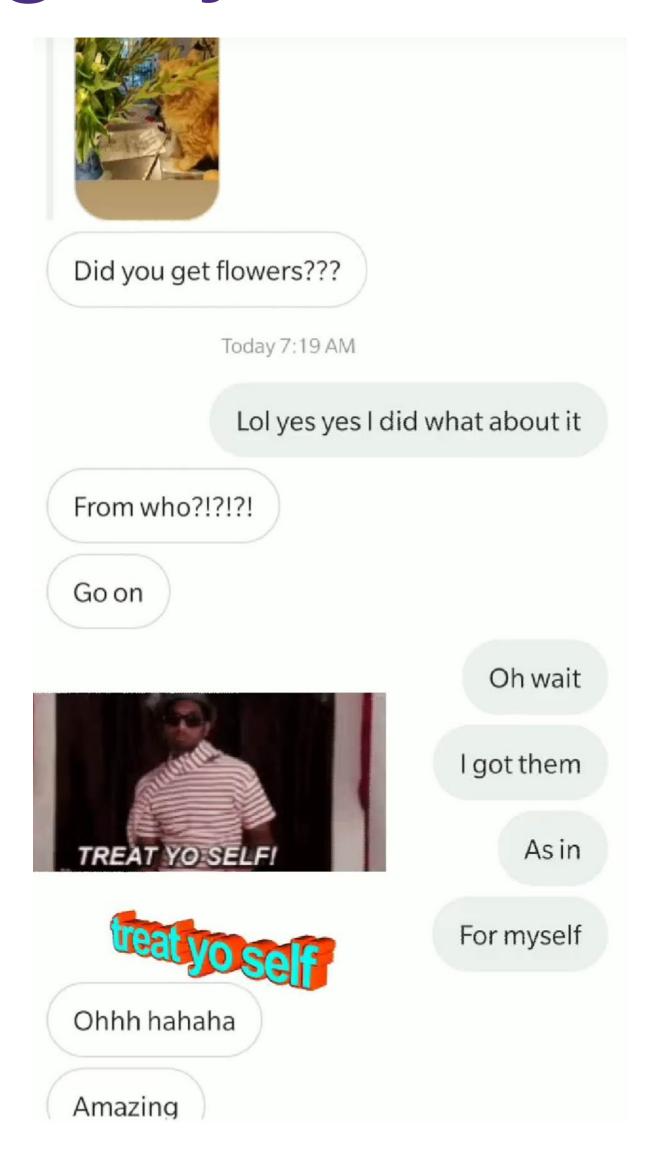
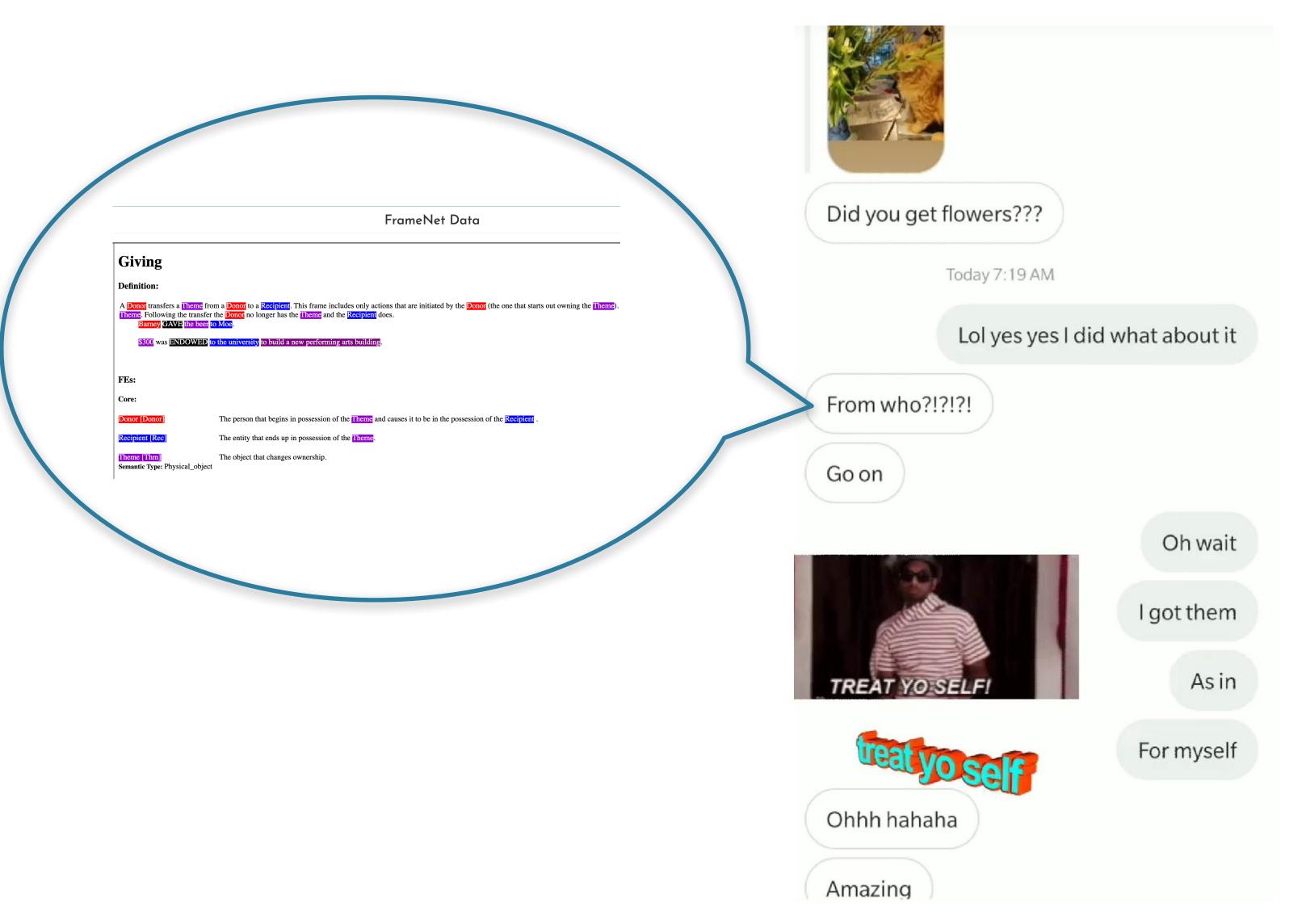
Discourse and Coreference

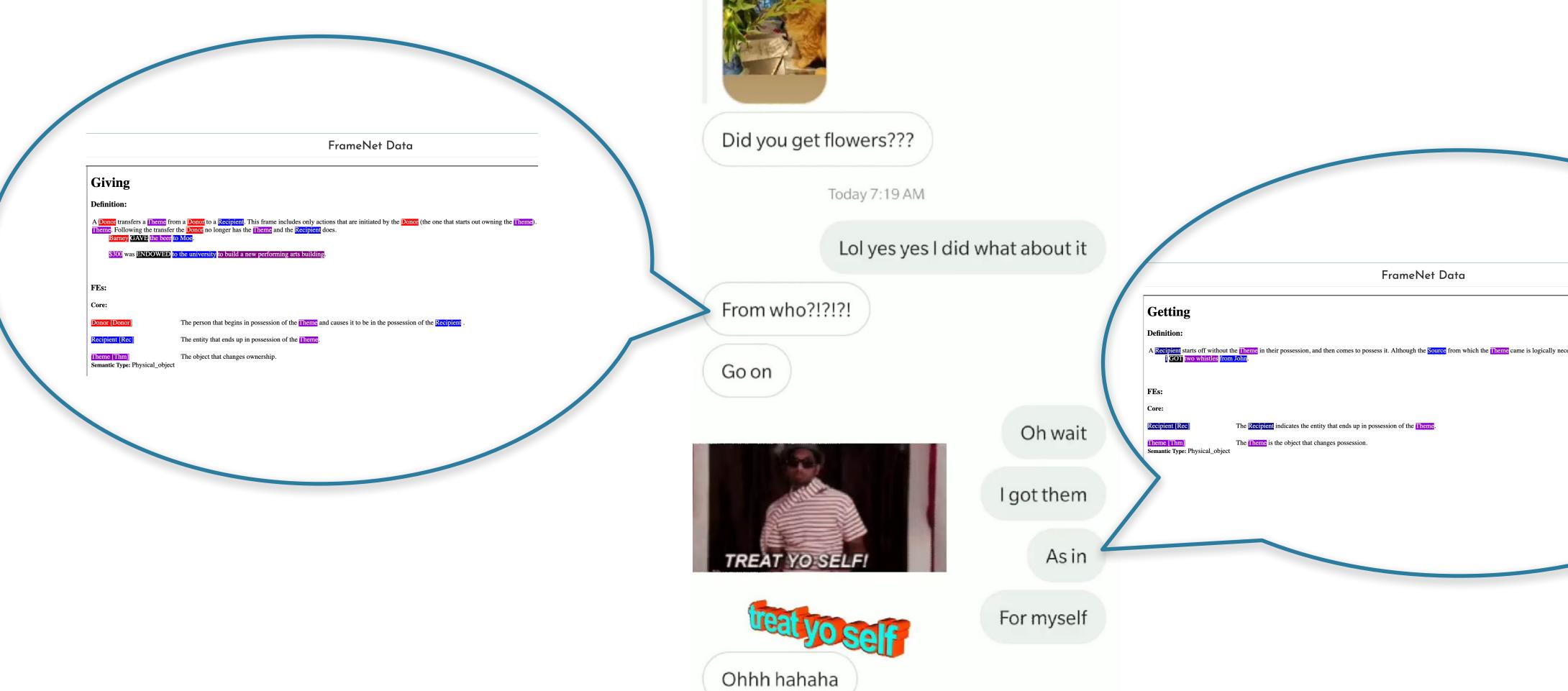
LING 571 — Deep Processing Methods in NLP
November 21, 2022
Shane Steinert-Threlkeld

Announcements

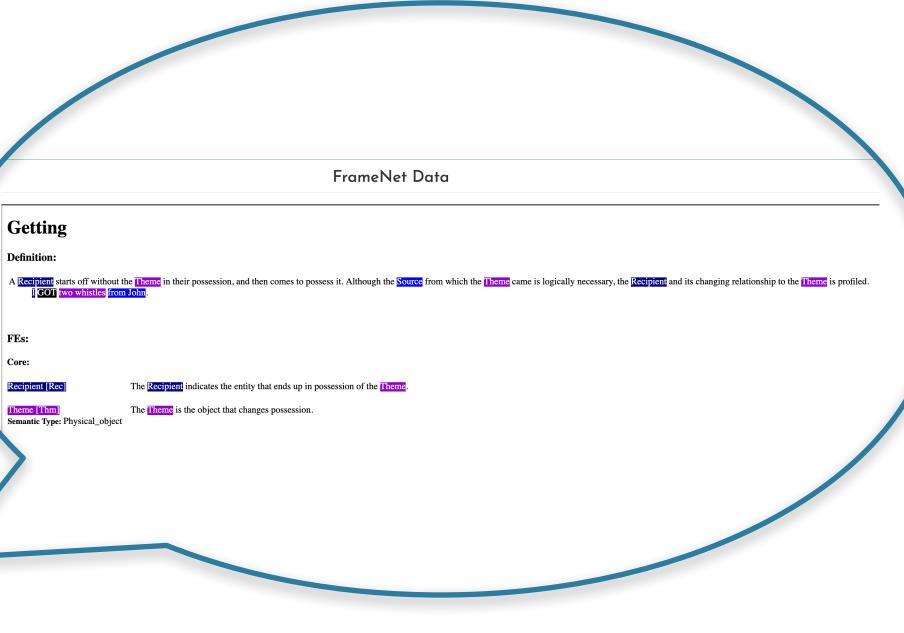
- No class Wednesday!
- Relatedly: no Shane OH on Wednesday (no Cassie OH Thursday)
- HW8: now due Nov 30
 - No HW this week; HW9 (final one) out on Nov 30







Amazing



Roadmap

- Introduction to Discourse
- Coreference Resolution
 - Phenomena
 - Pronominal Anaphora Resolution
 - Hobbs' Algorithm

Introduction to Discourse

What is Discourse?

• Discourse is "a coherent structured group of sentences." (J&M p. 681)

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• Discourse is "a coherent structured group of sentences." (J&M p. 681)

- Discourse is language in situ
 - rather than synthetic, isolated sentences.
 - language use toward a goal

Different Parameters of Discourse

- Number of participants
 - Single author/voice → Monologue
 - Multiple participants → Dialogue

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Different Parameters of Discourse

- Number of participants
 - Single author/voice → Monologue
 - Multiple participants → Dialogue
- Modality
 - Spoken vs. Written
- Goals
 - Transactional (message passing) vs. Interactional (relations, attitudes)
 - Cooperative task-oriented rational interaction

Understanding depends on context

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 - Word sense plant

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 - Intention Do you have the time?

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 - Referring expressions it, that, the screen

- Understanding depends on context
 - Word sense plant
 - Intention Do you have the time?
 - Referring expressions it, that, the screen
 - Domain restriction "All of the students read the announcement."

- Applications: Discourse in NLP
 - Question-Answering
 - Information Retrieval
 - Summarization
 - Dialogue / Conversational Al
 - Automatic Essay Grading

User: Where is A Bug's Life playing in Summit?

System: A Bug's Life is playing at the Summit Theater.

User: When is it playing there?

System: It's playing at 2PM, 5PM, and 8PM.

- Knowledge sources:
 - Domain Knowledge

User: Where is A Bug's Life playing in Summit?

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 - Domain Knowledge
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- Knowledge sources:
 - Domain Knowledge
 - Discourse Knowledge
 - World Knowledge

User: Where is A Bug's Life playing in Summit?

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Not All Sentences Are Created Equal

• First Union Corp. is continuing to wrestle with severe problems.^[1]
According to industry insiders at PW, their president, John R. Georgius, is planning to announce his retirement tomorrow.^[2]

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 According to industry insiders at PW, their president, John R. Georgius, is planning to announce his retirement tomorrow.^[2]
- Summary:
 - First Union President John R. Georgius is planning to announce his retirement tomorrow.

Not All Sentences Are Created Equal

- First Union Corp. is continuing to wrestle with severe problems.^[1]
 According to industry insiders at PW, their president, John R. Georgius, is planning to announce his retirement tomorrow.^[2]
- Summary:
 - First Union President John R. Georgius is planning to announce his retirement tomorrow.
- Inter-sentence coherence relations:
 - Second sentence: main concept (nucleus)
 - First sentence: background

John hid Bill's car keys. He was drunk.

John hid Bill's car keys. He was drunk. John hid Bill's car keys. He likes spir ich.

Why is this odd?

- Why is this odd?
 - No obvious relation between sentences

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 - Breaks our assumption as readers that information presented in discourse is relevant
- How is the first pair related?
 - statement explanation/cause
- Assumption: utterances should have meaningful connection
 - Establish through coherence relations

John hid Bill's car keys. He was drunk. John hid Bill's car keys. He likes sinach.

Assumption

- Segments of discourse should have meaningful connection.
- Establish through coherence relations

Discourse: Looking Ahead

Discourse: Looking Ahead

Coreference

Discourse: Looking Ahead

Coreference

Cohesion

Discourse: Looking Ahead

Coreference

Cohesion

Coherence

Discourse: Looking Ahead

Coreference

Cohesion

Coherence

Structure / Segmentation

Coreference Resolution

• referring expression: (refexp)

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 - An expression that picks out entity (*referent*) in some knowledge model

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 - Queen Elizabeth, her, the Queen
 - Logue, a renowned speech therapist

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 - An expression that picks out entity (*referent*) in some knowledge model
 - Referring expressions used for the same entity corefer
 - Queen Elizabeth, her, the Queen
 - Logue, a renowned speech therapist
 - Entities in purple do not corefer to anything.

• Antecedent:

- An expression that introduces an item to the discourse for other items to refer back to
- Queen Elizabeth... her

- Anaphora: An expression that refers back to a previously introduced entity.
 - cataphora: Introduction of expression before referent:
 - "Even before she saw it, Dorothy had been thinking about..."

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 - cataphora: Introduction of expression before referent:
 - "Even before she saw it, Dorothy had been thinking about..."

*Not all anaphora is referential! e.g. "No dancer hurt their knee."

- Many forms:
 - Queen Elizabeth
 - she/her
 - the Queen
 - HRM
 - the British Monarch

• Queen Elizabeth – she/her – the Queen – HRM – the British Monarch

"Correct" form depends on discourse context

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- "Correct" form depends on discourse context
 - she, her presume prior mention or presence in the world

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 - the Queen presumes an Anglocentric geopolitical discourse context generally or the UK (or British Commonwealth) specifically

Queen Elizabeth – she/her – the Queen – HRM – the British Monarch

- "Correct" form depends on discourse context
 - she, her presume prior mention or presence in the world
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(...i.e. likely a different interpretation during a RPDR viewing party.)

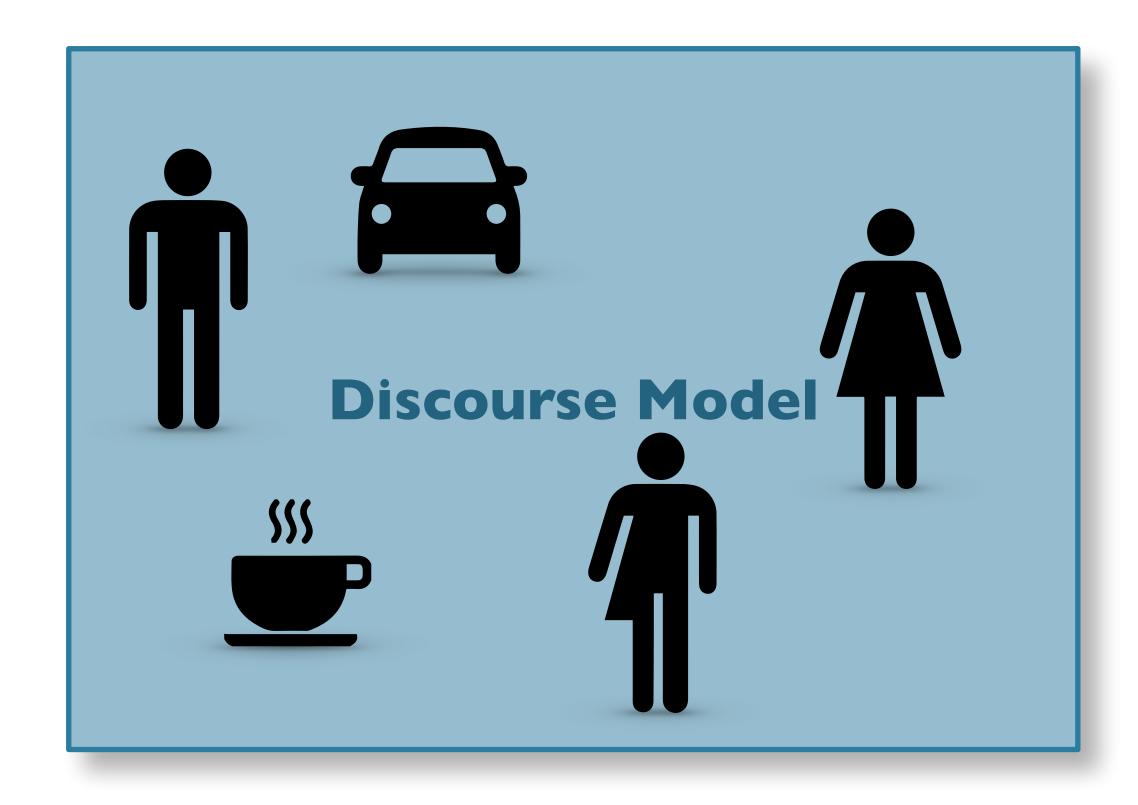
- Correct interpretation of reference requires Discourse Model
 - Entities referred to in the discourse
 - Relationships of these entities

