

Introduction

LING 571 — Deep Processing Techniques for NLP

September 28, 2022

Shane Steinert-Threlkeld

Roadmap

- **Motivation**
- Language and Intelligence
- Knowledge of Language
- Course Overview
- Intro to Syntax and Parsing

W

How are you feeling about the start of the quarter and a new academic year generally?

Total Results: 0

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Motivation: Applications

- Applications of Speech and Language Processing
 - Call Routing
 - Information Retrieval
 - Question Answering
 - Machine Translation
 - Dialog Systems
 - Spell– and Grammar– Checking
 - Sentiment Analysis
 - Information Extraction
 - ...

Building on Many Fields

- **Linguistics:** *Morphology, phonology, syntax, semantics...*
- **Psychology:** *Reasoning, mental representations*
- **Formal Logic**
- **Philosophy (of Language)**
- **Theory of Computation:** *Automata theory*
- **Artificial Intelligence:** *Search, Reasoning, Knowledge Representation, Machine Learning, Pattern Matching*
- **Probability**

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Operationalizing Intelligence: The Turing Test (1950)

- Two contestants: Human vs. Computer
 - **Judge**: human
 - **Test**: interact via text questions
 - **Question**: Can judge tell which contestant is human?

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 - **Question**: Can judge tell which contestant is human?
- **Crucially**:
 - Posits that passing requires language use and understanding

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- ELIZA ([Weizenbaum, 1966](#)) [[Try it Online](#)]

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User: You are like my father in some ways

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Turing Test Revisited:

“On the web, no one knows you’re a...”

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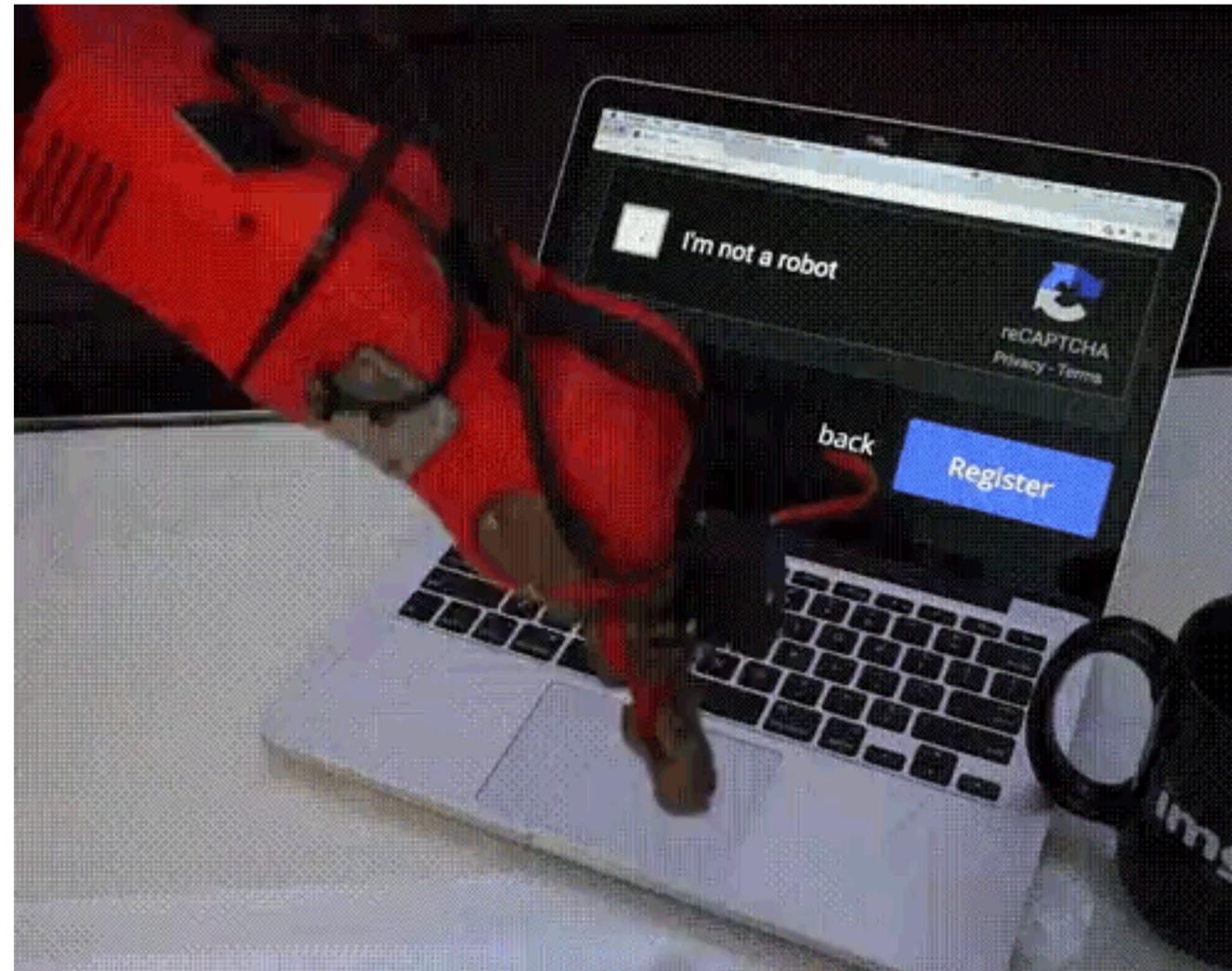
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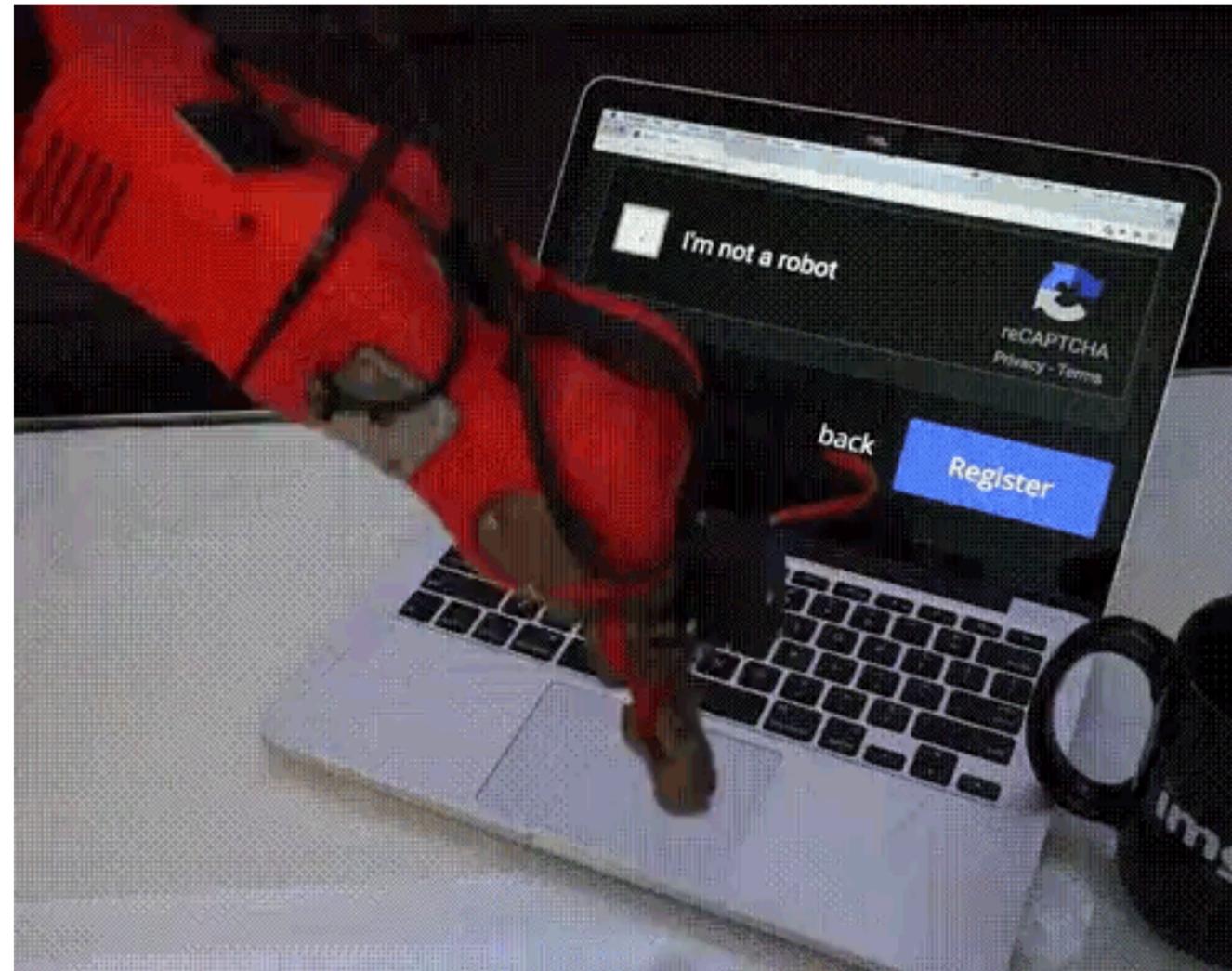
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 - Long-term: Inspires “arms race”

