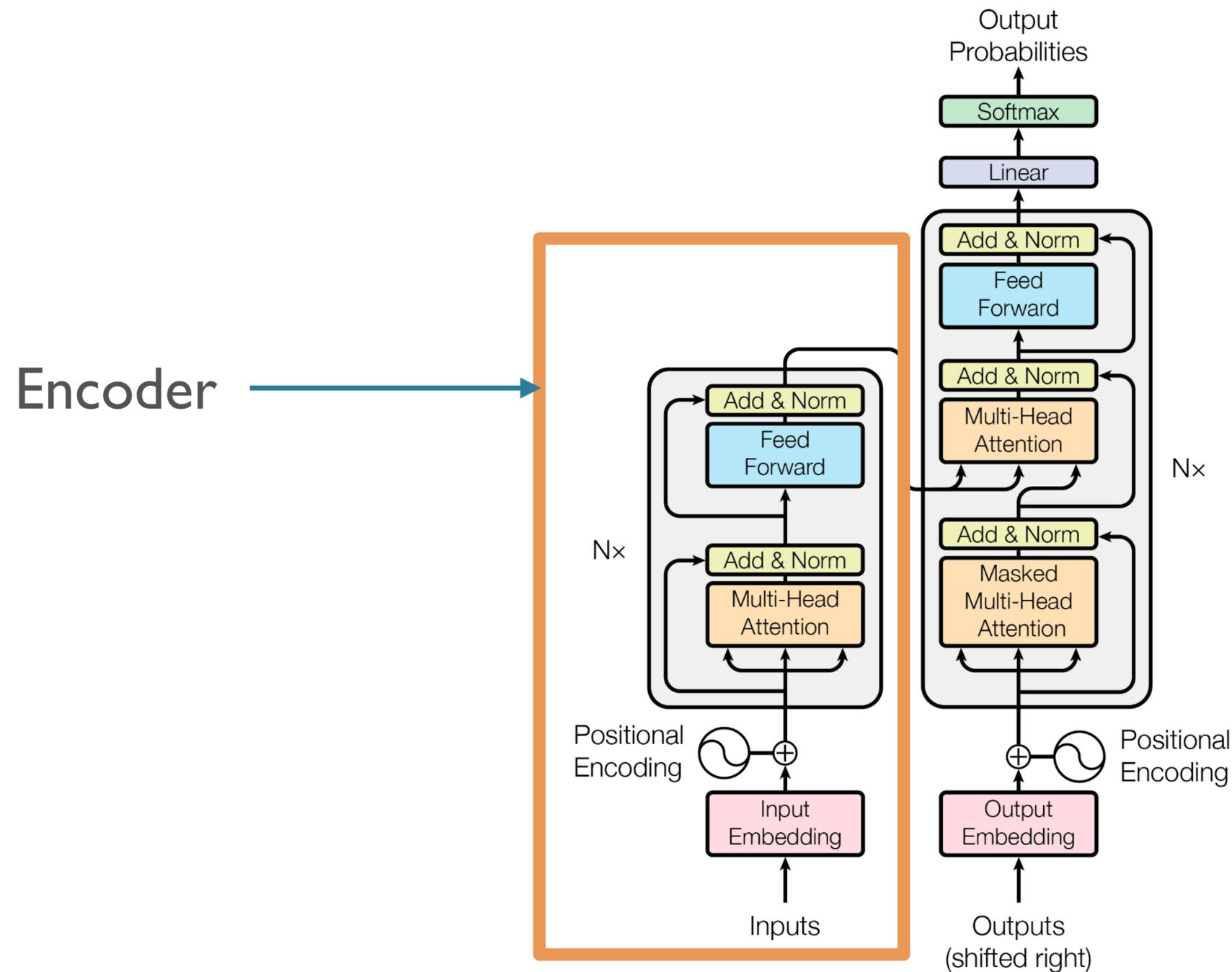
Transformers [+ Encoder]



Vashwani et al 2017,
“Attention is All You Need”

The Annotated Transformer
The Illustrated Transformer

Transformers [+ Encoder]



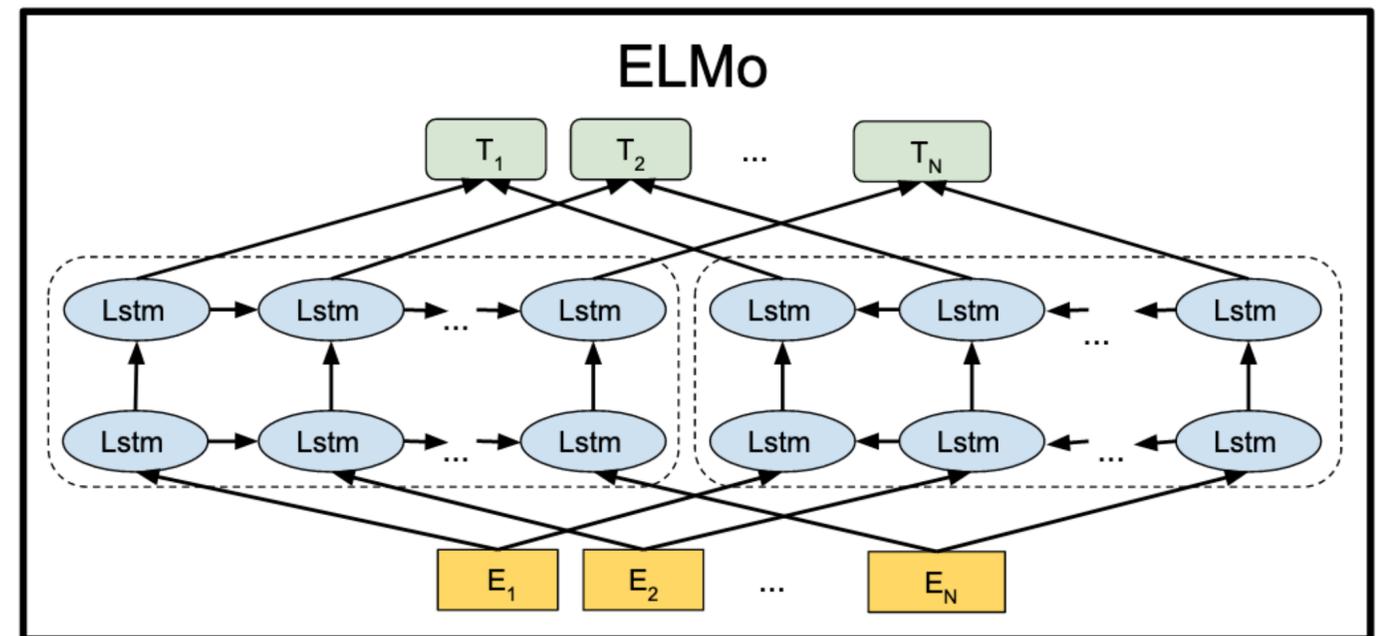
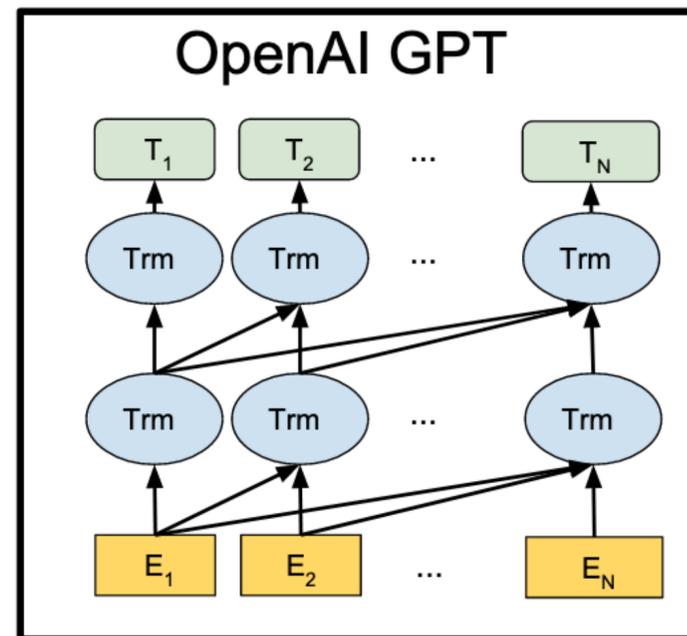
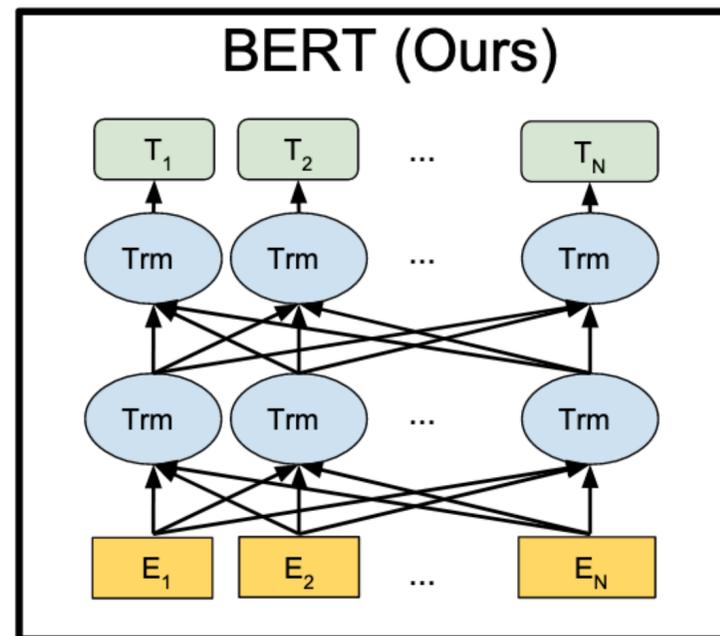
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The Annotated Transformer
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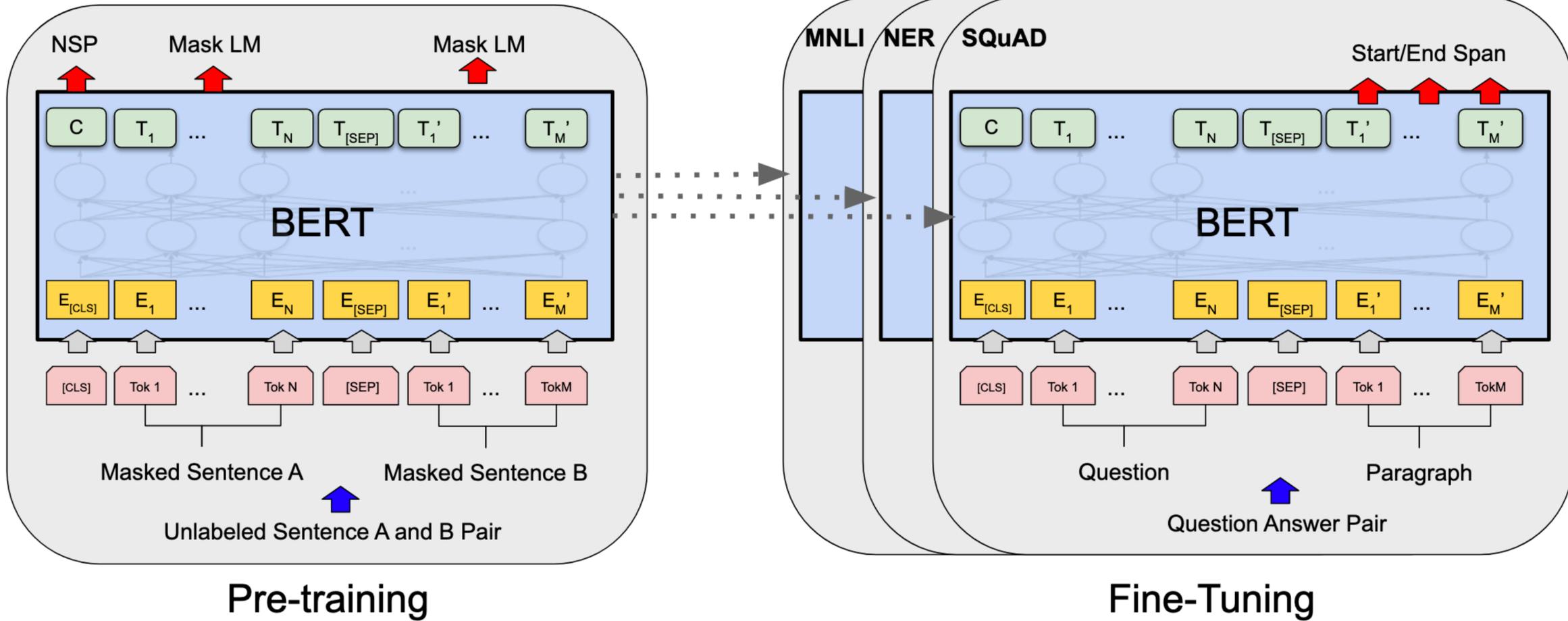
Bidirectional: Masked Language Modeling

- Main training task: *masked language modeling* (aka cloze task)
 - Raw text: “Seattle is the capital of Washington and is the home of UW.”
 - 15% of tokens are masked* (*some subtleties), e.g.:
 - Model input:
 - “Seattle is the [MASK] of Washington and [MASK] the home of UW.”
 - Task: predict the tokens in the [MASK] positions.
- [Also trained with Next Sentence Prediction: given two sentences, did the second follow the first in the text?]

Bidirectional



Fine Tuning



Initial Results

| System | MNLI-(m/mm) 392k | QQP 363k | QNLI 108k | SST-2 67k | CoLA 8.5k | STS-B 5.7k | MRPC 3.5k | RTE 2.5k | Average |
|-----------------------|---------------------|-------------|--------------|--------------|--------------|---------------|--------------|-------------|-------------|
| Pre-OpenAI SOTA | 80.6/80.1 | 66.1 | 82.3 | 93.2 | 35.0 | 81.0 | 86.0 | 61.7 | 74.0 |
| BiLSTM+ELMo+Attn | 76.4/76.1 | 64.8 | 79.8 | 90.4 | 36.0 | 73.3 | 84.9 | 56.8 | 71.0 |
| OpenAI GPT | 82.1/81.4 | 70.3 | 87.4 | 91.3 | 45.4 | 80.0 | 82.3 | 56.0 | 75.1 |
| BERT _{BASE} | 84.6/83.4 | 71.2 | 90.5 | 93.5 | 52.1 | 85.8 | 88.9 | 66.4 | 79.6 |
| BERT _{LARGE} | 86.7/85.9 | 72.1 | 92.7 | 94.9 | 60.5 | 86.5 | 89.3 | 70.1 | 82.1 |

Major Application



The Keyword

Latest Stories

Product Updates

Company News

SEARCH

Understanding searches better than ever before

Pandu Nayak

Google Fellow and Vice
President, Search

Published Oct 25, 2019

If there's one thing I've learned over the 15 years working on Google Search, it's that people's curiosity is endless. We see billions of searches every day, and 15 percent of those queries are ones we haven't seen before--so we've built ways to return results for queries we can't anticipate.

<https://www.blog.google/products/search/search-language-understanding-bert/>

Major Application

🔍 parking on a hill with no curb

BEFORE

9:00 google.com

UP HILL

Parking on a Hill. Uphill: When headed uphill at a **curb**, turn the front wheels away from the **curb** and let your vehicle roll backwards slowly until the rear part of the front wheel rests against the **curb** using it as a block. Downhill: When you stop your car headed downhill, turn your front wheels

AFTER

9:00 google.com

uphill with curb turn wheels left
uphill no curb turn wheels right
downhill turn wheels right

drivinginstructorblog.com

For either uphill or downhill **parking**, if there is no **curb**, turn the wheels toward the side of the road so the car will roll away from the center of the road if the brakes fail. When you park on a sloping driveway, turn the wheels so that the car will not roll into the street if the brakes fail

Does BERT implicitly perform deep processing?

WHAT DO YOU LEARN FROM CONTEXT? PROBING FOR SENTENCE STRUCTURE IN CONTEXTUALIZED WORD REPRESENTATIONS

**Ian Tenney,^{*1} Patrick Xia,² Berlin Chen,³ Alex Wang,⁴ Adam Poliak,²
R. Thomas McCoy,² Najoung Kim,² Benjamin Van Durme,² Samuel R. Bowman,⁴
Dipanjan Das,¹ and Ellie Pavlick^{1,5}**

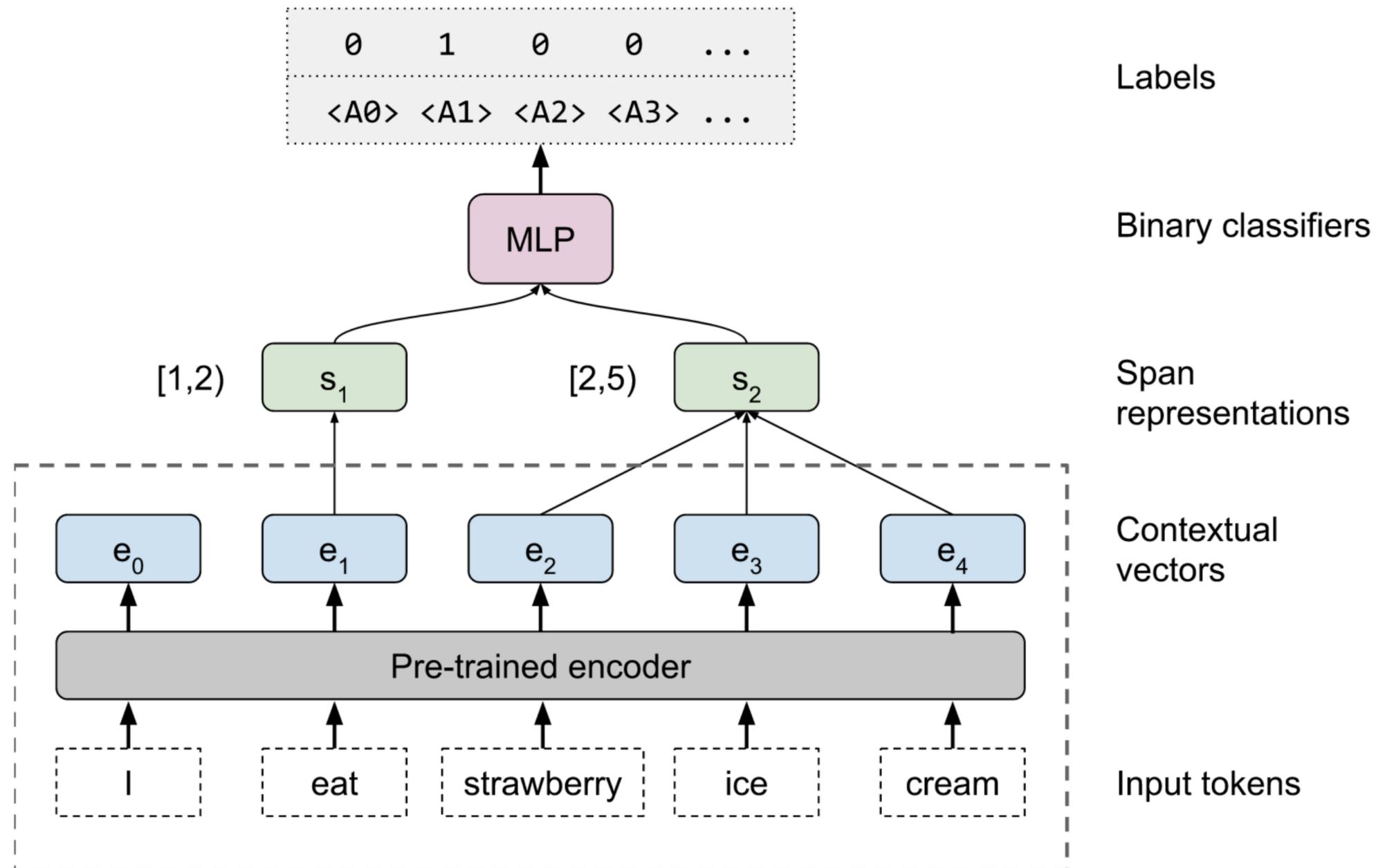
¹Google AI Language, ²Johns Hopkins University, ³Swarthmore College,
⁴New York University, ⁵Brown University

[Tenney et al 2019](#)

ABSTRACT

Contextualized representation models such as ELMo (Peters et al. 2018a) and BERT (Devlin et al. 2018) have recently achieved state-of-the-art results on a diverse array of downstream NLP tasks. Building on recent token-level probing work, we introduce a novel *edge probing* task design and construct a broad suite of sub-sentence tasks derived from the traditional structured NLP pipeline. We probe word-level contextual representations from four recent models and investigate how they encode sentence structure across a range of syntactic, semantic, local, and long-range phenomena. We find that existing models trained on language modeling and translation produce strong representations for syntactic phenomena, but only offer comparably small improvements on semantic tasks over a non-contextual baseline.