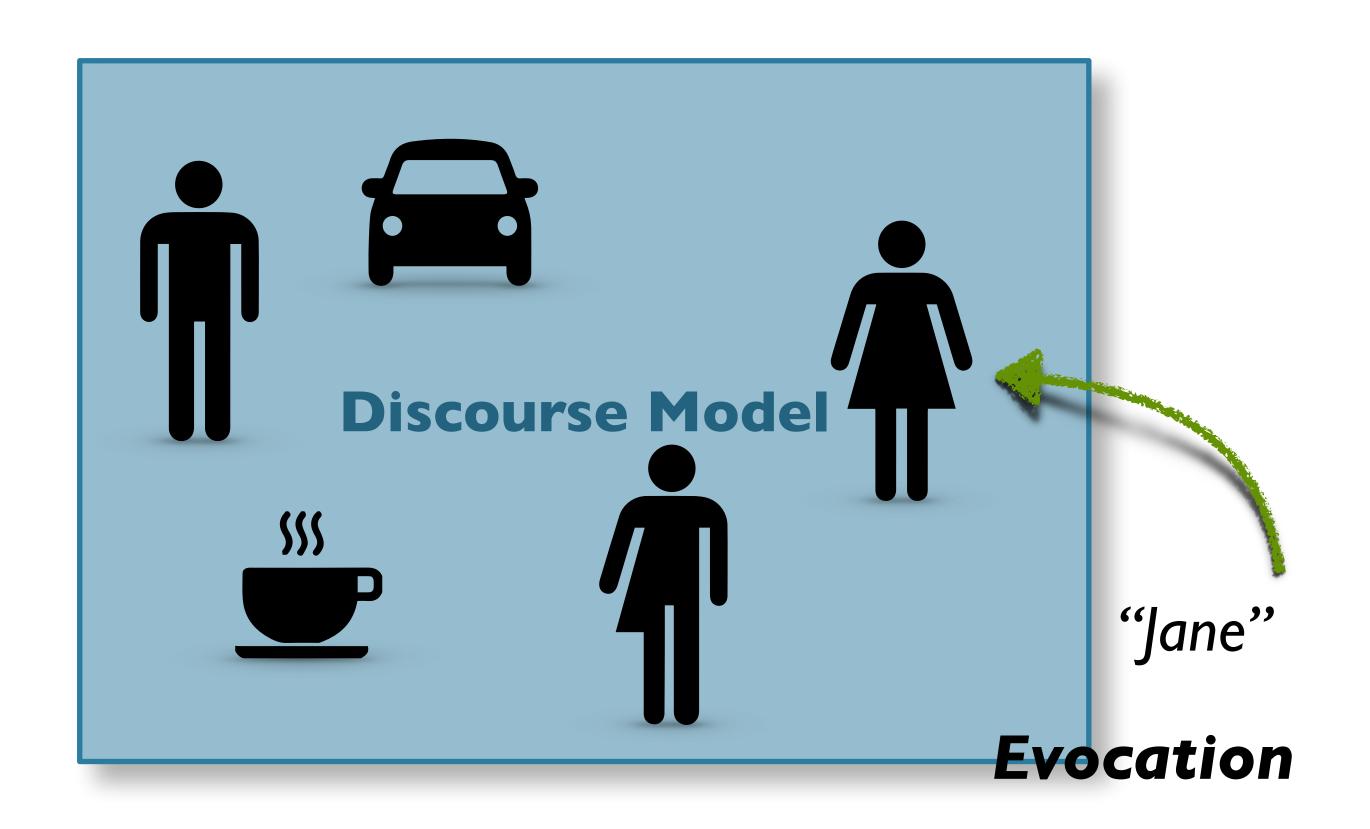
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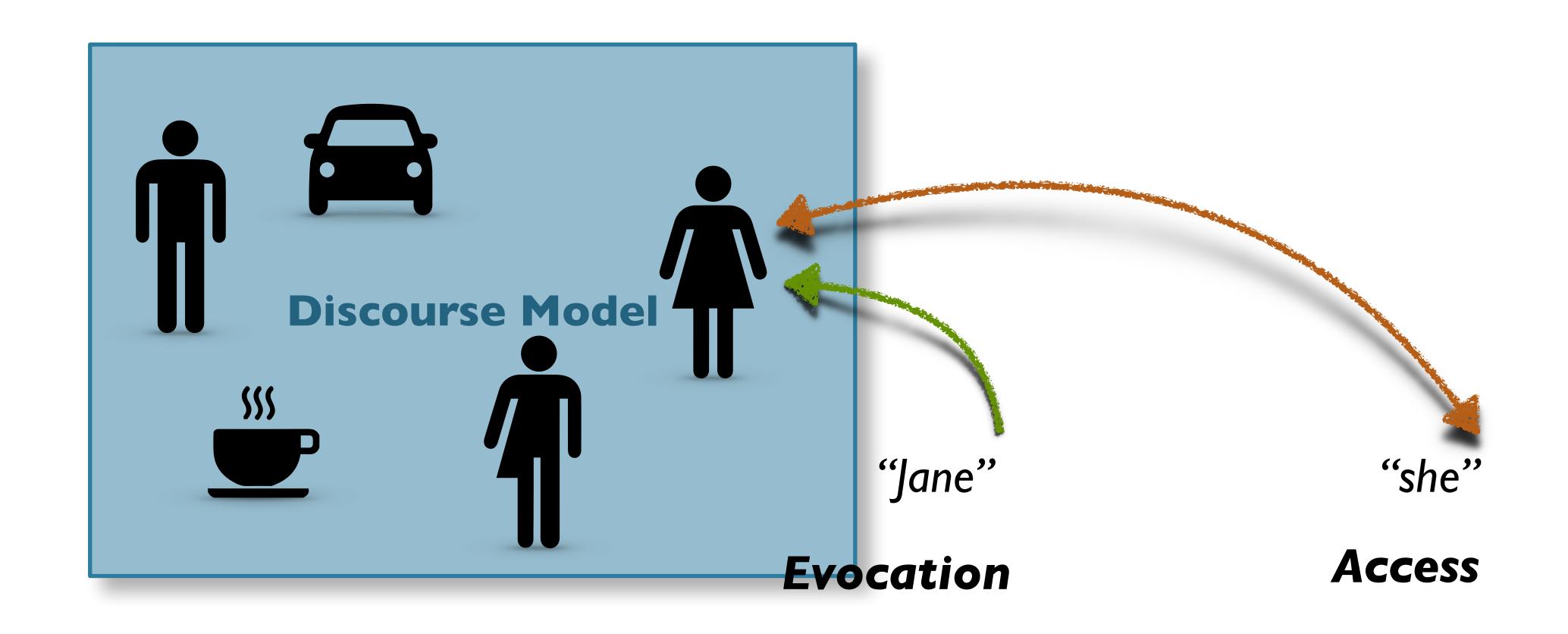
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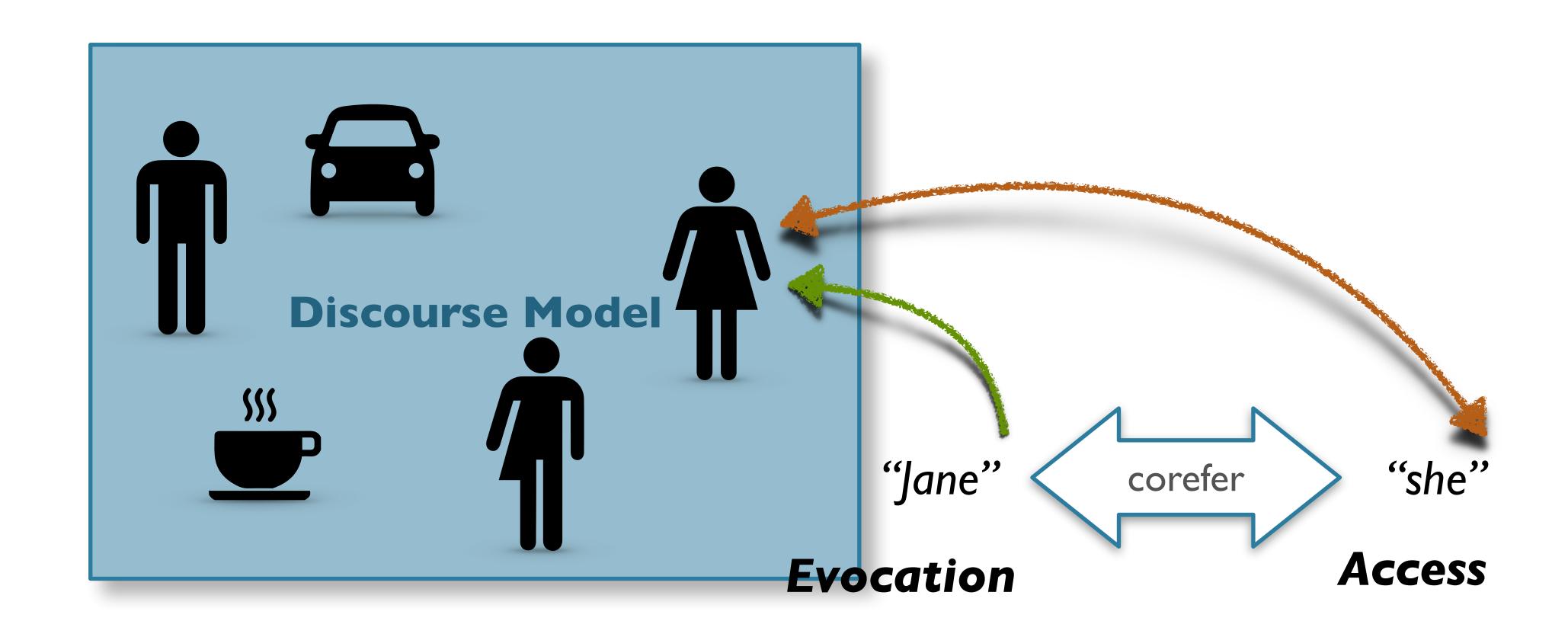
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 - ["introduces a discourse referent (dref)"]

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 - Entities referred to in the discourse
 - Relationships of these entities
- Need way to construct, update model
 - First mention of entity evokes entity into model
 - ["introduces a discourse referent (dref)"]
 - Subsequent mentions access entity from the model.









Reference Tasks

- Coreference resolution:
 - Find all expressions referring to the same entity in a text.
 - A set of coreferring expressions is a coreference chain.

Reference Tasks

Coreference resolution:

- Find all expressions referring to the same entity in a text.
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Pronomial anaphora resolution:

- Find antecedent for a single pronoun.
- Subtask of coreference resolution

Pronominal Anaphora Resolution

Reference Phenomena

Expression Type	Examples	Constraints
Indefinite NP	"a cat", "some geese"	Introduces new entity to context
Definite NP	"the dog"	Refers to entity identifiable by hearer in context
Pronouns	"he," "them," "they"	Refers to entity, must be "salient"
Demonstratives	"this," "that"	Refers to entity, sense of distance (literal/figurative)
Names	"Dr. Woodhouse," "IBM"	New or old entities

Reference Phenomena: Activation/Salience

a) John went to Erin's party, and parked next to a classic Ford Falcon.

Reference Phenomena: Activation/Salience

- a) John went to Erin's party, and parked next to a classic Ford Falcon.
- b) He went inside and talked to Erin for more than an hour.

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• d) is problematic because the Falcon has lost its salience.

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- d) ?? She also said that she bought it yesterday.
 - e) She also said that she bought the Falcon yesterday.

- d) is problematic because the Falcon has lost its salience.
- e) is acceptable because the definite NP has a further range for salience.

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- Other expressions refer to previous referents (ex: Pronouns)

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```
in focus >
it
```

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```
in focus > activated >
it     this
     that
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```
in focus > activated > familiar > identifiable > referential > identifiable
it this that N the N indef. this N a N
that
this N
```

- Accessibility scale: (Ariel, 2001)
 - More salient elements easier to call up, can be shorter
 - correlates with length: more accessible, shorter refexp

```
Full name+modifier
             ↓full name
     ↓long definite description
    $\prec$short definite description
            ↓last name
            ↓first name
  1 distal demonstrative+modifier
↓proximate demonstrative+modifier
     ↓distal demonstrative+NP
  ↓proximate demonstrative+NP
    ↓distal demonstrative(-NP)
 ↓proximate demonstrative (-NP)
    $\draw{\text{stressed pronoun+gesture}}$
        ↓stressed pronoun
       ↓unstressed pronoun
        ↓cliticized pronoun
     ↓verbal person inflections
```

Complicating Factors

- Inferrables
 - refexp refers to inferentially related entity:
 - I bought a car today, but a door had a dent, and the engine was noisy.
 - a door, the engine ∈ a car

Complicating Factors

Inferrables

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• Generics:

- I want to buy a Jaguar. They are very stylish.
- General group evoked by instance.

Complicating Factors

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 - a door, the engine ∈ a car

• Generics:

- I want to buy a Jaguar. They are very stylish.
- General group evoked by instance.

Non-referential cases:

- It's raining. (Pleonasm)
- It was good that Frodo carried the ring. (Extraposition)

- Number:
 - Anjali has a Corvette. *They are red. It is red.

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- Person:
 - 1st: I, we 2nd: you, y'all 3rd: he, she, it, they

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 - Anjali has a Corvette. *They are red. It is red.
- Person:
 - 1st: I, we 2nd: you, y'all 3rd: he, she, it, they
- Gender:
 - Janae plays the guitar. She sounds great.
 - Janae plays the guitar. It sounds great.

- Binding Theory
 - How to handle reflexive pronouns vs. nonreflexives
 - Aaron bought themself a new car.

Binding Theory

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[them # Aaron]

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 [them ≠ Aaron]
 - Jen said that Imani bought herself a new car. [herself = Imani]

[them \(\neq \) Aaron]

Binding Theory

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Binding Theory

- How to handle reflexive pronouns vs. nonreflexives
 - Aaron bought themself a new car.
 - Aaron bought them a new car.
 - Jen said that Imani bought herself a new car.
 - Jen said that Imani bought her a new car.
 - He₁ said that he₂ bought Willie a new car.

```
[them # Aaron]
```

```
[herself = Imani]
```

[her ≠ Imani]

[He₁ ≠ Willie, he₂ ≠ Willie]

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- Pronoun/Def. NP: can't corefer with subject of clause
 - Reflexives do corefer with subject of containing clause

Recency:

- Prefer closer antecedents.
- The doctor found an old map in the captain's chest. Jim found an even older map on the shelf. It described an island.

• Recency:

- Prefer closer antecedents.
- The doctor found an old map in the captain's chest. Jim found an even older map on the shelf. It described an island.

Grammatical role:

- Saliency hierarchy of roles
- e.g. Subj > Object > Ind. Object > Oblique > AdvP
 - Billy Bones went to the bar with Jim Hawkins.
 - Jim Hawkins went to the bar with Billy Bones.

He called for a glass of rum.

He called for a glass of rum.

Repeated Mention:

- Once entity is focused, likely to continue to be focused → more likely pronomialized.
 - Billy Bones had been thinking of a glass of rum. He hobbled over to the bar. Jim Hawkins went with him. He called for a glass of rum.

Repeated Mention:

- Once entity is focused, likely to continue to be focused → more likely pronomialized.
 - Billy Bones had been thinking of a glass of rum. He hobbled over to the bar. Jim Hawkins went with him. He called for a glass of rum.

Parallelism:

- Prefer entity in same role.
- Silver went with Jim to the bar. Billy Bones went with him to the inn.

Verb Semantics

Some verbs semantically bias for one of their argument positions.

```
John telephoned Bill. He had lost the laptop.
```

John criticized Bill. He had lost the laptop.

Verb Semantics

Some verbs semantically bias for one of their argument positions.

John telephoned Bill. He had lost the laptop.

John criticized Bill. He had lost the laptop.

Selectional Restrictions

- Other kinds of semantic knowledge
 - John parked his car in the garage after driving it around for hours.
 - Understood that a car has the ability to drive whereas garage does not.

Reference Resolution Approaches

- Common features:
 - Use of a "Discourse Model"
 - Referents evoked in discourse, available for reference
 - Structure indicating relative salience
 - Syntactic & Semantic Constraints
 - Syntactic & Semantic Preferences
- Differences:
 - Which constraints/preferences? How to combine? Rank?

Hobbs' Algorithm

Hobbs' Resolution Algorithm

• Requires:

- Syntactic parser
- Gender & number checker

• Input:

- Pronoun
- Parse of current and previous sentences

Captures:

- Preferences: Recency, grammatical role
- Constraints: binding theory, gender, person, number

Hobbs Algorithm

- Summary:
 - English-centric, rule-based algorithm.
 - Exploits English features of:
 - Agreement
 - Right-branching
 - SVO order
 - Inter-sententially, exploits notions of recency.

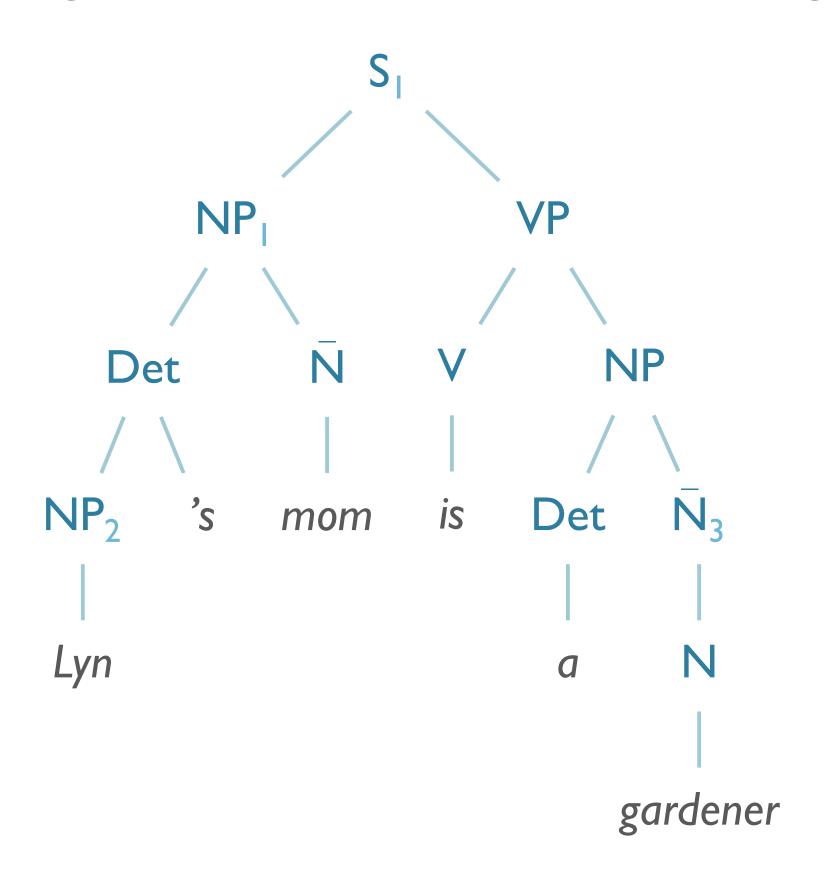
Hobbs Algorithm Detail (Hobbs, 1978)

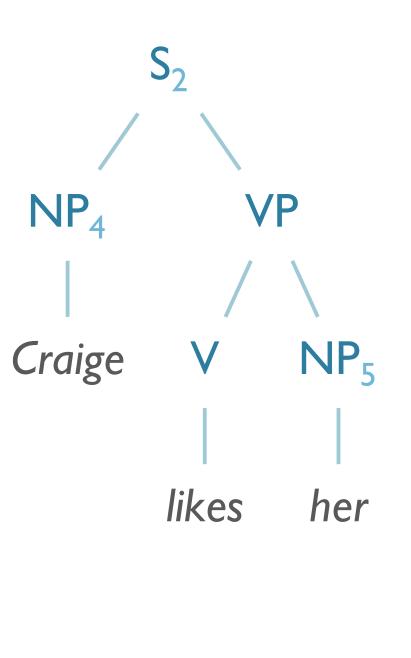
- 1. Begin at the noun phrase (NP) node immediately dominating the pronoun
- 2. Go up the tree to the first NP or sentence (S) node encountered. Call this node **X**, and call the path used to reach it *p*.
- 3. Traverse all branches below node **X** to the left of path *p* in a left-to-right, breadth-first fashion. Propose as the antecedent any encountered NP node that has an NP or S node between it and **X**.
- 4. If node **X** is the highest S node in the sentence, traverse the surface parse trees of previous sentences in the text in order of recency, the most recent first; each tree is traversed in a left-to-right, breadth-first manner, and when an NP node is encountered, it is proposed as antecedent. If X is not the highest S node in the sentence, continue to step 5.