CAPTCHA arms race



CAPTCHA arms race



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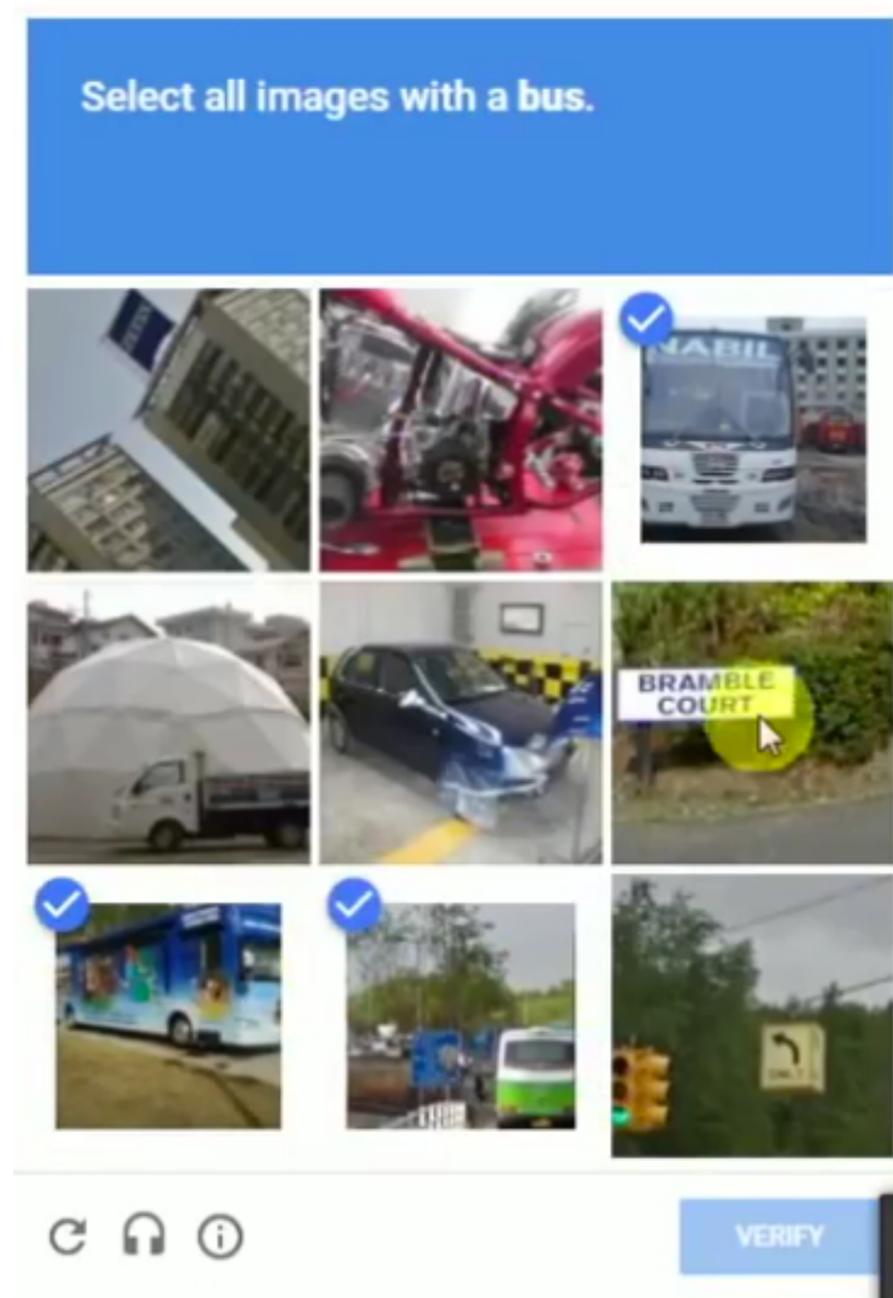
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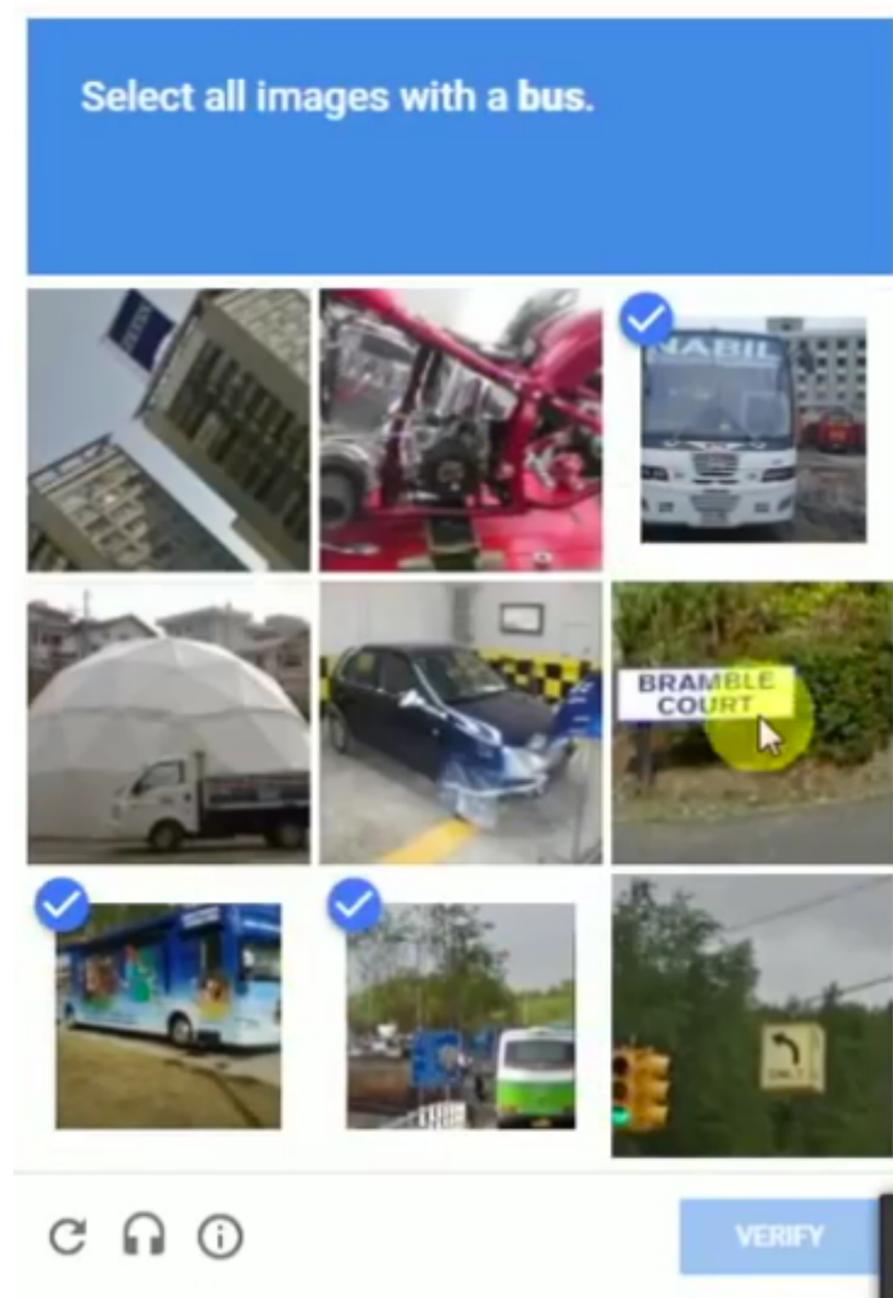
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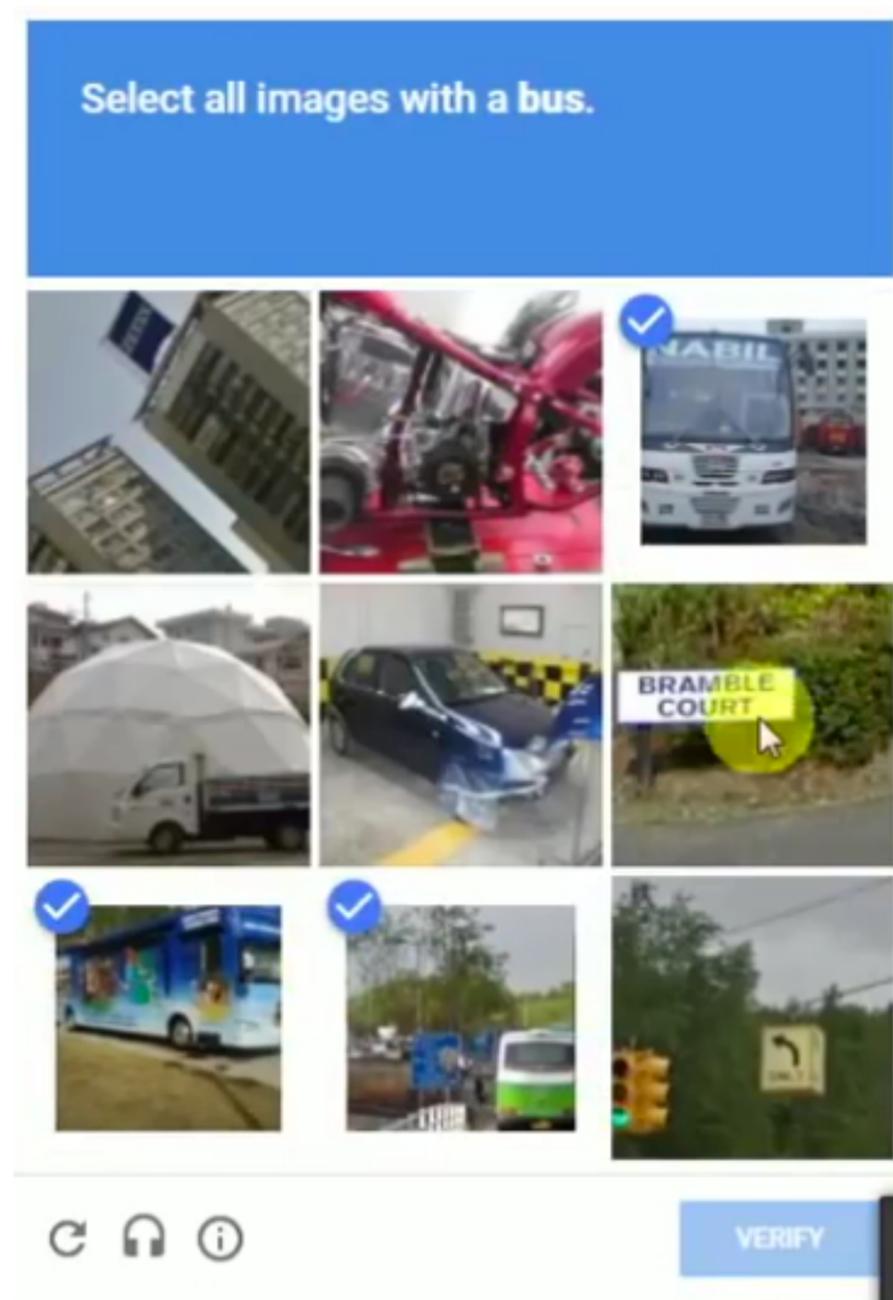
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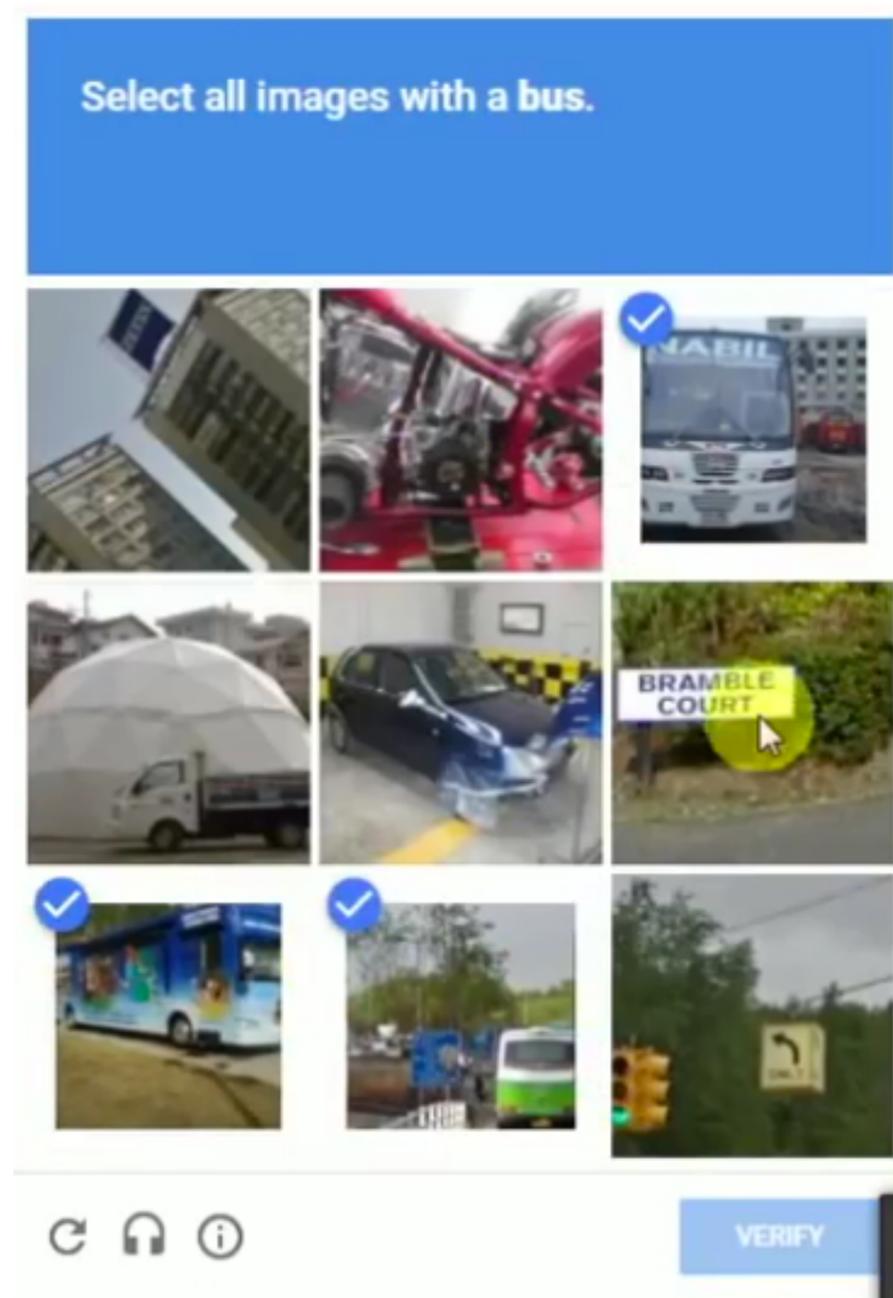