Edge Probing Set-up



Results

| | CoVe | | | ELMo | | | GPT | | |
|------------------|------|-------------|---------------|-------------|-------------|---------------|------|-------------|-------------|
| | Lex. | Full | Abs. Δ | Lex. | Full | Abs. Δ | Lex. | cat | mix |
| Part-of-Speech | 85.7 | 94.0 | 8.4 | 90.4 | 96.7 | 6.3 | 88.2 | 94.9 | 95.0 |
| Constituents | 56.1 | 81.6 | 25.4 | 69.1 | 84.6 | 15.4 | 65.1 | 81.3 | 84.6 |
| Dependencies | 75.0 | 83.6 | 8.6 | 80.4 | 93.9 | 13.6 | 77.7 | 92.1 | 94.1 |
| Entities | 88.4 | 90.3 | 1.9 | 92.0 | 95.6 | 3.5 | 88.6 | 92.9 | 92.5 |
| SRL (all) | 59.7 | 80.4 | 20.7 | 74.1 | 90.1 | 16.0 | 67.7 | 86.0 | 89.7 |
| Core roles | 56.2 | 81.0 | 24.7 | 73.6 | 92.6 | 19.0 | 65.1 | 88.0 | 92.0 |
| Non-core roles | 67.7 | 78.8 | 11.1 | 75.4 | 84.1 | 8.8 | 73.9 | 81.3 | 84.1 |
| OntoNotes coref. | 72.9 | 79.2 | 6.3 | 75.3 | 84.0 | 8.7 | 71.8 | 83.6 | 86.3 |
| SPR1 | 73.7 | 77.1 | 3.4 | 80.1 | 84.8 | 4.7 | 79.2 | 83.5 | 83.1 |
| SPR2 | 76.6 | 80.2 | 3.6 | 82.1 | 83.1 | 1.0 | 82.2 | 83.8 | 83.5 |
| Winograd coref. | 52.1 | 54.3 | 2.2 | 54.3 | 53.5 | -0.8 | 51.7 | 52.6 | 53.8 |
| Rel. (SemEval) | 51.0 | 60.6 | 9.6 | 55.7 | 77.8 | 22.1 | 58.2 | 81.3 | 81.0 |
| Macro Average | 69.1 | 78.1 | 9.0 | 75.4 | 84.4 | 9.1 | 73.0 | 83.2 | 84.4 |

| | BERT-base | | | | BERT-large | | | | |
|------------------|-----------|-------------|-------------|---------------|------------|------|-------------|---------------|------|
| | F1 Score | | | Abs. Δ | F1 Score | | | Abs. Δ | |
| | Lex. | cat | mix | ELMo | Lex. | cat | mix | (base) | ELMo |
| Part-of-Speech | 88.4 | 97.0 | 96.7 | 0.0 | 88.1 | 96.5 | 96.9 | 0.2 | 0.2 |
| Constituents | 68.4 | 83.7 | 86.7 | 2.1 | 69.0 | 80.1 | 87.0 | 0.4 | 2.5 |
| Dependencies | 80.1 | 93.0 | 95.1 | 1.1 | 80.2 | 91.5 | 95.4 | 0.3 | 1.4 |
| Entities | 90.9 | 96.1 | 96.2 | 0.6 | 91.8 | 96.2 | 96.5 | 0.3 | 0.9 |
| SRL (all) | 75.4 | 89.4 | 91.3 | 1.2 | 76.5 | 88.2 | 92.3 | 1.0 | 2.2 |
| Core roles | 74.9 | 91.4 | 93.6 | 1.0 | 76.3 | 89.9 | 94.6 | 1.0 | 2.0 |
| Non-core roles | 76.4 | 84.7 | 85.9 | 1.8 | 76.9 | 84.1 | 86.9 | 1.0 | 2.8 |
| OntoNotes coref. | 74.9 | 88.7 | 90.2 | 6.3 | 75.7 | 89.6 | 91.4 | 1.2 | 7.4 |
| SPR1 | 79.2 | 84.7 | 86.1 | 1.3 | 79.6 | 85.1 | 85.8 | -0.3 | 1.0 |
| SPR2 | 81.7 | 83.0 | 83.8 | 0.7 | 81.6 | 83.2 | 84.1 | 0.3 | 1.0 |
| Winograd coref. | 54.3 | 53.6 | 54.9 | 1.4 | 53.0 | 53.8 | 61.4 | 6.5 | 7.8 |
| Rel. (SemEval) | 57.4 | 78.3 | 82.0 | 4.2 | 56.2 | 77.6 | 82.4 | 0.5 | 4.6 |
| Macro Average | 75.1 | 84.8 | 86.3 | 1.9 | 75.2 | 84.2 | 87.3 | 1.0 | 2.9 |

Conclusion

- “in general, contextualized embeddings improve over their non-contextualized counterparts largely on syntactic tasks (e.g. constituent labeling) in comparison to semantic tasks (e.g. coreference), suggesting that these embeddings encode syntax more so than higher-level semantics”

BERT Rediscovered the Classical NLP Pipeline

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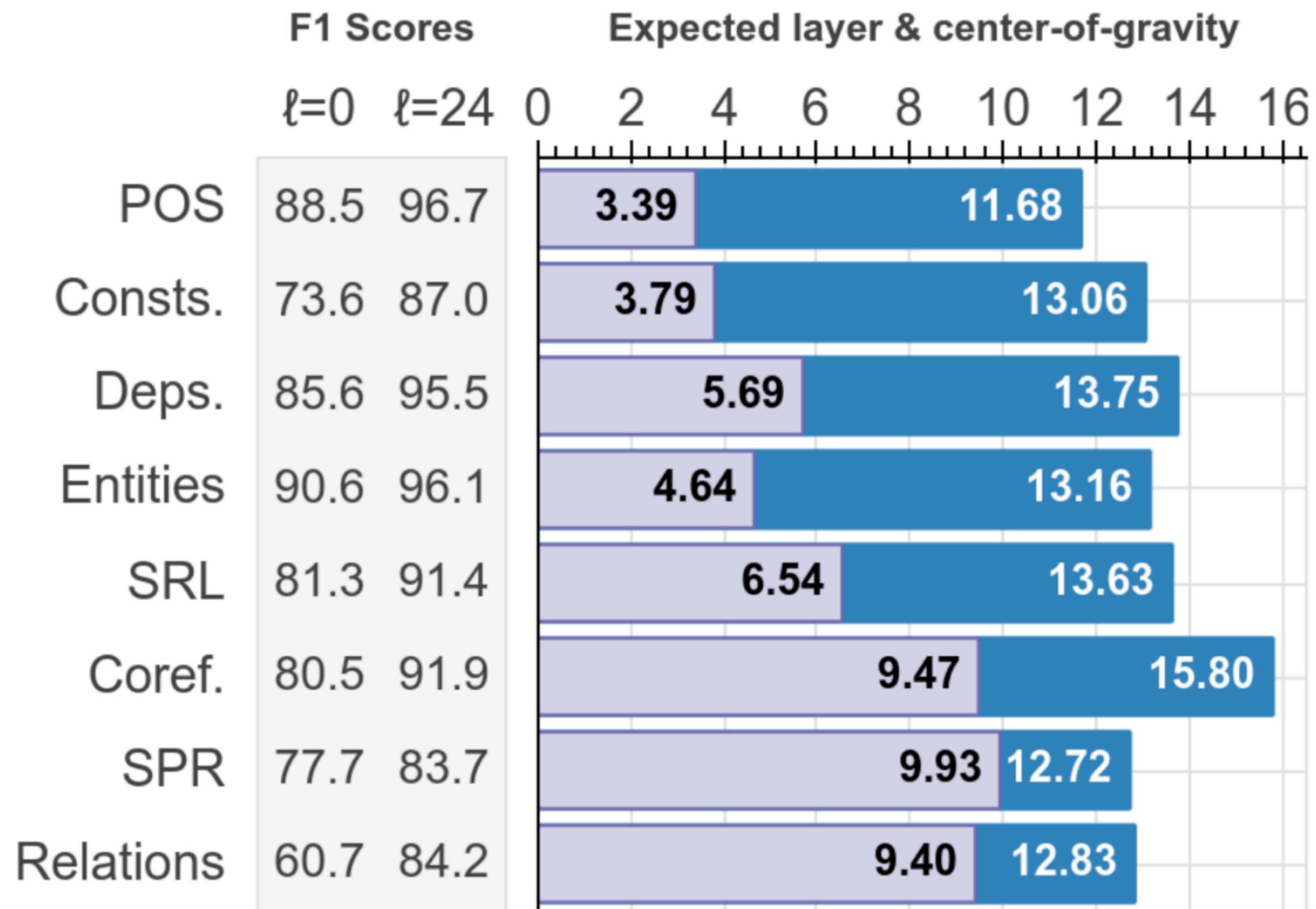
Abstract

Pre-trained text encoders have rapidly advanced the state of the art on many NLP tasks. We focus on one such model, BERT, and aim to quantify where linguistic information is captured within the network. We find that the model represents the steps of the traditional NLP pipeline in an interpretable and localizable way, and that the regions responsible for each step appear in the expected sequence: POS tagging, parsing, NER, semantic roles, then coreference. Qualitative analysis reveals that the model can and often does adjust this pipeline dynamically, revising lower-level decisions on the basis of disambiguating information from higher-level representations.

of the network directly, to assess whether there exist localizable regions associated with distinct types of linguistic decisions. Such work has produced evidence that deep language models can encode a range of syntactic and semantic information (e.g. Shi et al., 2016; Belinkov, 2018; Tenney et al., 2019), and that more complex structures are represented hierarchically in the higher layers of the model (Peters et al., 2018b; Blevins et al., 2018).

We build on this latter line of work, focusing on the BERT model (Devlin et al., 2019), and use a suite of probing tasks (Tenney et al., 2019) derived from the traditional NLP pipeline to quantify where specific types of linguistic information are

[Tenney et al 2019](#)



A Structural Probe for Finding Syntax in Word Representations

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Abstract

Recent work has improved our ability to detect linguistic knowledge in word representations. However, current methods for detecting syntactic knowledge do not test whether syntax trees are represented in their entirety. In this work, we propose a *structural probe*, which evaluates whether syntax trees are embedded in a linear transformation of a neural network's word representation space. The probe identifies a linear transformation under which squared L2 distance encodes the distance between words in the parse tree, and one in which squared L2 norm encodes depth in the parse tree. Using our probe, we show

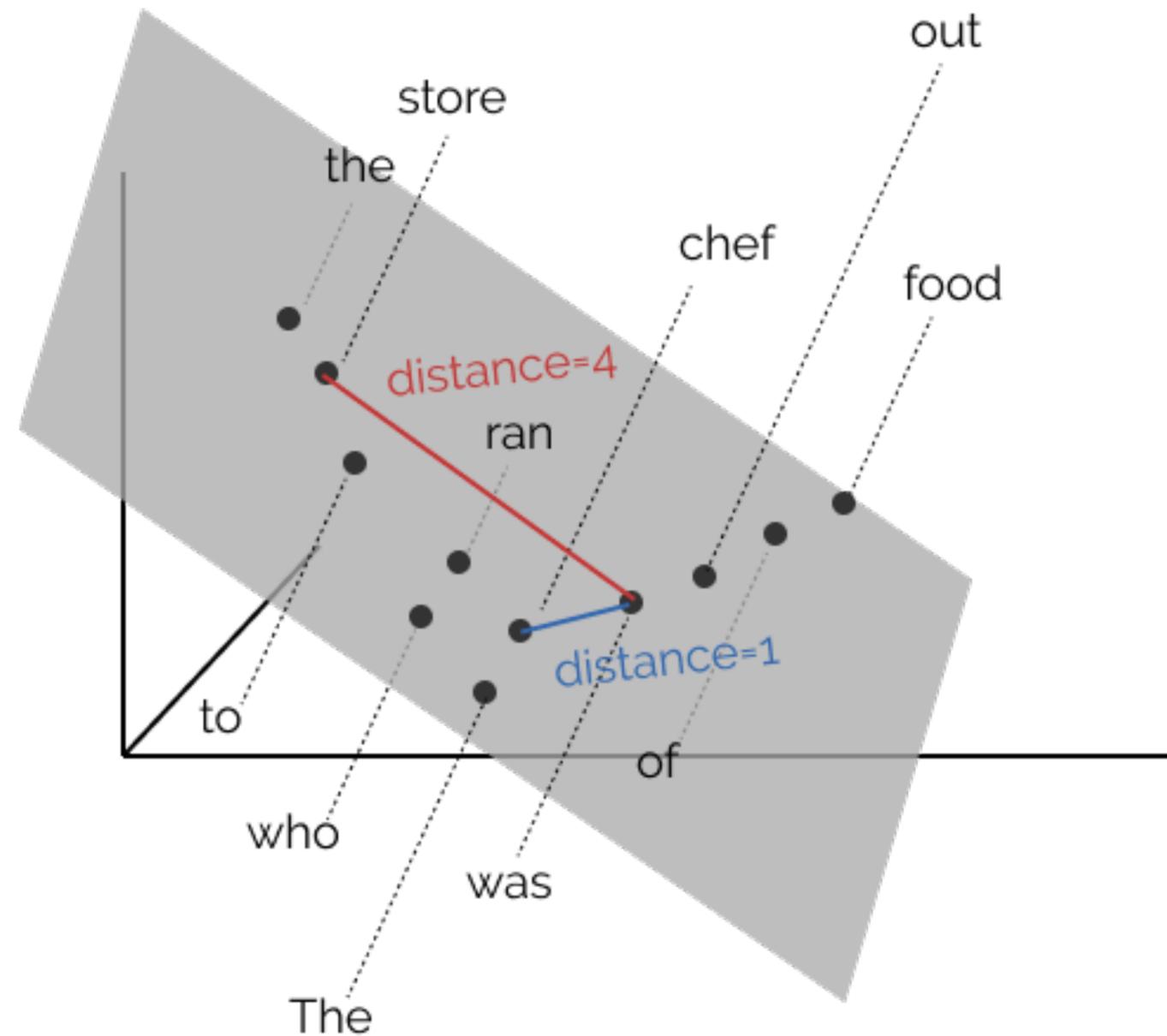
In this work, we propose a *structural probe*, a simple model which tests whether syntax trees are consistently embedded in a linear transformation of a neural network's word representation space. Tree structure is embedded if the transformed space has the property that squared L2 distance between two words' vectors corresponds to the number of edges between the words in the parse tree. To reconstruct edge directions, we hypothesize a linear transformation under which the squared L2 norm corresponds to the depth of the word in the parse tree. Our probe uses supervision to find the transformations under which these properties are best approximated for each model. If such transfor-

[Hewitt and Manning 2019](#)
[blog post](#)

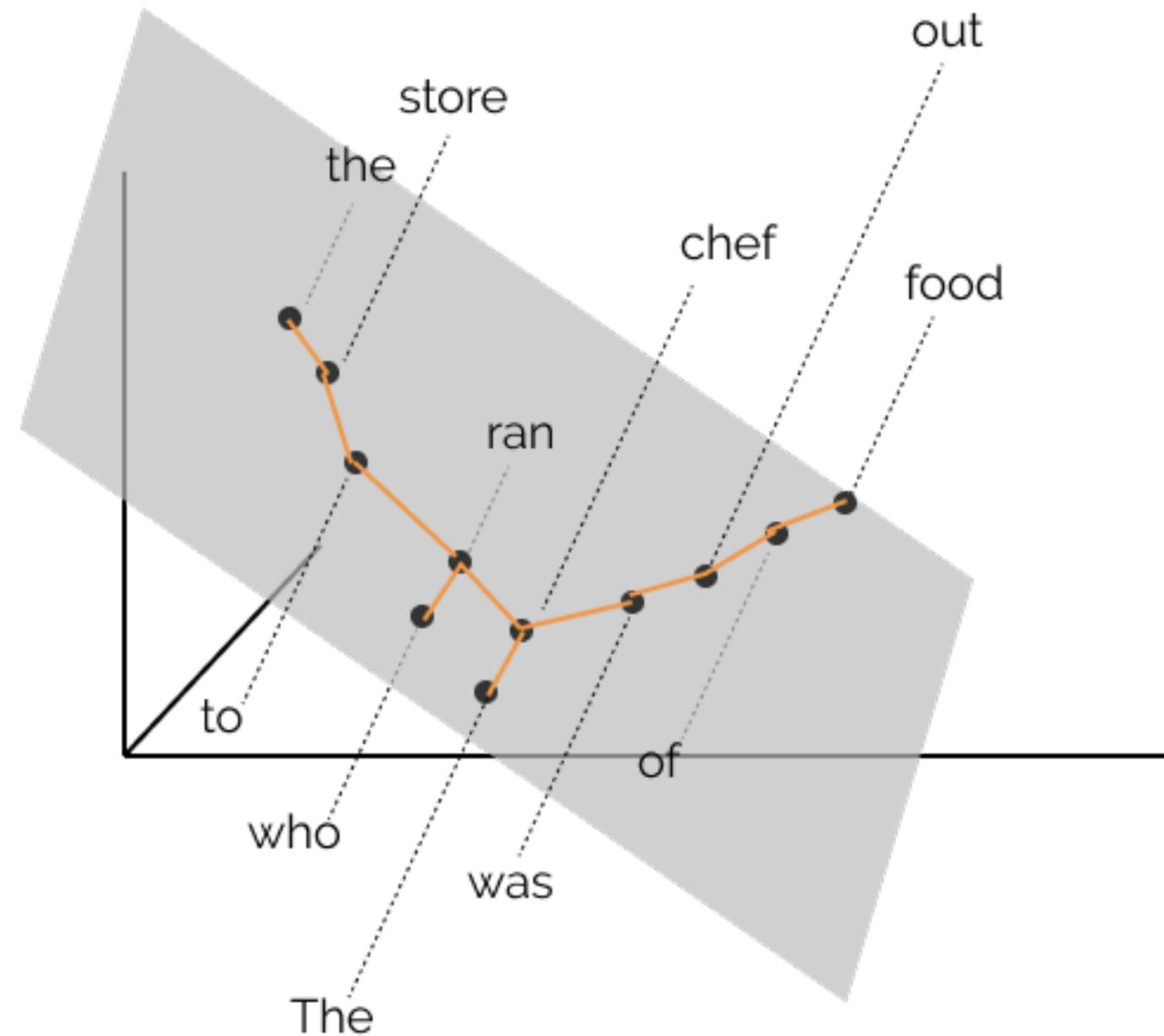
“The chef who ran to the store was out of food.”



“The chef who ran to the store was out of food.”



“The chef who ran to the store was out of food.”



Results

| Method | Distance | | Depth | |
|-------------|-------------|-------------|-------------|-------------|
| | UUAS | DSpr. | Root% | NSpr. |
| LINEAR | 48.9 | 0.58 | 2.9 | 0.27 |
| ELMo0 | 26.8 | 0.44 | 54.3 | 0.56 |
| DECAY0 | 51.7 | 0.61 | 54.3 | 0.56 |
| PROJ0 | 59.8 | 0.73 | 64.4 | 0.75 |
| ELMo1 | 77.0 | 0.83 | 86.5 | 0.87 |
| BERTBASE7 | 79.8 | 0.85 | 88.0 | 0.87 |
| BERTLARGE15 | 82.5 | 0.86 | 89.4 | 0.88 |
| BERTLARGE16 | 81.7 | 0.87 | 90.1 | 0.89 |

[SOTA: directed UAS >97%]

Examples

BERTlarge16

The complex financing plan in the S+L bailout law includes raising \$ 30 billion from debt issued by the newly created RTC .

ELMo1

The complex financing plan in the S+L bailout law includes raising \$ 30 billion from debt issued by the newly created RTC .

Proj0

The complex financing plan in the S+L bailout law includes raising \$ 30 billion from debt issued by the newly created RTC .

Black = gold parse.

Model parses: Maximum Spanning Tree from distances in transformed space.

Limitations of Large LMs

Right for the Wrong Reasons: Diagnosing Syntactic Heuristics in Natural Language Inference

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²Department of Computer Science, Brown University

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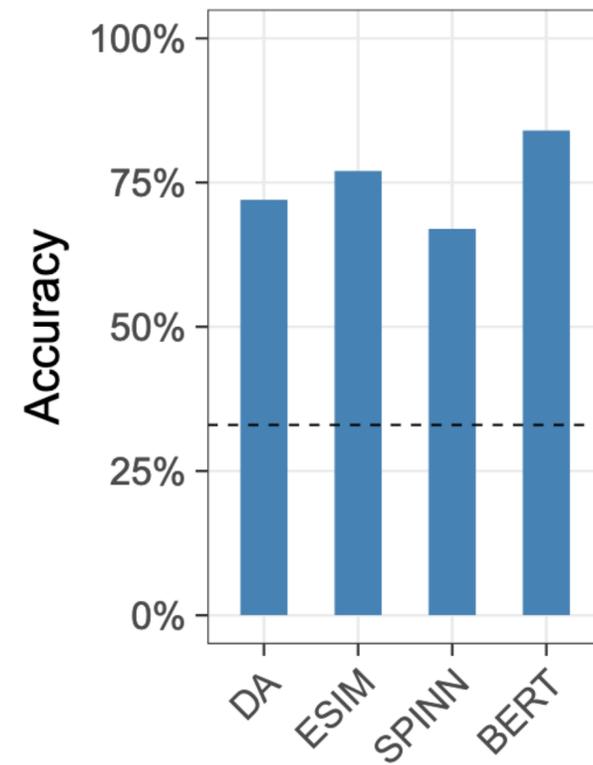
[McCoy et al 2019](#)

Main Idea

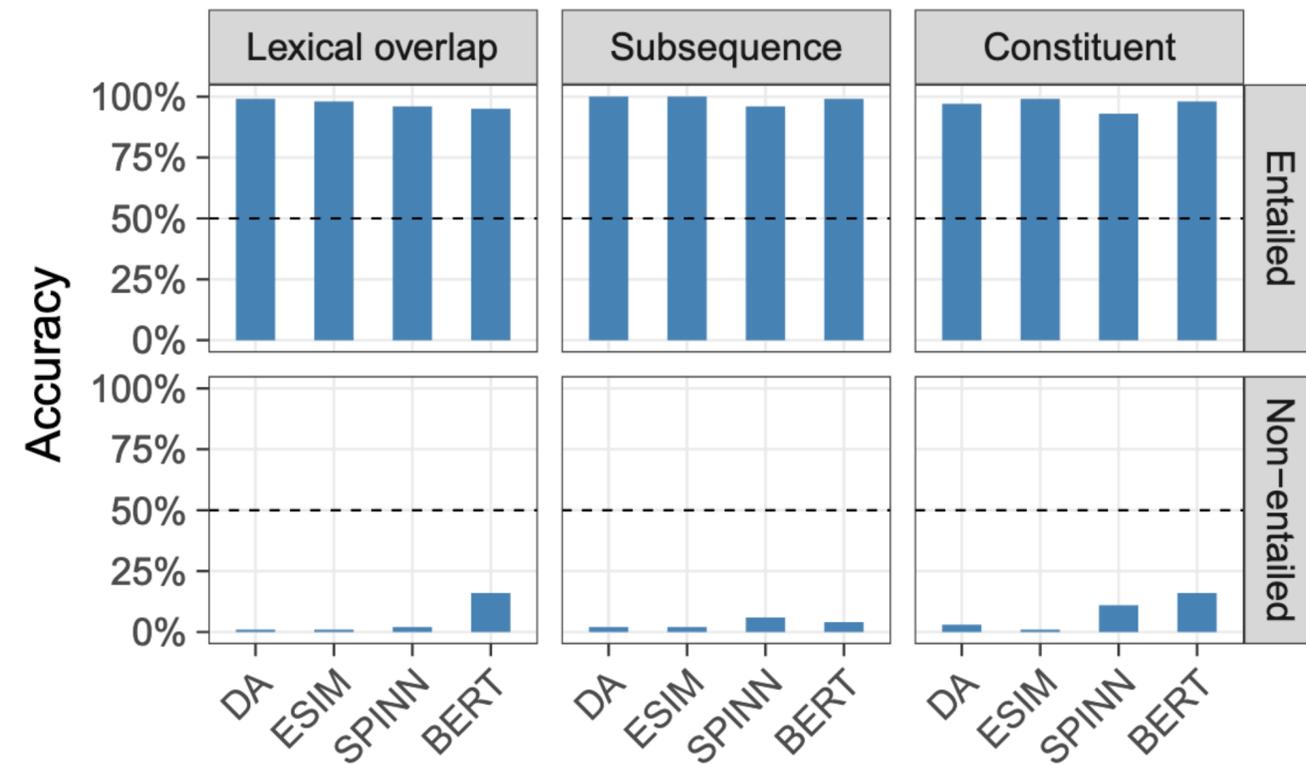
- BERT et al do really well on natural language understanding tasks like NLI (natural language inference)
- Do they do so “for the right reasons”?
- In other words:
 - Or does solving the existing datasets mean they’ve solved the task?
 - Or can success reflect other features than deep language understanding?

| Heuristic | Premise | Hypothesis | Label |
|---------------------------|---|---------------------------------|-------|
| Lexical overlap heuristic | The banker near the judge saw the actor. | The banker saw the actor. | E |
| | The lawyer was advised by the actor. | The actor advised the lawyer. | E |
| | The doctors visited the lawyer. | The lawyer visited the doctors. | N |
| | The judge by the actor stopped the banker. | The banker stopped the actor. | N |
| Subsequence heuristic | The artist and the student called the judge. | The student called the judge. | E |
| | Angry tourists helped the lawyer. | Tourists helped the lawyer. | E |
| | The judges heard the actors resigned. | The judges heard the actors. | N |
| | The senator near the lawyer danced. | The lawyer danced. | N |
| Constituent heuristic | Before the actor slept, the senator ran. | The actor slept. | E |
| | The lawyer knew that the judges shouted. | The judges shouted. | E |
| | If the actor slept, the judge saw the artist. | The actor slept. | N |
| | The lawyers resigned, or the artist slept. | The artist slept. | N |

Results



(a)



(b)

(performance improves if fine-tuned on this challenge set)

Costs of LMs

Costs of LMs

- Currently something of an ‘arms race’ between e.g. Google, Facebook, OpenAI, MS, Baidu

Costs of LMs

- Currently something of an ‘arms race’ between e.g. Google, Facebook, OpenAI, MS, Baidu
- Hugely expensive
 - Carbon emissions
 - Monetarily
 - Inequitable access

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- Hugely expensive
 - Carbon emissions
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 - Inequitable access

Energy and Policy Considerations for Deep Learning in NLP

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Abstract

Recent progress in hardware and methodology for training neural networks has ushered in a new generation of large networks trained on abundant data. These models have obtained notable gains in accuracy across many NLP tasks. However, these accuracy improvements depend on the availability of exceptionally large computational resources that necessitate similarly substantial energy consumption. As a result these models are costly to train and develop, both financially, due to the cost of hardware and electricity or cloud compute time, and environmentally, due to the carbon footprint required to fuel modern tensor

| Consumption | CO ₂ e (lbs) |
|---------------------------------|-------------------------|
| Air travel, 1 person, NY↔SF | 1984 |
| Human life, avg, 1 year | 11,023 |
| American life, avg, 1 year | 36,156 |
| Car, avg incl. fuel, 1 lifetime | 126,000 |
| Training one model (GPU) | |
| NLP pipeline (parsing, SRL) | 39 |
| w/ tuning & experiments | 78,468 |
| Transformer (big) | 192 |
| w/ neural arch. search | 626,155 |

Table 1: Estimated CO₂ emissions from training common NLP models, compared to familiar consumption.¹

Costs of LMs

- Currently something of an ‘arms race’ between e.g. Google, Facebook, OpenAI, MS, Baidu
- Hugely expensive
 - Carbon emissions
 - Monetarily
 - Inequitable access

Costs of LMs

- Currently something of an ‘arms race’ between e.g. Google, Facebook, OpenAI, MS, Baidu

Green AI

- Hugely expensive
 - Carbon emissions
 - Monetarily
 - Inequitable access

Roy Schwartz*[◇] Jesse Dodge*^{◇♣} Noah A. Smith^{◇♡} Oren Etzioni[◇]

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July 2019

Abstract

The computations required for deep learning research have been doubling every few months, resulting in an estimated 300,000x increase from 2012 to 2018 [2]. These computations have a surprisingly large carbon footprint [40]. Ironically, deep learning was inspired by the human brain, which is remarkably energy efficient. Moreover, the financial cost of the computations can make it difficult for academics, students, and researchers, in particular those from emerging economies, to engage in deep learning research.