Hobbs Algorithm Detail (Hobbs, 1978)

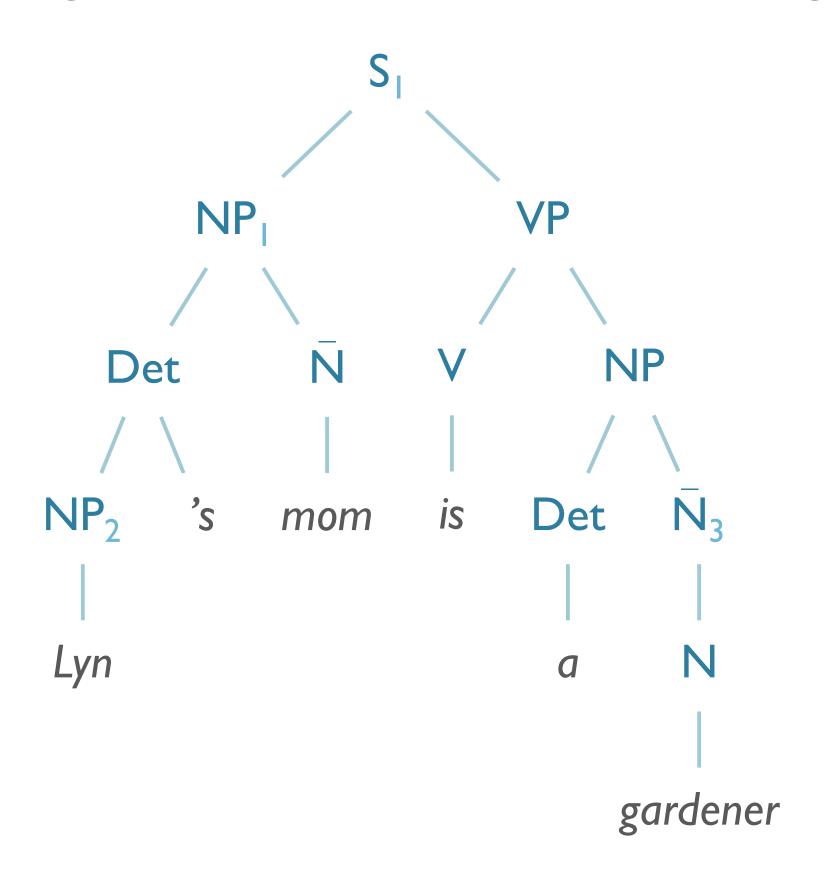
- 5. From node X, go up the tree to the first NP or S node encountered. Call this new node **X**, and call the path traversed to reach it *p*.
- 6. If **X** is an NP node and if the path *p* to **X** did not pass through the Nominal node that **X** immediately dominates, propose **X** as the antecedent.
- 7. Traverse all branches below node **X** to the *left* of path *p* in a left-to-right, breadth-first manner. Propose any NP node encountered as the antecedent.
- 8. If **X** is an S node, traverse all branches of node **X** to the *right* of path *p* in a leftto-right, breadth-first manner, but do not go below any NP or S node encountered. Propose any NP node encountered as the antecedent.
- 9. Go to step 4.

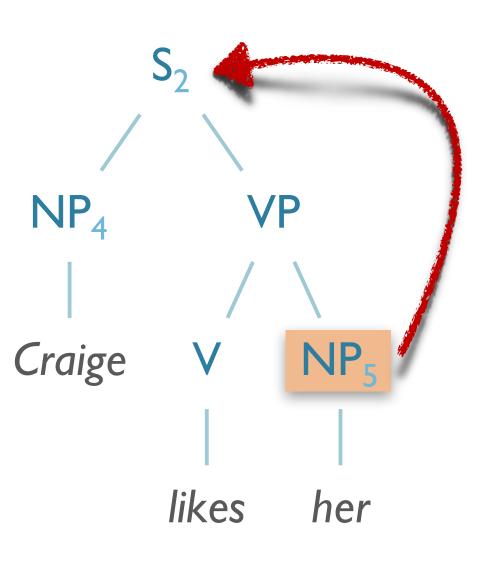
Lyn's mom is a gardener.



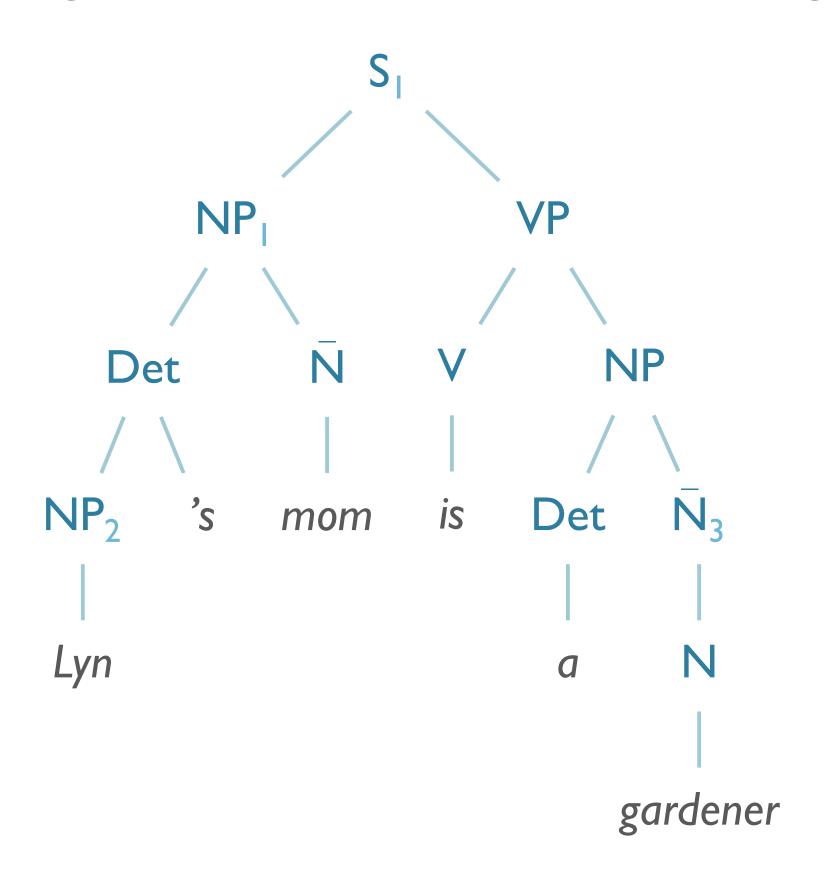


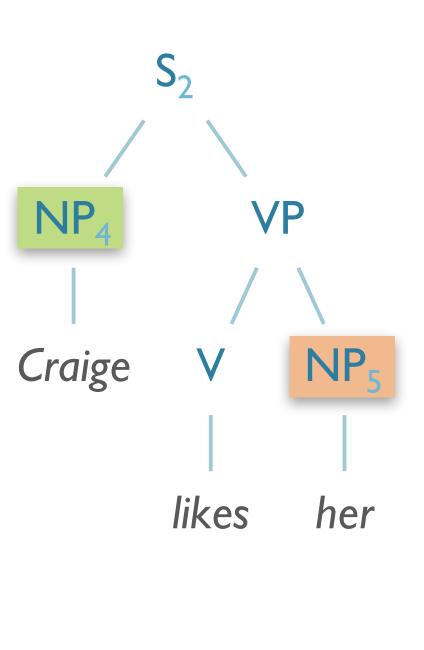
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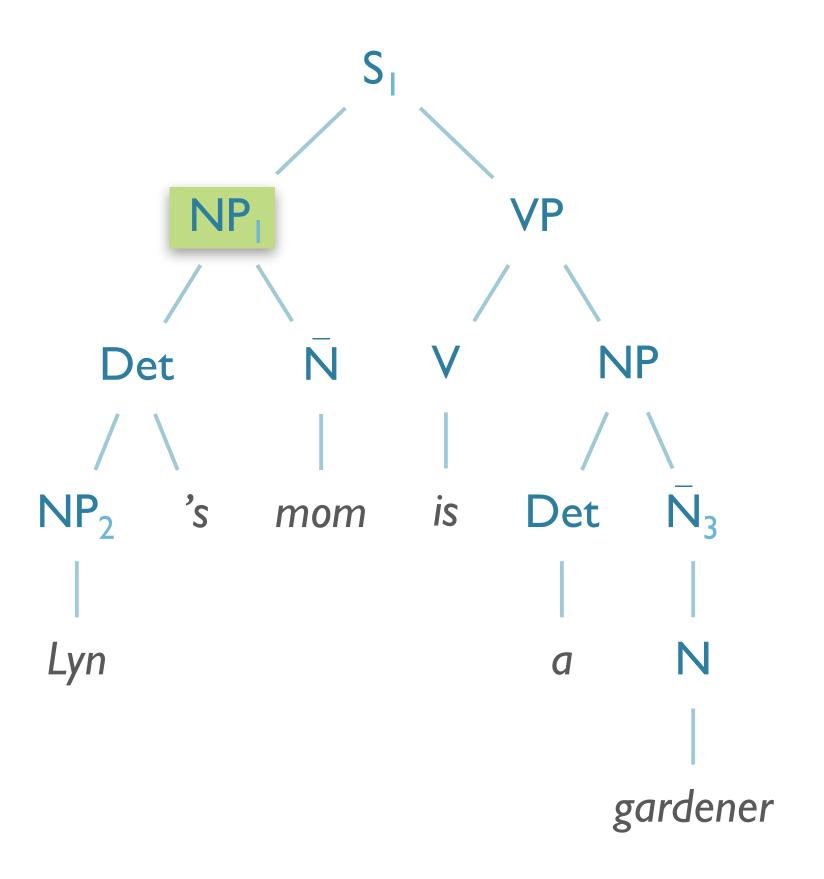


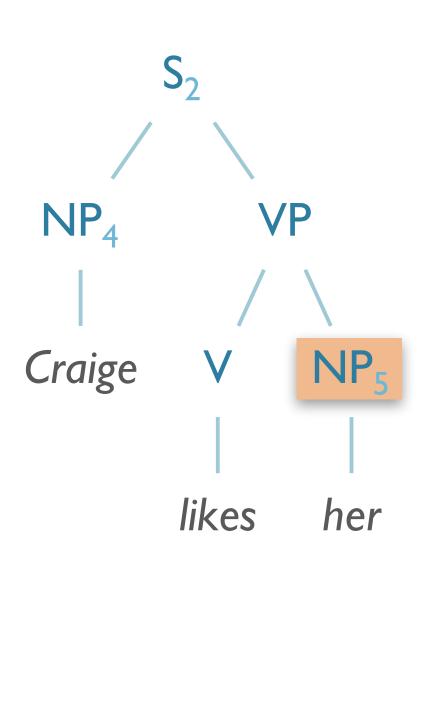
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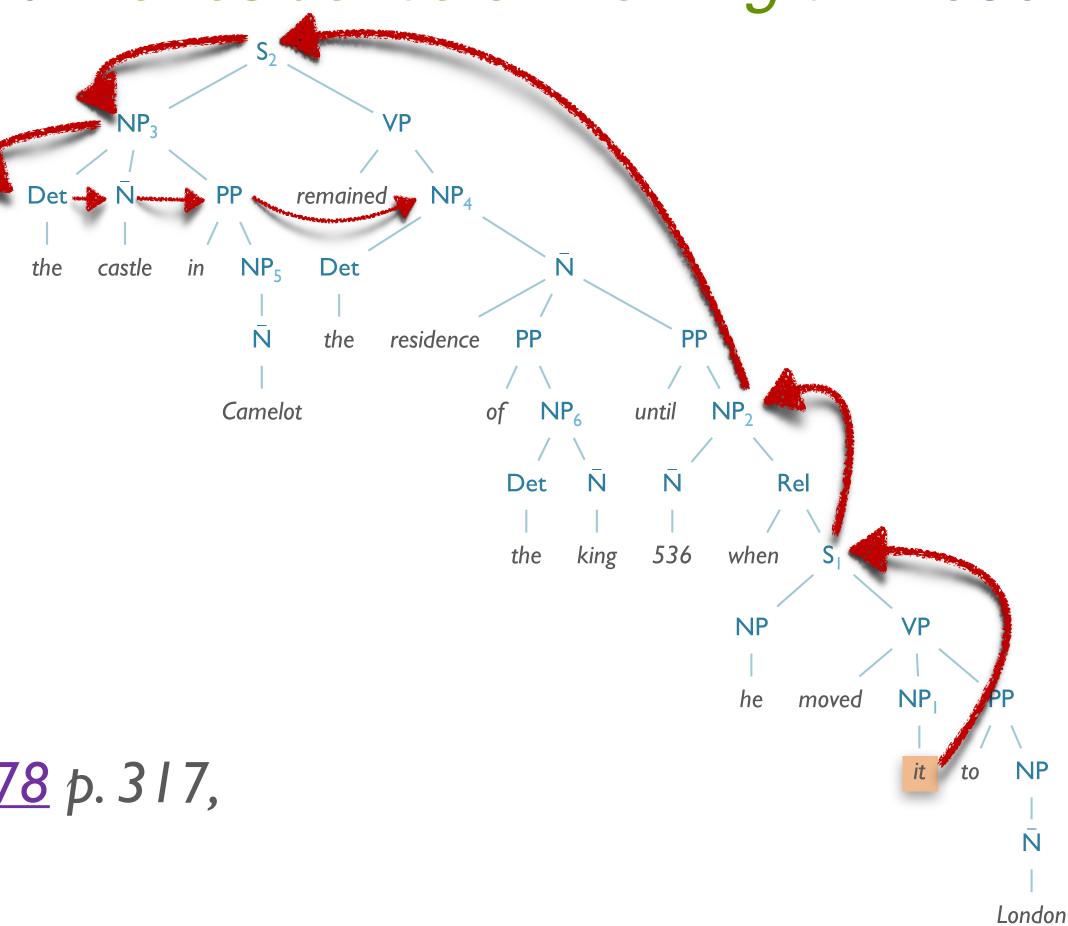




Another Hobbs Example

...the castle in Camelot remained the residence of the king until 536 when

he moved it to London.



for full walkthrough see <u>Hobbs</u>, <u>1978</u> p. 317, and the end of today's slides

Hobbs Algorithm

- Results: 88% Accuracy; 90% intrasentential
 - ...on perfect, manually parsed sentences
- Useful baseline for evaluating pronomial anaphora
- ssues:
- Parsing:
 - Not all languages have parsers
 - Parsers not always accurate
- Constraints/Preferences:
 - Captures: Binding theory, grammatical role, recency
 - But not: parallelism, repetition, verb semantics, selection

Hobbs Algorithm

- Other issue: does not implement world knowledge
 - The city council refused the women a permit because they feared violence.
 - The city council refused the women a permit because they advocated violence.

(Winograd, 1972)*

*more on this later

 Get this reading by knowledge of city councils and permitting, and reasons why permits would be refused.

Hobbs Algorithm: A Parable

- Was actually one of the first instances in NLP where a researcher tried an informed, if "naïve" baseline
 - ...found that (in 1972) no system he could build could beat it!
- "the naïve approach is quite good. Computationally speaking, it will be a long time before a semantically based algorithm is sophisticated enough to perform as well, and these results set a very high standard for any other approach to aim for.

"Yet there is every reason to pursue a semantically based approach. The naïve algorithm does not work. Any one can think of examples where it fails. In these cases it not only fails; it gives no indication that it has failed and offers no help in finding the real antecedent." — Hobbs (1978), Lingua, p. 345



The trophy doesn't fit into the brown suitcase because it's too small. What's too small?

the trophy

the brown suitcase



W

The trophy doesn't fit into the brown suitcase because it's too large. What's too large?

the trophy

the brown suitcase





Joan made sure to thank Susan for all the help she had given. Who had given help?

Joan

Susan





Joan made sure to thank Susan for all the help she had received. Who had received help?

Joan

Susan



• The trophy doesn't fit into the brown suitcase because it's too [small/large]. What is too [small/large]?

- The trophy doesn't fit into the brown suitcase because it's too [small/large]. What is too [small/large]?
 - Answers: The suitcase/the trophy.

- The trophy doesn't fit into the brown suitcase because it's too [small/large]. What is too [small/large]?
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- Joan made sure to thank Susan for all the help she had [given/received]. Who had [given/received] help?

- The trophy doesn't fit into the brown suitcase because it's too [small/large]. What is too [small/large]?
 - Answers: The suitcase/the trophy.
- Joan made sure to thank Susan for all the help she had [given/received]. Who had [given/received] help?
 - Answers: Susan/Joan.

- The trophy doesn't fit into the brown suitcase because it's too [small/large]. What is too [small/large]?
 - Answers: The suitcase/the trophy.
- Joan made sure to thank Susan for all the help she had [given/received]. Who had [given/received] help?
 - Answers: Susan/Joan.
- Paul tried to call George on the phone, but he wasn't [successful/available]. Who was not [successful/available]?

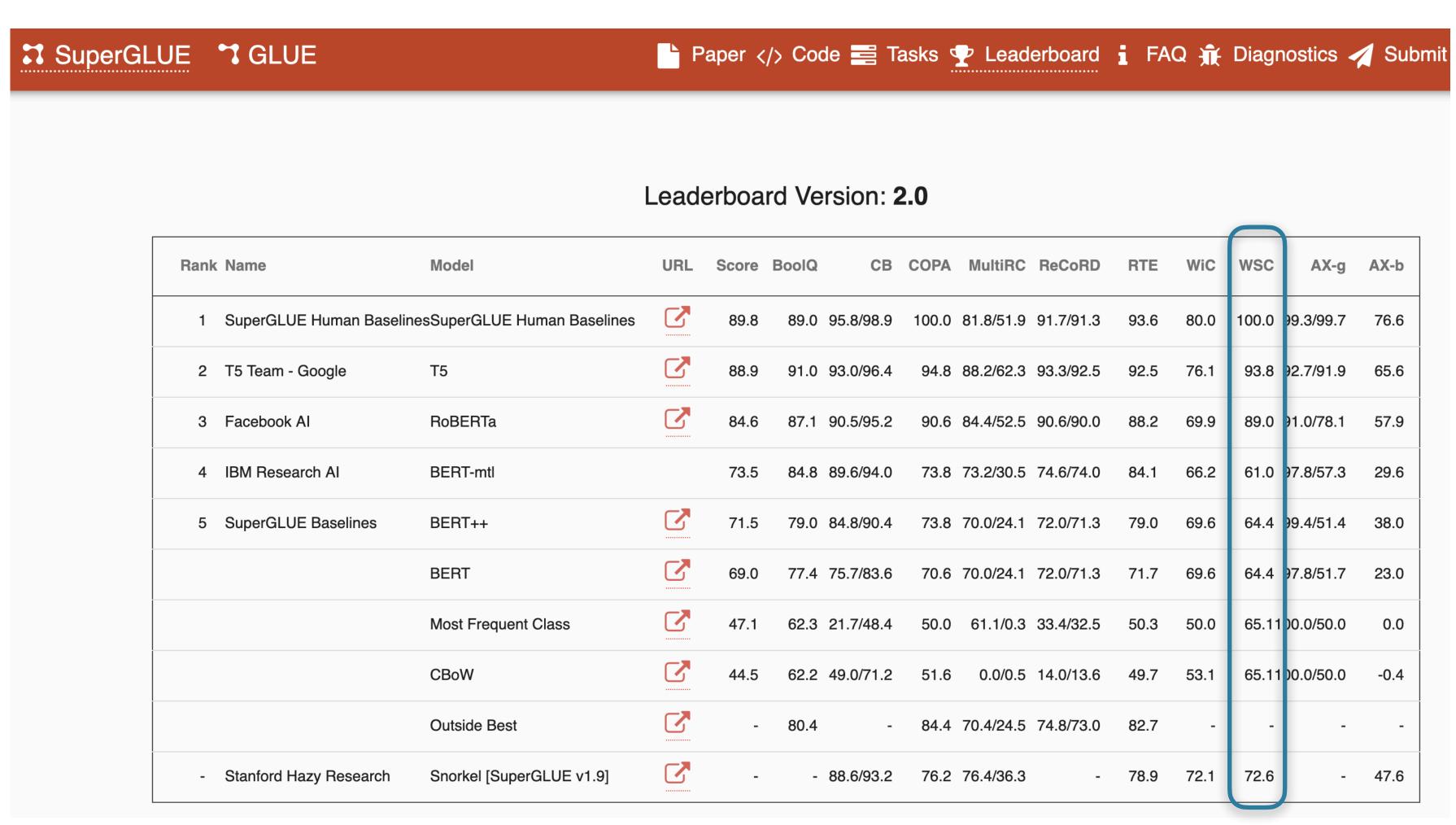
- The trophy doesn't fit into the brown suitcase because it's too [small/large]. What is too [small/large]?
 - Answers: The suitcase/the trophy.
- Joan made sure to thank Susan for all the help she had [given/received]. Who had [given/received] help?
 - Answers: Susan/Joan.
- Paul tried to call George on the phone, but he wasn't [successful/available]. Who was not [successful/available]?
 - Answers: Paul/George.

- The trophy doesn't fit into the brown suitcase because it's too [small/large]. What is too [small/large]?
 - Answers: The suitcase/the trophy.
- Joan made sure to thank Susan for all the help she had [given/received]. Who had [given/received] help?
 - Answers: Susan/Joan.
- Paul tried to call George on the phone, but he wasn't [successful/available]. Who was not [successful/available]?
 - Answers: Paul/George.
- The lawyer asked the witness a question, but he was reluctant to [answer/repeat] it. Who was reluctant to [answer/repeat] the question?

- The trophy doesn't fit into the brown suitcase because it's too [small/large]. What is too [small/large]?
 - Answers: The suitcase/the trophy.
- Joan made sure to thank Susan for all the help she had [given/received]. Who had [given/received] help?
 - Answers: Susan/Joan.
- Paul tried to call George on the phone, but he wasn't [successful/available]. Who was not [successful/available]?
 - Answers: Paul/George.
- The lawyer asked the witness a question, but he was reluctant to [answer/repeat] it. Who was reluctant to [answer/repeat] the question?
 - Answers: The witness/the lawyer.