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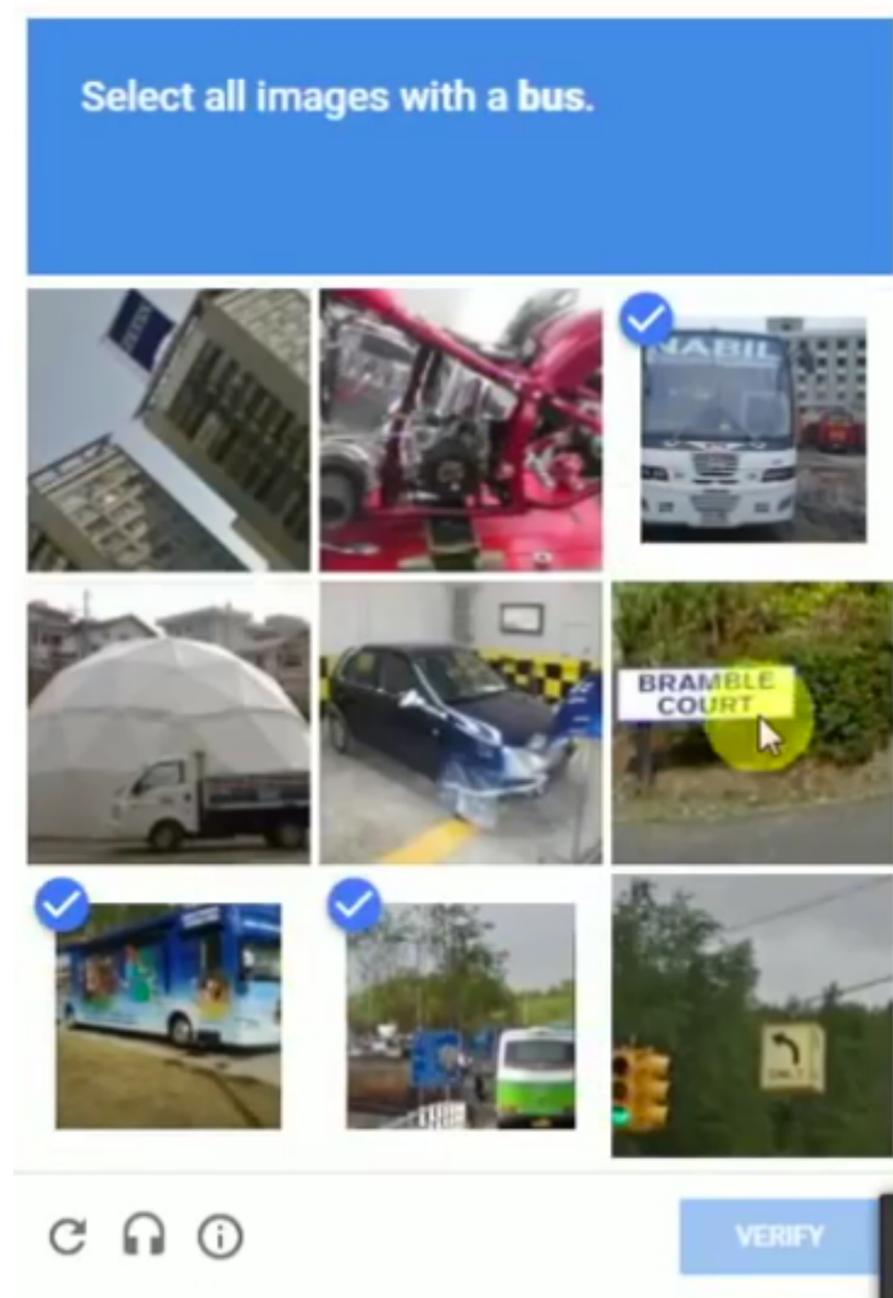
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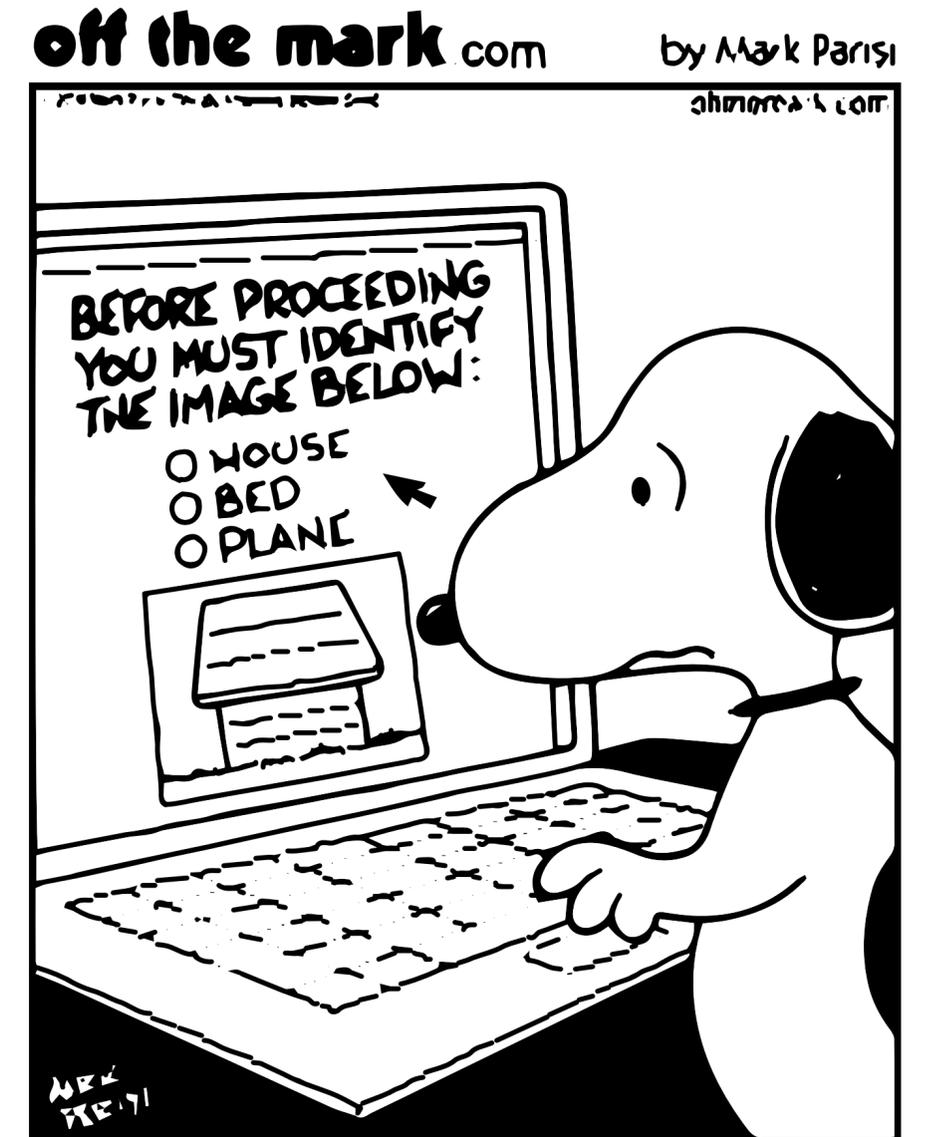
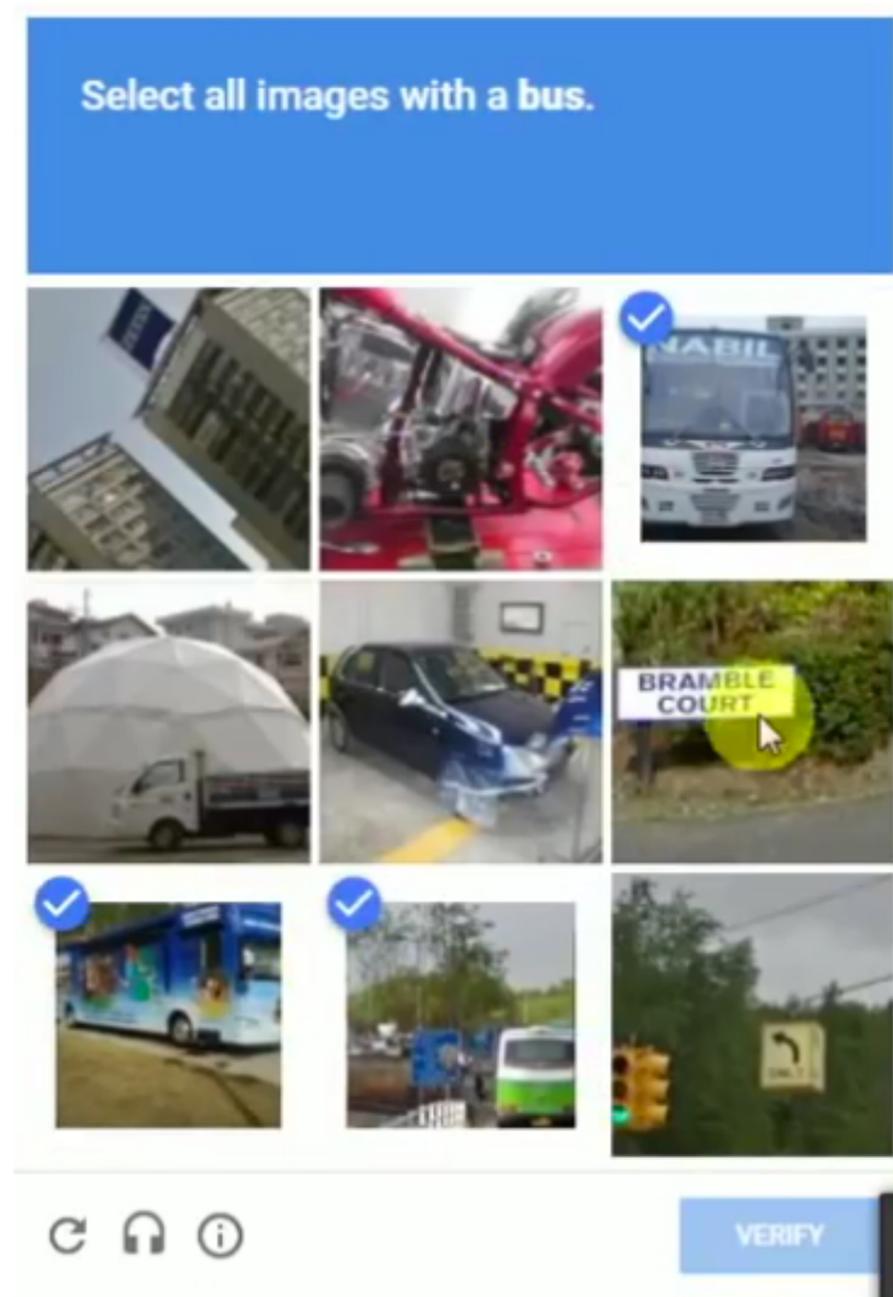
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- **Knowledge of Language**
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- NLP vs. Data Processing