This position paper advocates a practical solution by making **efficiency** an evaluation criterion for research alongside accuracy and related measures. In addition, we propose reporting the financial cost or “price tag” of developing, training, and running models to provide baselines for the investigation of increasingly efficient methods. Our goal is to make AI both greener and more inclusive—enabling any inspired undergraduate with a laptop to write high-quality research papers. **Green AI** is an emerging focus at the Allen Institute for AI.

“Deep” Understanding?

Climbing towards NLU: On Meaning, Form, and Understanding in the Age of Data

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Abstract

The success of the large neural language models on many NLP tasks is exciting. However, we find that these successes sometimes lead to hype in which these models are being described as “understanding” language or capturing “meaning”. In this position paper, we argue that a system trained only on form has *a priori* no way to learn meaning. In keeping with the ACL 2020 theme of “Taking Stock of Where We’ve Been and Where We’re Going”, we argue that a clear understanding of the dis-

the structure and use of language and the ability to ground it in the world. While large neural LMs may well end up being important components of an eventual full-scale solution to human-analogous NLU, they are not nearly-there solutions to this grand challenge. We argue in this paper that genuine progress in our field — climbing the right hill, not just the hill on whose slope we currently sit — depends on maintaining clarity around big picture notions such as *meaning* and *understanding* in task design and reporting of experimental results.

<https://www.aclweb.org/anthology/2020.acl-main.463/>

L’Affaire Gebru

- Gebru, Bender, and others’ “On the Dangers of Stochastic Parrots: Can Language Models Be Too Big?”
 - Environmental + financial costs
 - Research opportunity costs
 - Datasets so large they are impossible to audit
- Recent stories (among many others):
 - <https://www.nytimes.com/2020/12/03/technology/google-researcher-timnit-gebru.html>
 - <https://www.technologyreview.com/2020/12/04/1013294/google-ai-ethics-research-paper-forced-out-timnit-gebru/>

Google Researcher Says She Was Fired Over Paper Highlighting Bias in A.I.

Timnit Gebru, one of the few Black women in her field, had voiced exasperation over the company’s response to efforts to increase minority hiring.

MIT
Technology
Review

Artificial intelligence / Machine learning

We read the paper that forced Timnit Gebru out of Google. Here’s what it says.

Summary

- Pre-trained encoders are very powerful
- Transfer learning from them often leads to very strong performance on NLP tasks
- Why?
 - Some evidence of *some* internal deep processing (esp. syntax)
 - Very clever exploitation of spurious correlations in the data
- Drawbacks:
 - Costs
 - Limited understanding
 - Inscrutability

Course Recap / Highlights

Wrapping Up

Deep Processing

- Building of deep linguistic structures for NLP
 - Syntax
 - Semantics
 - Pragmatics
- Used and useful in many applications, e.g.
 - IR/QA/search
 - Conversational AI

Syntax

- Constituency Parsing
 - (P)CFGs
 - Grammar induction
- Dependency Parsing
 - Transition vs. MST based parsers

CKY Parsing Example

\mathcal{L}_1 Grammar

- $S \rightarrow NP VP$
- $S \rightarrow X1 VP$
- $X1 \rightarrow Aux NP$
- $S \rightarrow book \mid include \mid prefer$
- $S \rightarrow Verb NP$
- $S \rightarrow X2 PP$
- $S \rightarrow Verb PP$
- $S \rightarrow VP PP$

- $NP \rightarrow I \mid she \mid me$
- $NP \rightarrow TWA \mid Houston$
- $NP \rightarrow Det Nominal$

- $Nominal \rightarrow book \mid flight \mid meal \mid money$
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| | | | | | |
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| | | | | Prep [4,5] | |

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- $Aux \rightarrow does$
- Preposition** $\rightarrow from \mid to \mid on \mid near \mid through$
- $Verb \rightarrow book \mid include \mid prefer$

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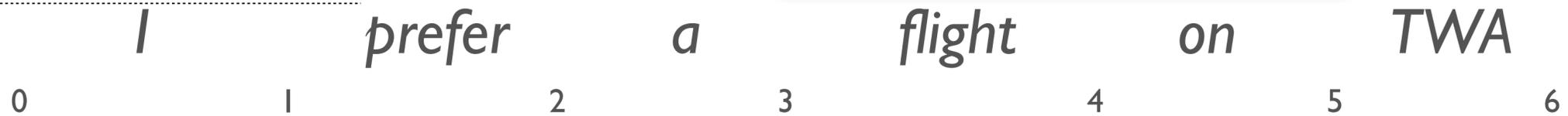
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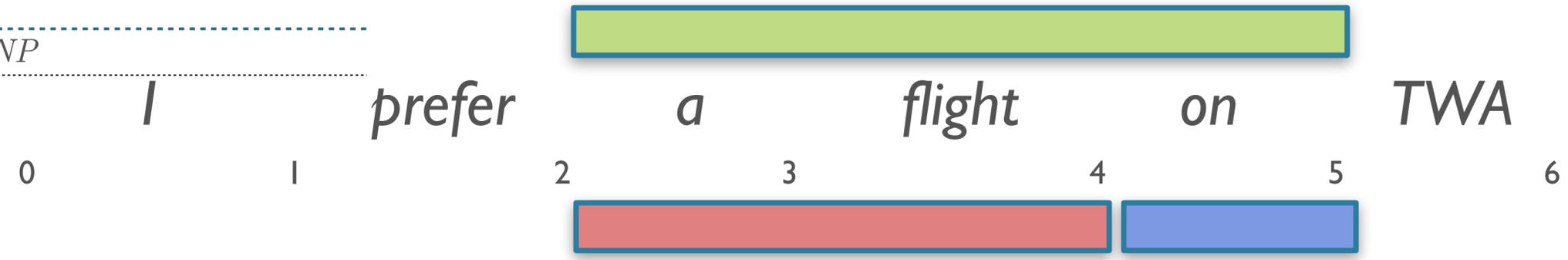
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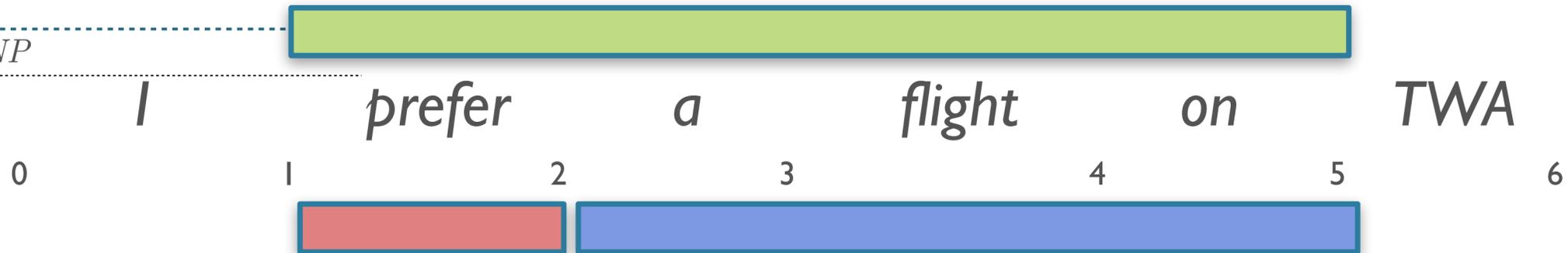
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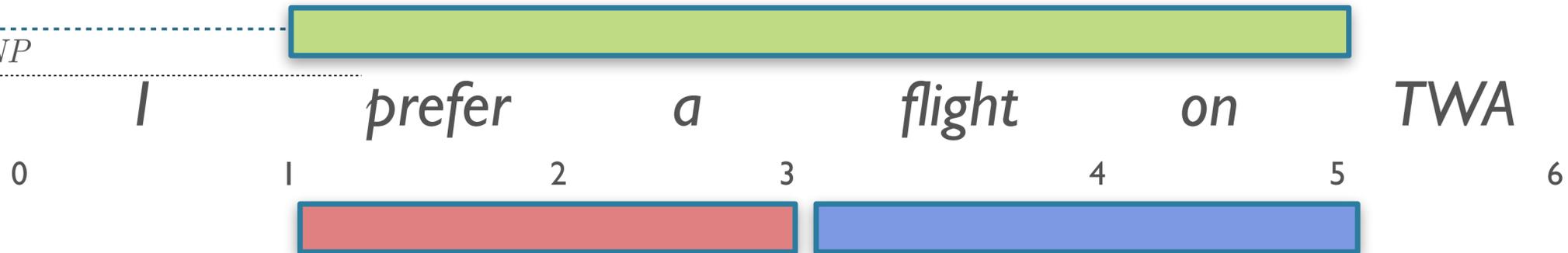
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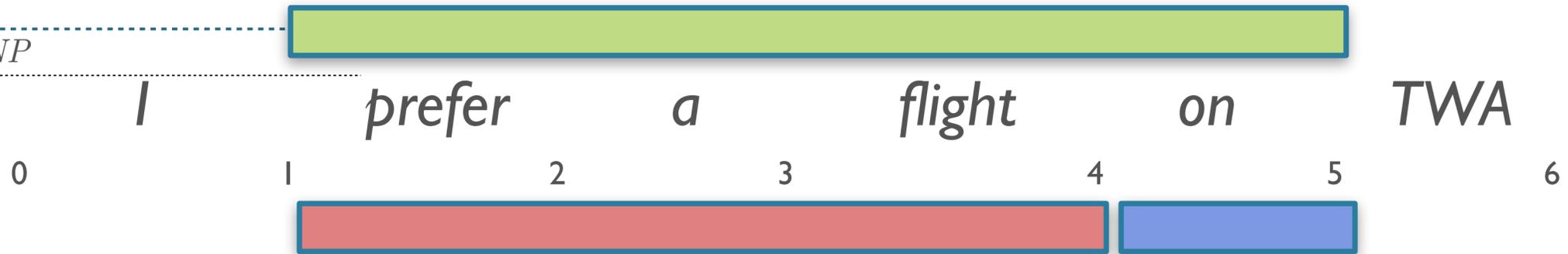
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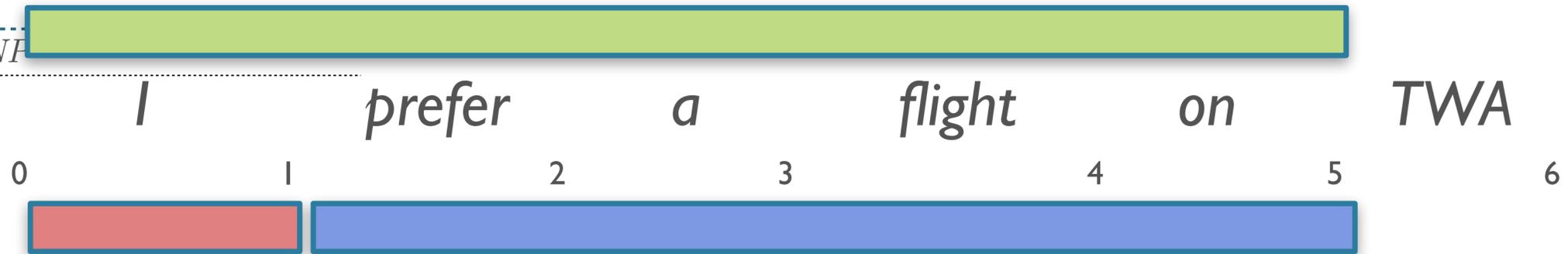
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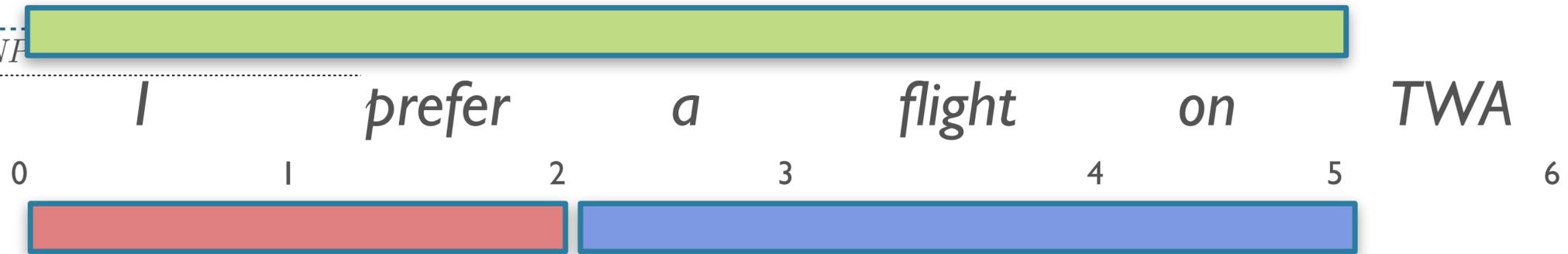
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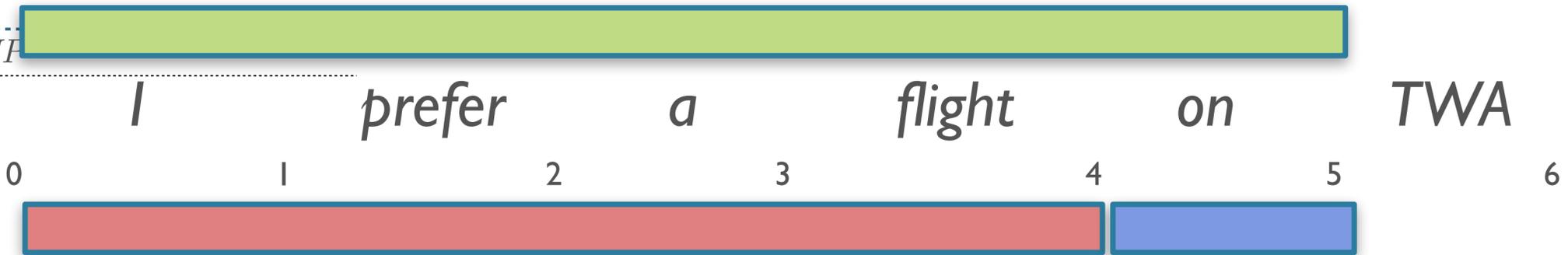
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 $Pronoun \rightarrow I \mid she \mid me$
 $Proper-Noun \rightarrow Houston \mid TWA$
 $Aux \rightarrow does$
 $Preposition \rightarrow from \mid to \mid on \mid near \mid through$
 $Verb \rightarrow book \mid include \mid prefer$

\mathcal{L}_1 Grammar

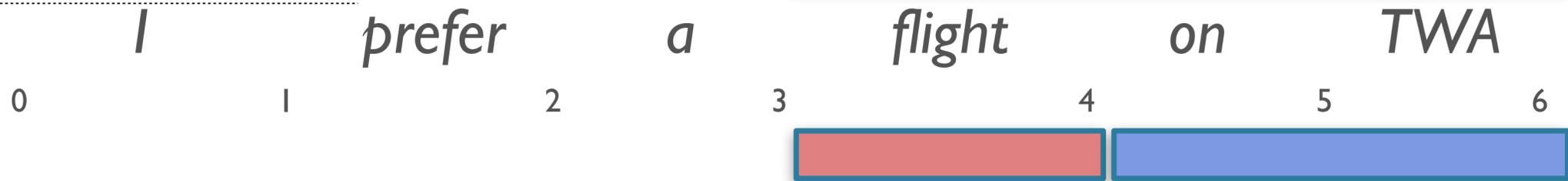
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- $X1 \rightarrow Aux NP$
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- $S \rightarrow X2 PP$
- $S \rightarrow Verb PP$
- $S \rightarrow VP PP$

- $NP \rightarrow I \mid she \mid me$
- $NP \rightarrow TWA \mid Houston$
- $NP \rightarrow Det Nominal$

- $Nominal \rightarrow book \mid flight \mid meal \mid money$
- $Nominal \rightarrow Nominal Noun$
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- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
- $VP \rightarrow X2 PP$
- $X2 \rightarrow Verb NP$
- $VP \rightarrow Verb PP$
- $VP \rightarrow VP PP$

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| | | | | | |
|-----------------------------|-----------------------------|---------------------|---------------------------|----------------------|-------------------------|
| NP, Pronoun [0,1] | S [0,2] | [0,3] | S [0,4] | [0,5] | |
| | Verb, VP, S [1,2] | [1,3] | VP, X2, S [1,4] | [1,5] | |
| | | Det [2,3] | NP [2,4] | [2,5] | |
| | | | Noun, Nom [3,4] | [3,5] | Nom [3,6] |
| | | | | Prep [4,5] | PP [4,6] |
| | | | | | NNP, NP [5,6] |