Winograd Schema Challenge

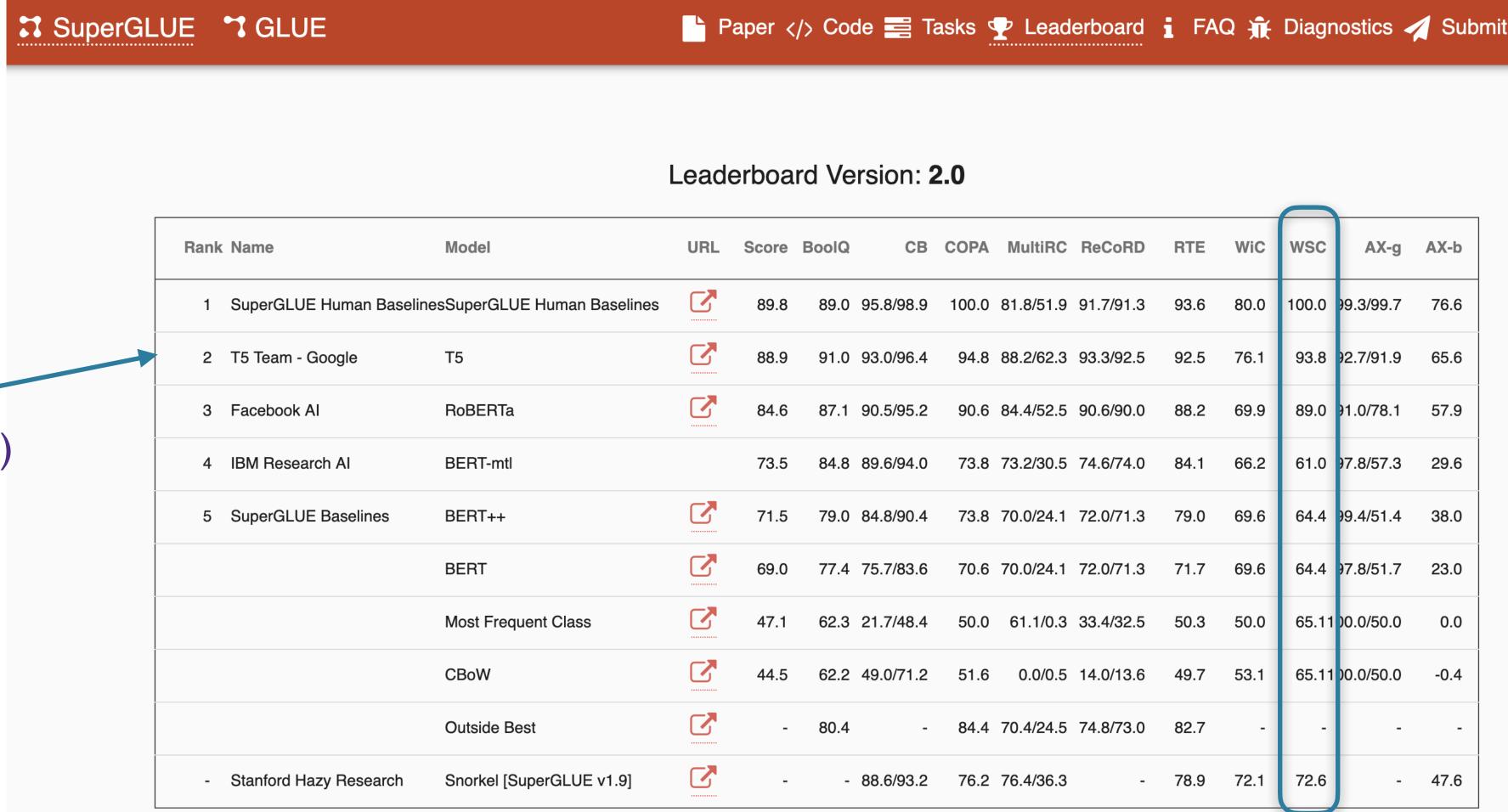
- Still hard!
 - WSC
 - Winogrande



Winograd Schema Challenge

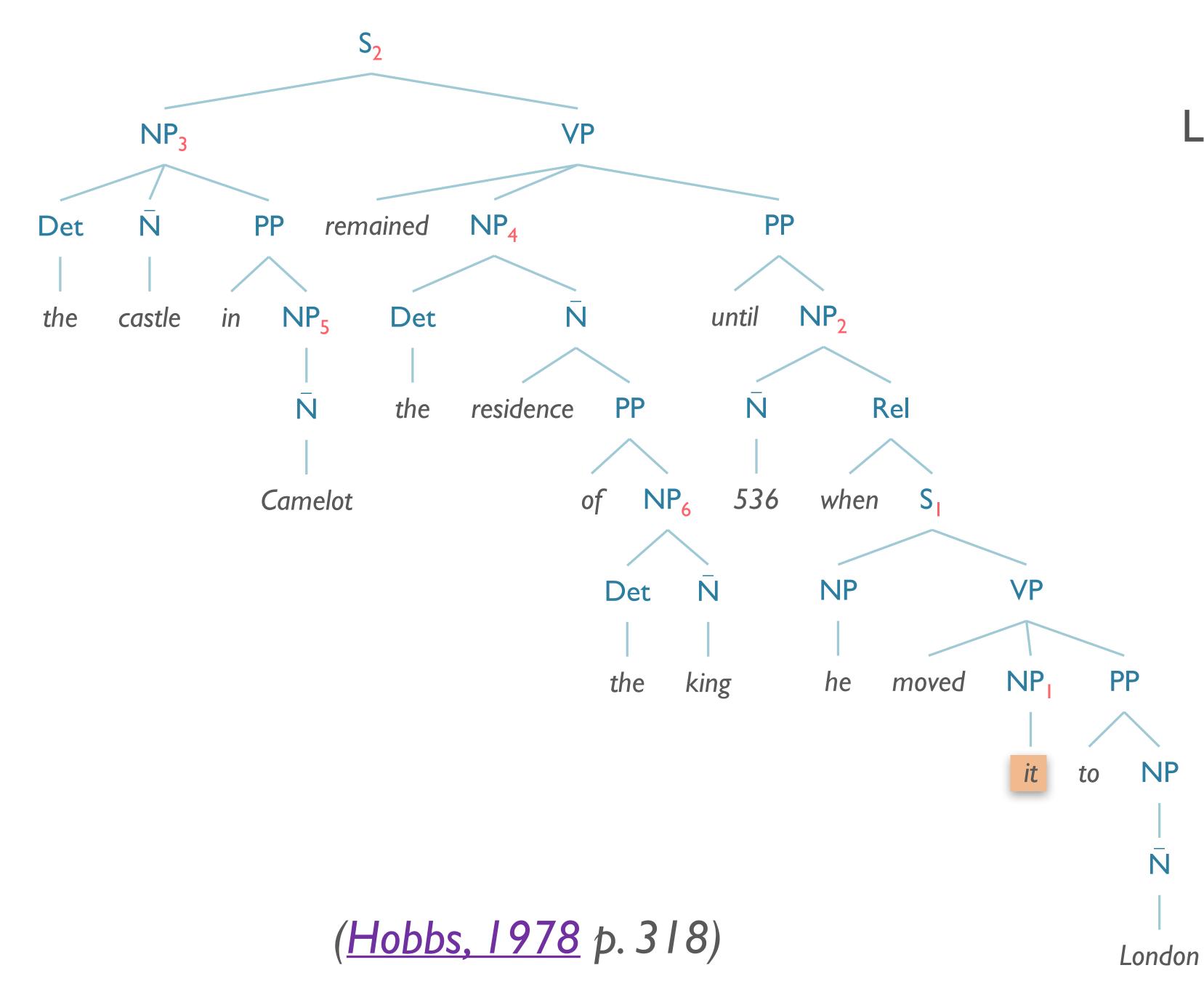
- Still hard!
 - WSC
 - Winogrande

Heavily supervised (benchmark "saturated" now)

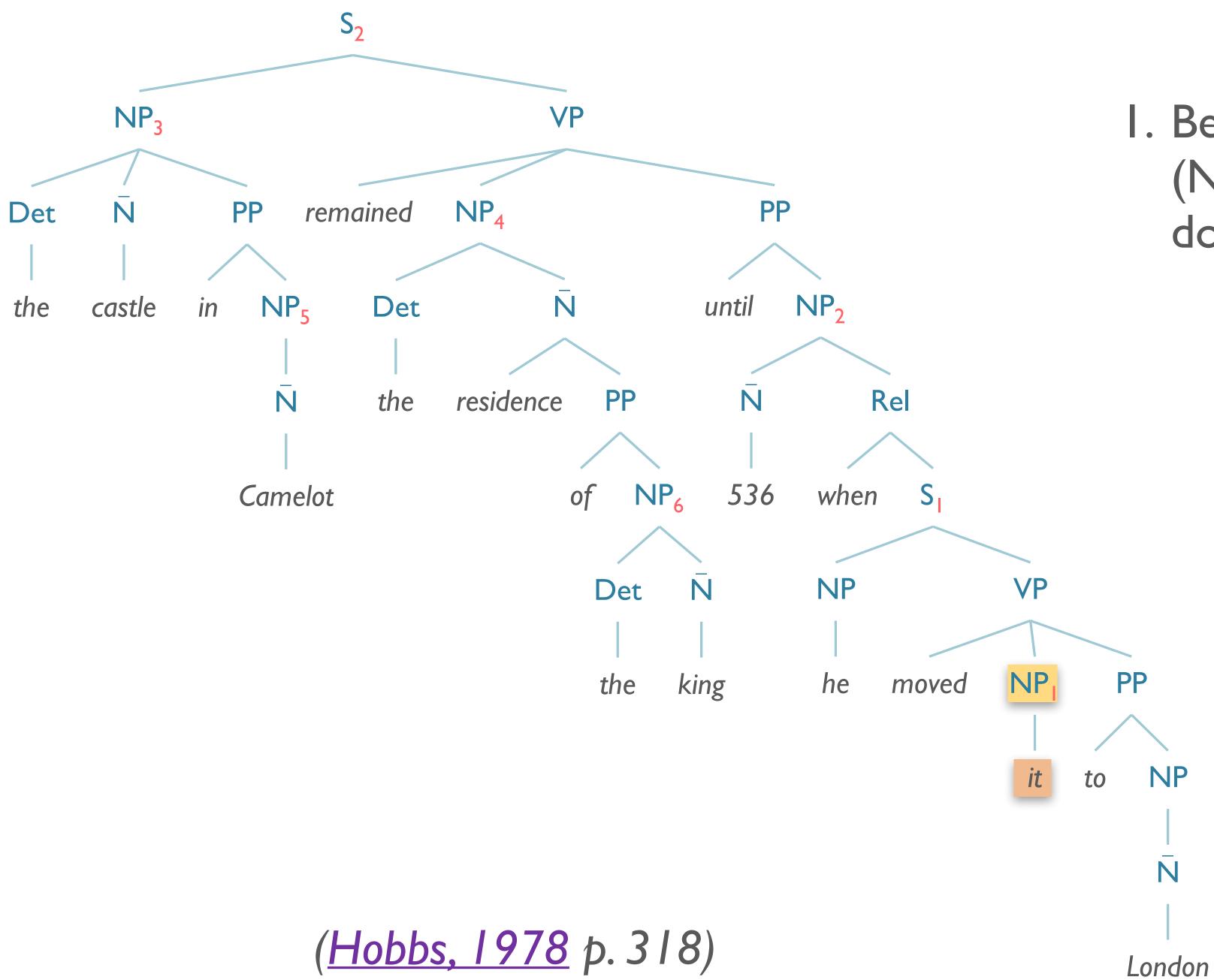


Hobbs Algorithm Walkthrough

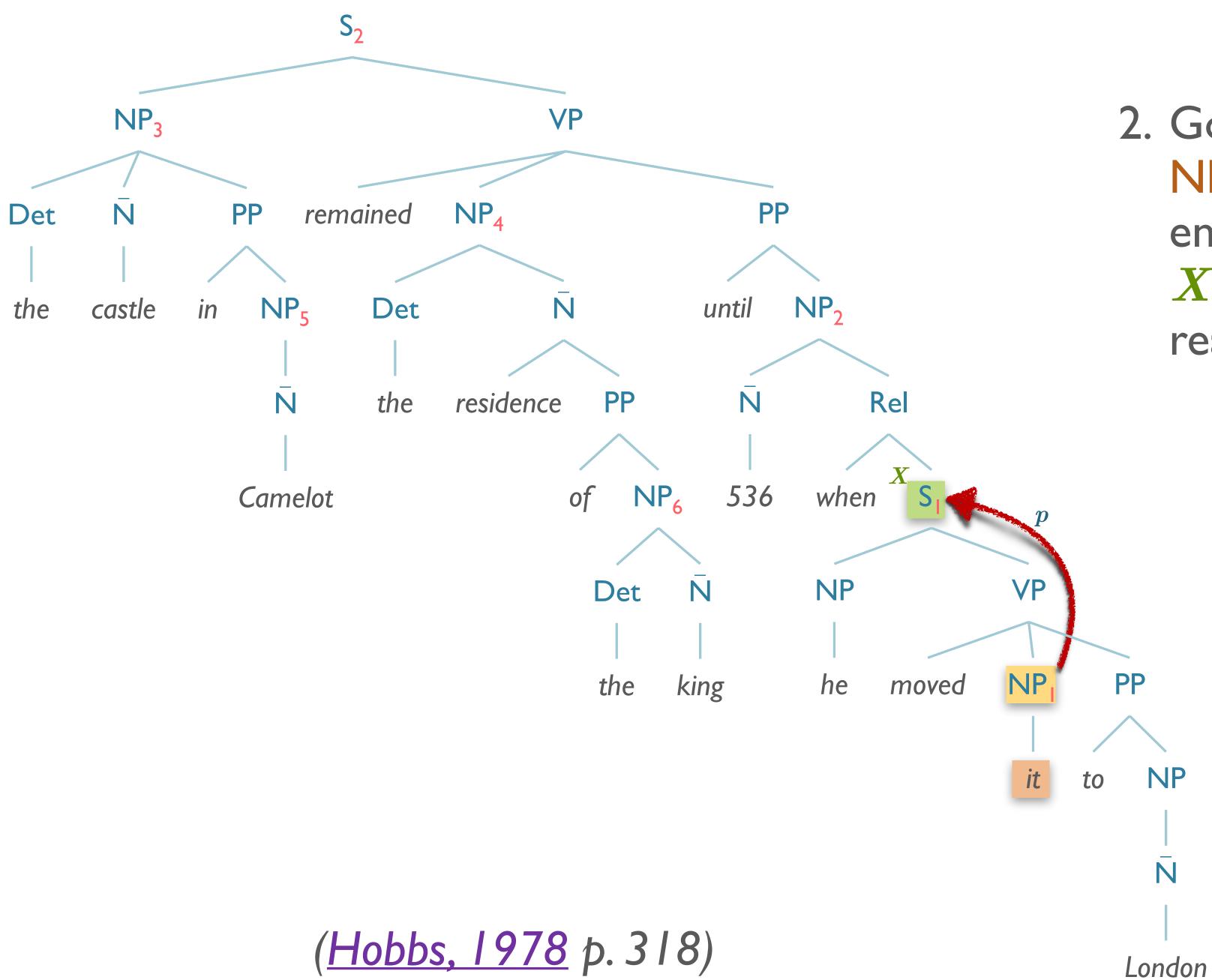
(h/t Ryan Georgi)



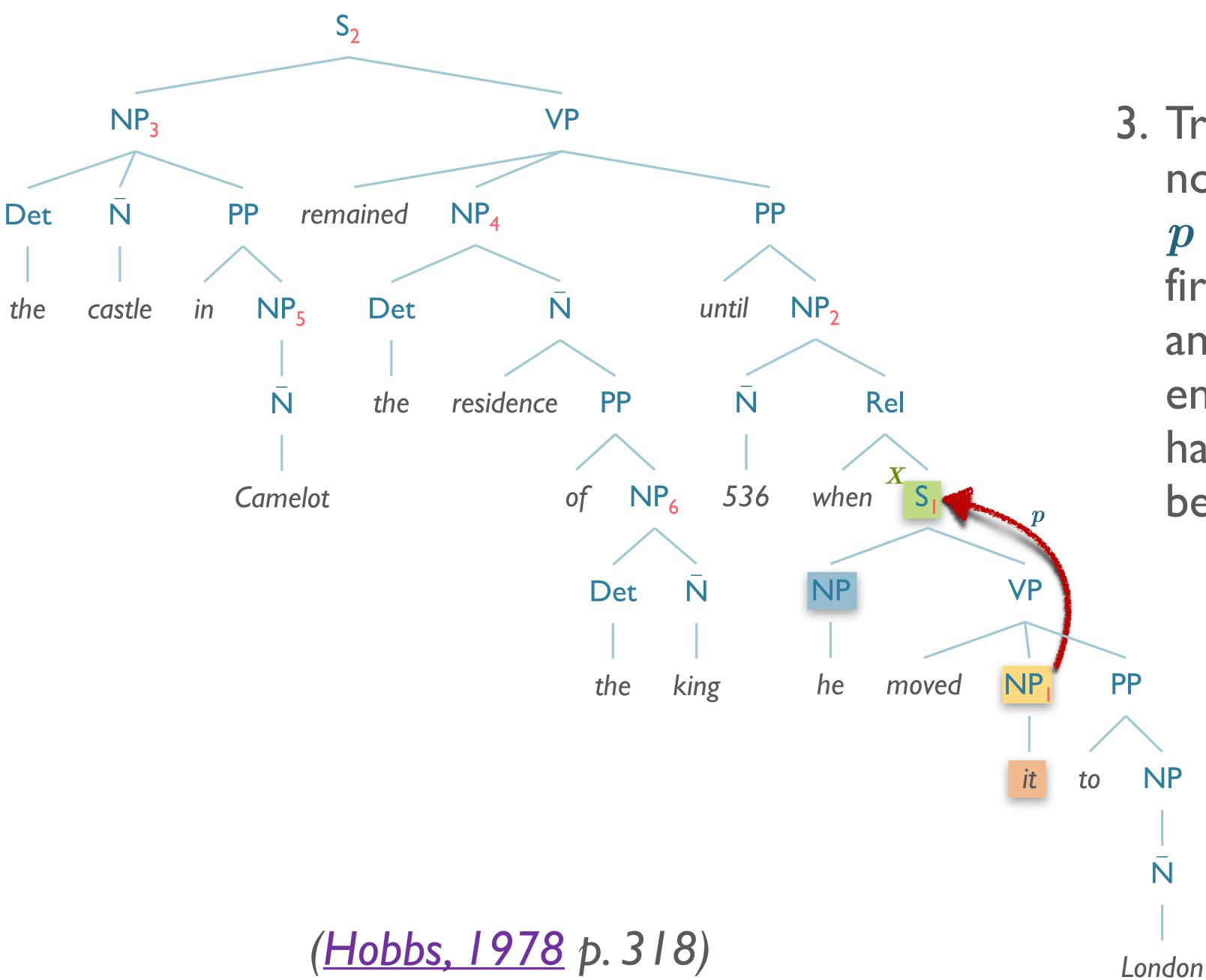
Let's figure out what the antecedent for "it" is



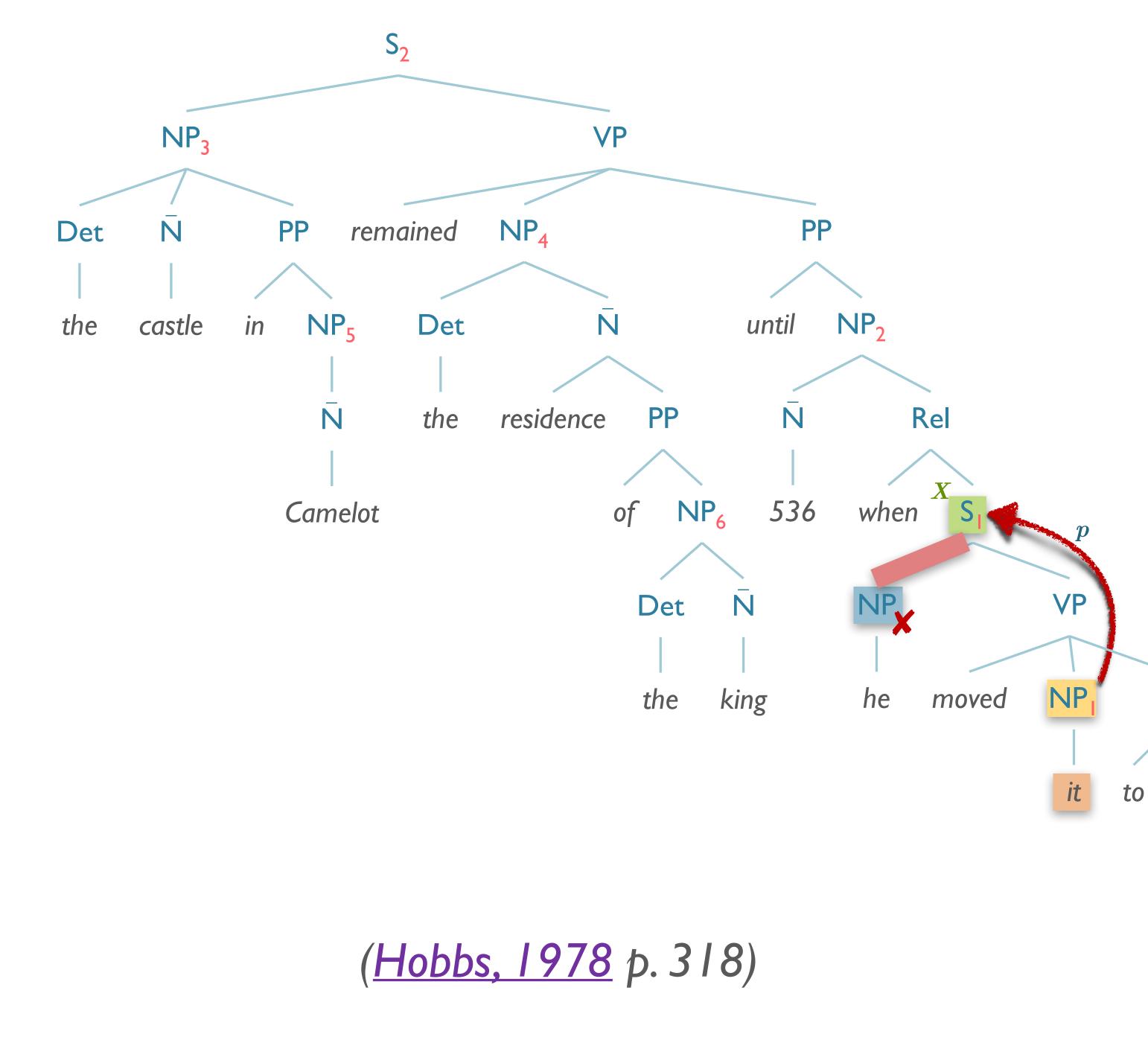
 Begin at the noun phrase (NP) node immediately dominating the pronoun



Go up the tree to the first NP or sentence (S) node encountered. Call this node X, and call the path used to reach it p.