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 - bytes and lines → data processing
 - words → *what do we mean by “word”?*

Knowledge of Language

- What does HAL (of *2001, A Space Odyssey*) need to know to converse?

Dave: *Open the pod bay doors, HAL.*

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- **Phonetics & Phonology** (Ling 450/550)
 - Sounds of a language, acoustics
 - Legal sound sequences in words

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- **Morphology** (Ling 570)

- Recognize, produce variation in word forms

- Singular vs. plural: Door + sg → "door" Door + pl → "doors"

- Verb inflection: be + 1st Person + sg + present → "am"

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- **Part-of-speech Tagging** (Ling 570)
 - Identify word use in sentence
 - Bay (Noun) — Not verb, adjective

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- **Syntax**
 - (566: Analysis, 570: Chunking, 571: Parsing)
 - Order and group words in sentence
 - cf. **"I'm I do, sorry that afraid Dave I can't"*

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- **Semantics (Word Meaning)**

- Individual (lexical) + Combined (Compositional)

- 'Open' : AGENT **cause** THEME **to become** open;

- 'pod bay doors' → doors to the 'pod bay' → the bay which houses the pods.

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 - Reference resolution: “I”=[**HAL**]; “that”=[**open...doors**]
 - Politeness: “**I'm sorry, I'm afraid I can't...**”

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Course Overview: Shallow vs. Deep Processing

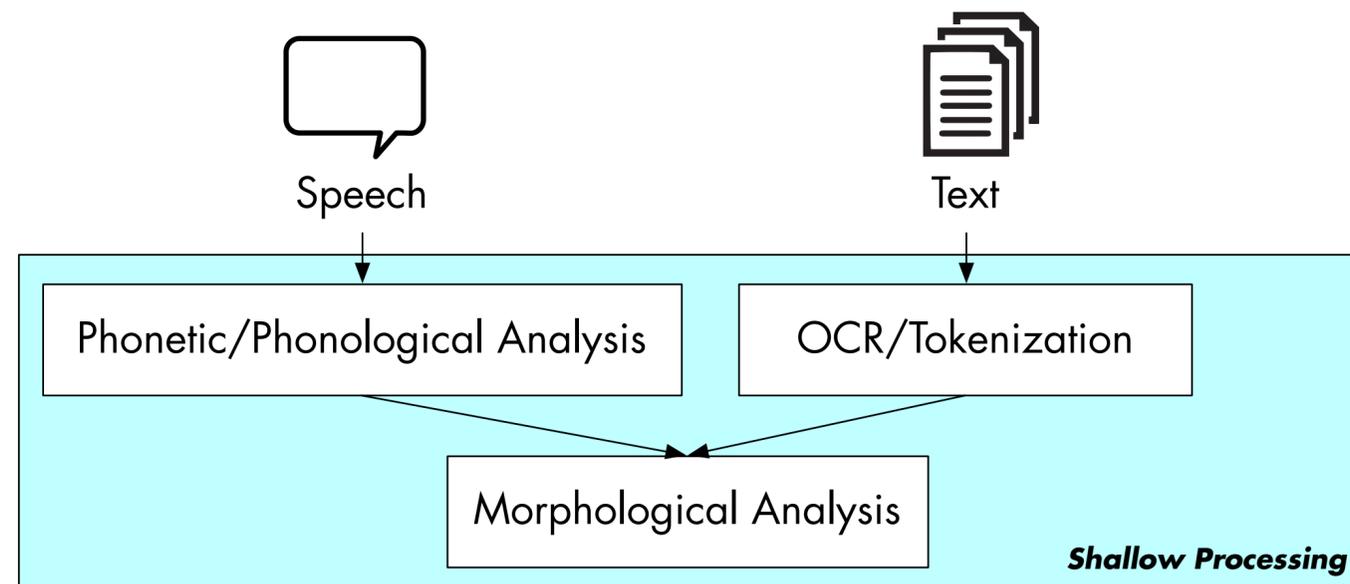
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 - ***Less elaborate*** linguistic representations
 - Usually relies on surface forms (e.g. words)
 - Examples: HMM POS-tagging; FST morphology

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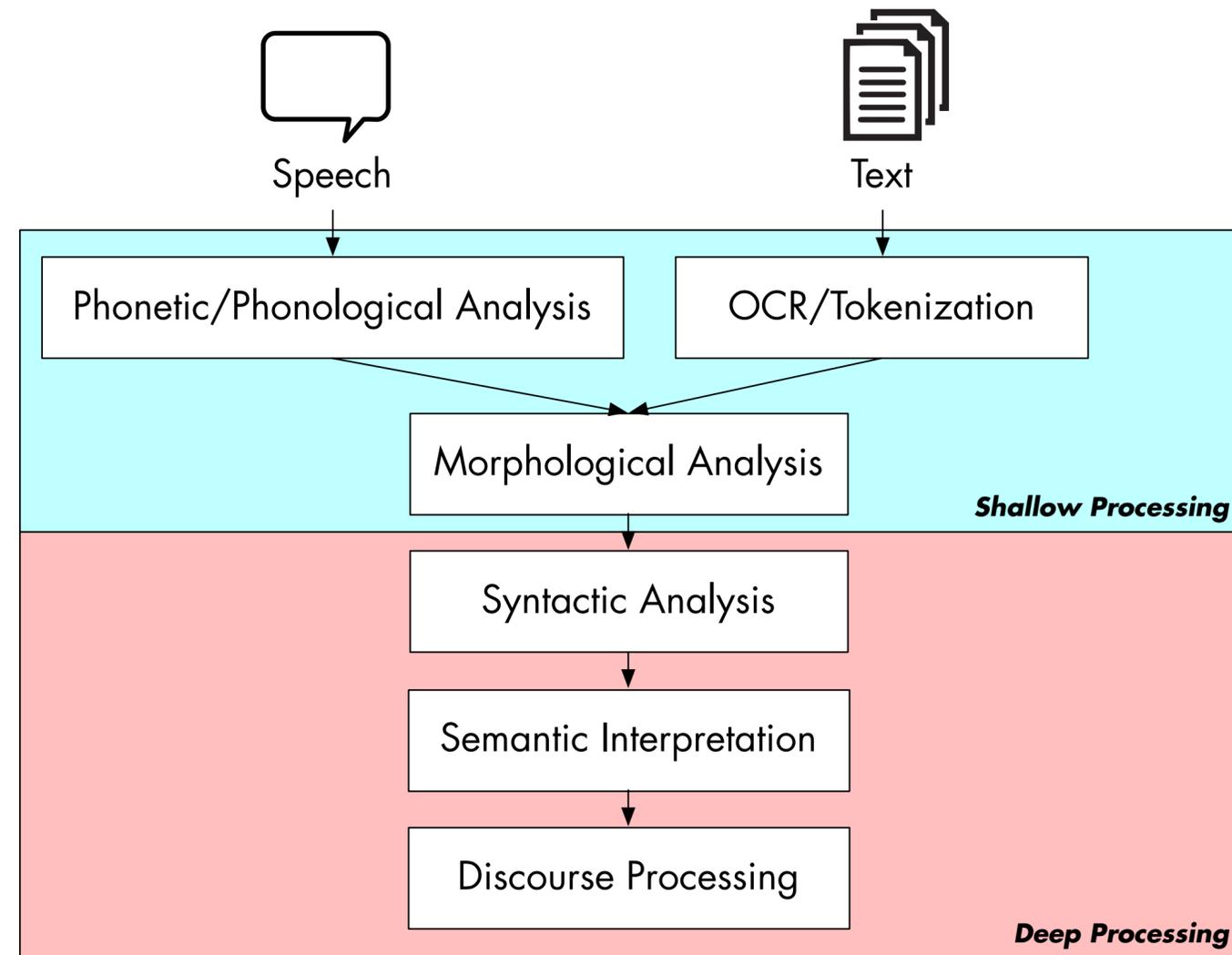
Shallow vs. Deep Processing

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 - Examples: HMM POS-tagging; FST morphology
- Deep processing (LING 571)
 - Relies on **more elaborate** linguistic representations
 - Deep syntactic analysis (Parsing)
 - Rich spoken language understanding (NLU)

Language Processing Pipeline



Language Processing Pipeline



A Note On “Depth”