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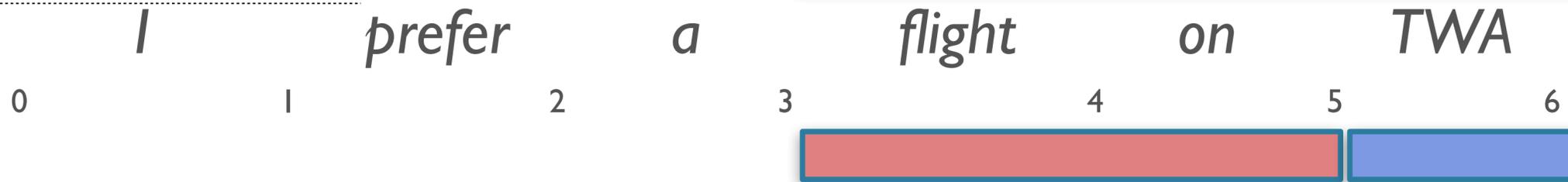
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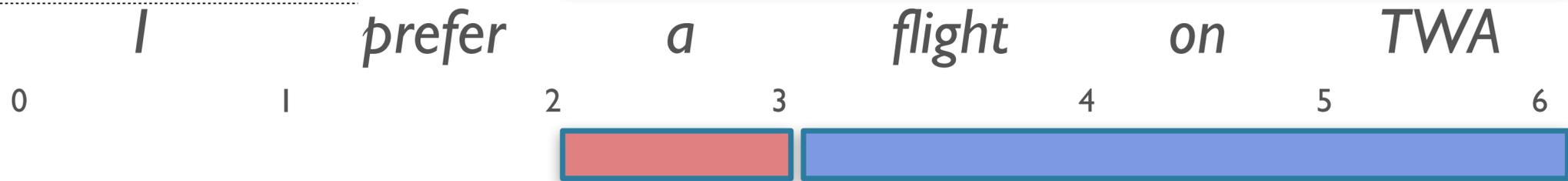
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| | | | | | |
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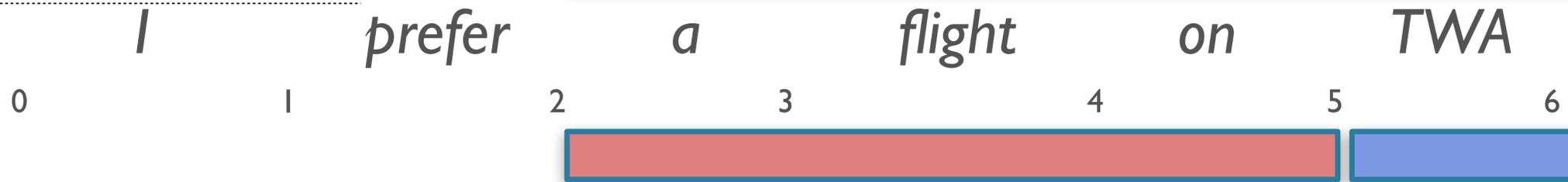
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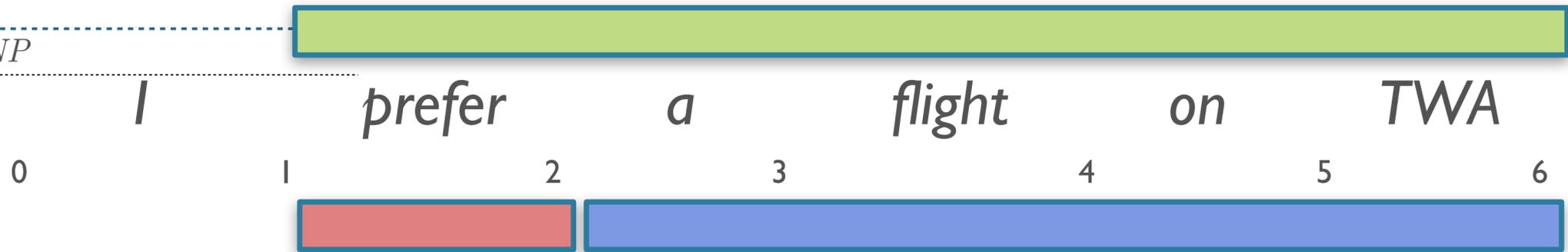
- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
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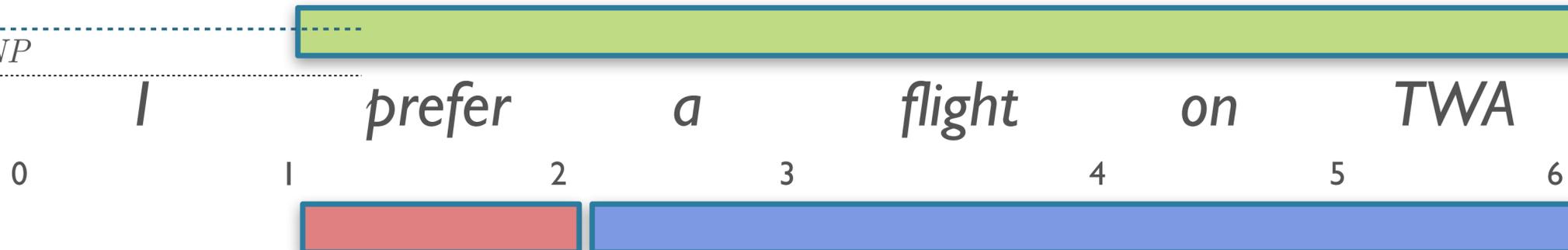
- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
- $VP \rightarrow X2 PP$
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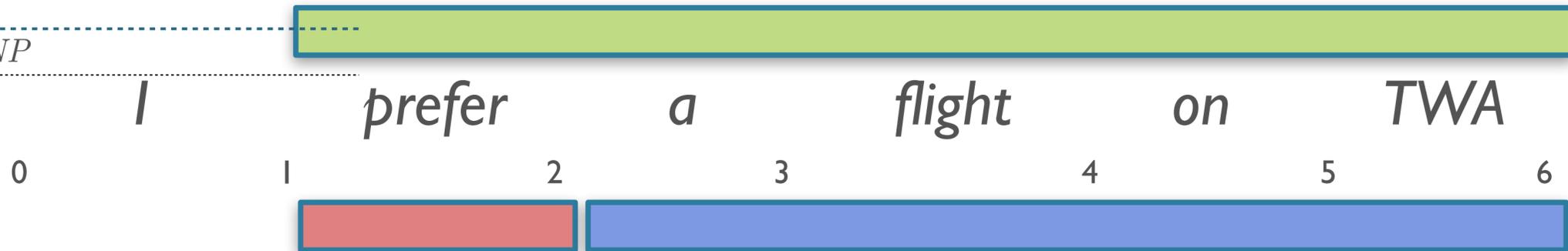
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- $VP \rightarrow Verb NP$
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| | | | | | |
|---------------------------------|-----------------------------|---------------------|---------------------------|----------------------|-------------------------|
| NP, Pronoun [0,1] | S [0,2] | [0,3] | S [0,4] | [0,5] | |
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| | | Det [2,3] | NP [2,4] | [2,5] | NP [2,6] |
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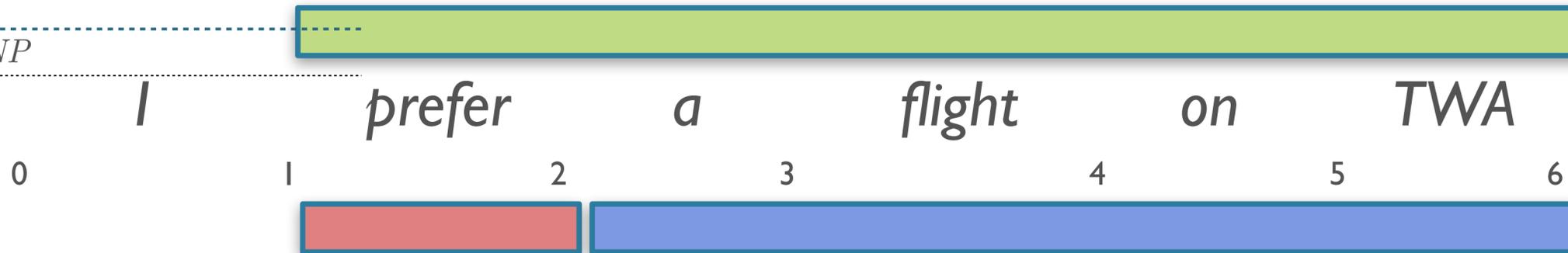
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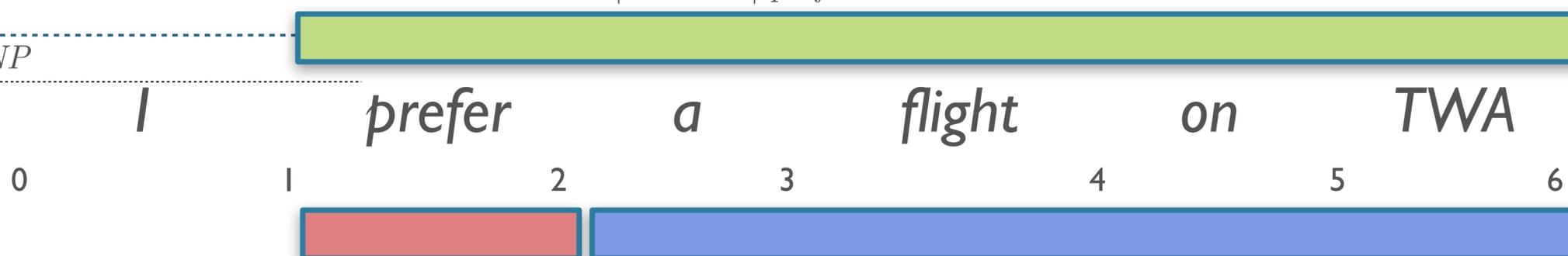
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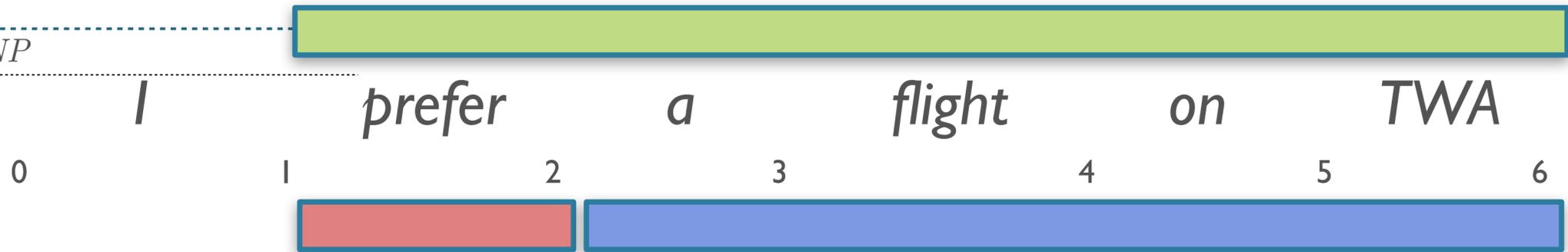
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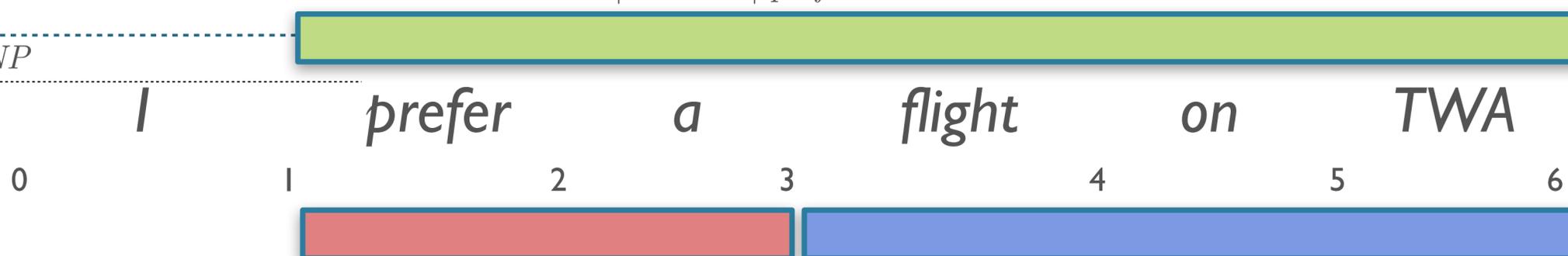
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- $S \rightarrow Verb PP$
- $S \rightarrow VP PP$

- $NP \rightarrow I \mid she \mid me$
- $NP \rightarrow TWA \mid Houston$
- $NP \rightarrow Det Nominal$

- $Nominal \rightarrow book \mid flight \mid meal \mid money$
- $Nominal \rightarrow Nominal Noun$
- $Nominal \rightarrow Nominal PP$

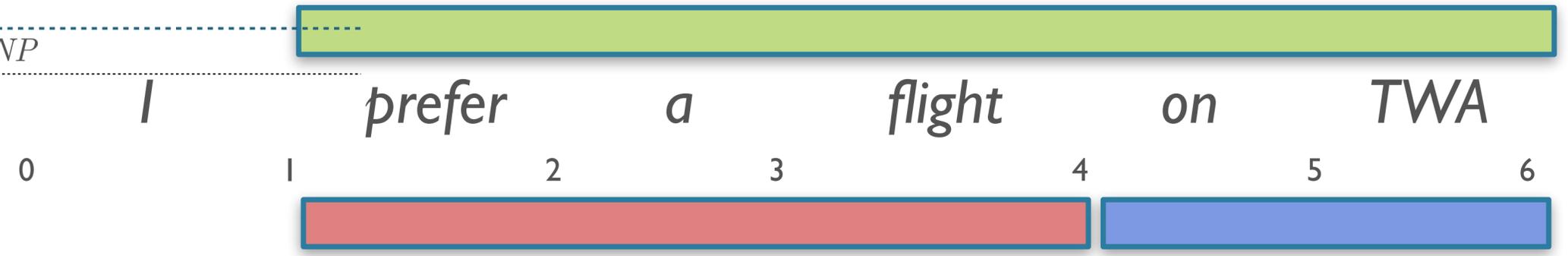
- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
- $VP \rightarrow X2 PP$
- $X2 \rightarrow Verb NP$
- $VP \rightarrow Verb PP$
- $VP \rightarrow VP PP$

- $PP \rightarrow Preposition NP$

| | | | | | |
|---------------------------------|-----------------------------|---------------------|---------------------------|----------------------|---------------------------|
| NP, Pronoun [0,1] | S [0,2] | [0,3] | S [0,4] | [0,5] | |
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| | | Det [2,3] | NP [2,4] | [2,5] | NP [2,6] |
| | | | Noun, Nom [3,4] | [3,5] | Nom [3,6] |
| | | | | Prep [4,5] | PP [4,6] |
| | | | | | NNP, NP [5,6] |

Lexicon

- $Det \rightarrow that \mid this \mid a$
- $Noun \rightarrow book \mid flight \mid meal \mid money$
- $Pronoun \rightarrow I \mid she \mid me$
- $Proper-Noun \rightarrow Houston \mid TWA$
- $Aux \rightarrow does$
- $Preposition \rightarrow from \mid to \mid on \mid near \mid through$
- $Verb \rightarrow book \mid include \mid prefer$



\mathcal{L}_1 Grammar

- $S \rightarrow NP VP$
- $S \rightarrow X1 VP$
- $X1 \rightarrow Aux NP$
- $S \rightarrow book \mid include \mid prefer$
- $S \rightarrow Verb NP$
- $S \rightarrow X2 PP$
- $S \rightarrow Verb PP$
- $S \rightarrow VP PP$**

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- $VP \rightarrow X2 PP$
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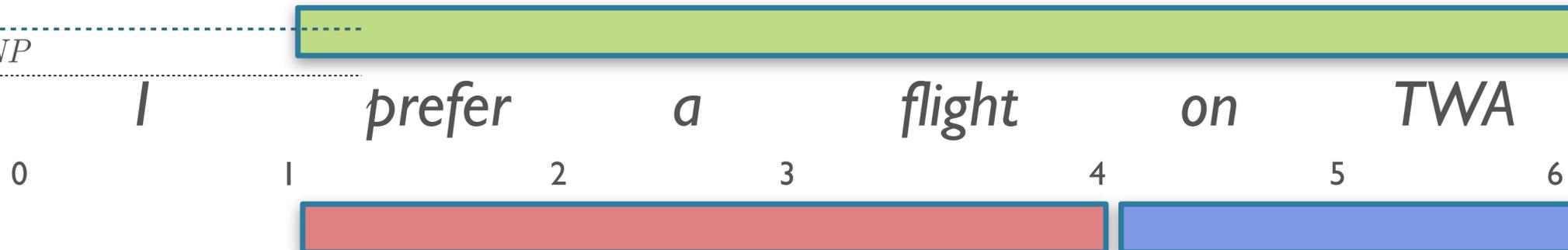
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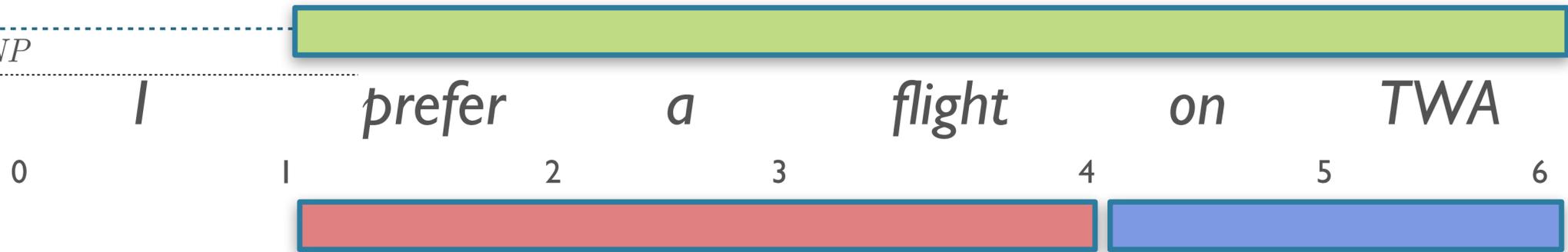
- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
- $VP \rightarrow X2 PP$
- $X2 \rightarrow Verb NP$
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| | | | | | |
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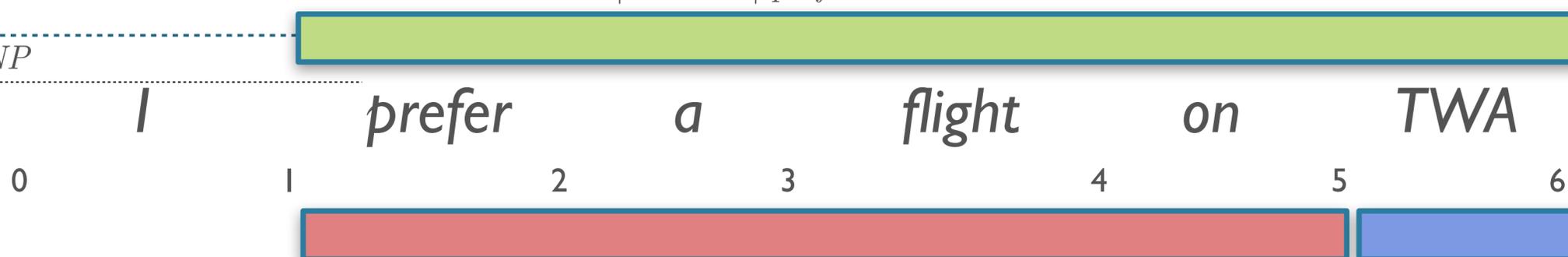
- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
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\mathcal{L}_1 Grammar

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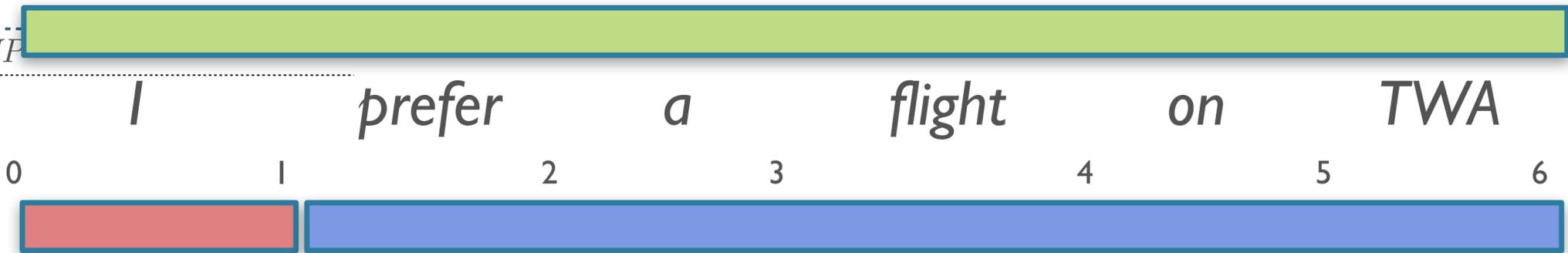
- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
- $VP \rightarrow X2 PP$
- $X2 \rightarrow Verb NP$
- $VP \rightarrow Verb PP$
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| | | | Noun, Nom [3,4] | [3,5] | Nom [3,6] |
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\mathcal{L}_1 Grammar

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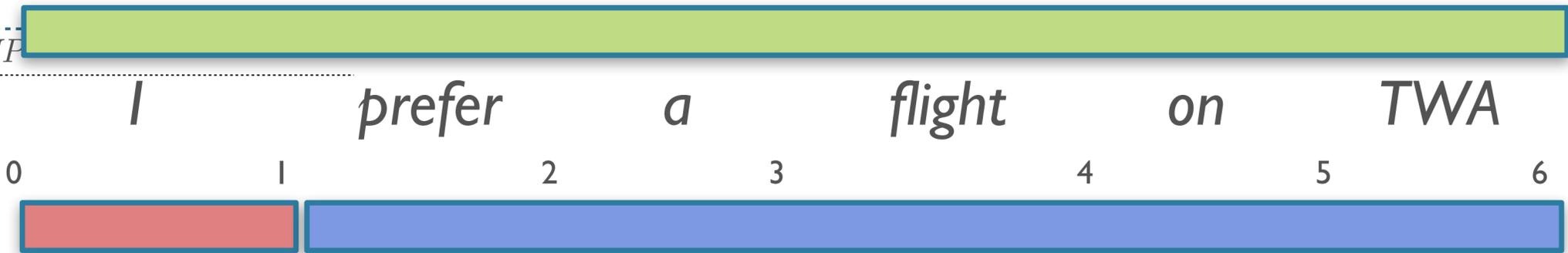
- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
- $VP \rightarrow X2 PP$
- $X2 \rightarrow Verb NP$
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| | | | | | |
|---------------------------------|-----------------------------|---------------------|---------------------------|----------------------|---------------------------|
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| | Verb, VP, S [1,2] | | VP, X2, S [1,4] | | VP, X2, S [1,6] |
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Lexicon

- Det* $\rightarrow that \mid this \mid a$
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- Preposition* $\rightarrow from \mid to \mid on \mid near \mid through$
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\mathcal{L}_1 Grammar