3. Traverse all branches below node X to the left of path p in a left-to-right, breadth-first fashion. Propose as the antecedent any encountered NP node that has an NP or S node between it and X.

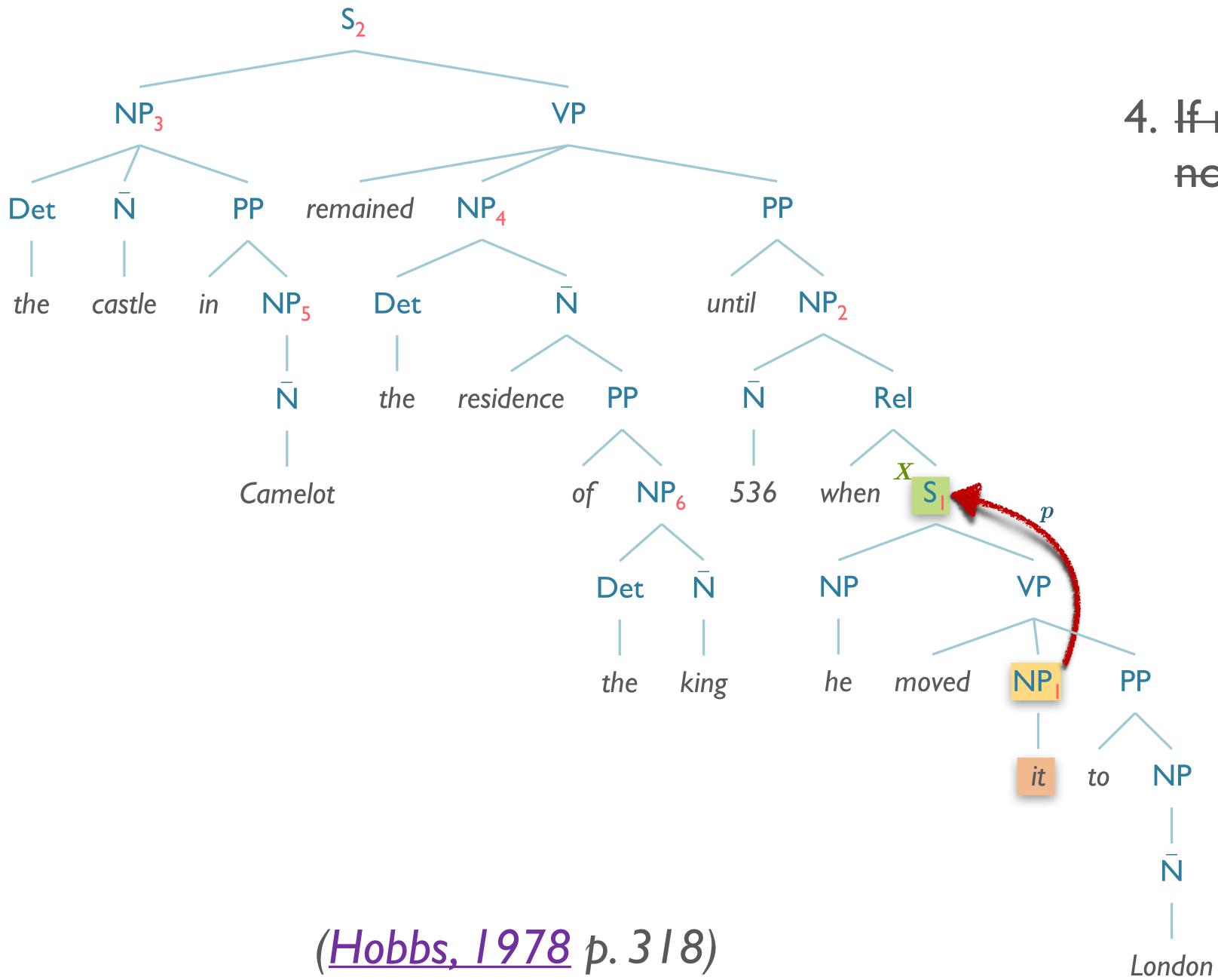


3. Traverse all branches below node X to the left of path p in a left-to-right, breadth-first fashion. Propose as the antecedent any encountered NP node that has an NP or S node between it and X.

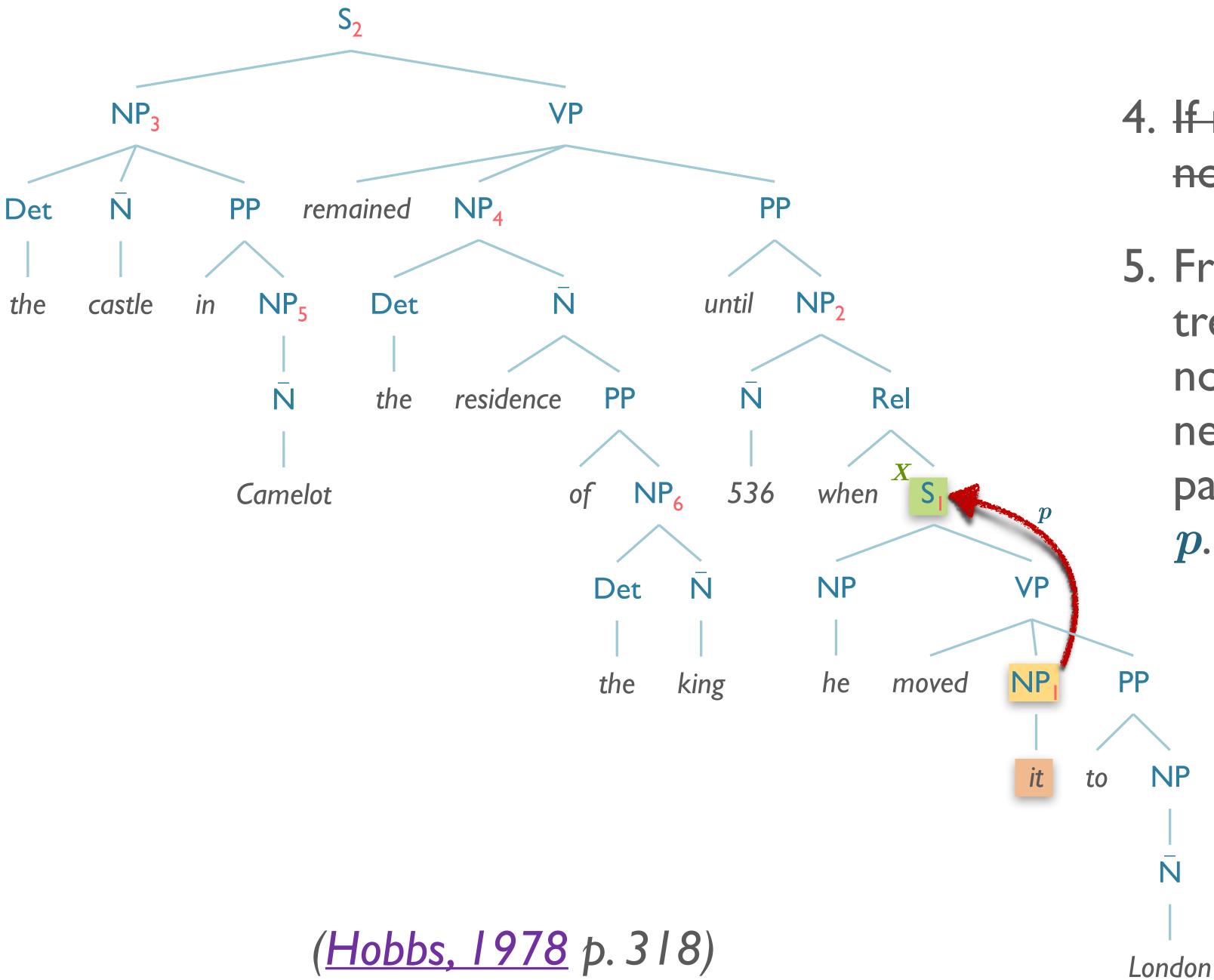
NP

London

No NP or S between "he" NP and $oldsymbol{X}$

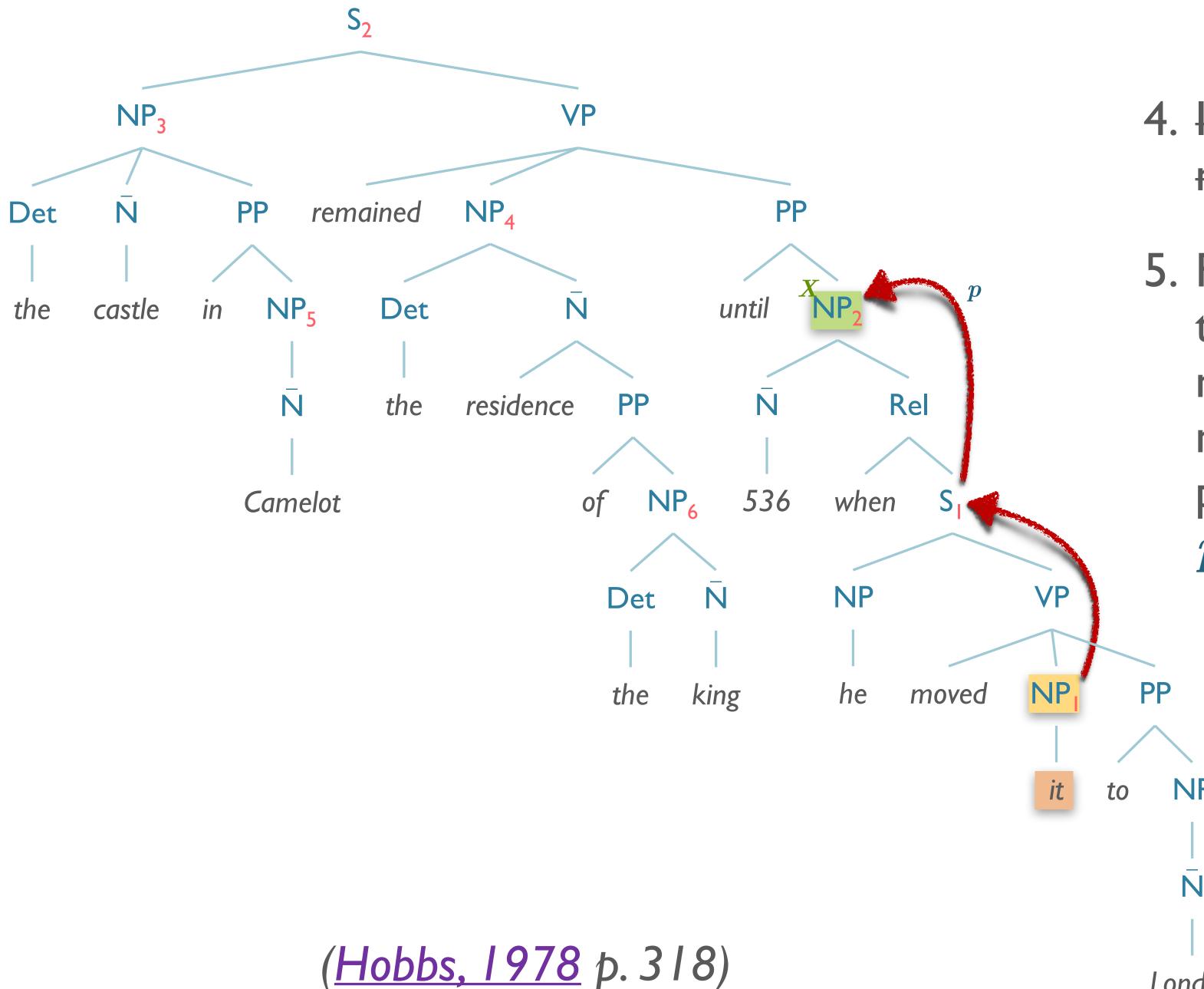


4. If node X is the highest S node in the sentence...

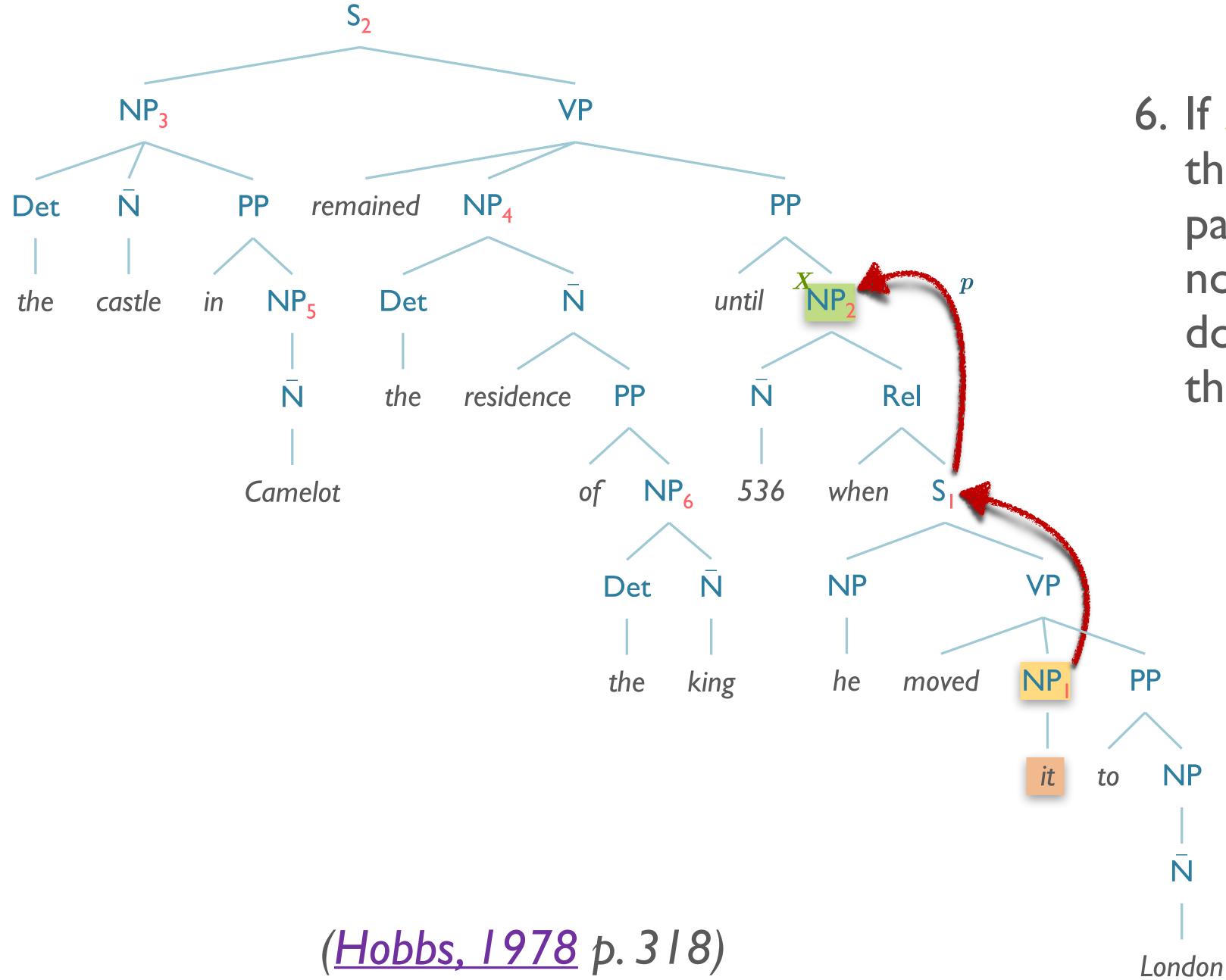


4. If node X is the highest S node in the sentence...

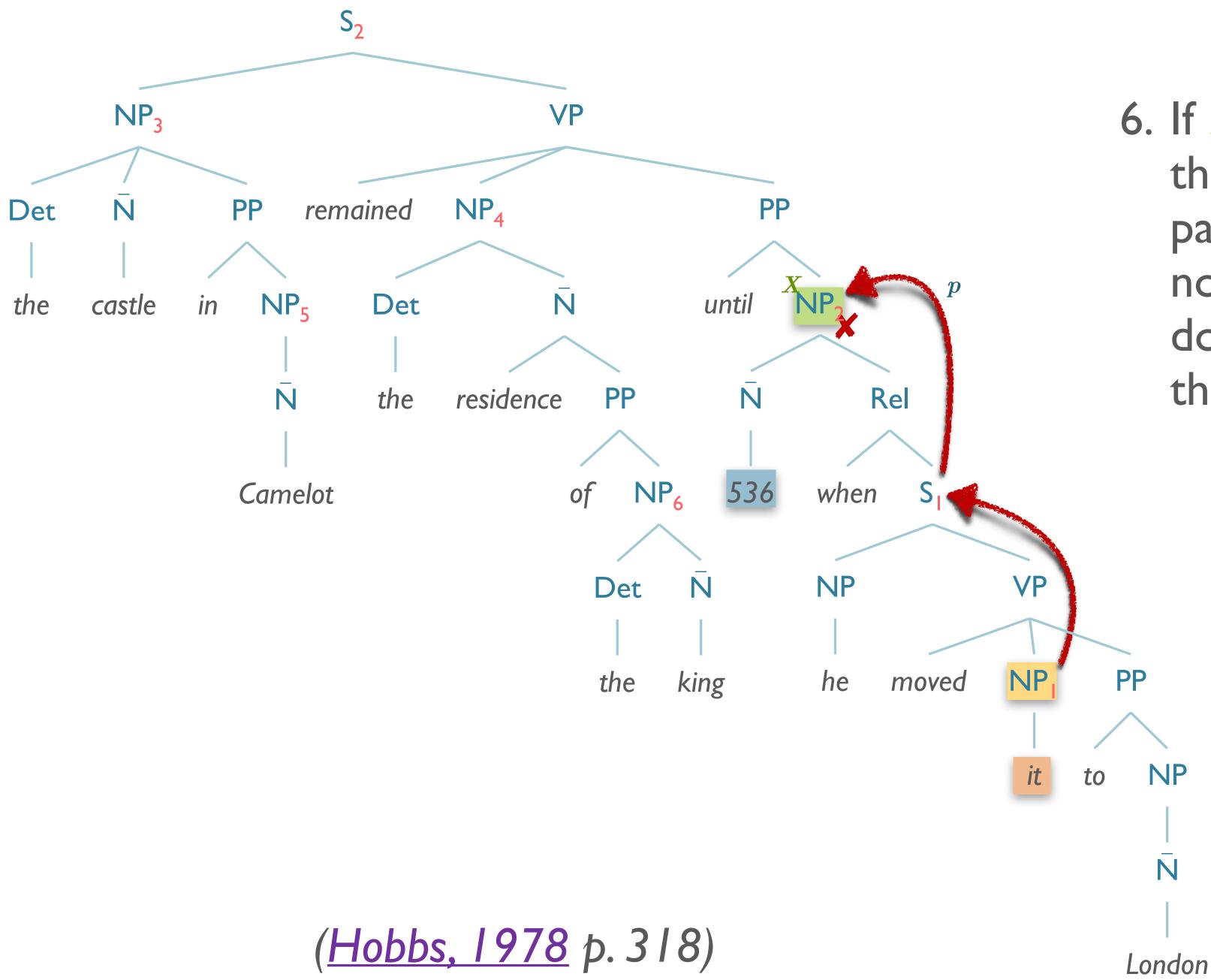
5. From node X, go up the tree to the first NP or S node encountered. Call this new node X, and call the path traversed to reach it p.



