

Dependency Grammars and Parser

LING 571 — Deep Processing for NLP

October 19, 2022

Shane Steinert-Threlkeld

Ambiguity of the Week



Adam Macqueen
@adam_macqueen



Personally feel not enough hospitals are named after sandwiches.



Ambiguity of the Week 2



“What if my pet is not made of chicken and turkey?” —my brother

Roadmap

- Dependency Grammars
 - Definition
 - Motivation:
 - Limitations of Context-Free Grammars
- Dependency Parsing
 - By conversion to CFG
 - By Graph-based models
 - By transition-based parsing
- HW4 + mid-term feedback

Dependency Grammar

- [P]CFGs:
 - Phrase-Structure Grammars
 - Focus on modeling constituent structure

Dependency Grammar

- **[P]CFGs:**
 - Phrase-Structure Grammars
 - Focus on modeling constituent structure
- **Dependency grammars:**
 - Syntactic structure described in terms of
 - Words
 - Syntactic/semantic relations between words

Dependency Parse

- A Dependency parse is a tree,* where:

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 - Nodes correspond to words in string

Dependency Parse

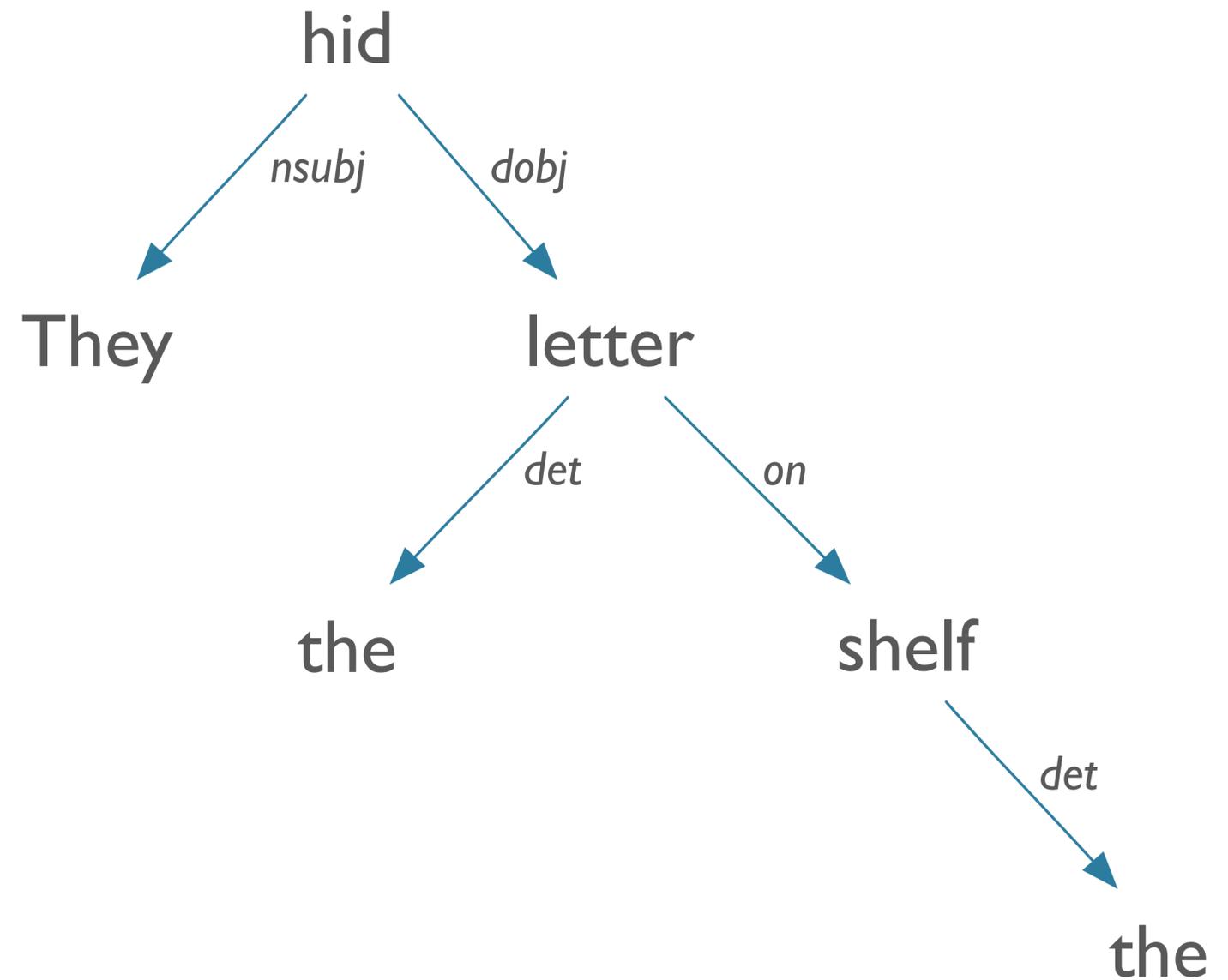
- A Dependency parse is a tree,* where:
 - Nodes correspond to words in string
 - Edges between nodes represent dependency relations
 - Relations may or may not be labeled (aka typed)
- *: in very special cases, can argue for cycles