- $S \rightarrow NP VP$
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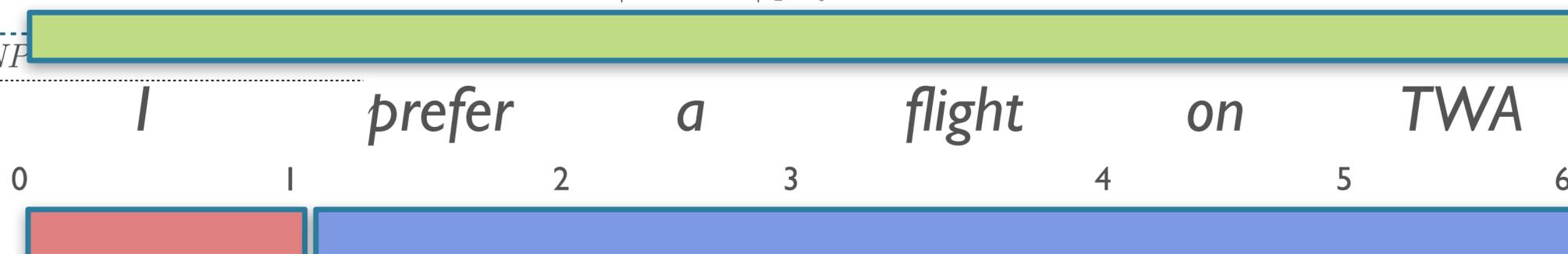
- $VP \rightarrow book \mid include \mid prefer$
- $VP \rightarrow Verb NP$
- $VP \rightarrow X2 PP$
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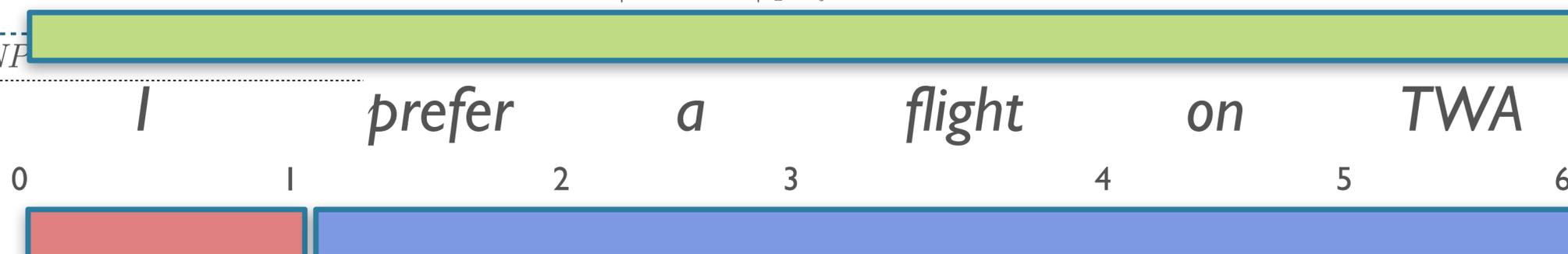
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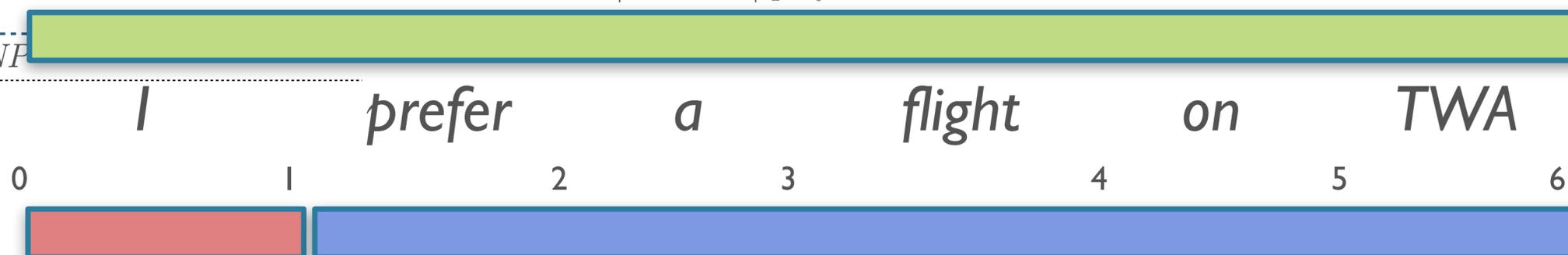
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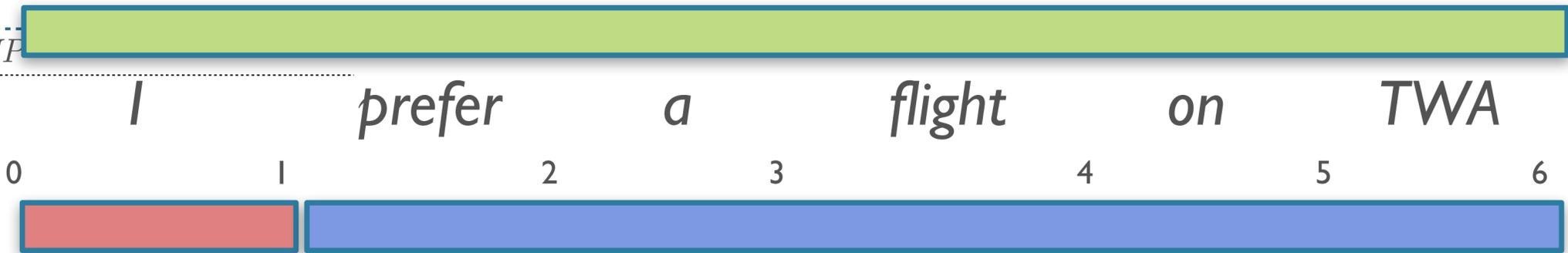
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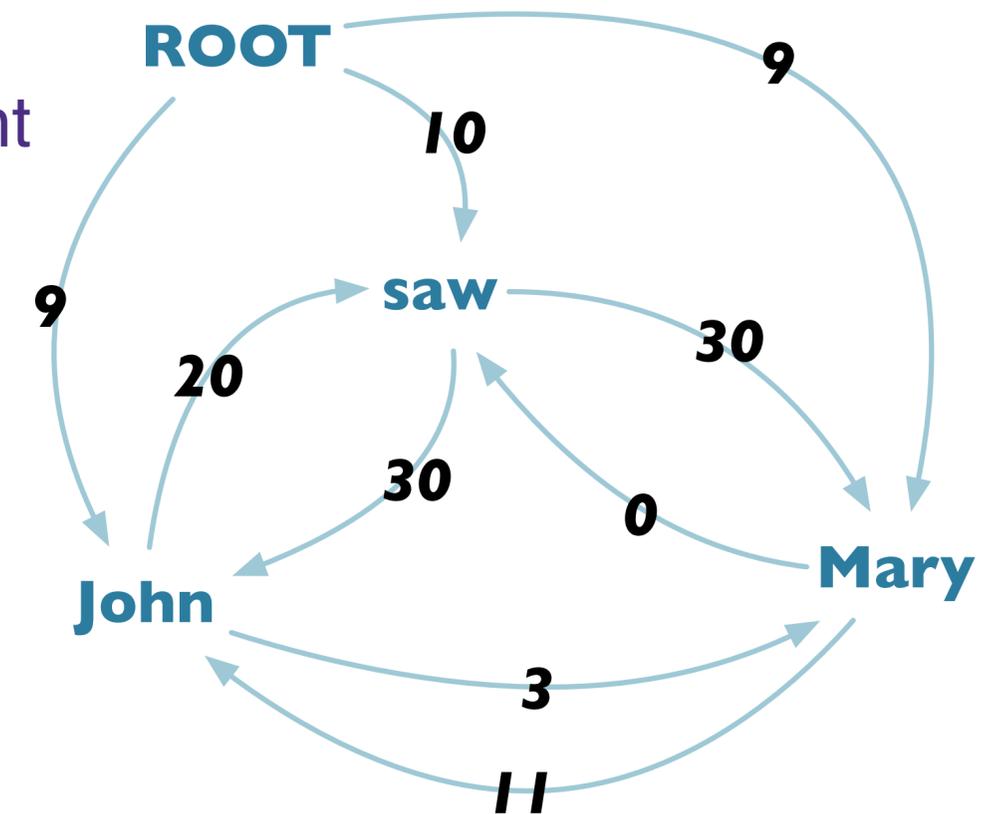
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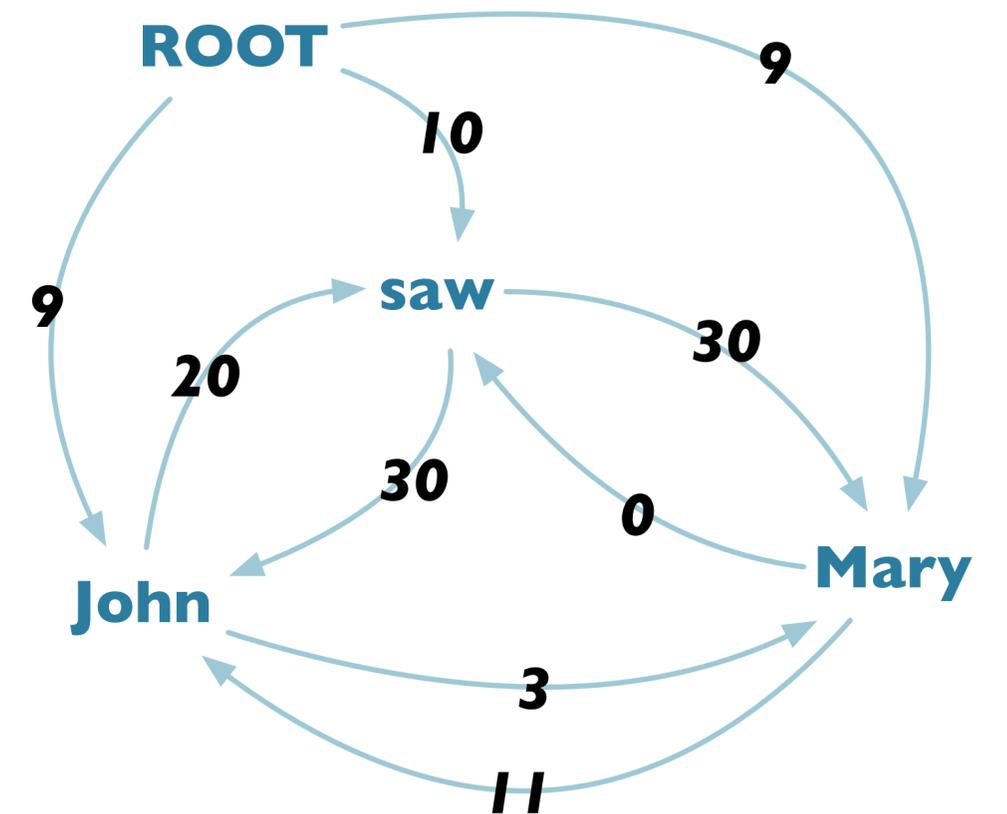
Maximum Spanning Tree

- McDonald et al, 2005 use variant of Chu-Liu-Edmonds algorithm for MST (CLE)
- Sketch of algorithm:
 - For each node, greedily select incoming arc with max weight
 - If the resulting set of arcs forms a tree, this is the MST.
 - If not, there must be a cycle.
 - “Contract” the cycle: Treat it as a single vertex
 - Recalculate weights into/out of the new vertex
 - Recursively do MST algorithm on resulting graph
- Running time: naïve: $O(n^3)$; Tarjan: $O(n^2)$
 - Applicable to non-projective graphs



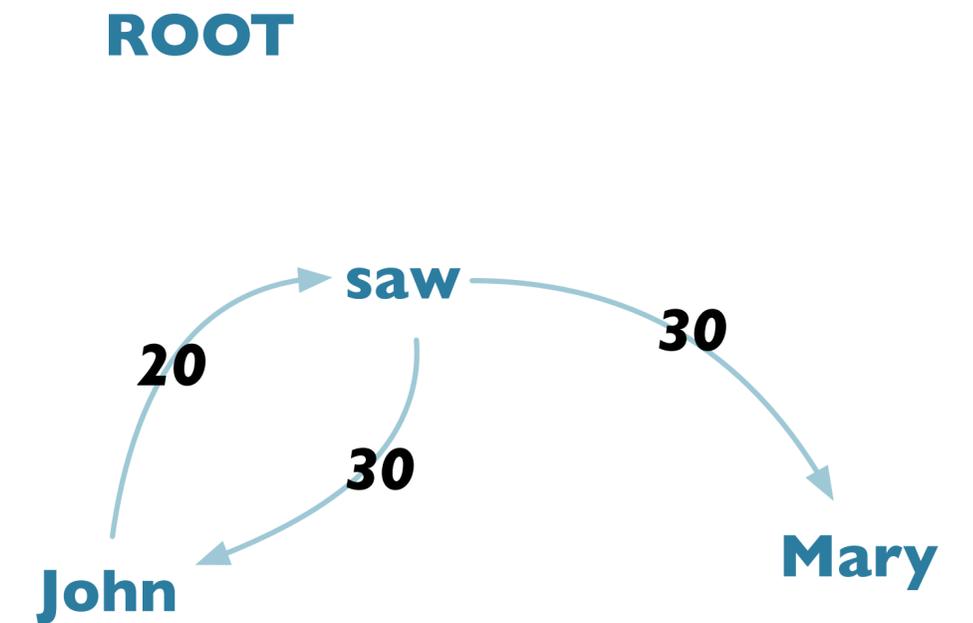
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.



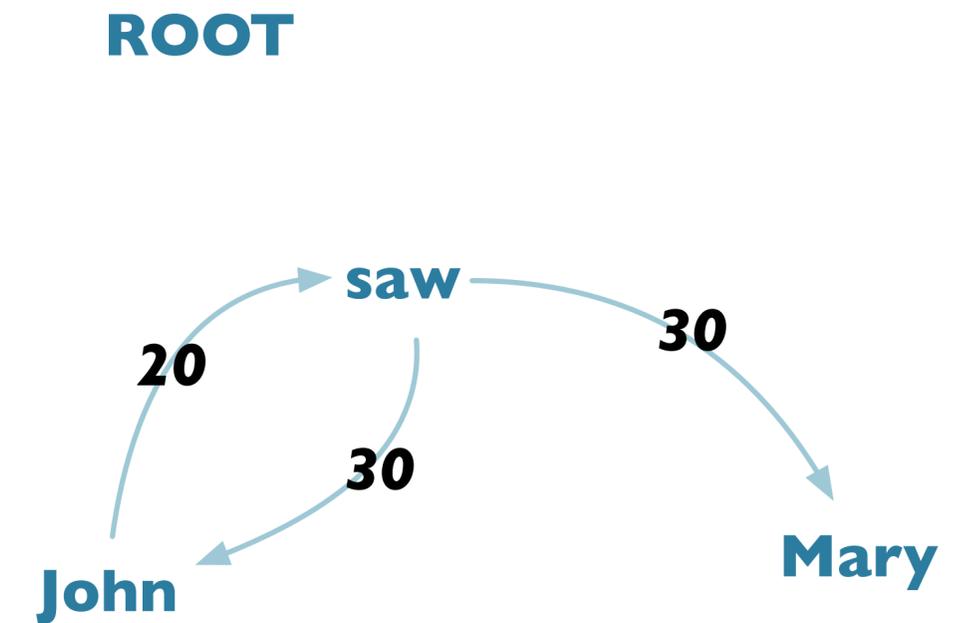
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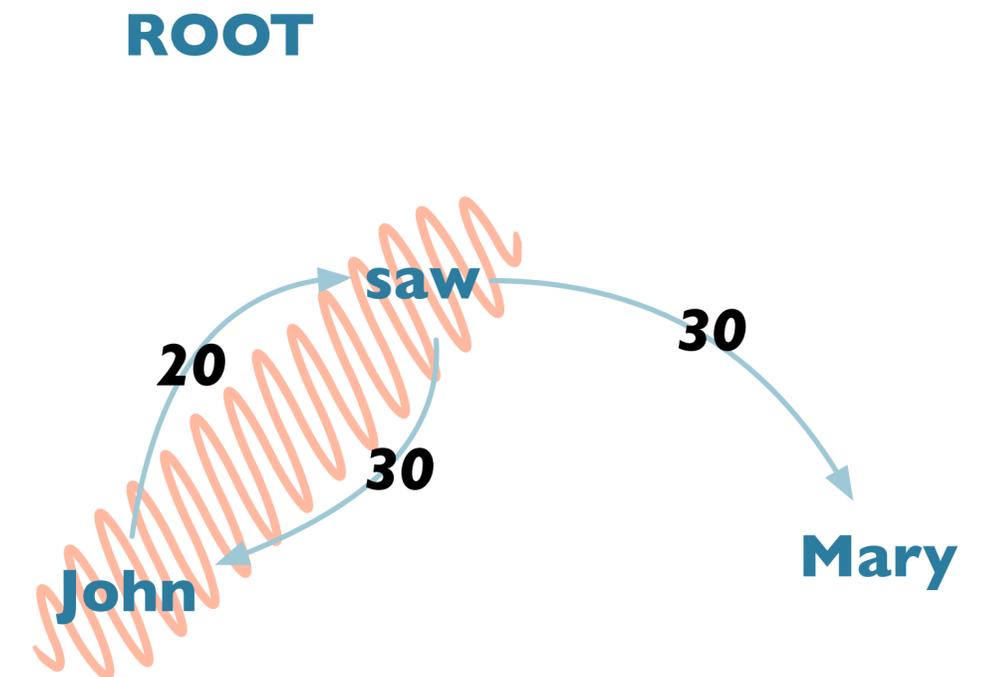
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?



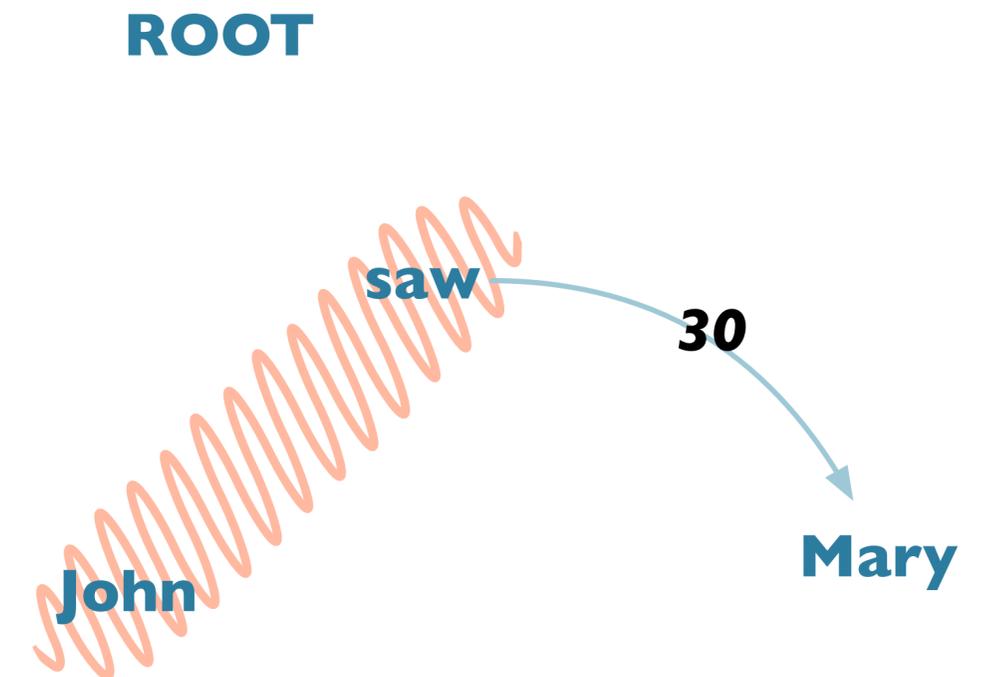
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
 - No, there's a cycle.



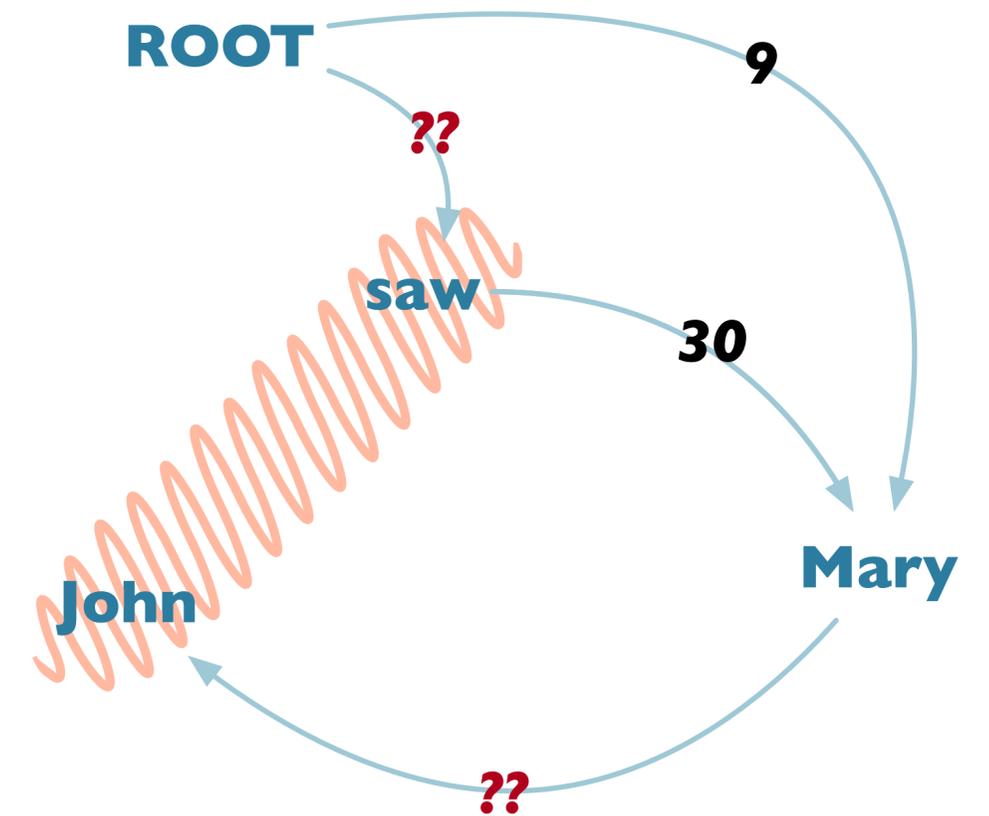
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
 - No, there's a cycle.
- Collapse the cycle



Step 1 & 2

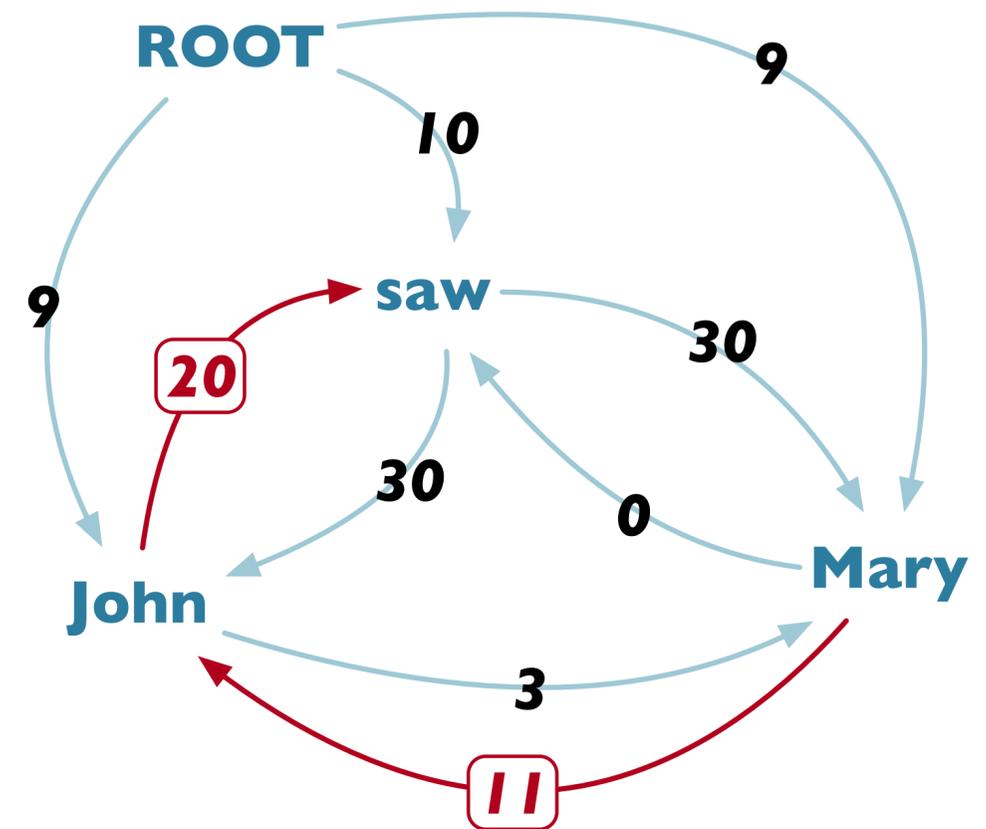
- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
 - No, there's a cycle.
- Collapse the cycle
- And re-examine the edges again



Calculating Weights for Collapsed Vertex

- Since there's a cycle:
 - Contract cycle & reweight
 - John+saw as single vertex
 - Calculate weights in & out as:
- Recurse