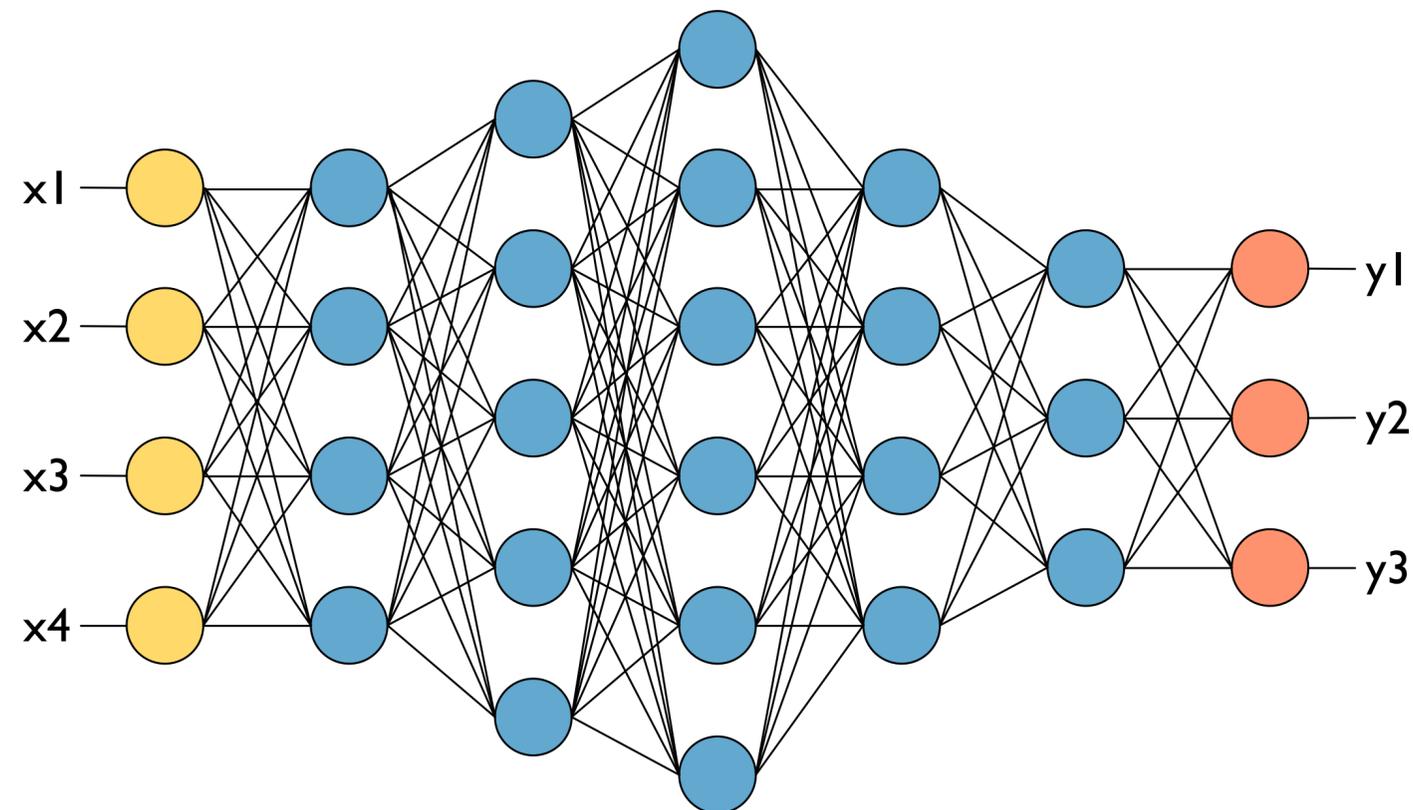
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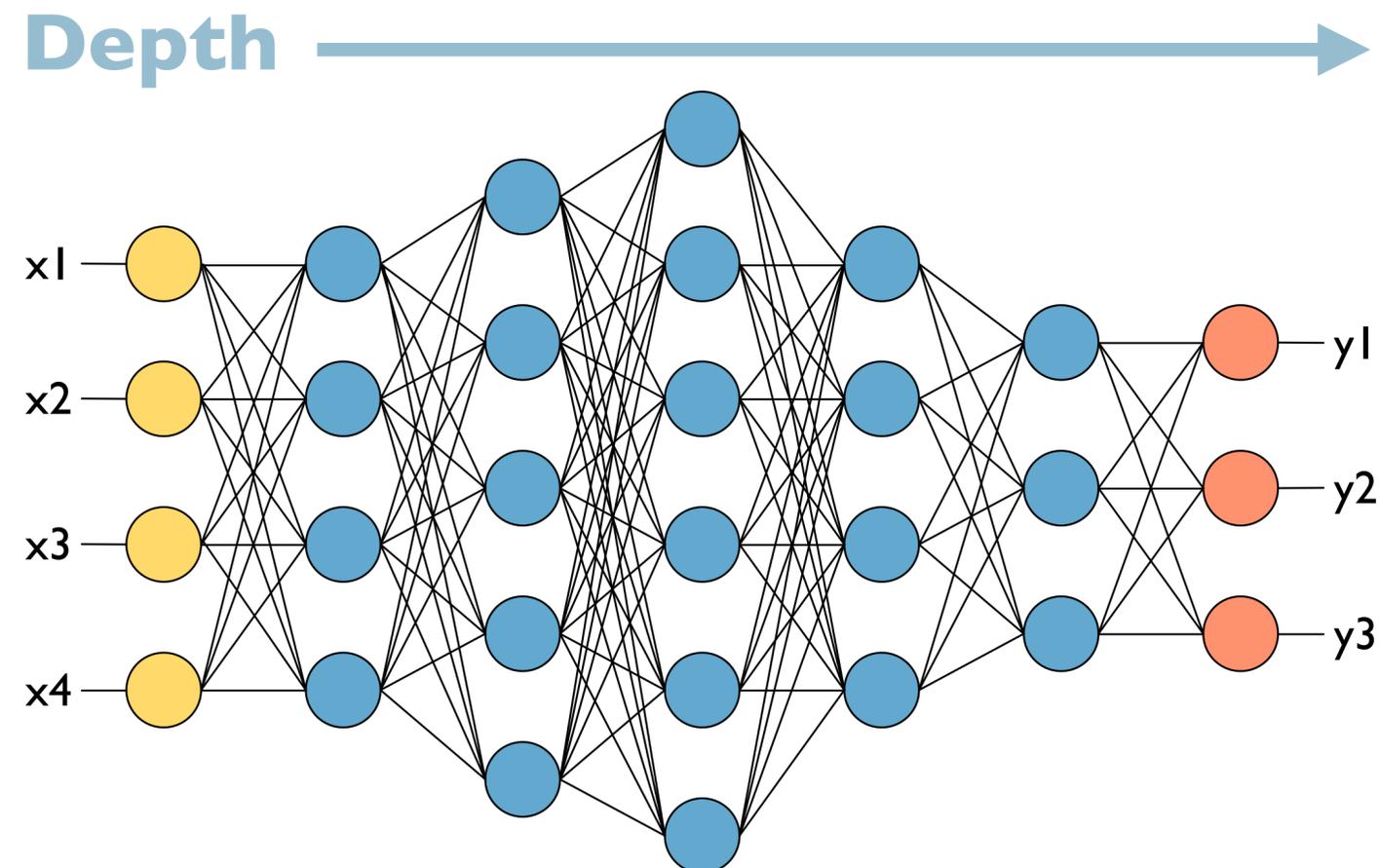
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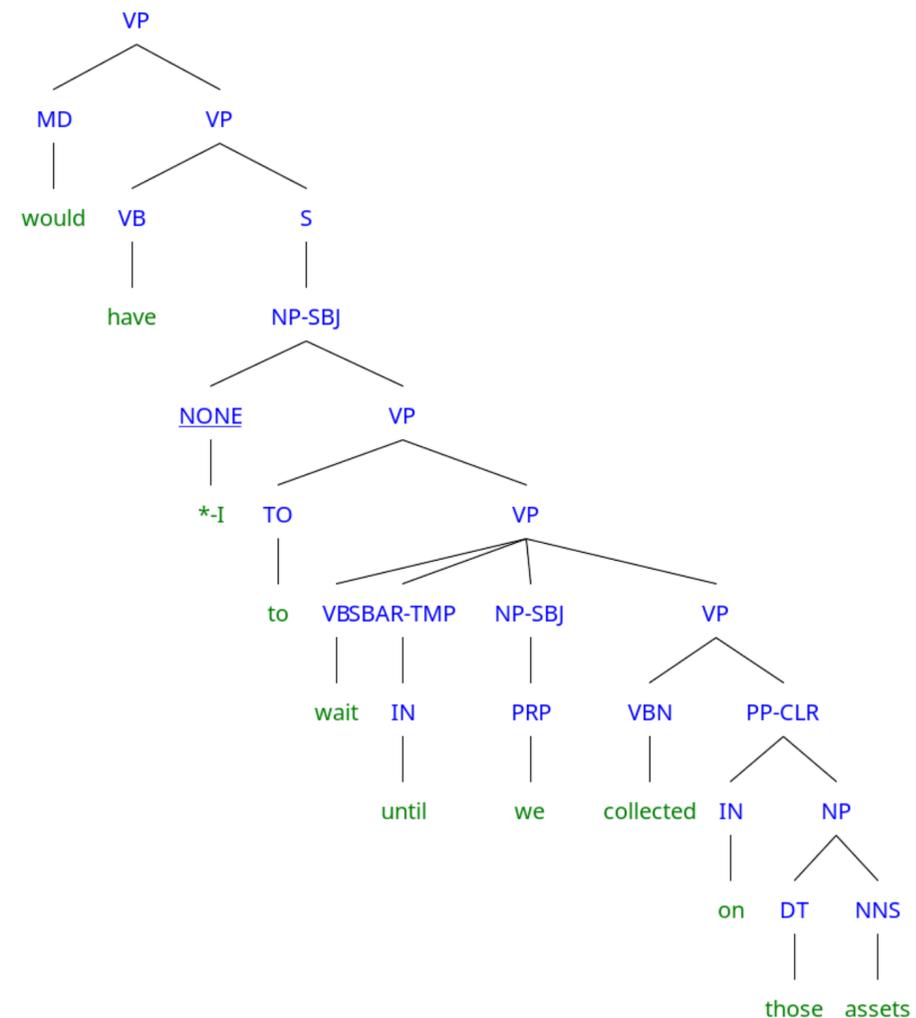
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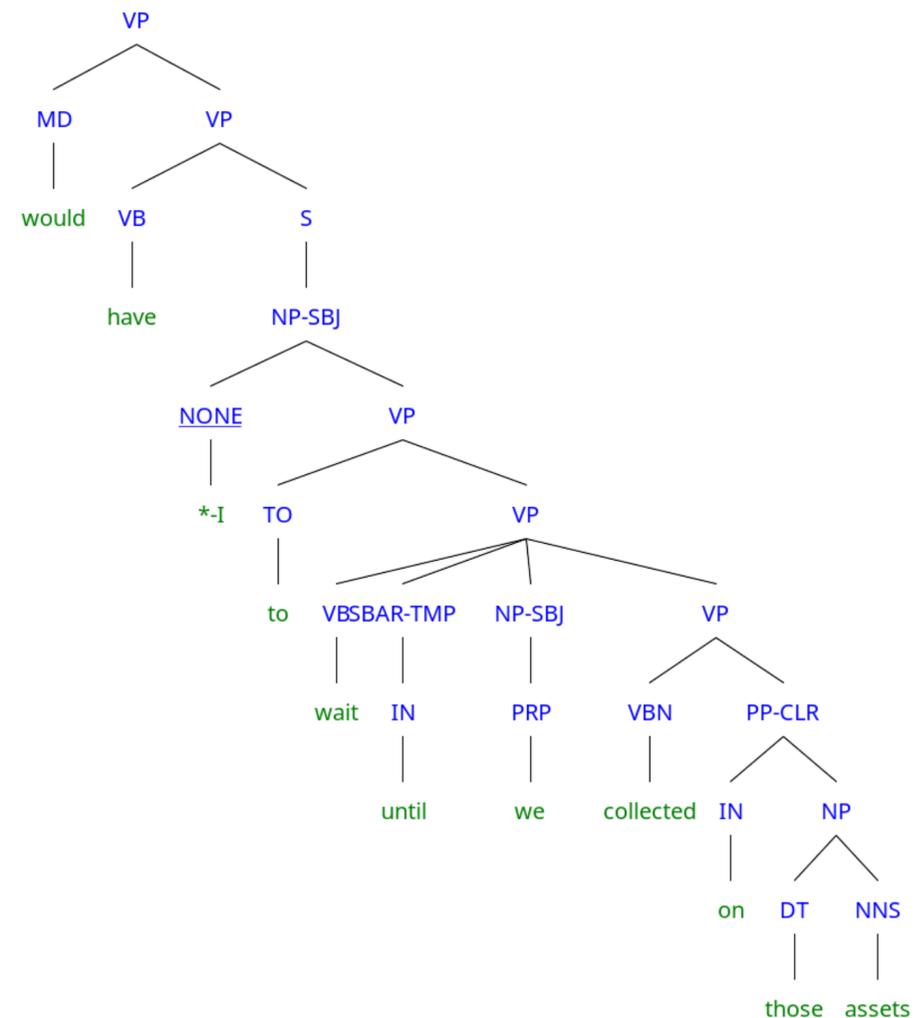
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- “Deep Processing” ← “Depth” of Analysis (Amt. of Abstraction)