Dependency Parse Example:

They hid the letter on the shelf

| Argument Dependencies | |
|-----------------------|-----------------------|
| Abbreviation | Description |
| nsubj | nominal subject |
| csbj | clausal subject |
| dobj | direct object |
| iobj | indirect object |
| pobj | object of preposition |

| Modifier Dependencies | |
|-----------------------|------------------------|
| Abbreviation | Description |
| tmod | temporal modifier |
| appos | appositional modifier |
| det | determiner |
| prep | prepositional modifier |

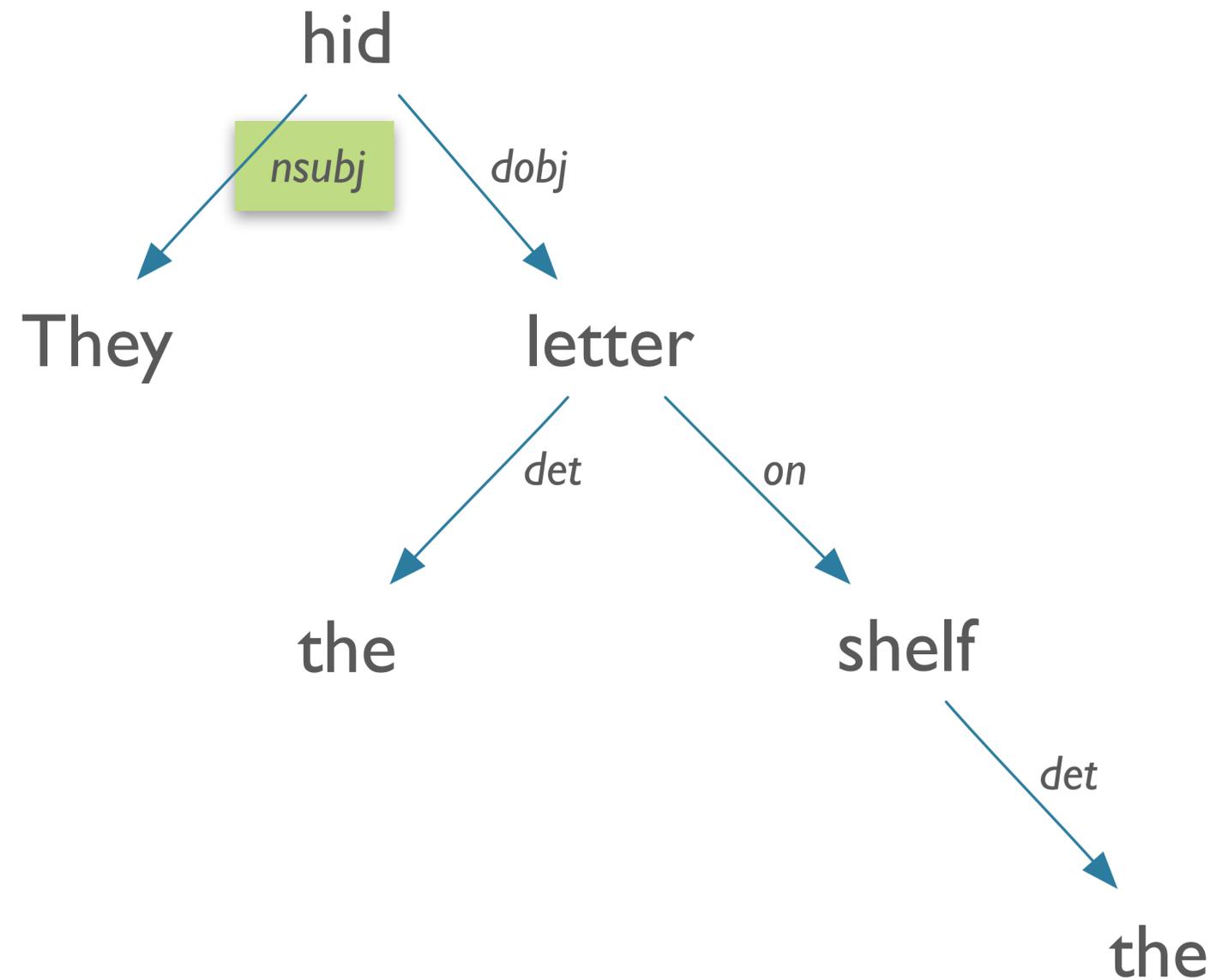


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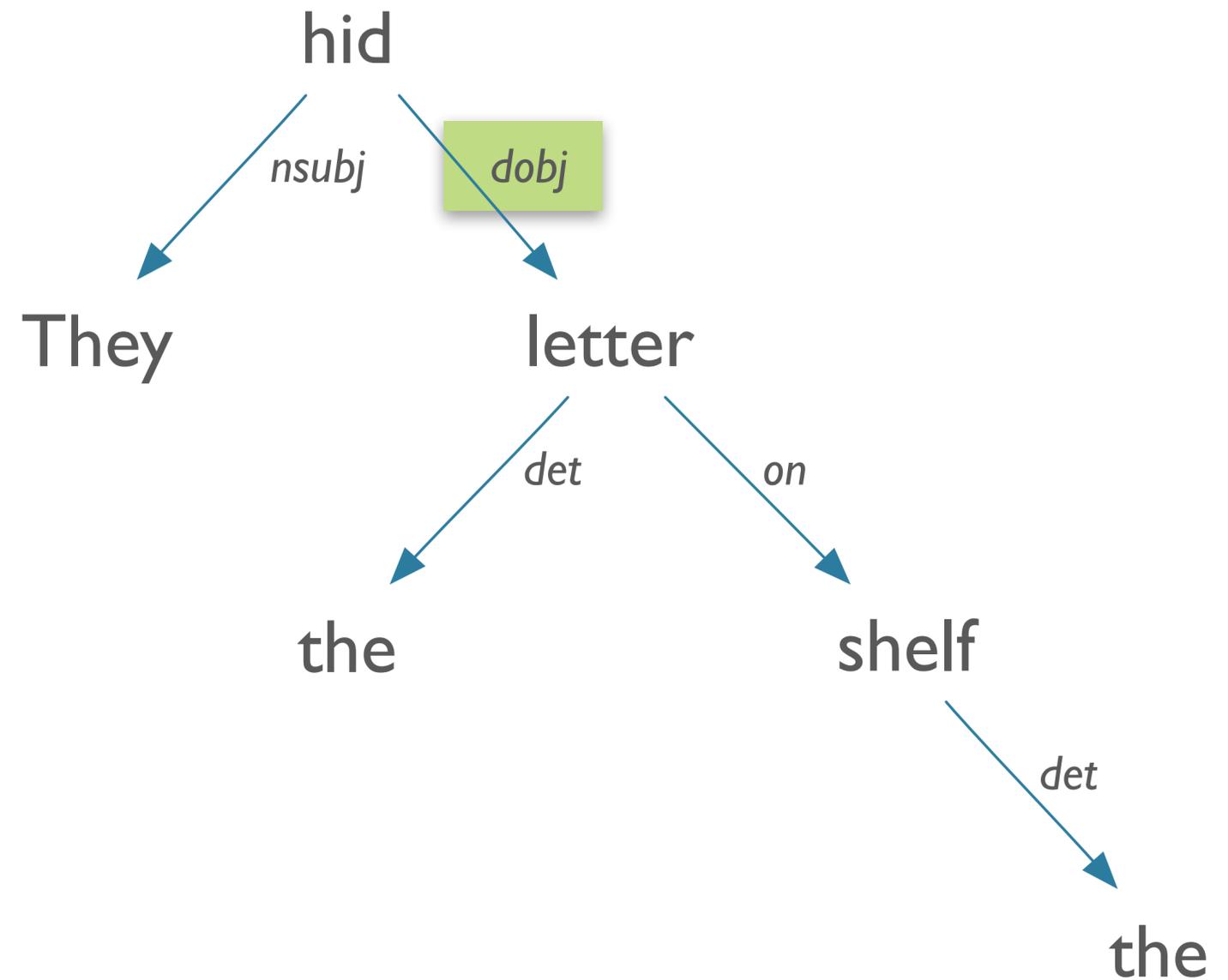