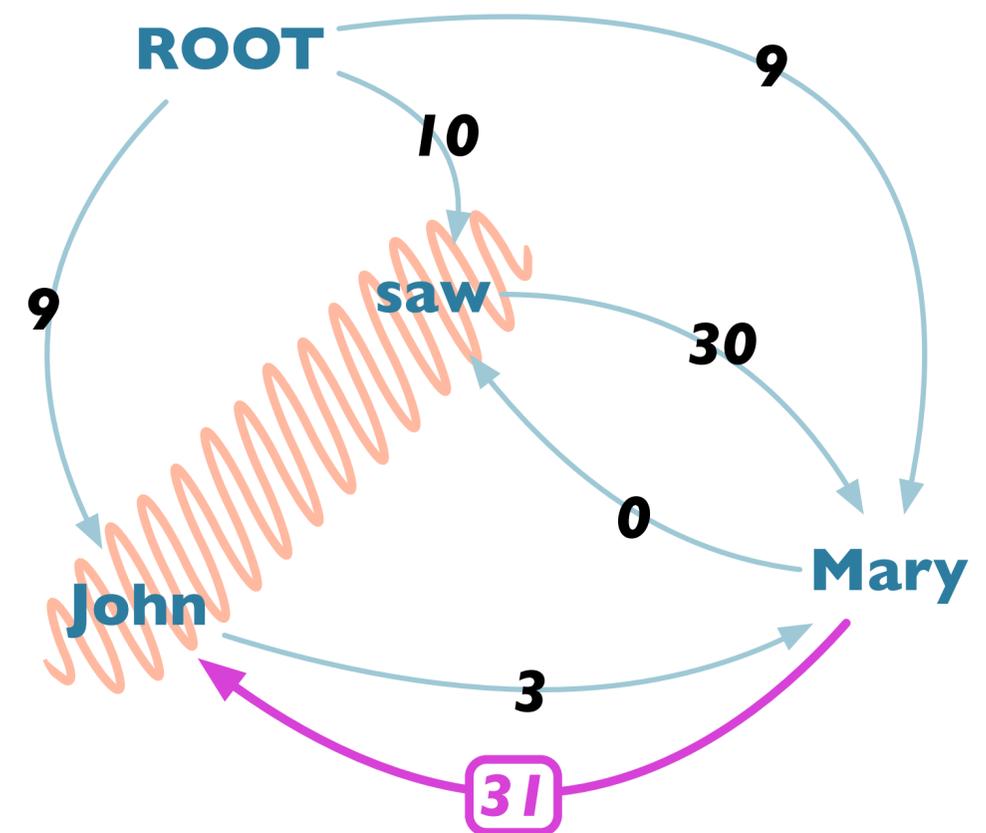
$$s(\text{Mary}, C) \parallel + 20 = 31$$



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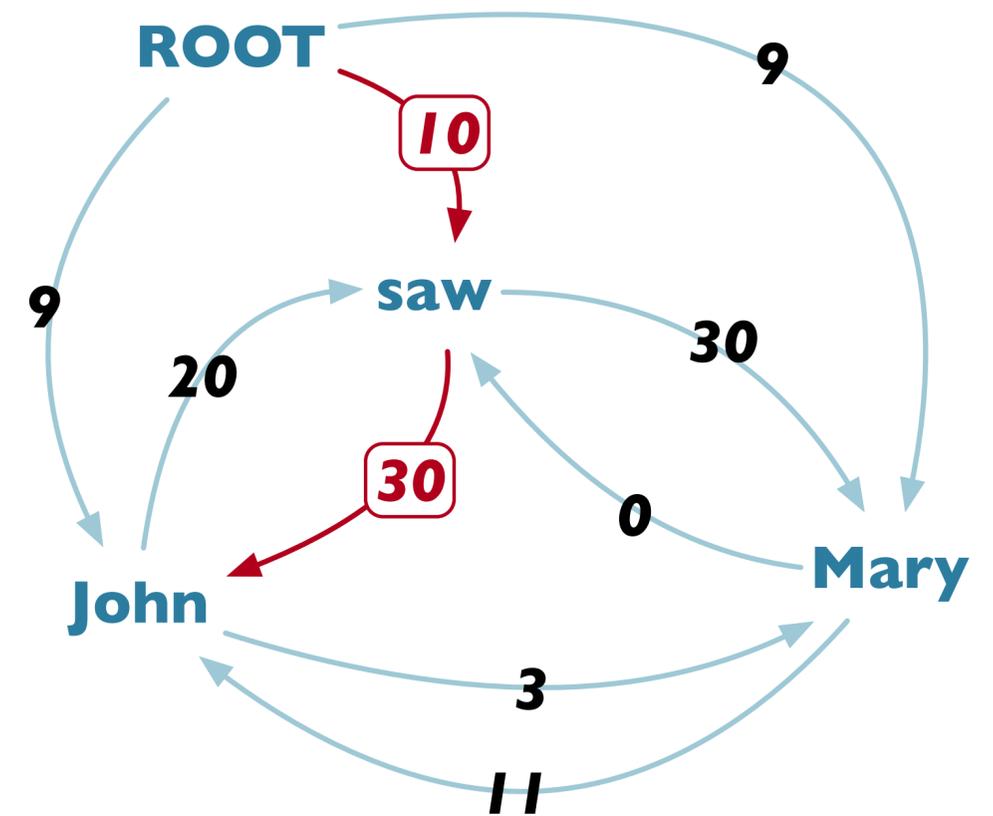
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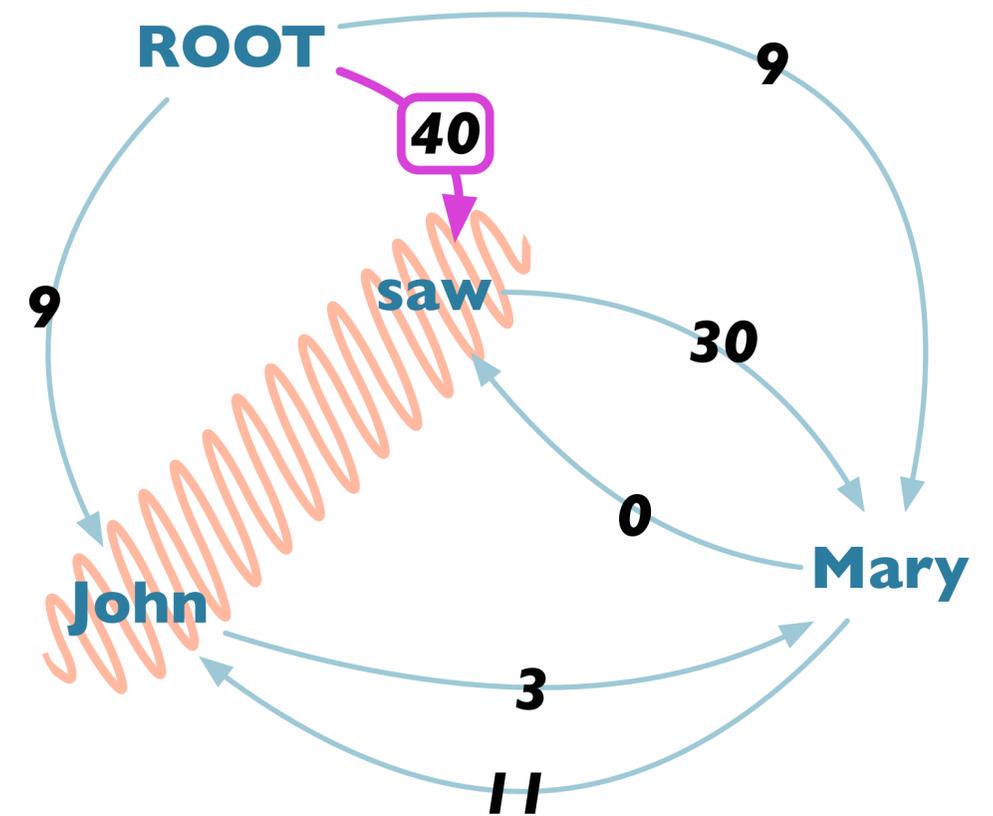
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Calculating Weights for Collapsed Vertex

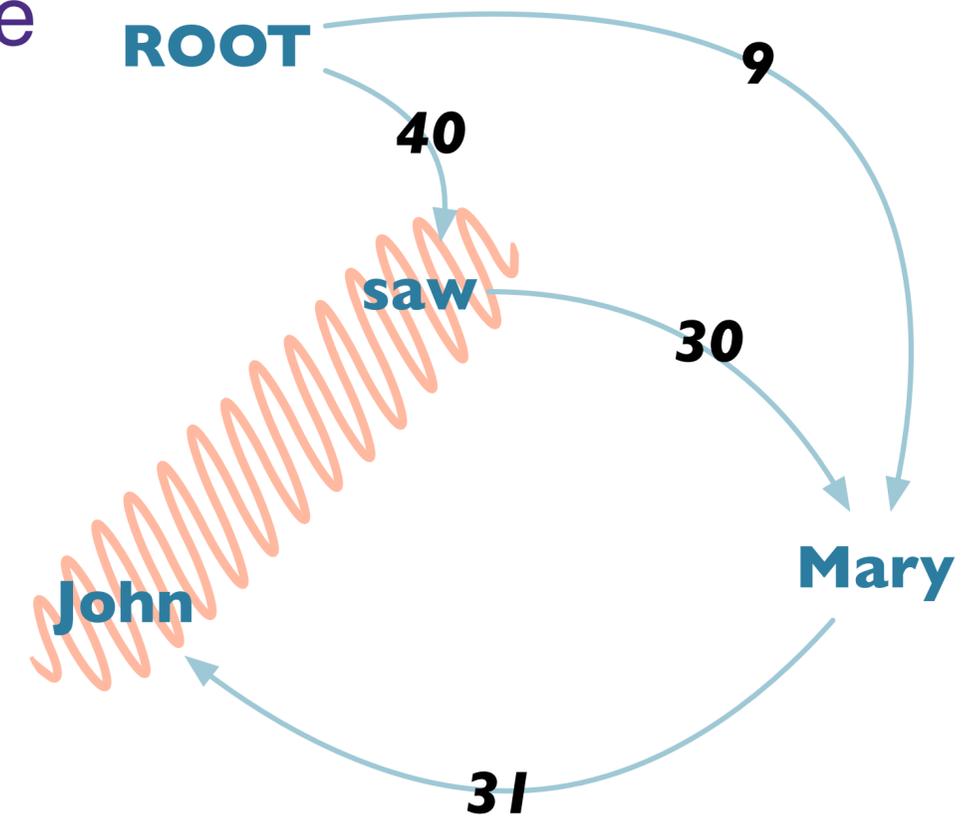
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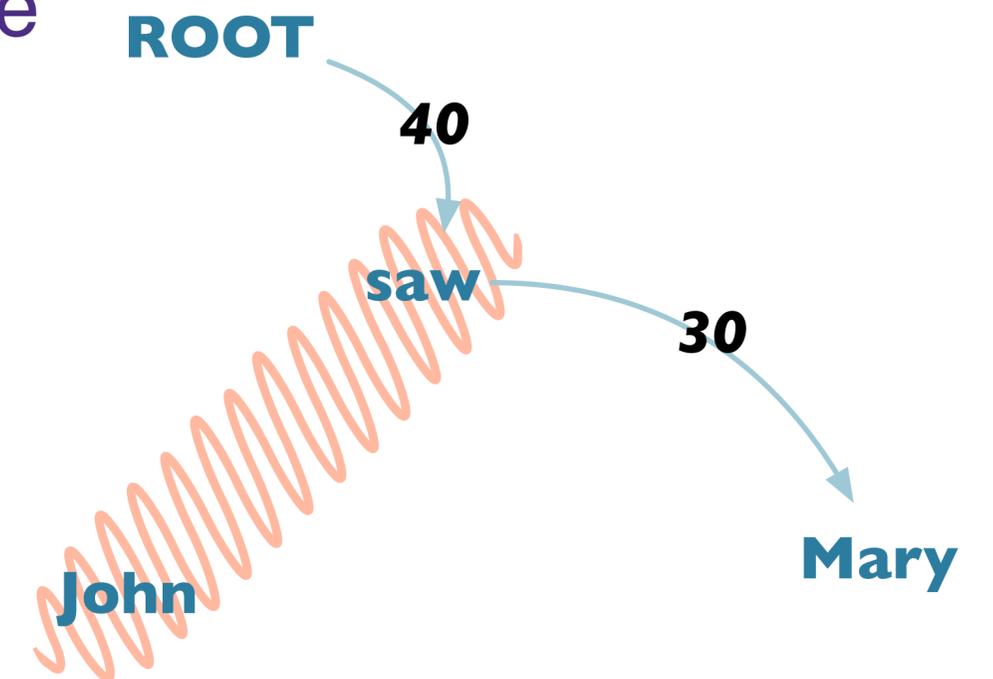
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- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge



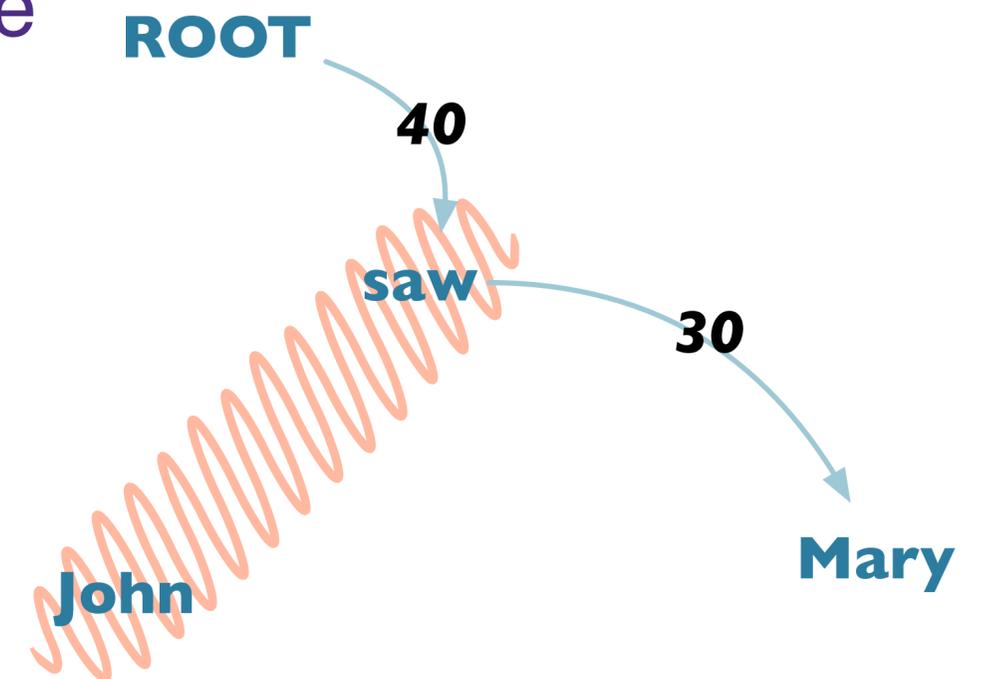
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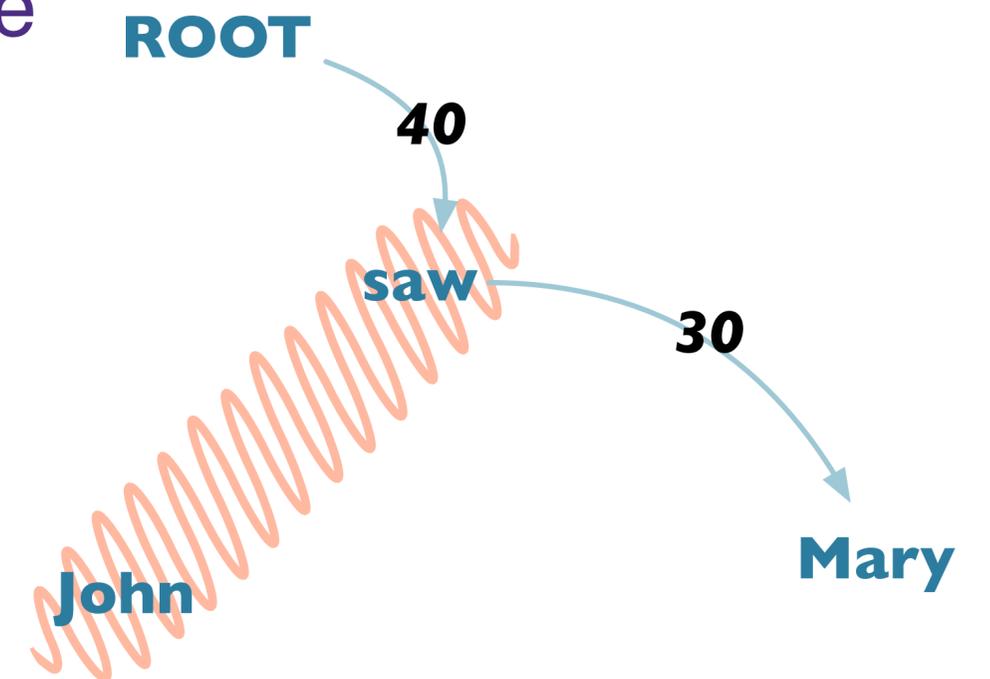
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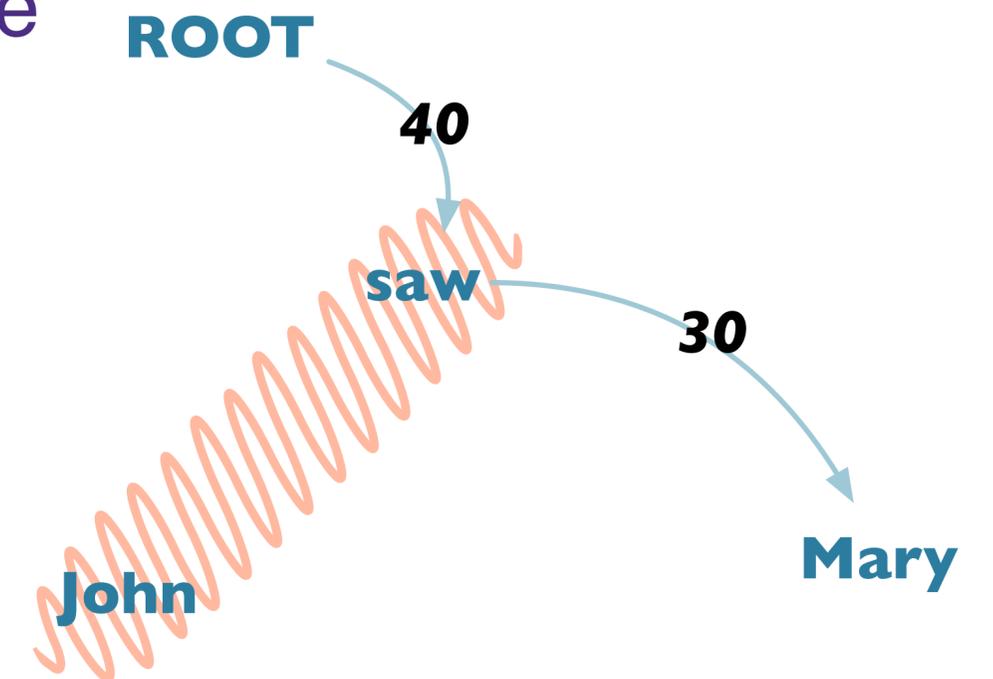
Step 3

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 - Yes!