- node in the sentence
- 5. From node X, go up the tree to the first NP or S node encountered. Call this new node X, and call the path traversed to reach it p.

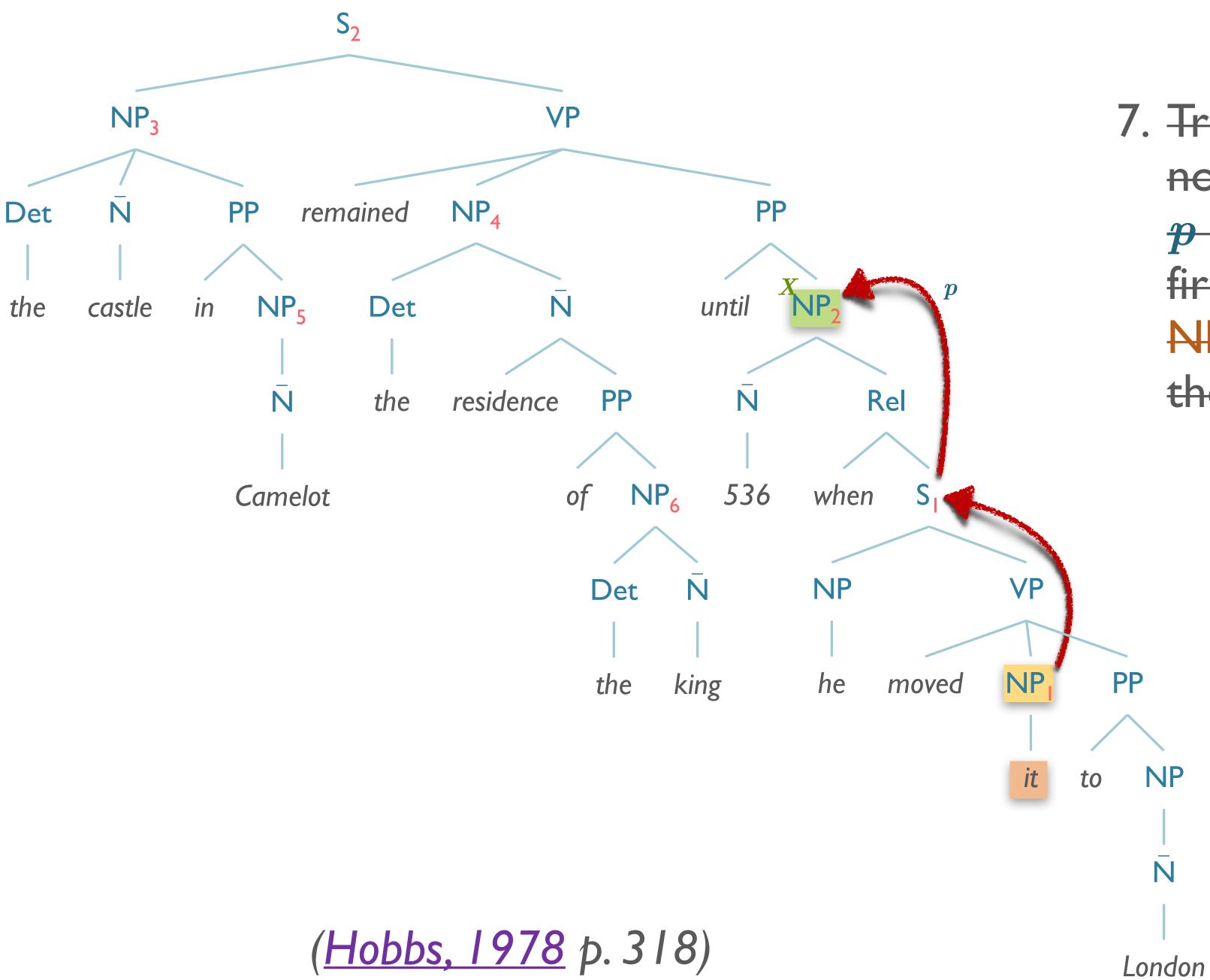


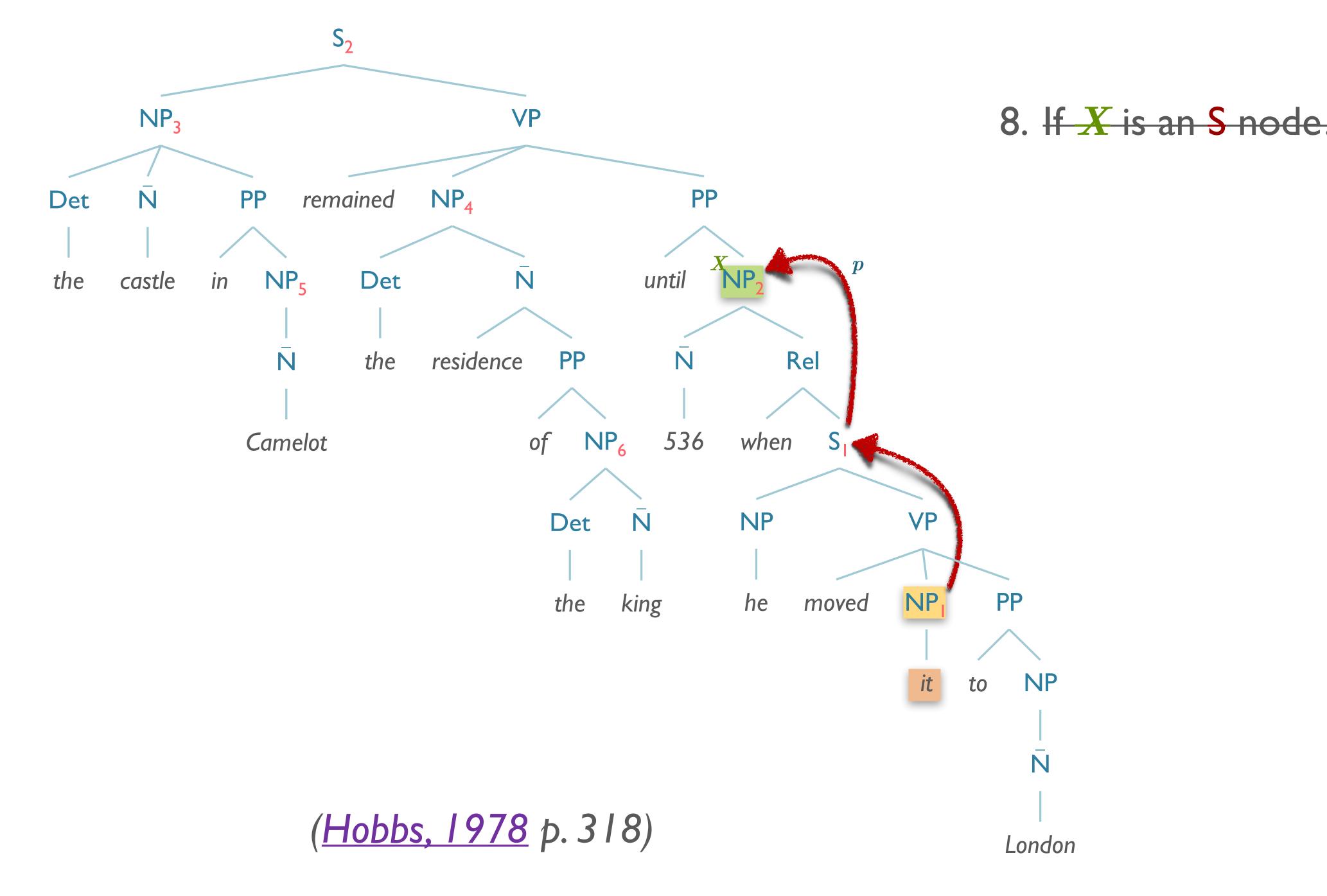
6. If X is an NP node and if the path p to X did not pass through the Nominal node that X immediately dominates, propose X as the antecedent.

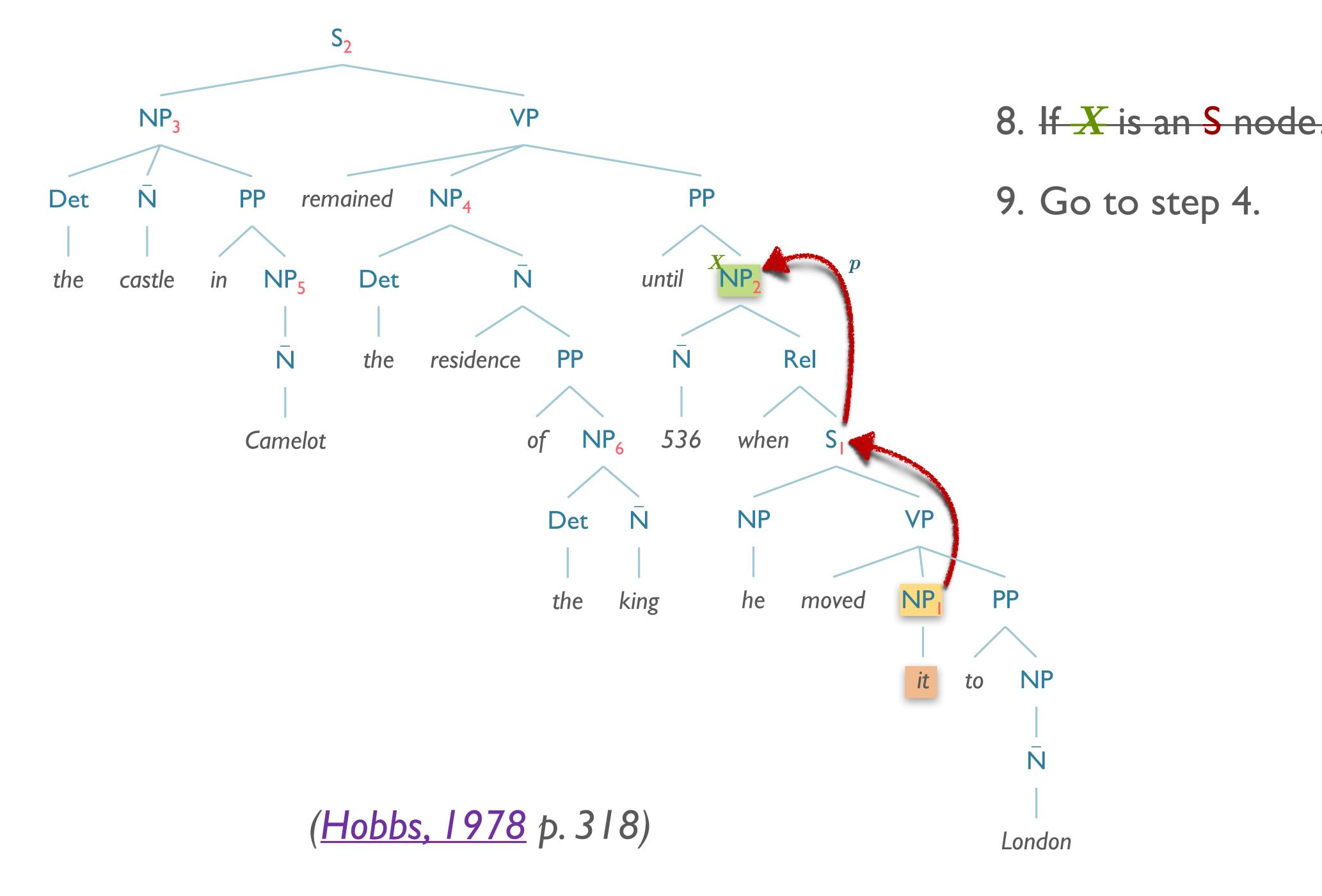


6. If X is an NP node and if the path p to X did not pass through the Nominal node that X immediately dominates, propose X as the antecedent.

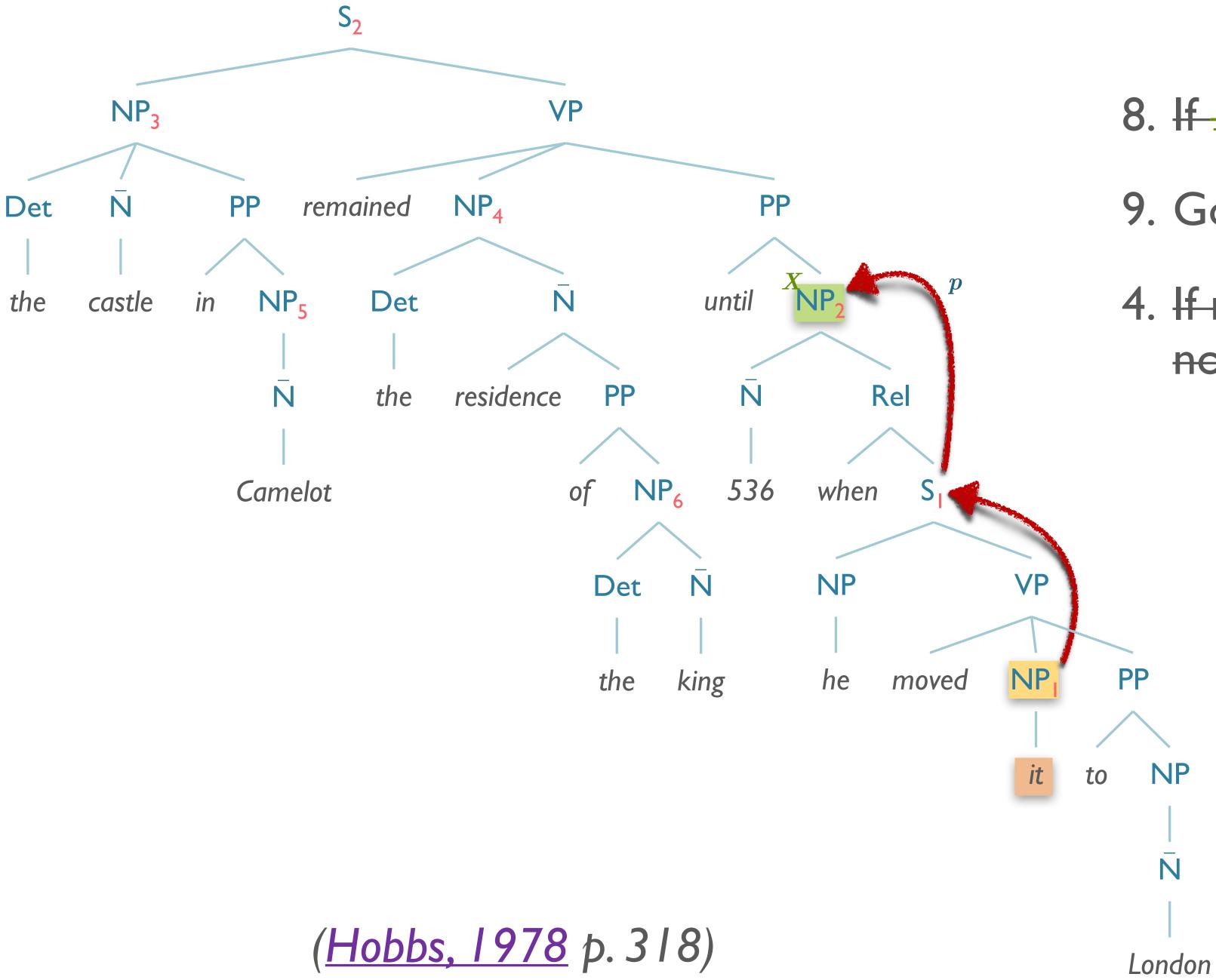
"536" can't be "moved"!