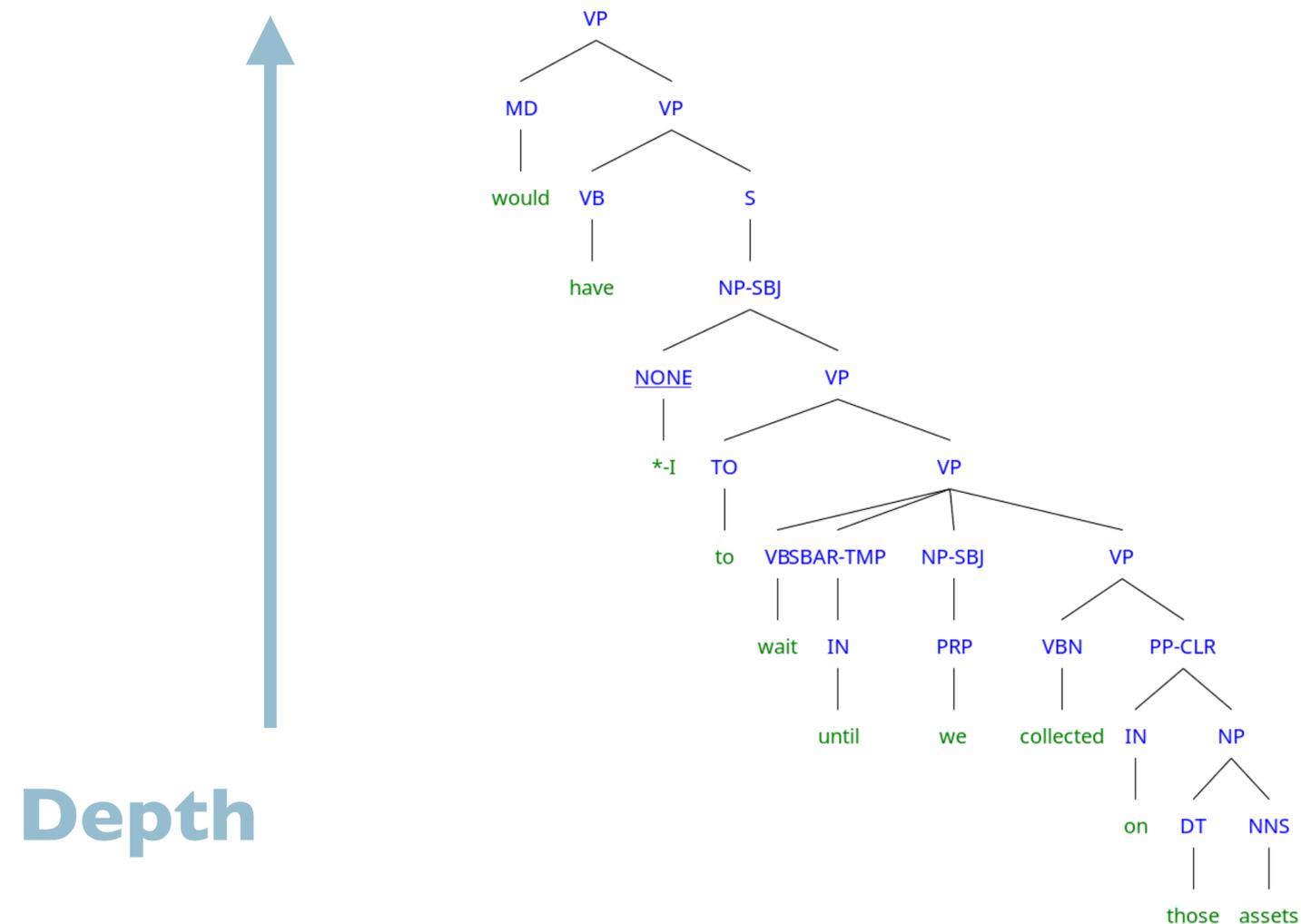
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- In both paradigms, graph depth aids, but \Rightarrow abstraction

Cross-cutting Themes

- **Ambiguity**
 - How can we select from among alternative analyses?

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 - How well does this approach perform:
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 - How well does this approach perform:
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- **Multilinguality**
 - Can we apply the same approach to other languages?
 - How much must it be modified to do so?

Ambiguity: POS

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- “I made her duck.”

Ambiguity: POS

- “I made her duck.”
- Could mean...
 - I caused her to duck down.
 - I made the (carved) duck she has.
 - I cooked duck for her.
 - I cooked a duck that she owned.
 - I magically turned her into a duck.

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- The diagram illustrates the ambiguity of the word "duck" in the sentence "I made her duck." A green arrow labeled "VERB" points from the word "duck" in the first bullet point to the word "duck" in the second bullet point's first sub-item. A red arrow labeled "NOUN" points from the word "duck" in the first bullet point to the word "duck" in the second bullet point's second, third, fourth, and fifth sub-items. The word "NOUN" is written in red text to the right of the arrows.

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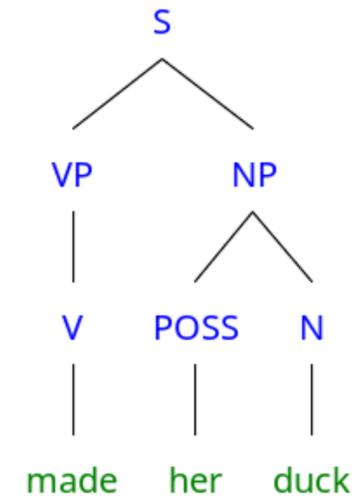
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POSS

PRON

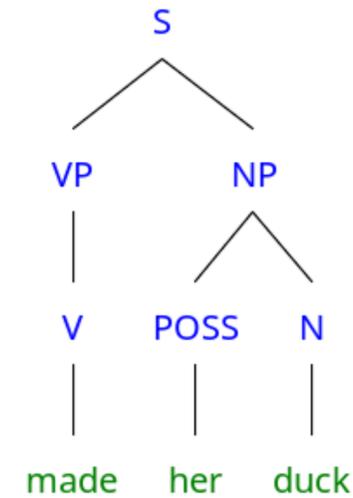
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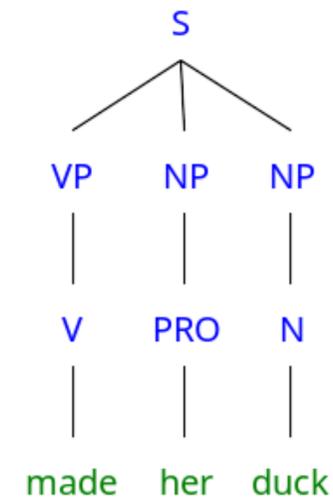


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duck = **animal**

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Ambiguity

- Pervasive in language
- Not a bug, a feature! ([Piantadosi et al 2012](#))
- *“I believe we should all pay our tax bill with a smile. I tried—but they wanted cash.”*
- What would language be like without ambiguity?

Ambiguity

- Challenging for computational systems

Ambiguity

- Challenging for computational systems
- Issue we will return to again and again in class.

Course Information

Course Information

- Website is main source of information: <https://www.shane.st/teaching/571/aut22/>
 - slides, office hours, resources, etc
- Canvas: lecture recordings, homework submission / grading
 - Communication!!! Please use the discussion board for questions about the course and its content.
 - Other students have same questions, can help each other.
 - May get prompter reply. The teaching staff will not respond outside of normal business hours, and may take up to 24 hours.

Course Information

- Grading, policies, etc: see link under “Policies” on course page
 - Shared policies for 570, 571, 572, 574
- Office hours:
 - Shane: MW 230-330 (GUG 415K + Zoom; see website)
 - Cassie: T 9-10AM, Th 12-1PM (GUG 407 + Zoom)
- Homeworks:
 - 9, released on Wednesday, due the following Wednesday
 - With a pause during Thanksgiving week
 - [NB: also no class the Wednesday before Thanksgiving]

Course Content

- Syntax
 - (Probabilistic) Context-Free Grammars
 - Parsing algorithms (CKY, Earley)
 - Dependency Parsing
- Semantics
 - Logical / event semantics, lambda calculus
 - Distributional semantics, lexical semantics
 - Semantic Role Labeling
- Pragmatics / Discourse
 - Reference, Co-reference, structure / discourse parsing

W What are you most looking forward to in 571 this quarter?

Total Results: 0

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Syntax Crash Course

LING 571 — Deep Processing Techniques for NLP

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Roadmap

- Sentence Structure
 - More than a bag of words
- Representation
 - Context-free Grammars
 - Formal Definition

Applications

- Shallow techniques useful, but limited
- Deeper analysis supports:
 - Grammar checking — and teaching
 - Question-answering
 - Information extraction
 - Dialogue understanding
 - ...

Grammar and NLP

- “Grammar” in linguistics is **NOT** prescriptive high school grammar
 - Explicit rules
 - “Don’t split infinitives!” etc.

Grammar and NLP

- “Grammar” in linguistics is **NOT** prescriptive high school grammar
 - Explicit rules
 - “Don’t split infinitives!” etc.
- “Grammar” in linguistics **IS**:
 - How to capture structural knowledge of language as a native speaker would have
 - Largely implicit
 - Learned early, naturally

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- Choice of structure can impact:

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- Choice of structure can impact:
 - Meaning:
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 - Acceptability:
 - *Colorless green ideas sleep furiously.*
 - * *Colorless sleep ideas furiously green.*
 - * *Dog man bites*

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- Single unit: type determined by “head”
 - e.g. N heads NP

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- Basic Units
 - Phrases (**NP**, **VP**, etc...)
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Representing Sentence Structure

- Basic Units
 - Phrases (**NP**, **VP**, etc...)
 - Capture constituent structure
- Subcategorization
 - (**NP-SUBJ**, **VP-INTRANS**, etc...)
 - Capture argument structure
 - Components expected by verbs

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 - Capture constituent structure
- Subcategorization
 - (**NP-SUBJ**, **VP-INTRANS**, etc...)
 - Capture argument structure
 - Components expected by verbs
- Hierarchical

Representation: Context-free Grammars

- CFGs: 4-tuple
 - A set of **terminal** symbols: Σ
 - [think: words]
 - A set of **nonterminal** symbols: N
 - [think: phrase categories]
 - A set of **productions** P :
 - of the form $A \rightarrow \alpha$
 - Where A is a non-terminal and $\alpha \in \{\Sigma \cup N\}^*$
 - A **start** symbol $S \in N$

Representation: Context-free Grammars

- Altogether a grammar defines a language L
 - $L = \{w \in \Sigma^* \mid S \Rightarrow^* w\}$
 - The language L is the set of all words in which:
 - $S \Rightarrow^* w$: w can be *derived* starting from S by some sequence of productions

CFG Components

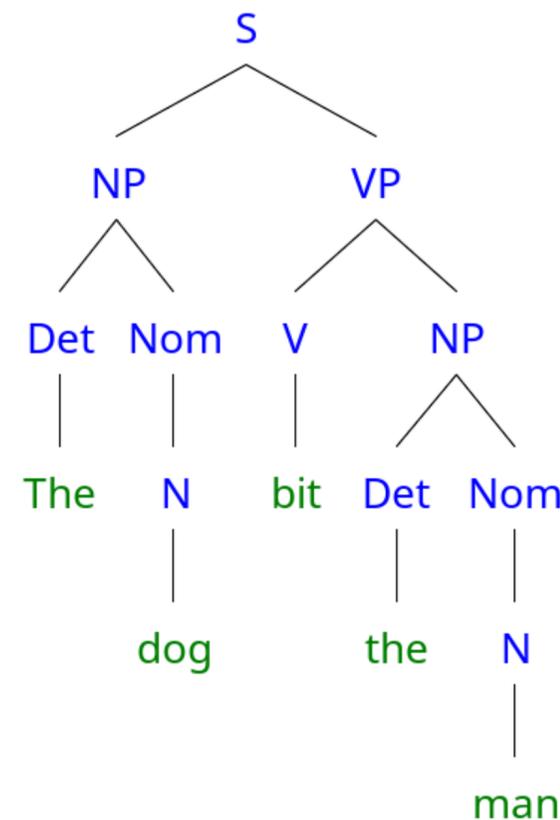
- **Terminals:**
 - Only appear as leaves of parse tree (hence the name)
 - Right-hand side of productions (RHS)
 - Words/morphemes of the language
 - *cat, dog, is, the, bark, chase...*

CFG Components

- **Terminals:**
 - Only appear as leaves of parse tree (hence the name)
 - Right-hand side of productions (RHS)
 - Words/morphemes of the language
 - *cat, dog, is, the, bark, chase...*
- **Non-terminals**
 - Do not appear as leaves of parse tree
 - Appear on left or right side of productions
 - Represent constituent phrases of language
 - NP, VP, S[entence], etc...