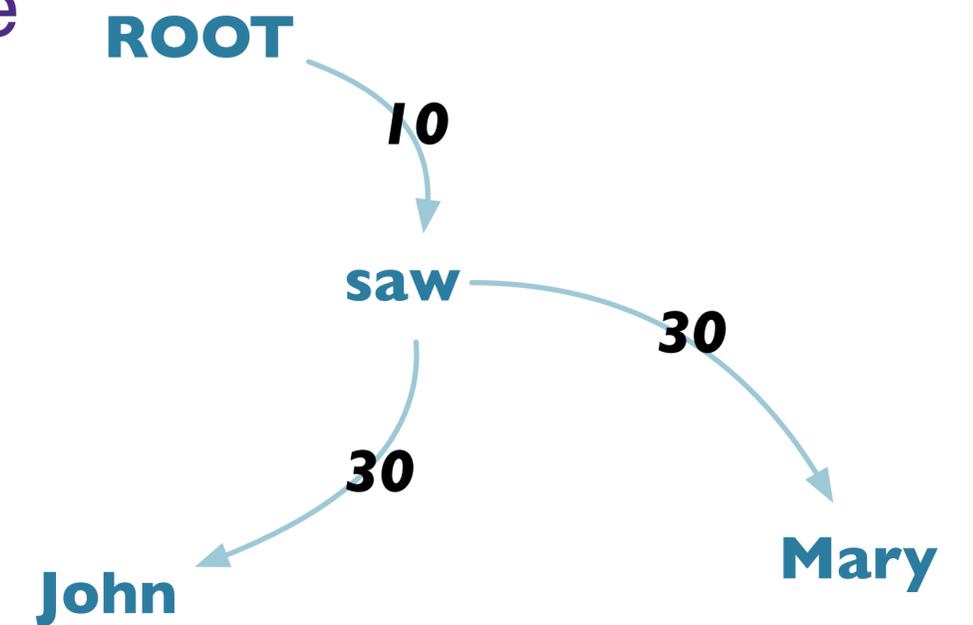
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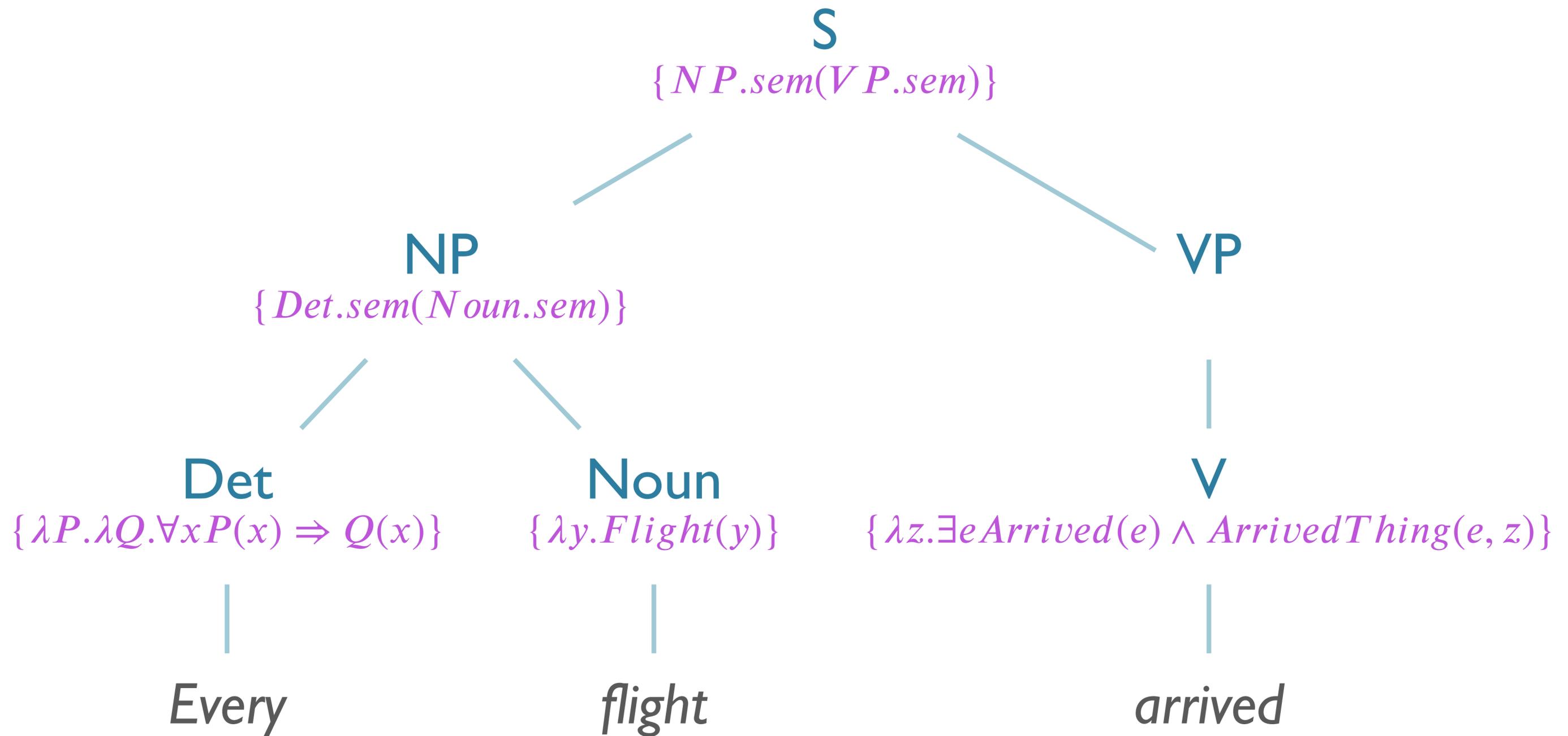
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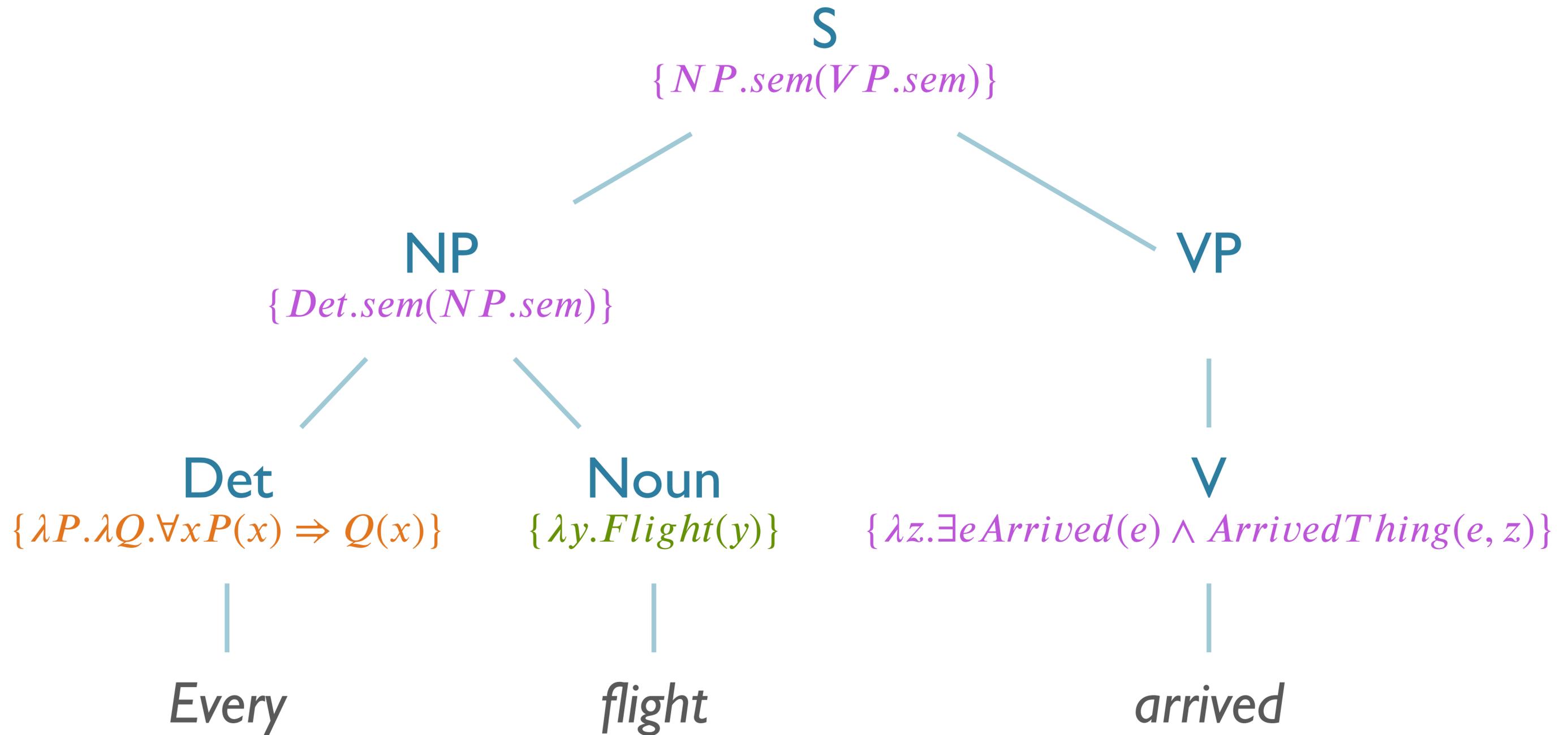


Semantics

- First order logic + lambda calculus
- Neo-Davidsonian event semantics
- Parsing via features
- Distributional Semantics + word embeddings
- Word Sense Disambiguation
- Semantic Role Labeling

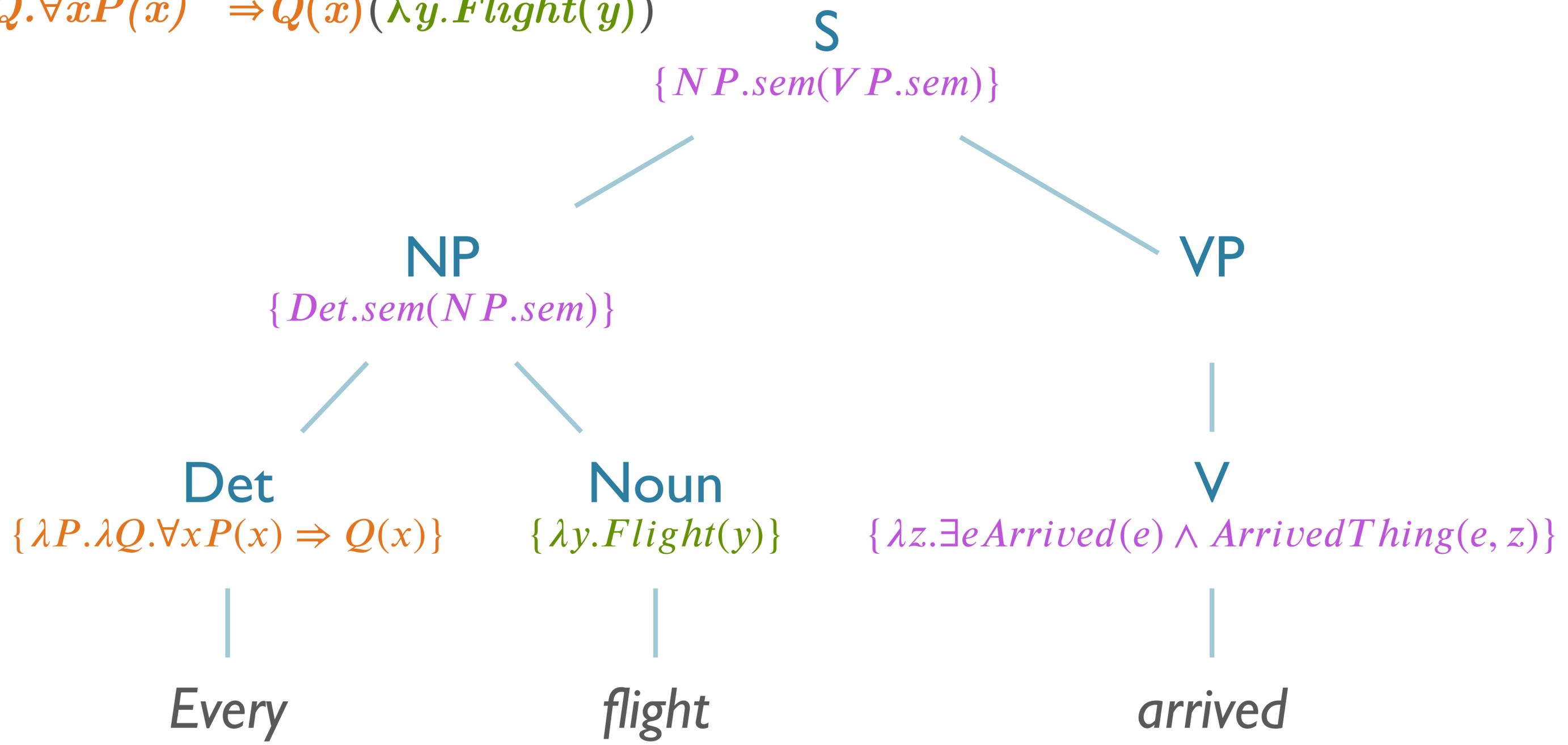


$NP \rightarrow Det.sem(NP.sem)$



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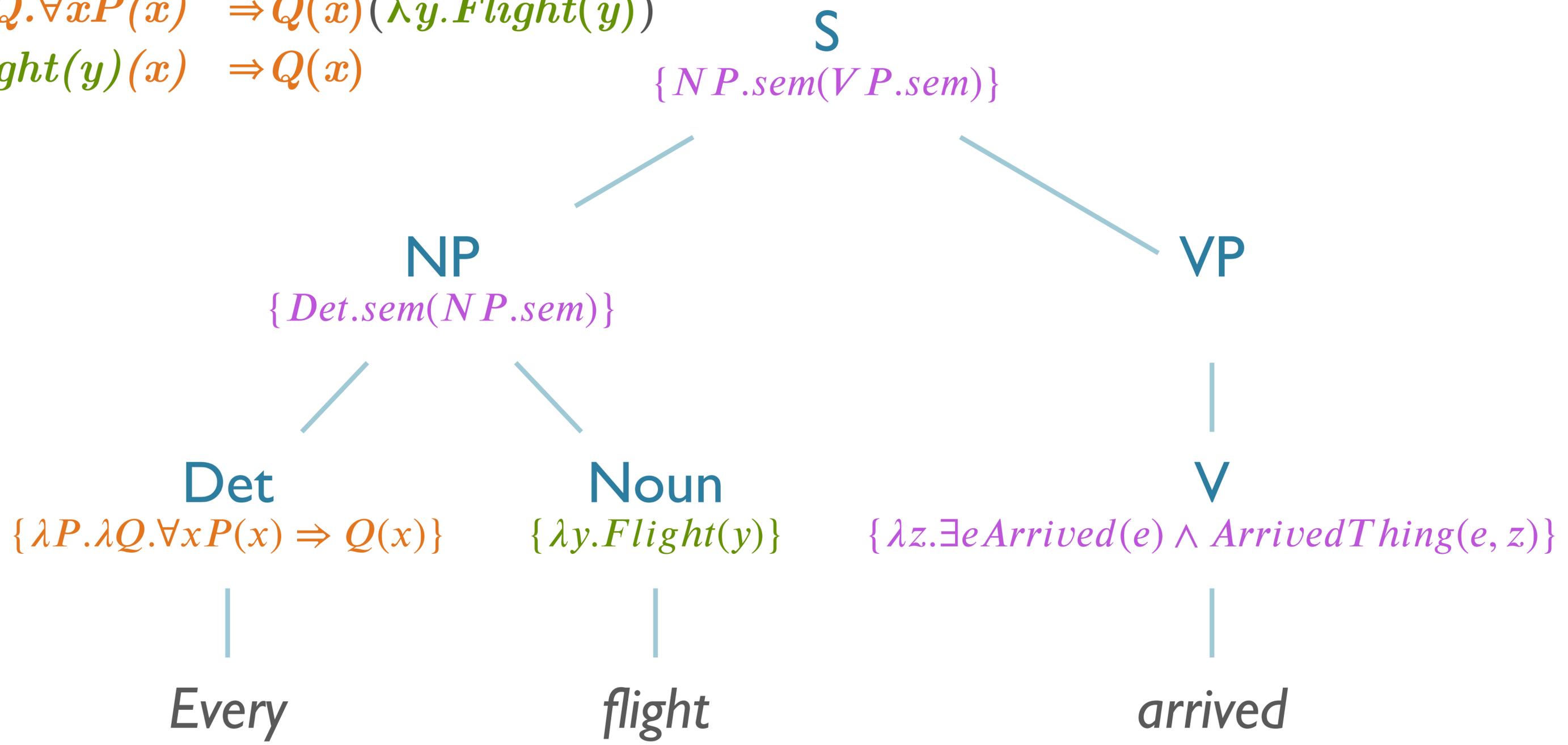
$\lambda P.\lambda Q.\forall xP(x) \Rightarrow Q(x) (\lambda y.Flight(y))$



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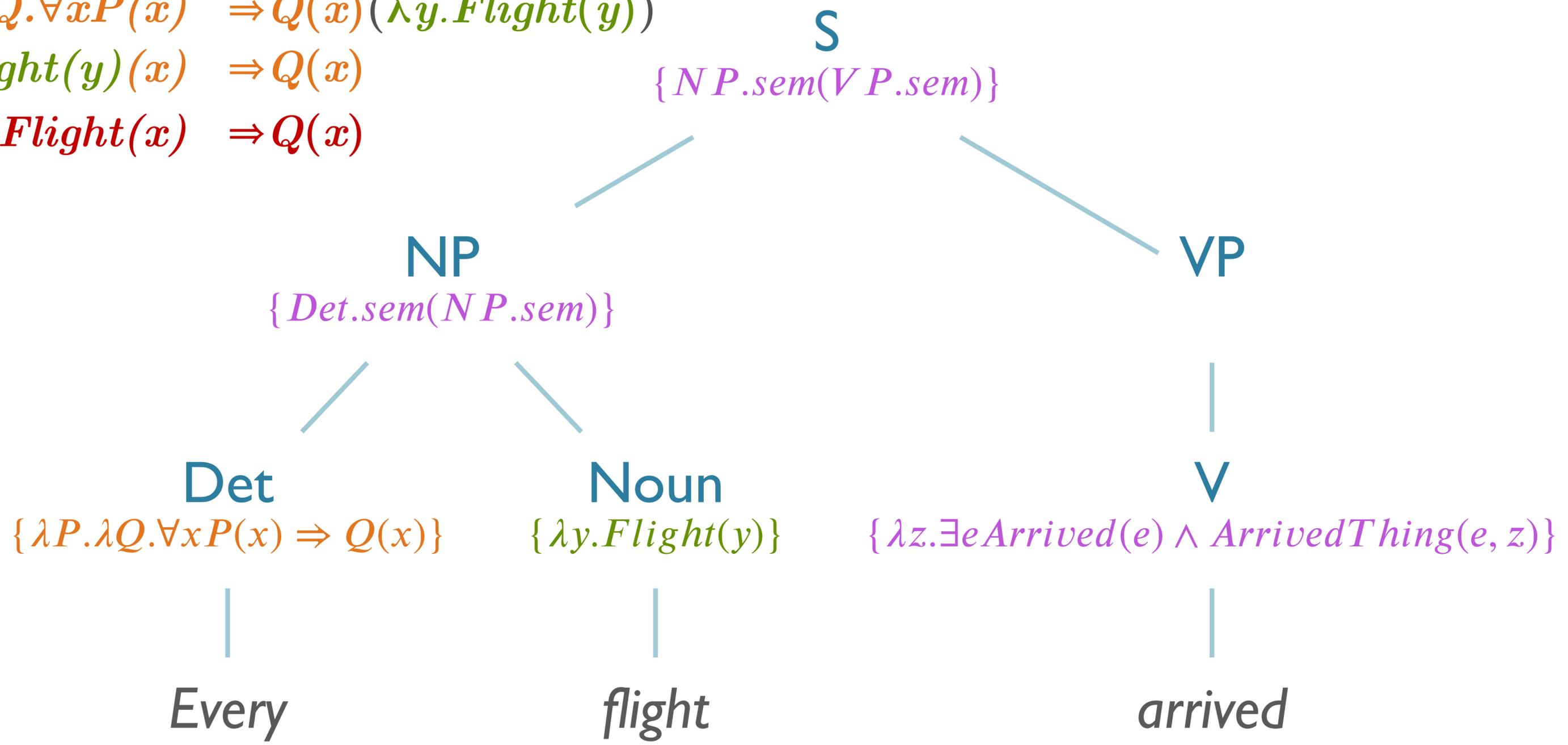