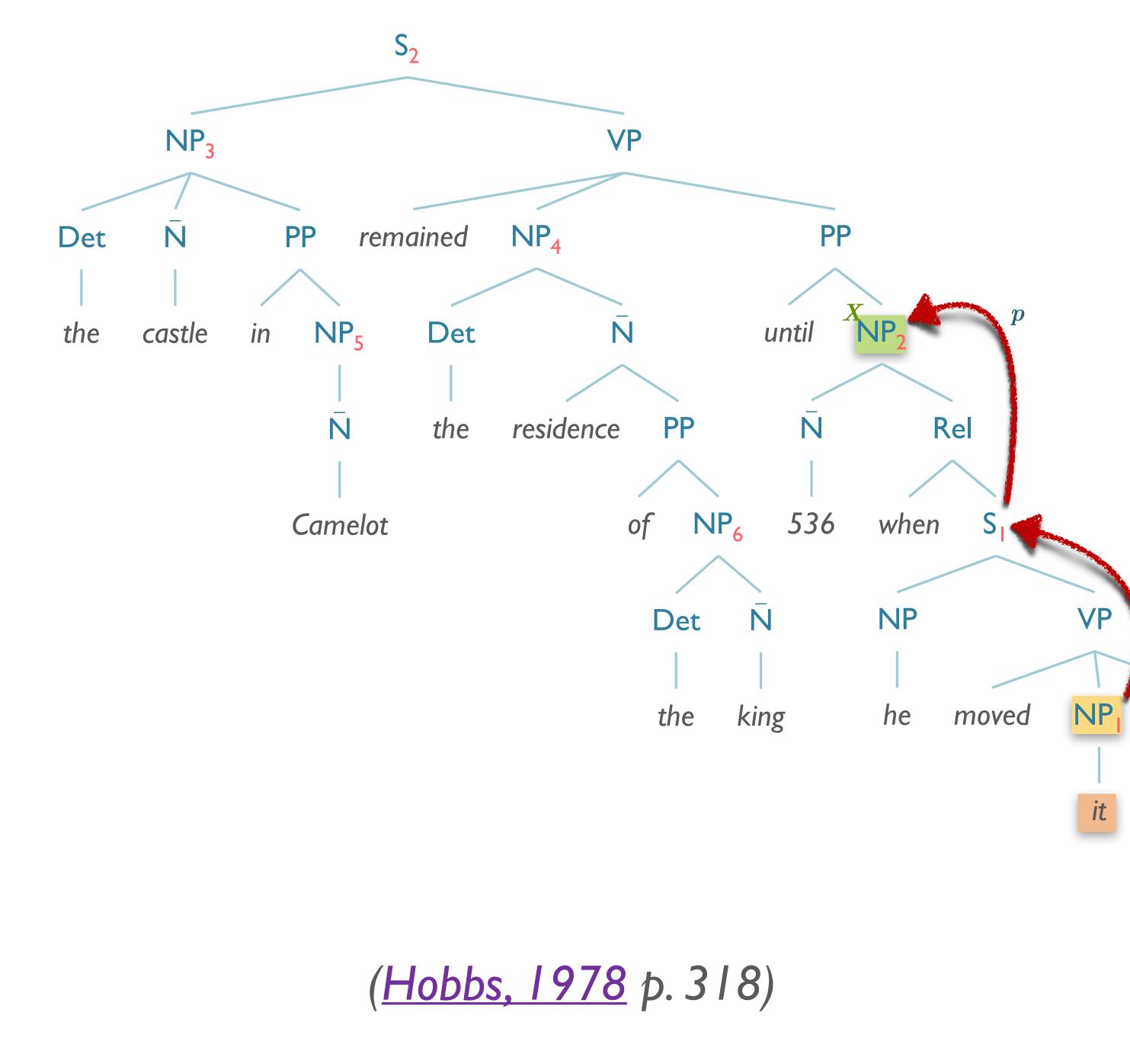




64



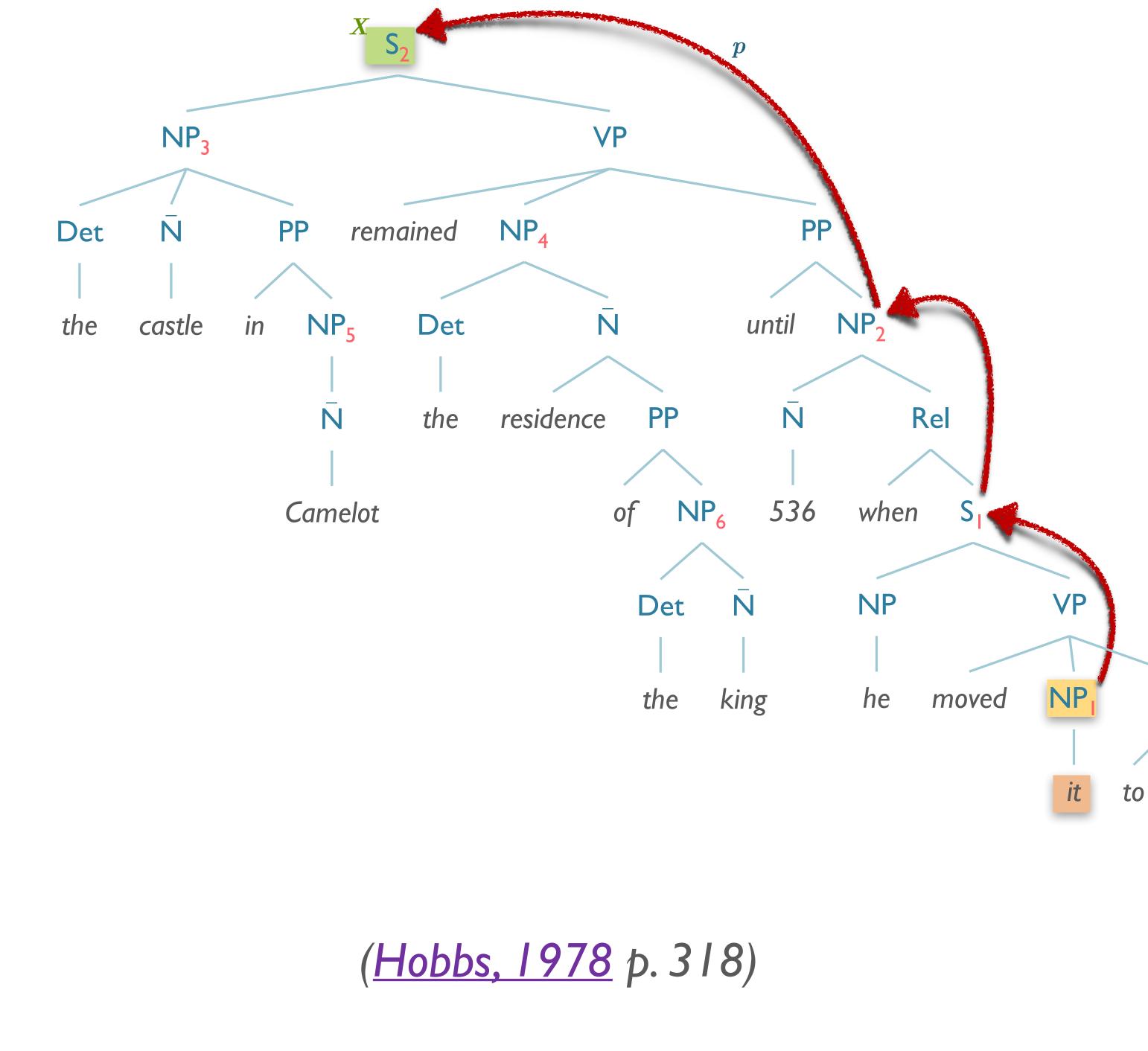
- 9. Go to step 4.
- 4. If node X is the highest S



- 8. If X is an S node...
- 9. Go to step 4.
- 4. If node X is the highest S node in the sentence...
- 5. From node X, go up the tree to the first NP or S node encountered. Call this new node X, and call the path traversed to reach it p.

to

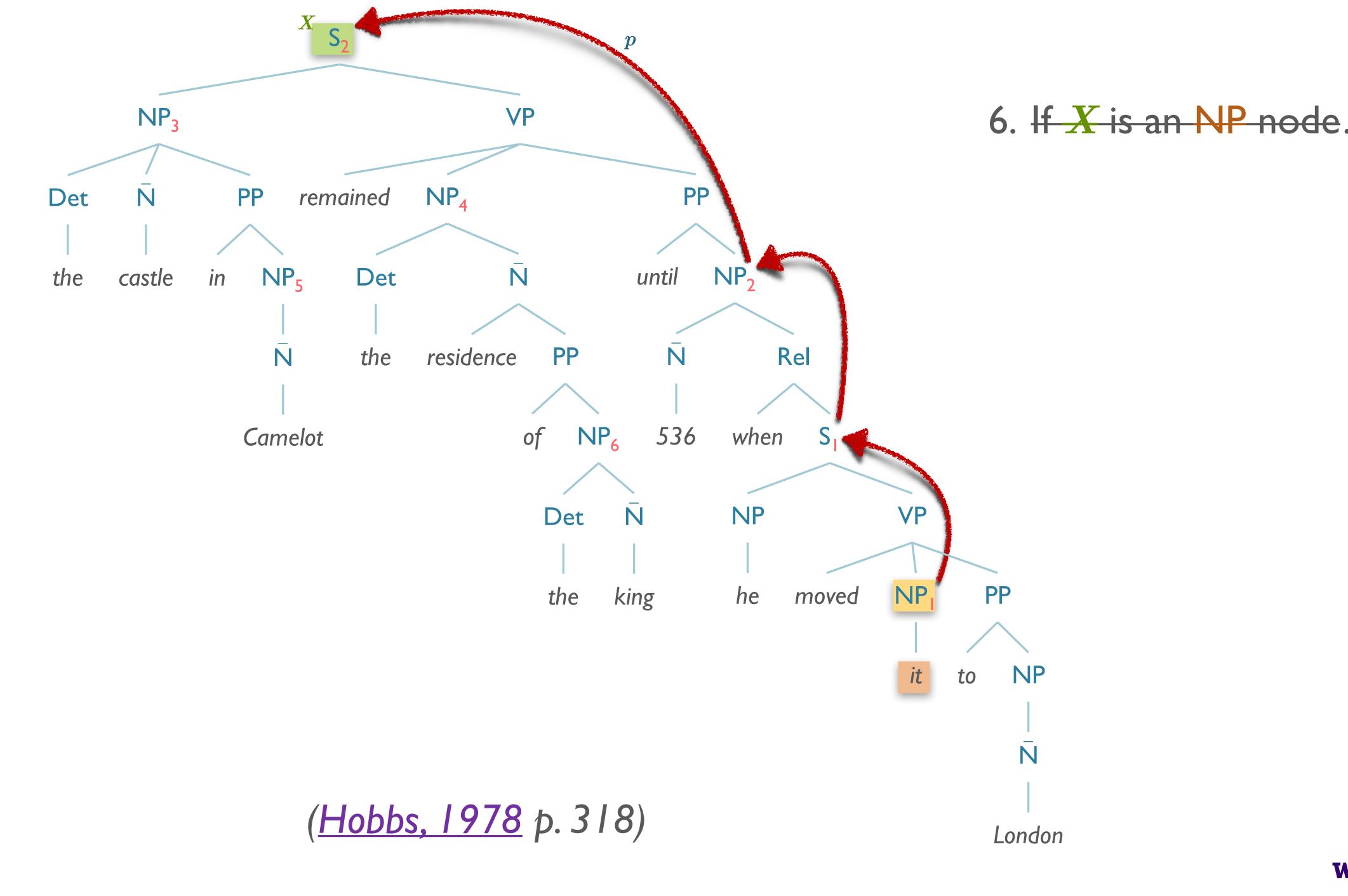
NP

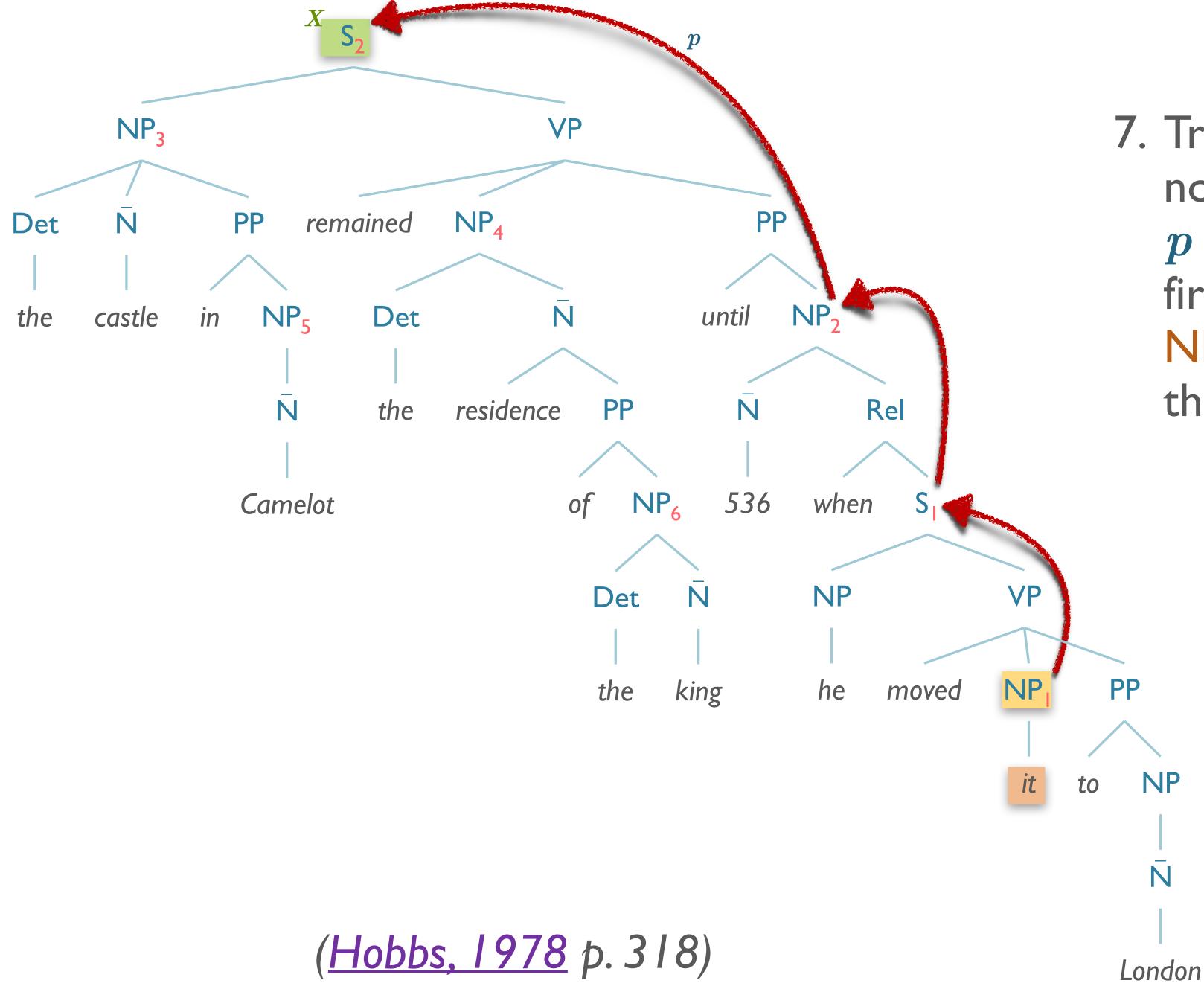


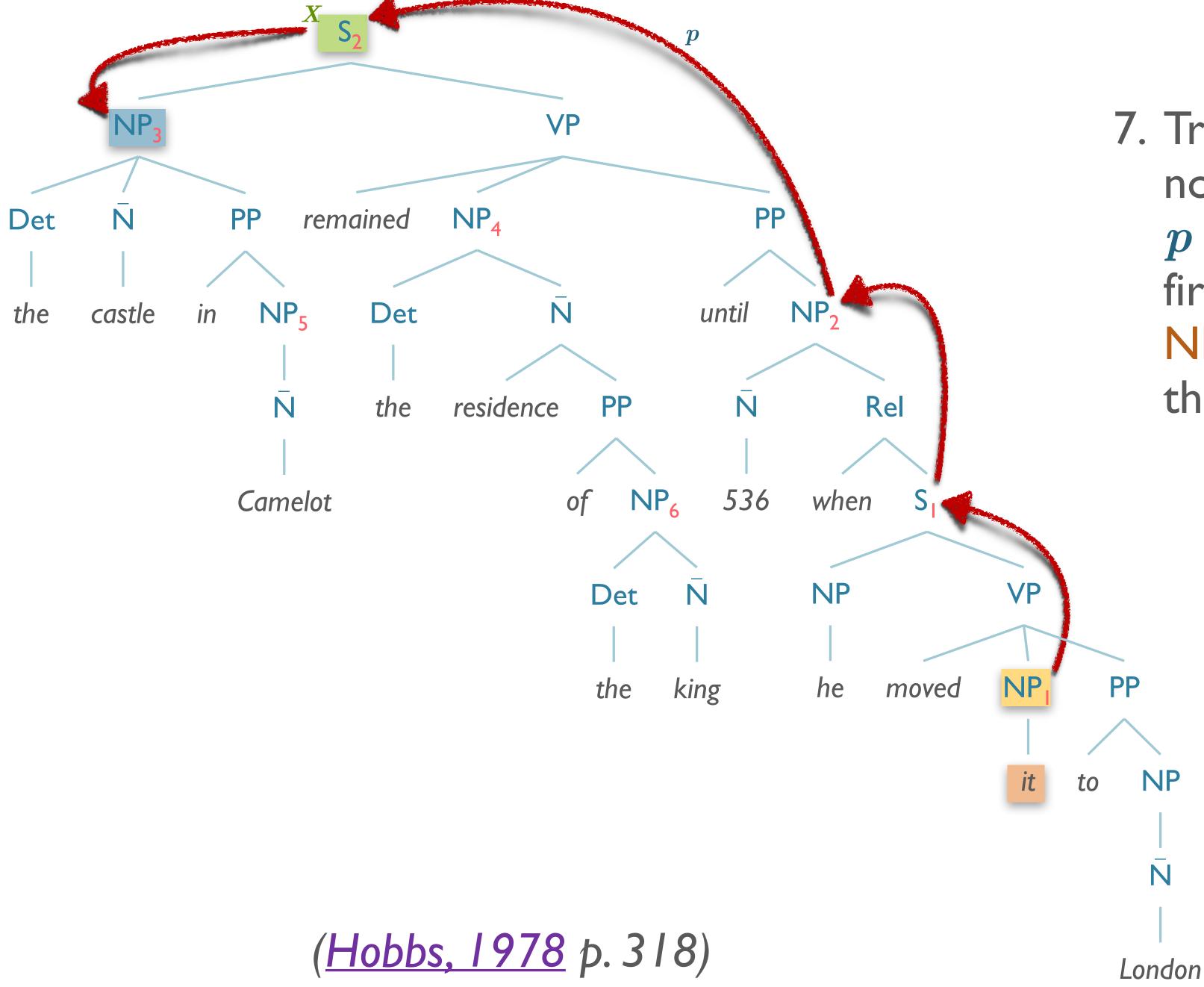
- 8. If X is an S node...
- 9. Go to step 4.

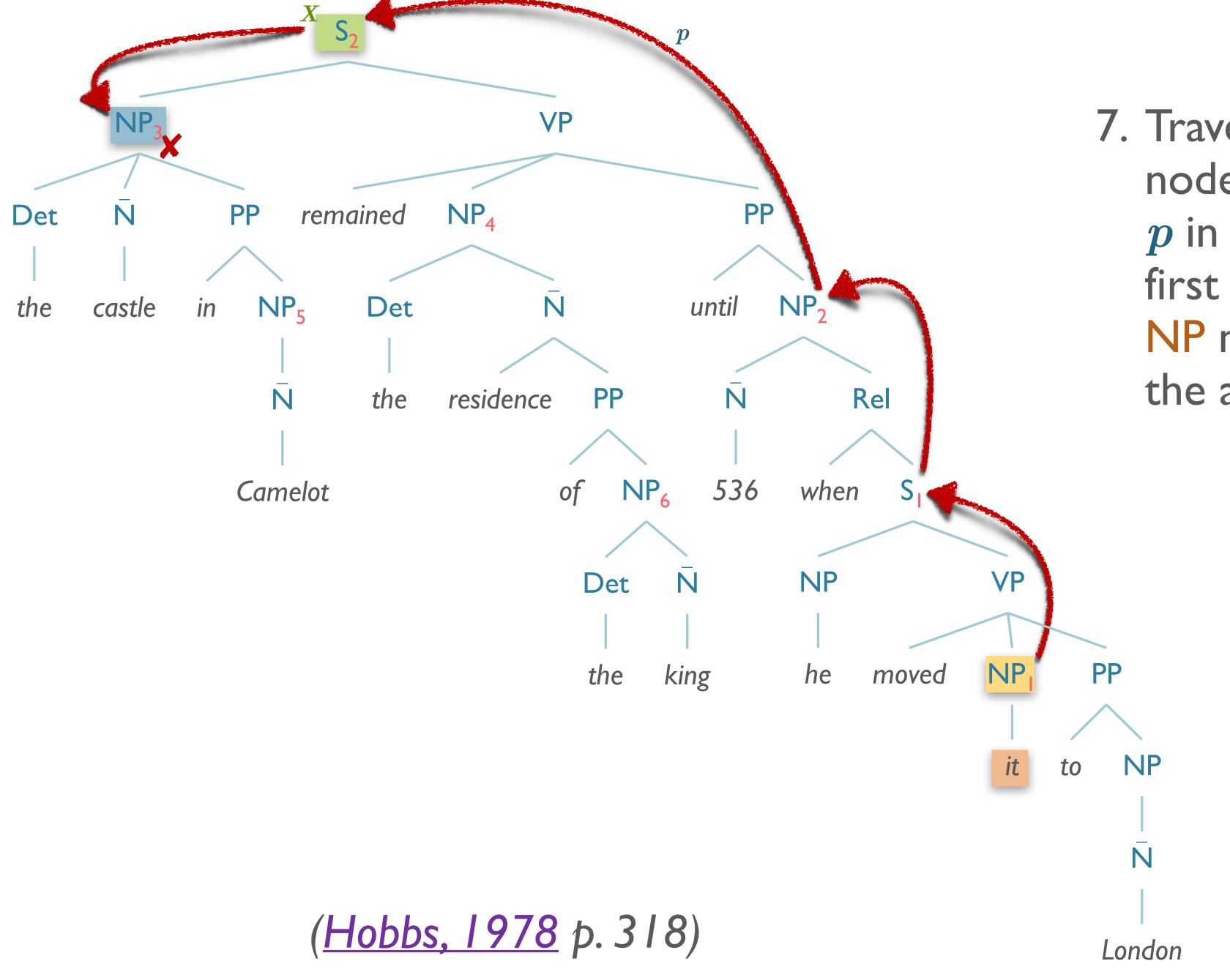
London

- 4. If node X is the highest S node in the sentence...
- 5. From node X, go up the tree to the first NP or S node encountered. Call this new node X, and call the path traversed to reach it p.