Representation: Context-free Grammars

- Partial example:
 - Σ : *the, cat, dog, bit, bites, man*
 - N : NP, VP, Nom, Det, V, N, Adj
 - P :
 - $S \rightarrow NP VP$;
 - $NP \rightarrow Det Nom$;
 - $Nom \rightarrow N Nom \mid N$;
 - $VP \rightarrow V NP$;
 - $N \rightarrow cat; N \rightarrow dog; N \rightarrow man$;
 - $Det \rightarrow the$;
 - $V \rightarrow bit; V \rightarrow bites$
 - S : S



Parsing Goals

- Acceptance
 - Legal string in language?
 - Formally: rigid
 - Practically: degrees of acceptability

Parsing Goals

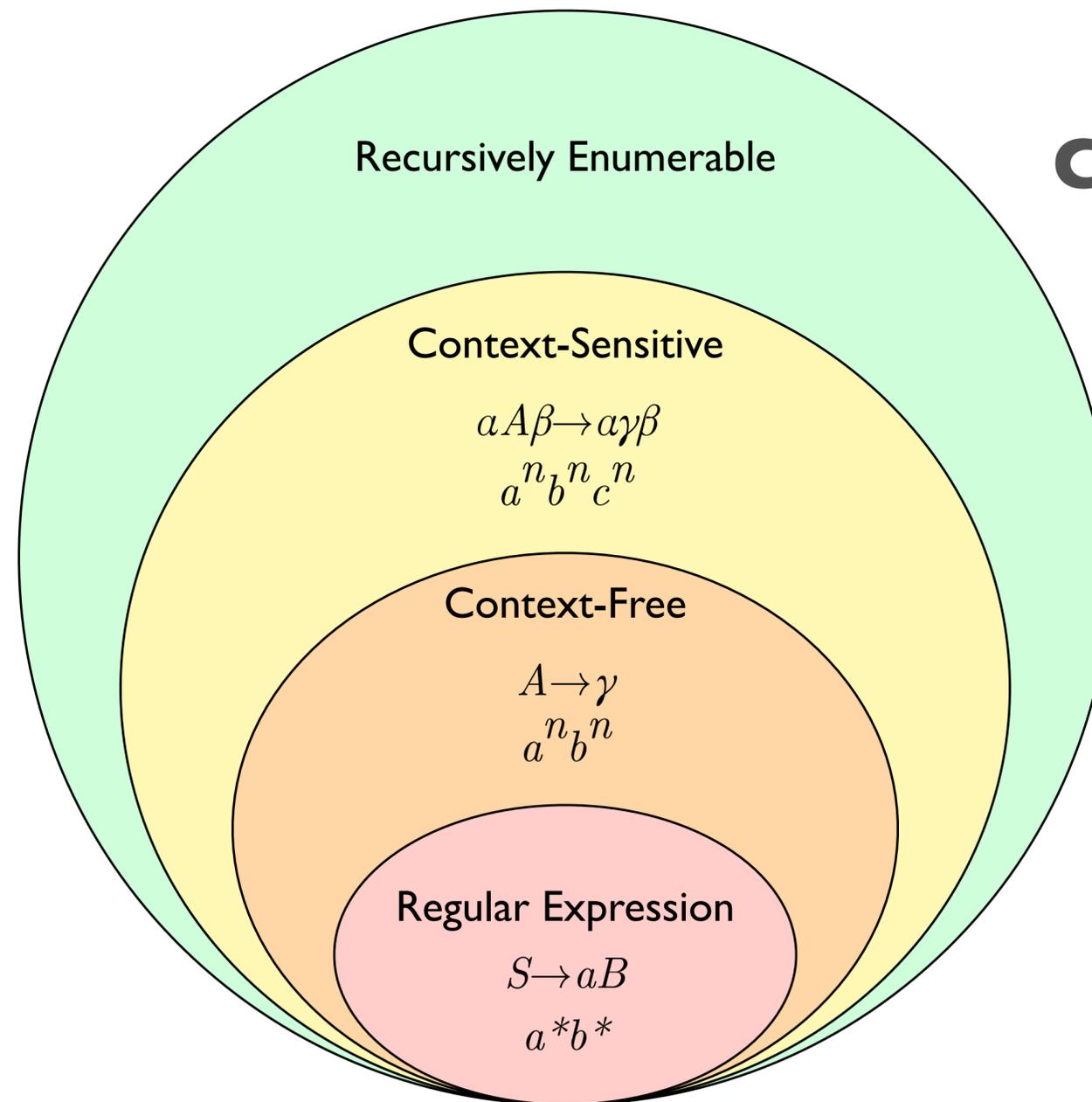
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 - What structure produced the string
 - Produce one (or all) parses for the string

Parsing Goals

- Acceptance
 - Legal string in language?
 - Formally: rigid
 - Practically: degrees of acceptability
- Analysis
 - What structure produced the string
 - Produce one (or all) parses for the string
- Will develop techniques to produce analyses of sentences
 - Rigidly accept (with analysis) or reject
 - Produce varying degrees of acceptability

Sentence-level Knowledge: Syntax

- Different models of language that specify the *expressive power* of a formal language



Chomsky Hierarchy

S, A, B : non-terminals
 a, b : terminals
 α, β, γ : sequence of terminals + non-terminals
[γ : never empty]

Representing Sentence Structure

- Why not just Finite State Models (Regular Expressions)?
 - Cannot describe some grammatical phenomena
 - Inadequate expressiveness to capture generalization

Representing Sentence Structure: Center Embedding

- **Regular Language:** $A \rightarrow w; A \rightarrow w^*B$
- **Context-Free:** $A \rightarrow \alpha A \beta$ (e.g.)
 - Allows recursion:

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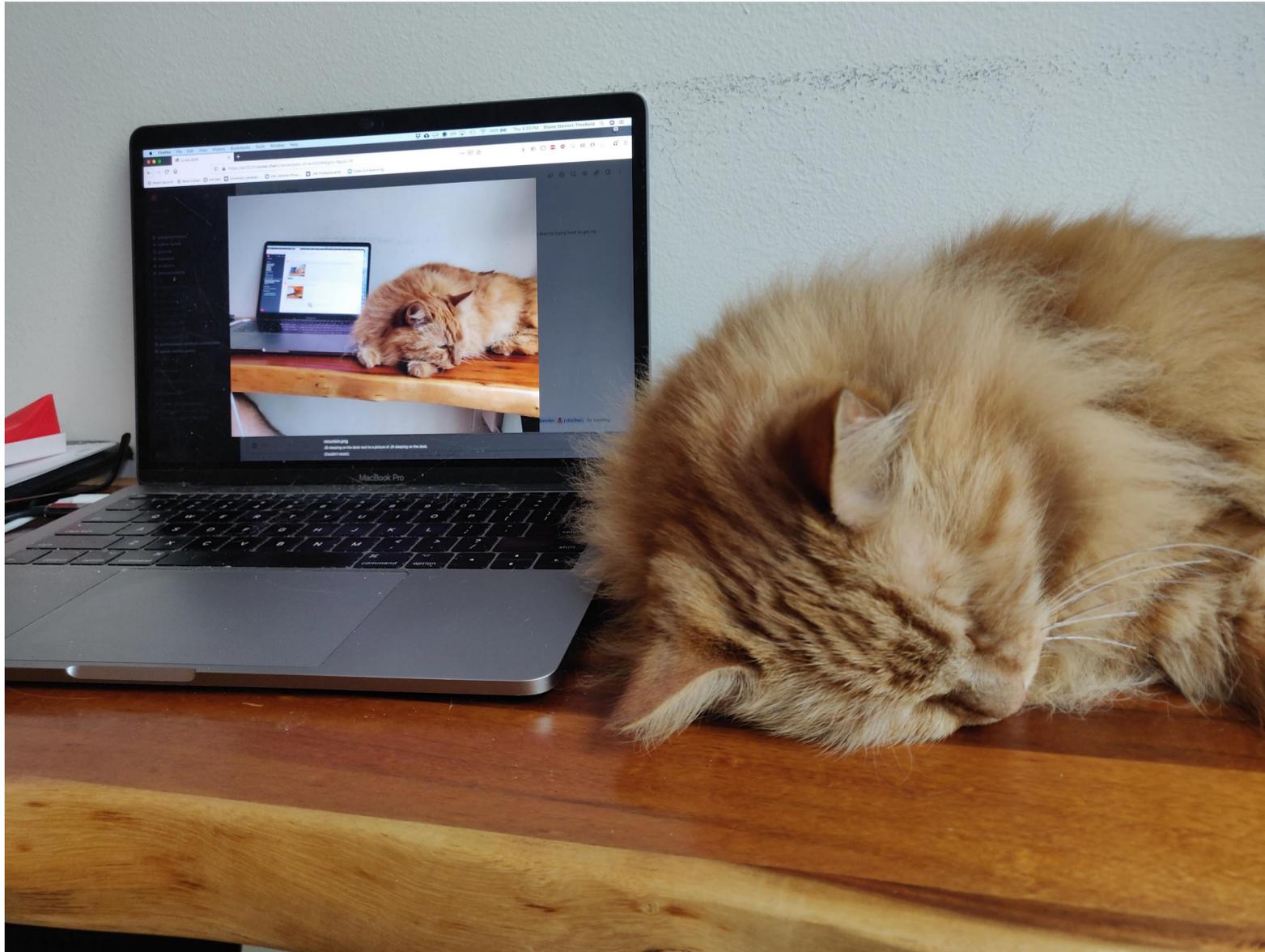
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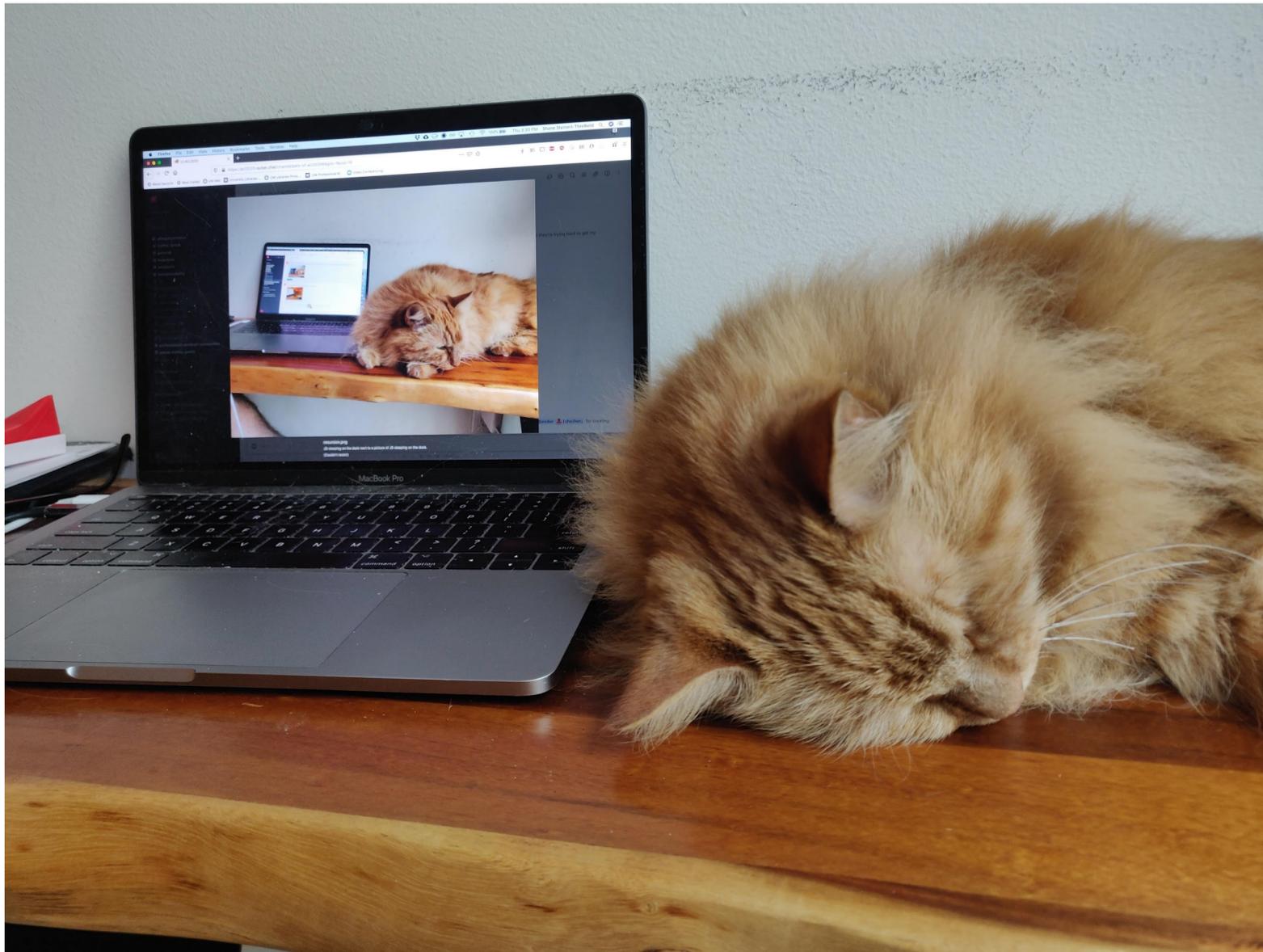
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 - Allows recursion:
 - The luggage arrived
 - The luggage that the passengers checked arrived
 - The luggage that the passengers whom the storm delayed checked arrived