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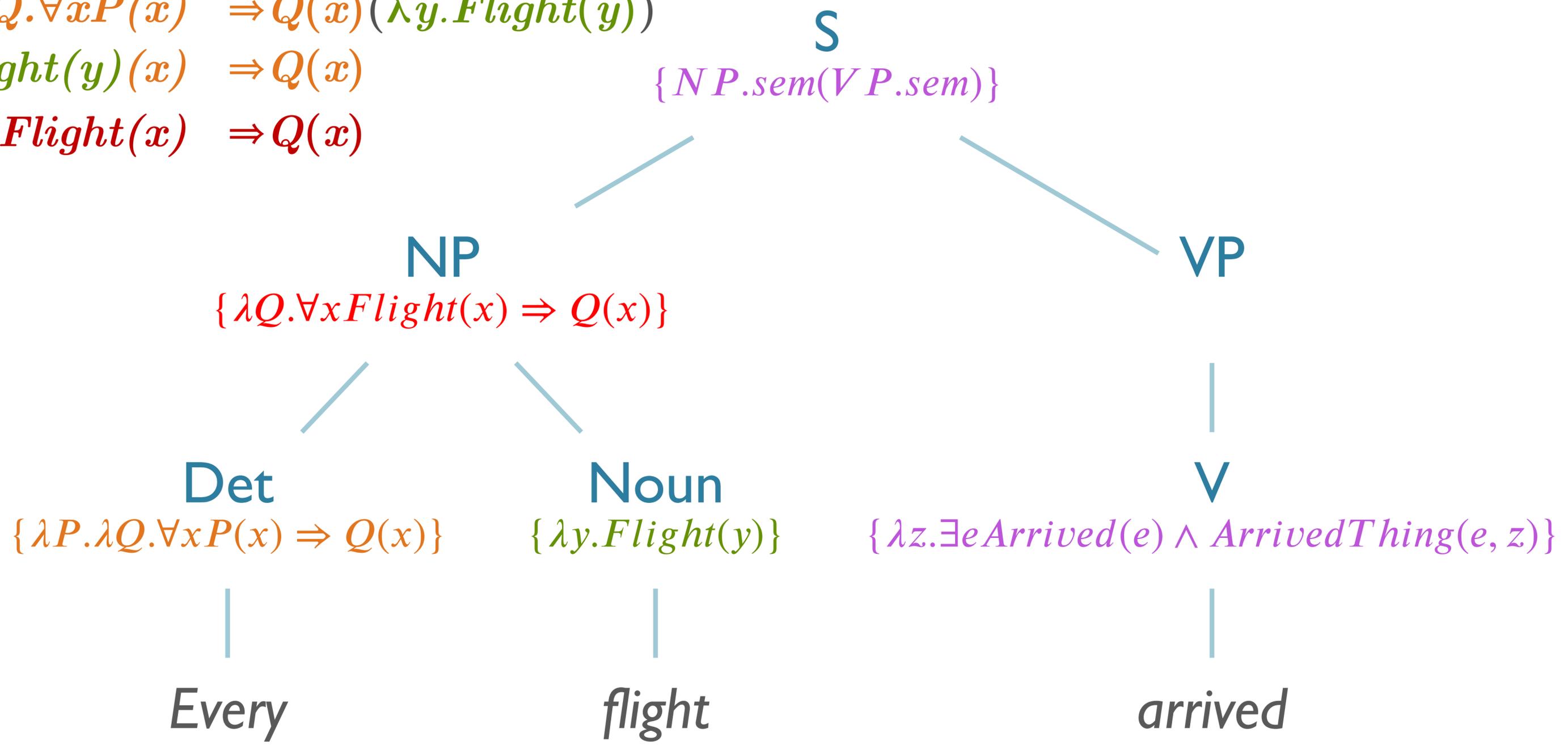
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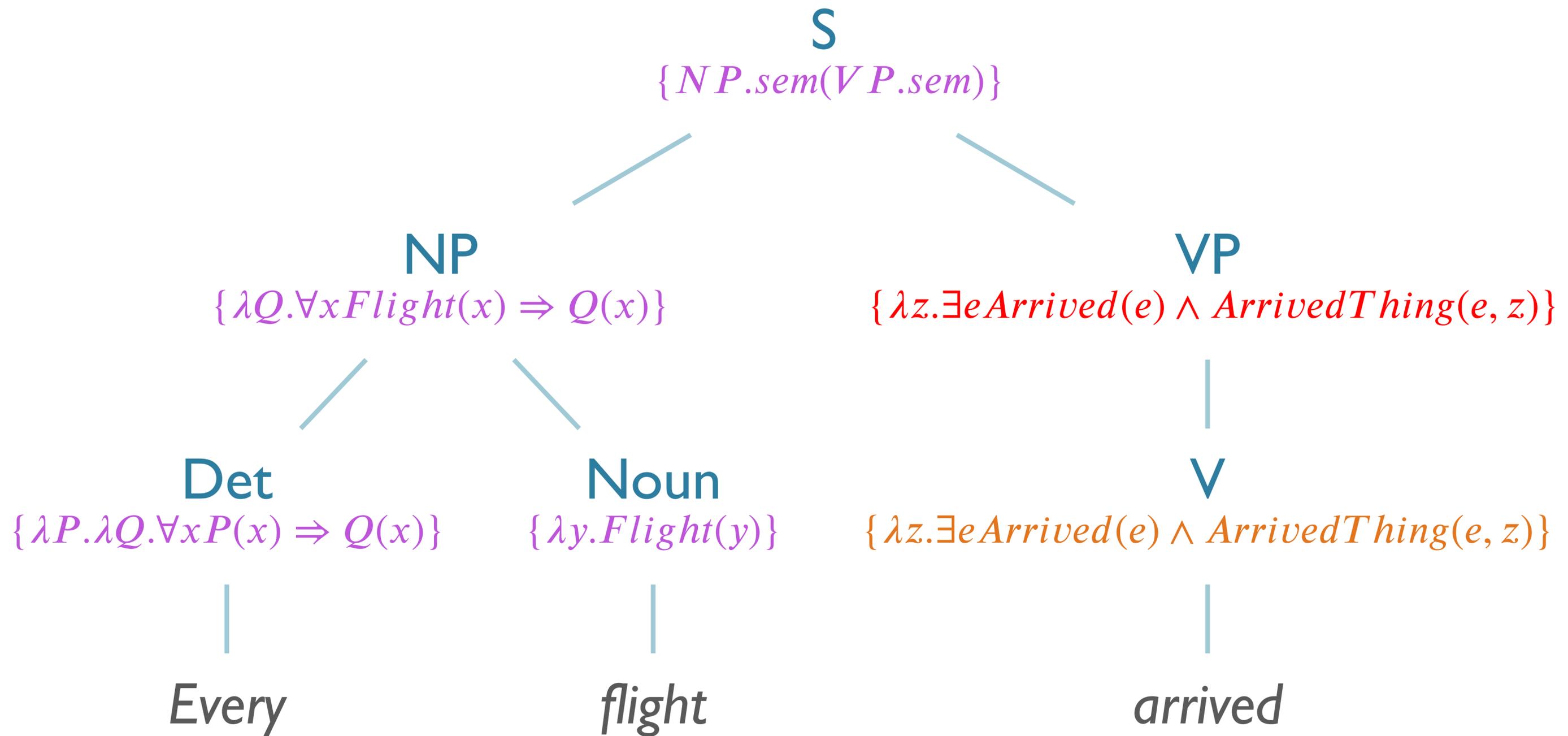
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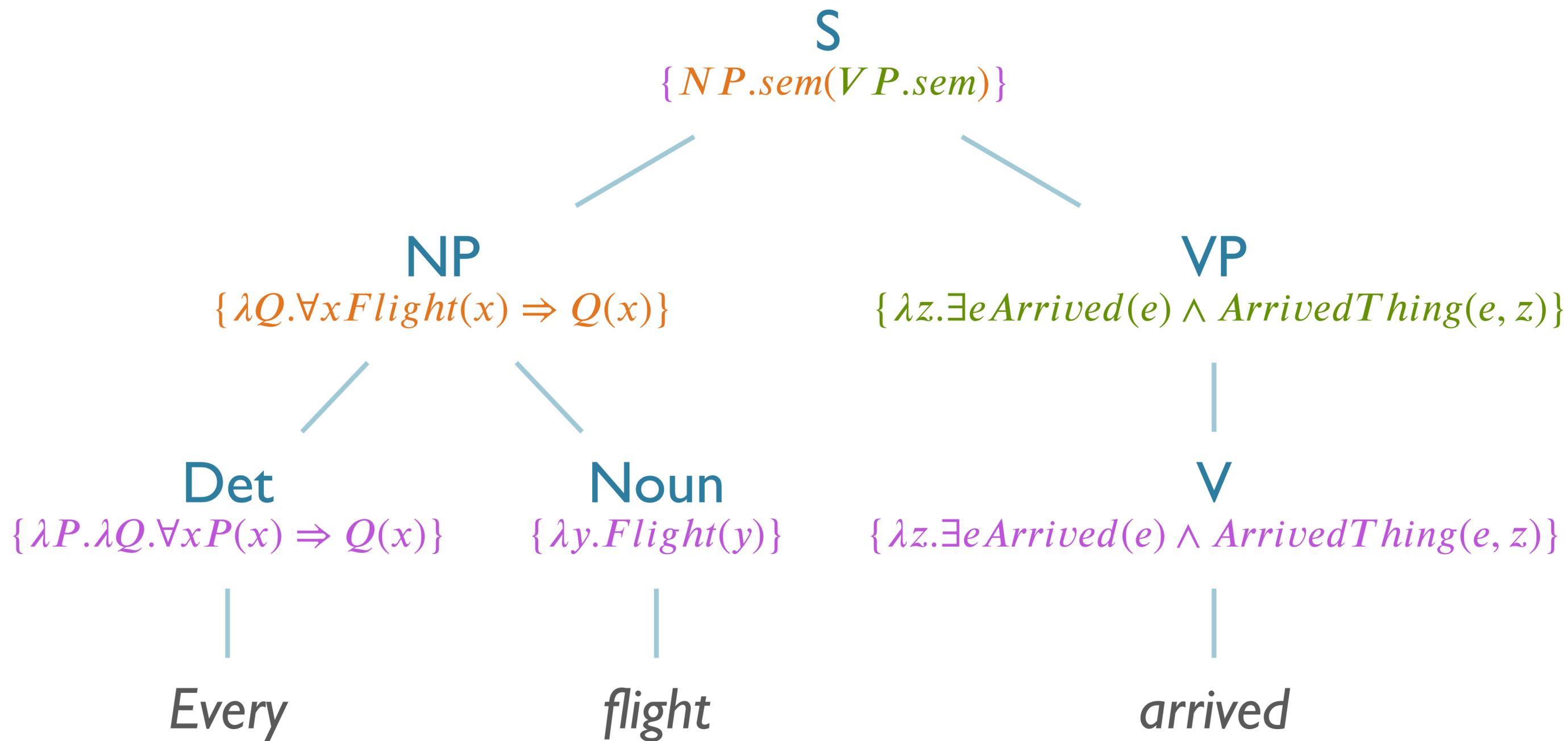
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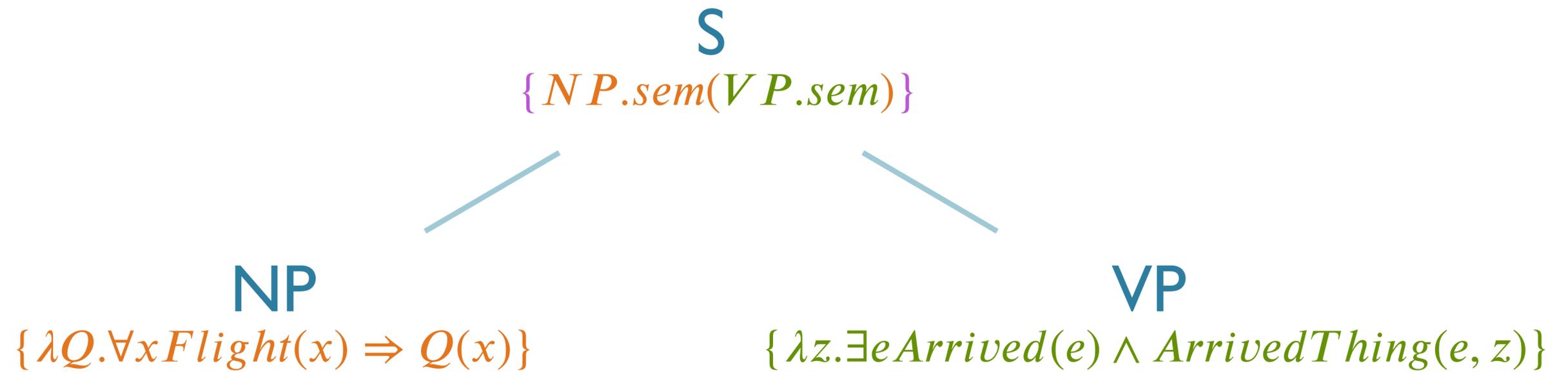


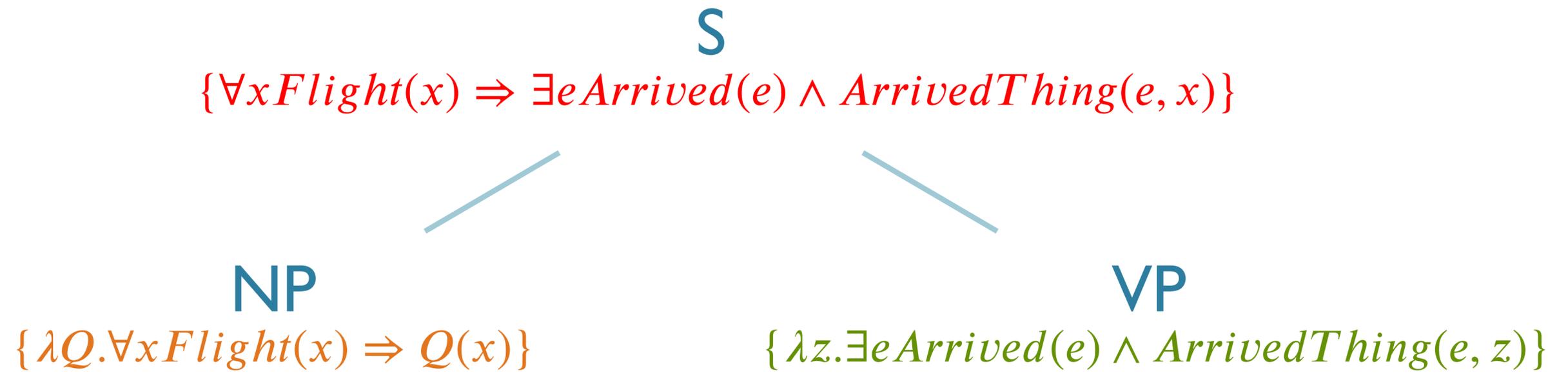
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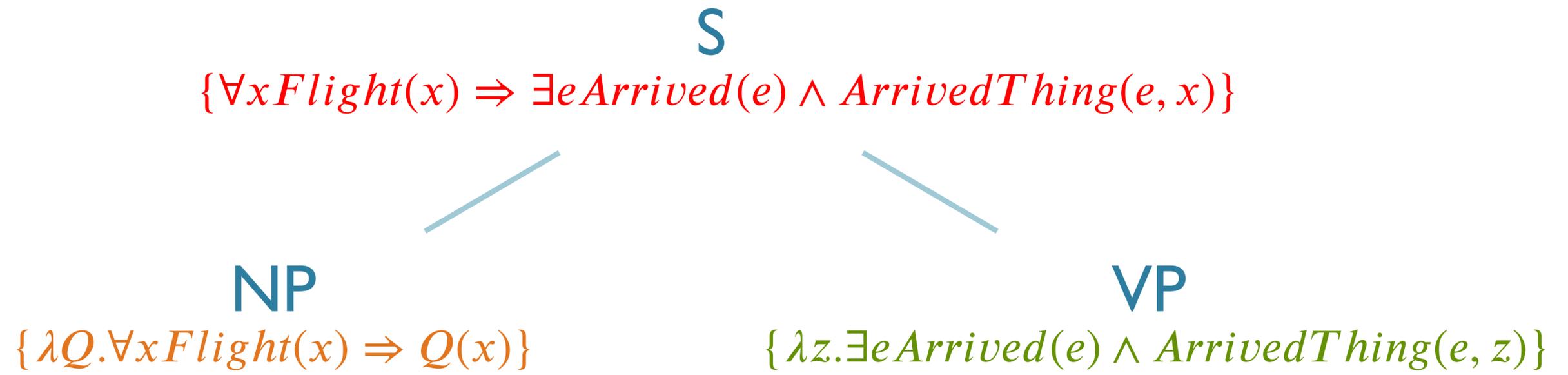




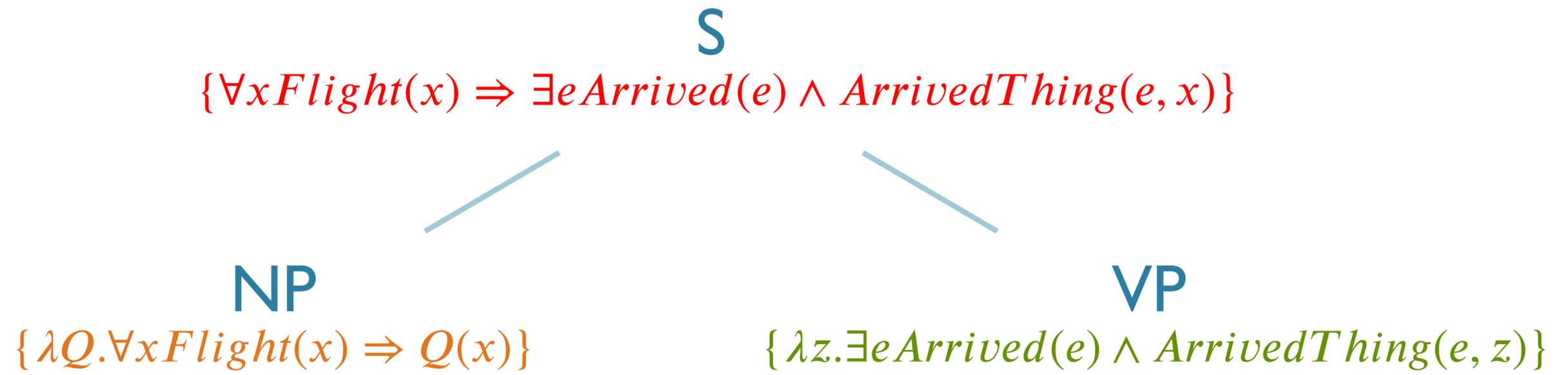






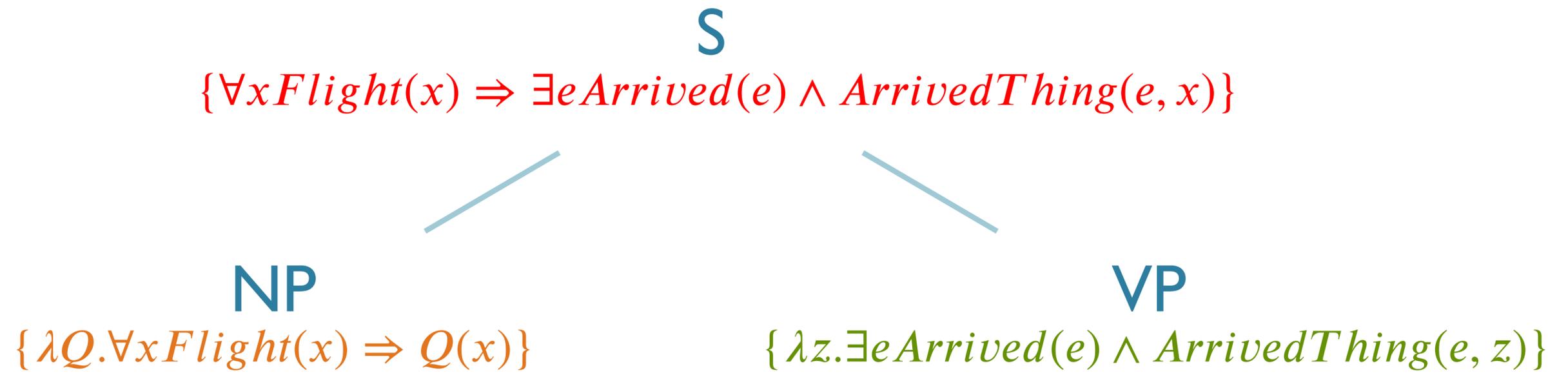


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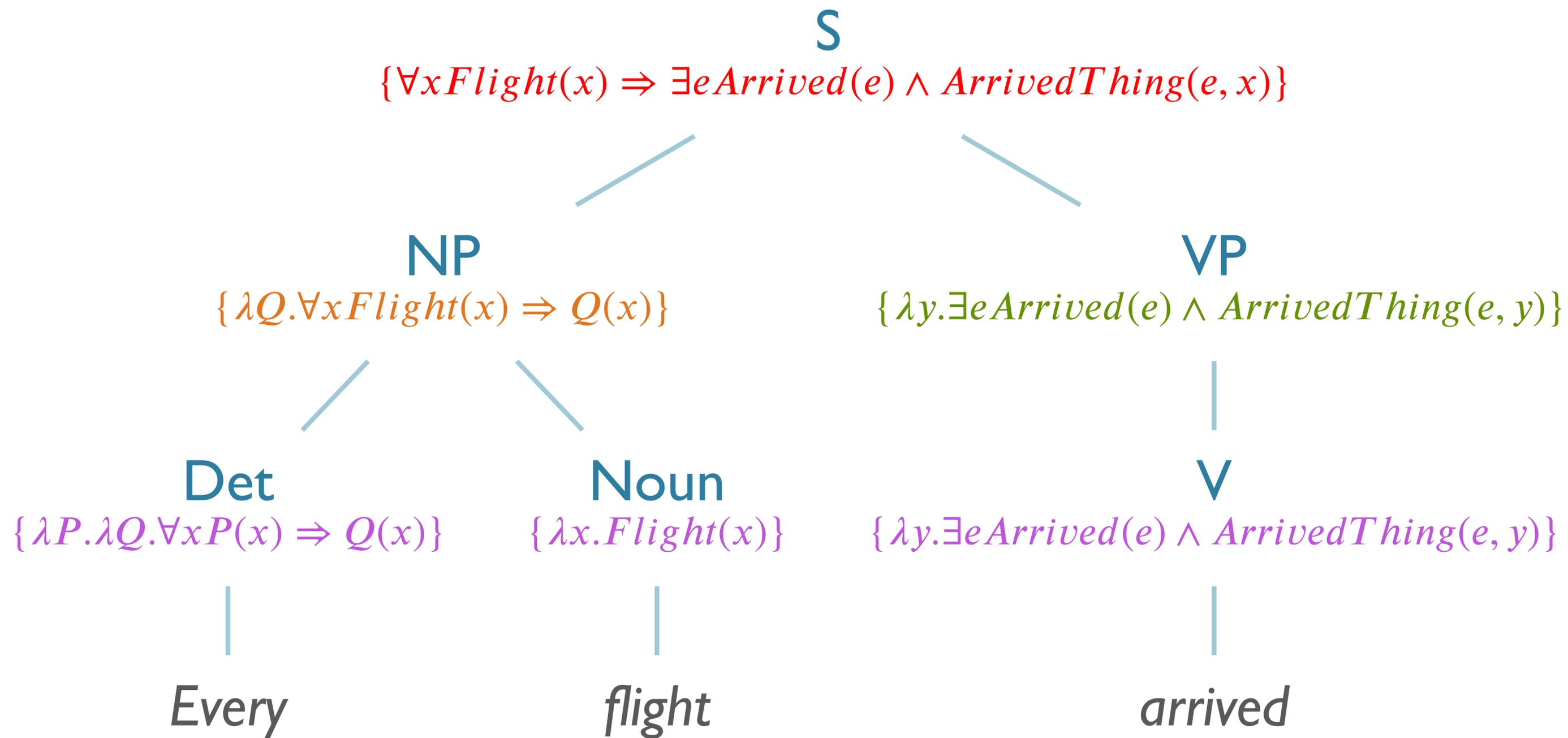
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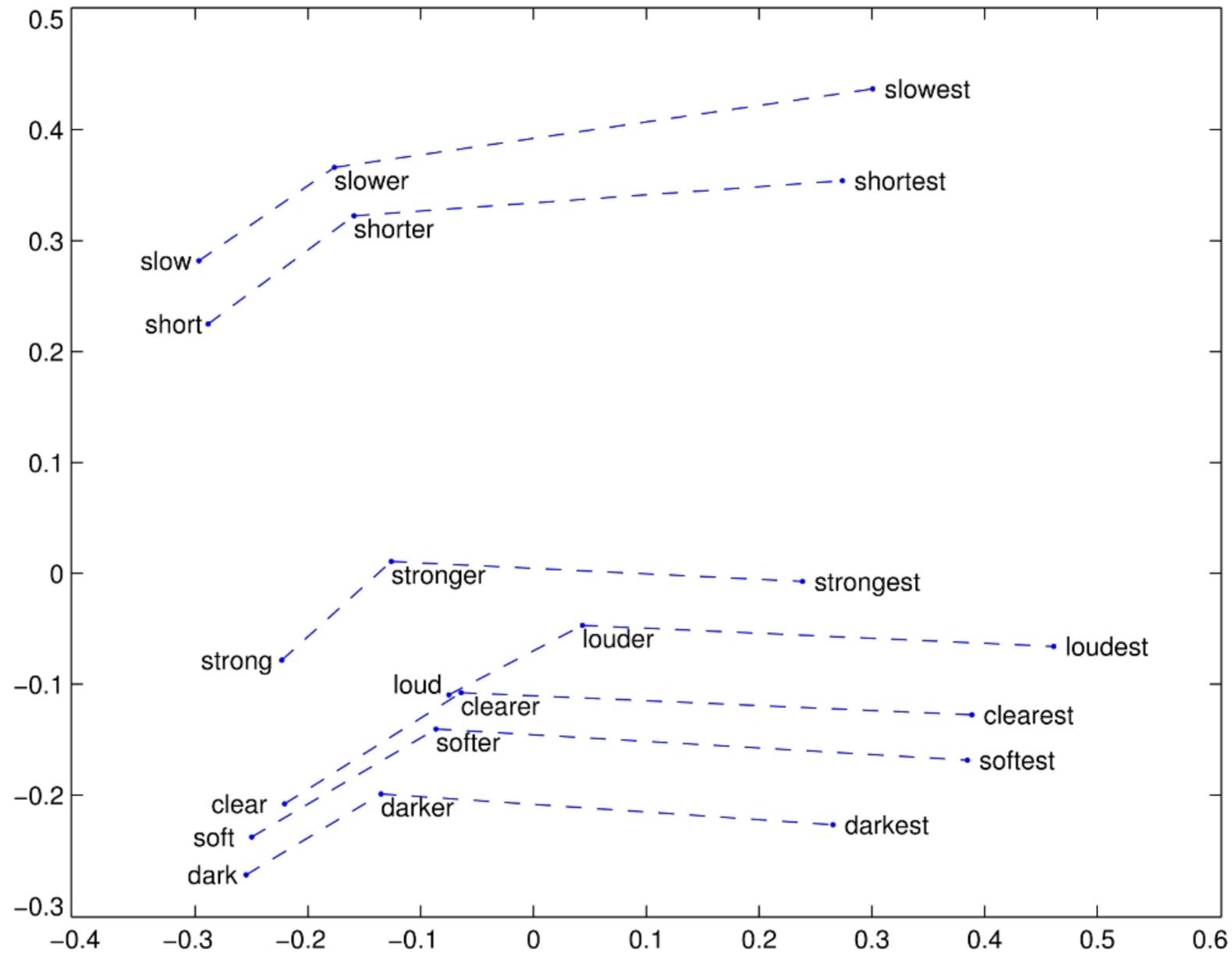
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Word Vectors



Pragmatics

- Discourse phenomena
- Coreference resolution [esp. pronominal]
 - Hobbs' Algorithm
- Segmentation / Cohesion
- Discourse parsing: hierarchical structure of coherence relations

Summary

- Deep Processing techniques for NLP
 - Parsing, semantic analysis, logical forms, reference, etc
 - Create richer computational models of natural language
 - Closer to language understanding
- Shallow processing techniques have dominated many areas
 - IR, QA, MT, WSD, etc
 - More computationally tractable, fewer required resources
- Deep processing techniques experience resurgence
 - Some big wins – e.g. QA
 - Improved resources: treebanks (syntactic/discourse, FrameNet, Propbank)
 - Improved learning algorithms: structured learners, neural nets
 - Increased computation: cloud resources, Grid, etc
 - Current goal: leveraging these resources to do deep processing [e.g. semi-supervised learning]

Open Floor for Discussion

Thank you!

Course evaluations:

<https://uw.iasystem.org/survey/233257>