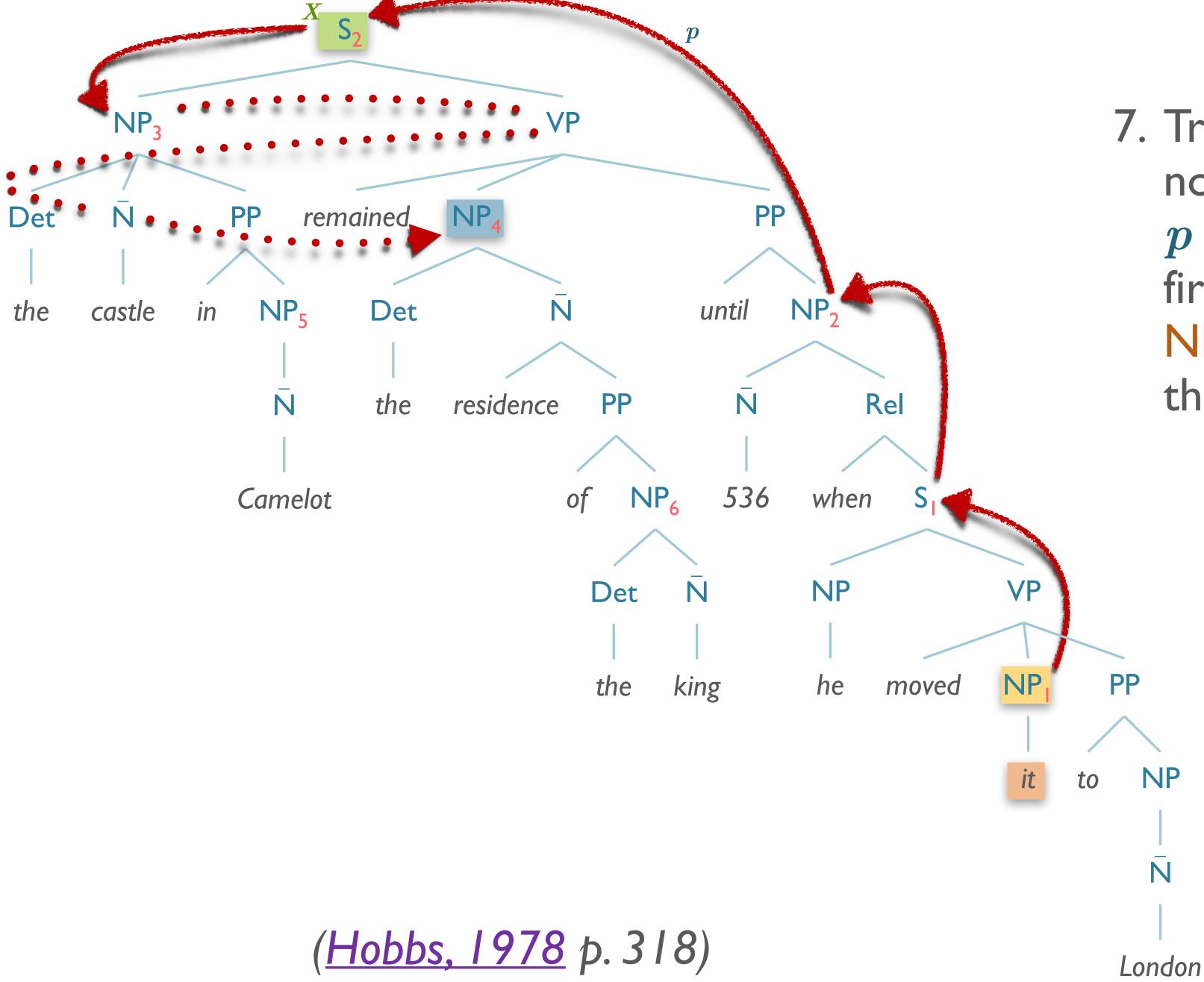


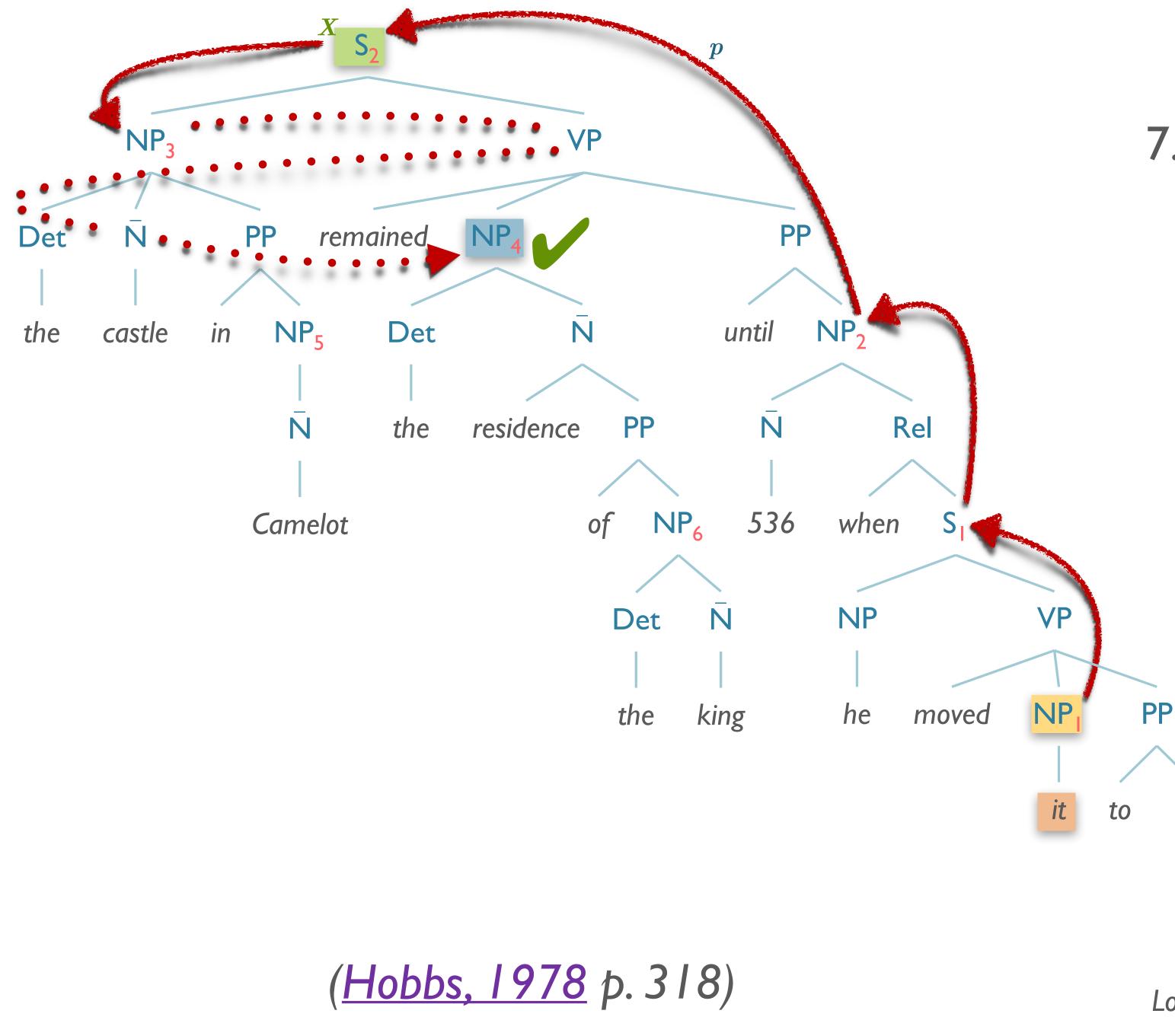






Moving castles?





London

"the residence of the king"

Hobbs Algorithm Detail (Hobbs, 1978)

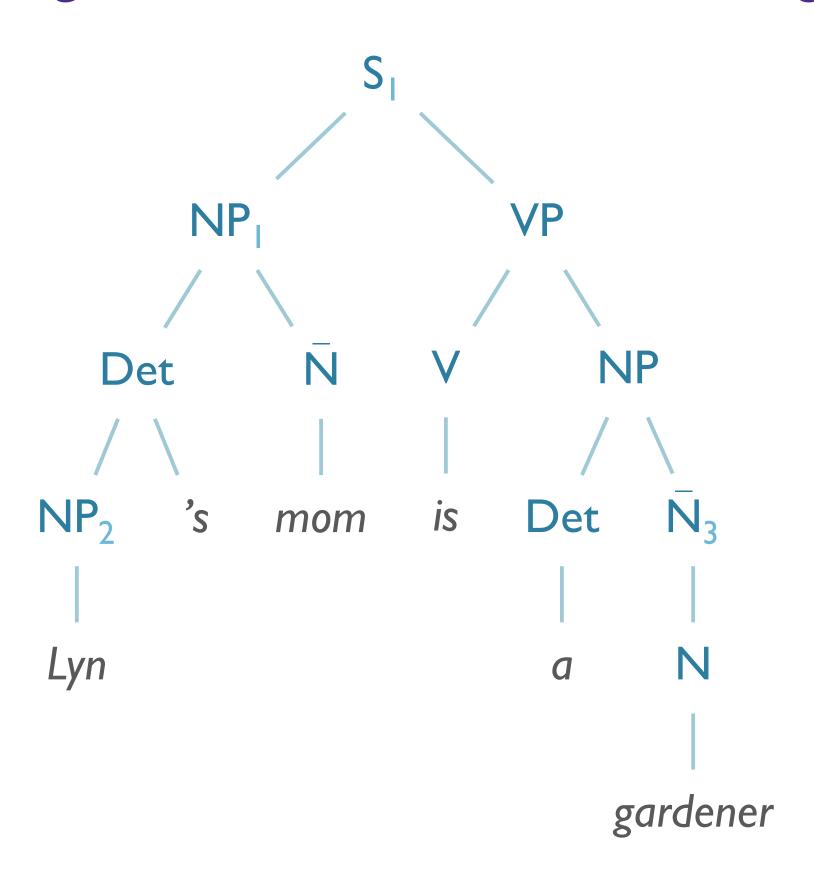
- 1. Begin at the noun phrase (NP) node immediately dominating the pronoun
- 2. Go up the tree to the first NP or sentence (S) node encountered. Call this node X, and call the path used to reach it p.
- 3. Traverse all branches below node X to the left of path p in a left-to-right, breadth-first fashion. Propose as the antecedent any encountered NP node that has an NP or S node between it and X.
- 4. If node *X* is the highest *S* node in the sentence, traverse the surface parse trees of previous sentences in the text in order of recency, the most recent first; each tree is traversed in a left-to-right, breadth-first manner, and when an NP node is encountered, it is proposed as antecedent. If *X* is not the highest *S* node in the sentence, continue to step 5.

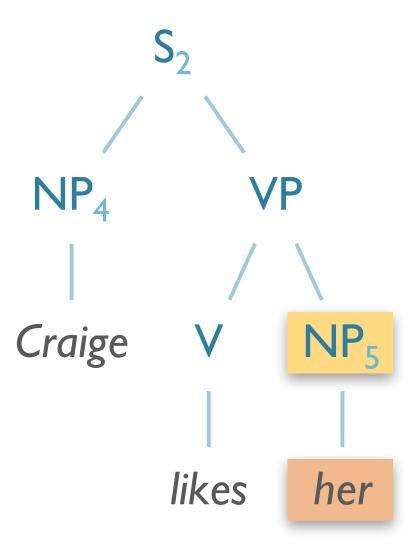
Hobbs Algorithm Detail (Hobbs, 1978)

- 5. From node X, go up the tree to the first NP or S node encountered. Call this new node X, and call the path traversed to reach it p.
- 6. If X is an NP node and if the path p to X did not pass through the Nominal node that X immediately dominates, propose X as the antecedent.
- 7. Traverse all branches below node X to the *left* of path p in a left-to-right, breadth-first manner. Propose any NP node encountered as the antecedent.
- 8. If X is an S node, traverse all branches of node X to the *right* of path p in a left-to-right, breadth-first manner, but do not go below any NP or S node encountered. Propose any NP node encountered as the antecedent.
- 9. Go to step 4.

Lyn's mom is a gardener.

Craige likes her.

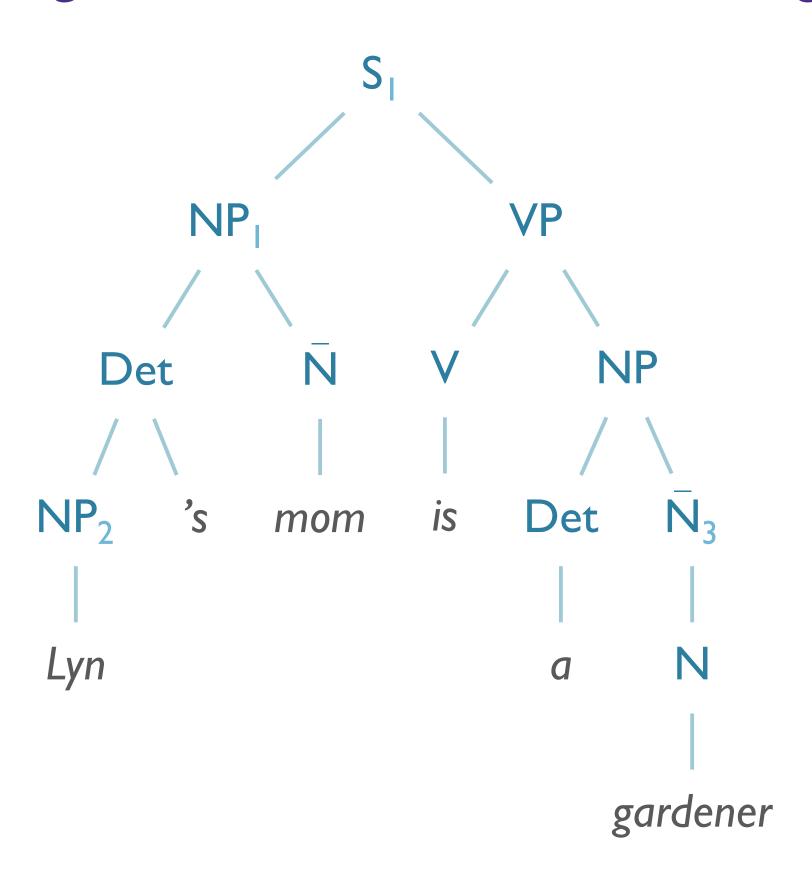


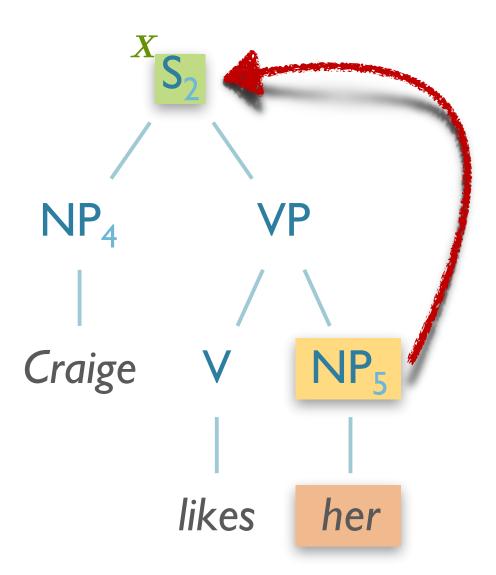


I. Begin at the noun phrase (NP) node immediately dominating the pronoun

Lyn's mom is a gardener.

Craige likes her.

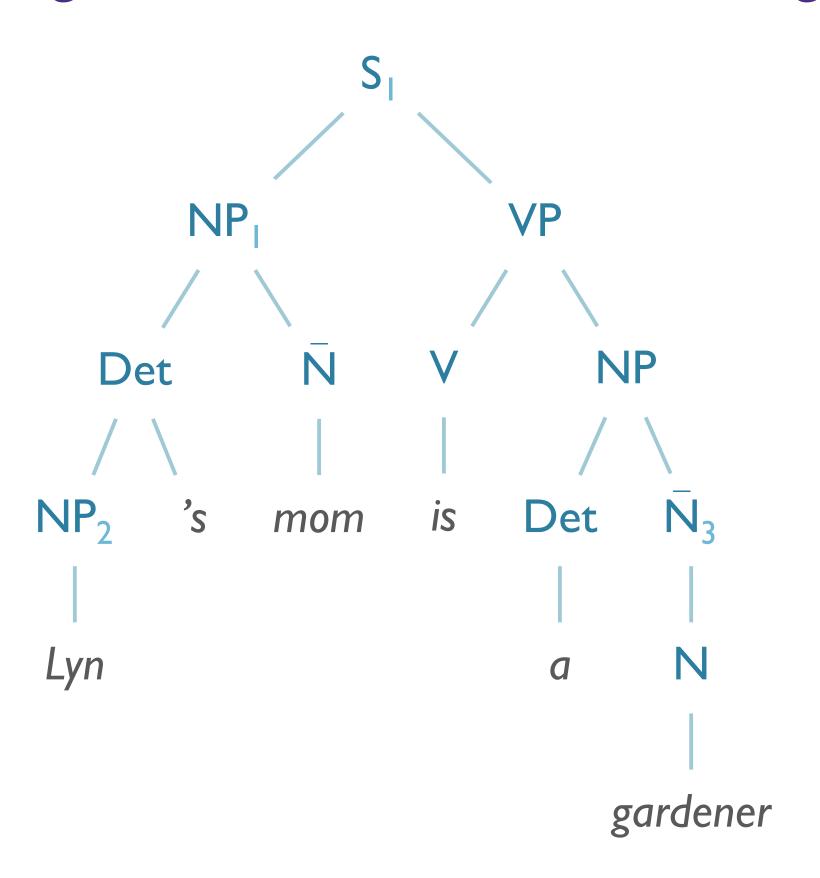


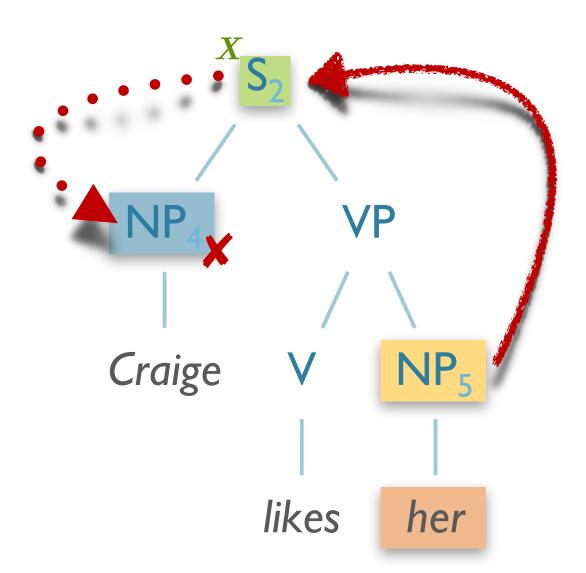


2. Go up the tree to the first NP or sentence (S) node encountered. Call this node X, and call the path used to reach it p.

Lyn's mom is a gardener.

Craige likes her.

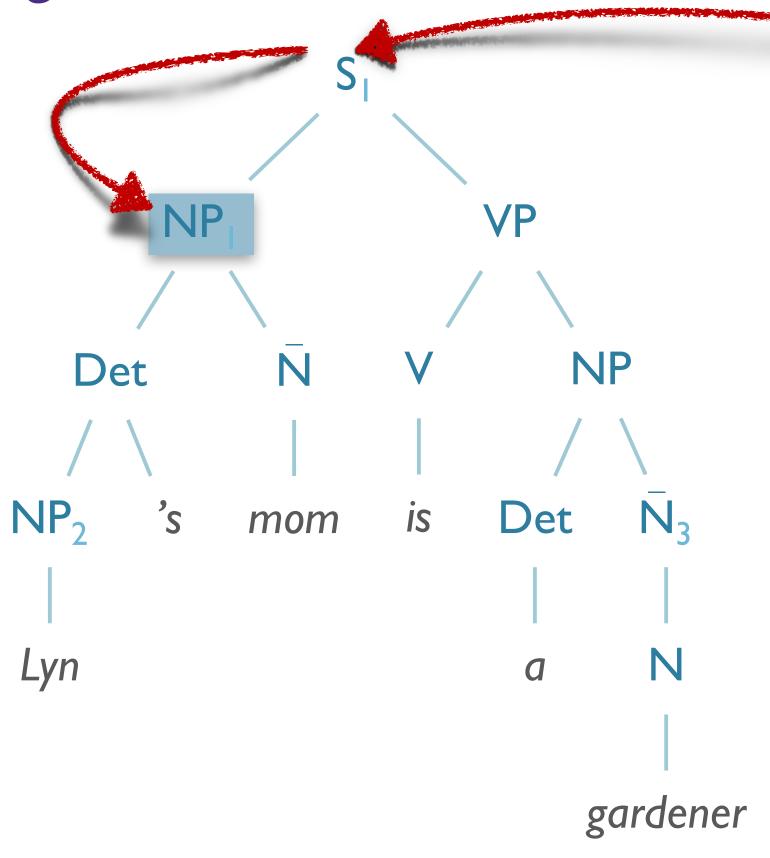


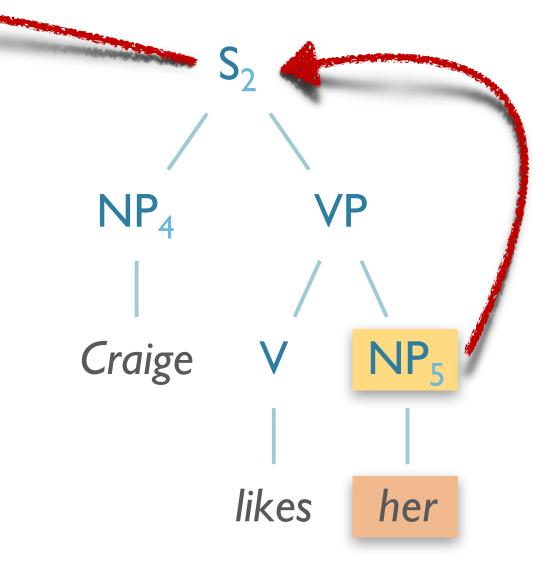


3. Traverse all branches below node X to the left of path p in a left-to-right, breadth-first fashion. Propose as the antecedent any encountered NP node that has an NP or S node between it and X.

Lyn's mom is a gardener.

Craige likes her.





4. If node *X* is the highest *S* node in the sentence, traverse the surface parse trees of previous sentences in the text in order of recency, the most recent first; each tree is traversed in a left-to-right, breadth-first manner, and when an NP node is encountered, it is proposed as antecedent.

- What about...?
 - Lyn's mom is hired a gardener.
 - Craige likes her.