Recursion in Grammar

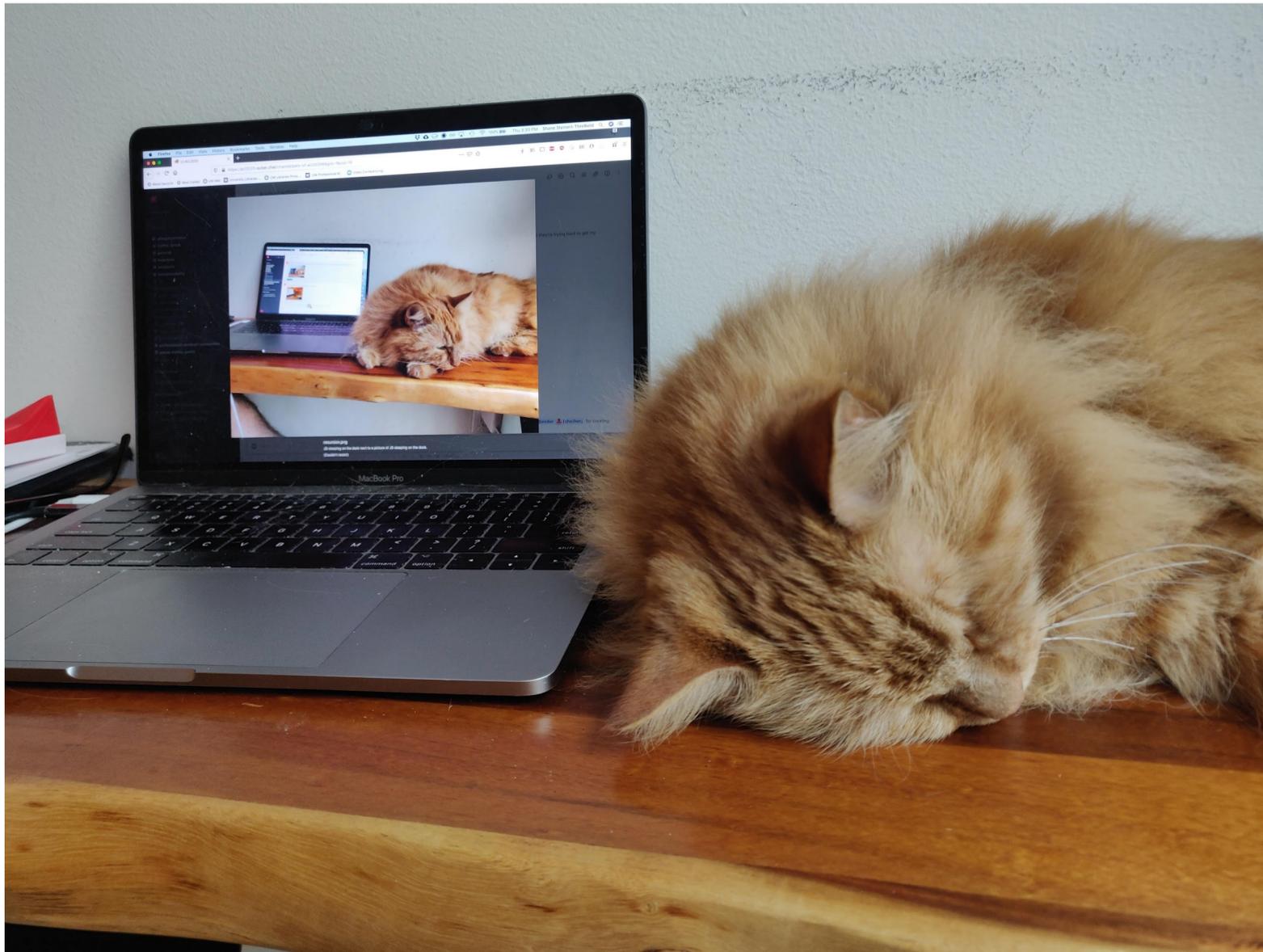


Recursion in Grammar



This is JD lying on the desk next to a picture of JD lying on the desk next to a picture of JD lying on the desk.

Recursion in Grammar



This is JD lying on the desk next to a picture of JD lying on the desk next to a picture of JD lying on the desk.

Exercise: write a toy grammar for producing this sentence! Is context-freeness required?

Is Context-Free Enough?

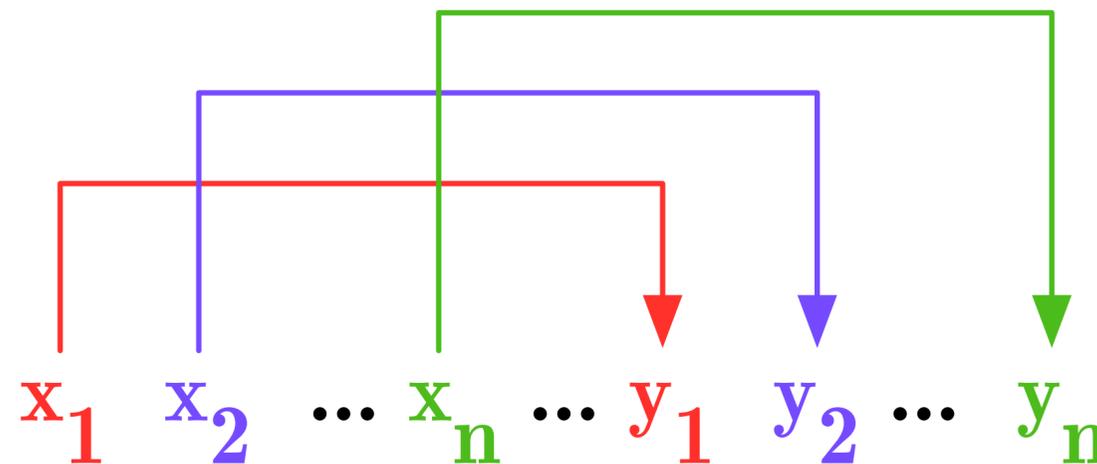
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Is Context-Free Enough?

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- ...but do we need context-sensitivity?
 - Many articles have attempted to demonstrate we do
 - ...many have failed.

Is Context-Free Enough?

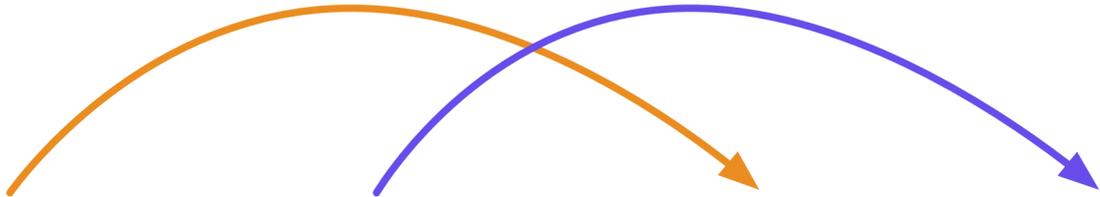
- Natural language not finite state
- ...but do we need context-sensitivity?
 - Many articles have attempted to demonstrate we do
 - ...many have failed.
- Solid proof for Swiss German: *Cross-Serial Dependencies* ([Shieber, 1985](#))
 - *a'ib'ic'di*



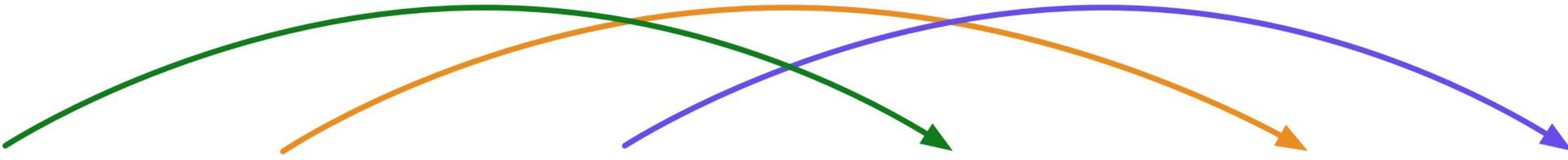
Context-Sensitive Example

- Verbs and their arguments must be ordered *cross-serially*
- Arguments and verbs must match

...mer em Hans s huus hälfed aastriche.
...we Hans (DAT) the house.ACC help paint
"We helped hans paint the house."



...mer d'chind em Hans s huus haend wele laa hälfed aastriche.
...we the children Hans (DAT) the house.ACC have wanted.to let help paint
"We wanted to let the children help Hans paint the house."



What questions do you have?