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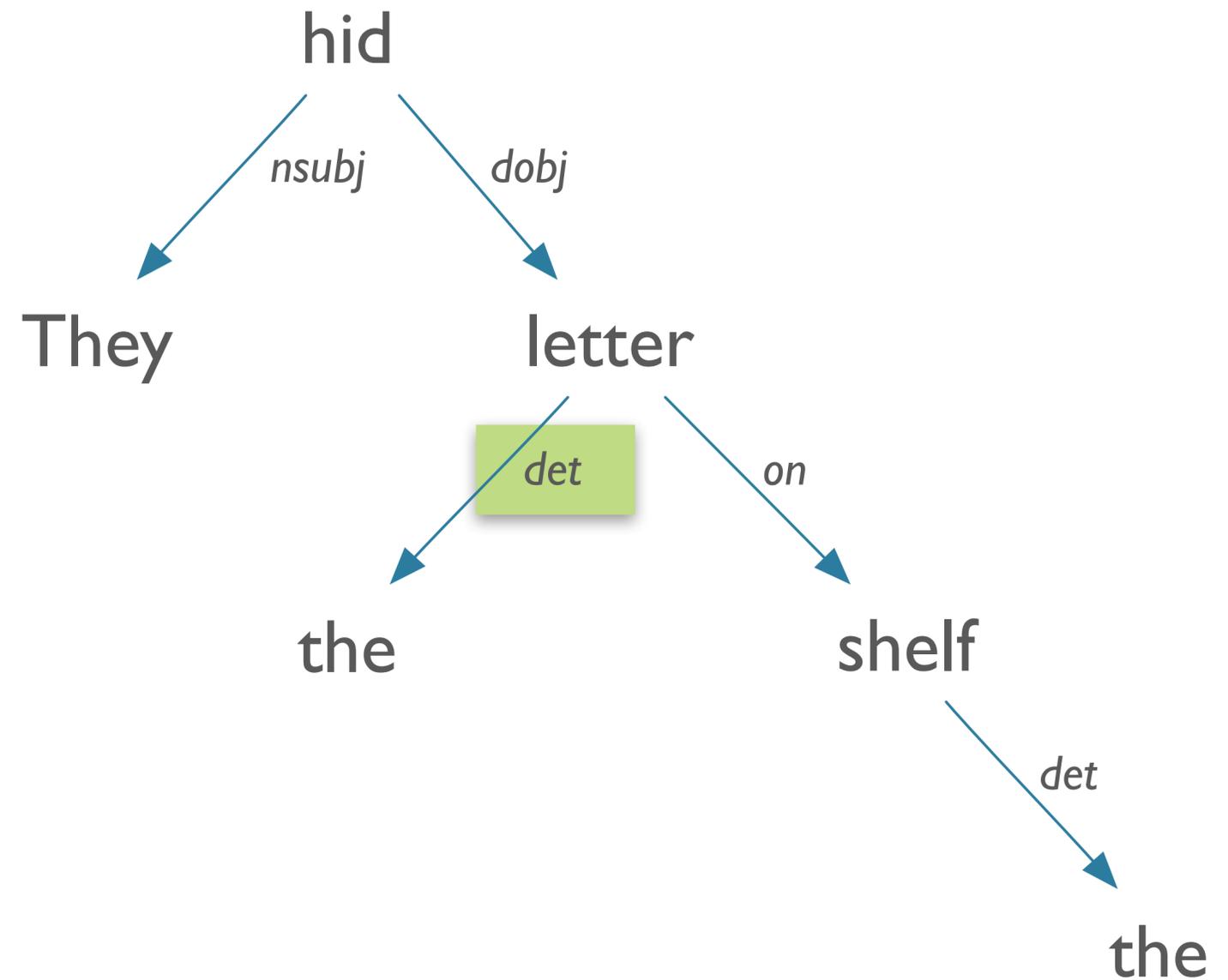
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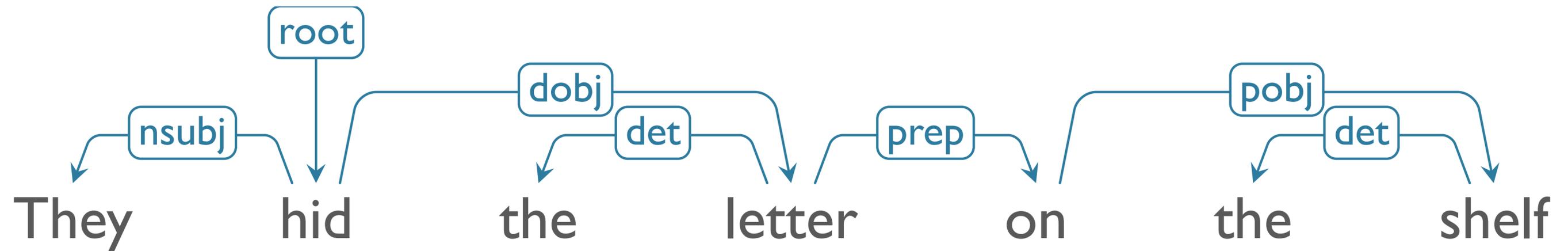
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Alternative Representation



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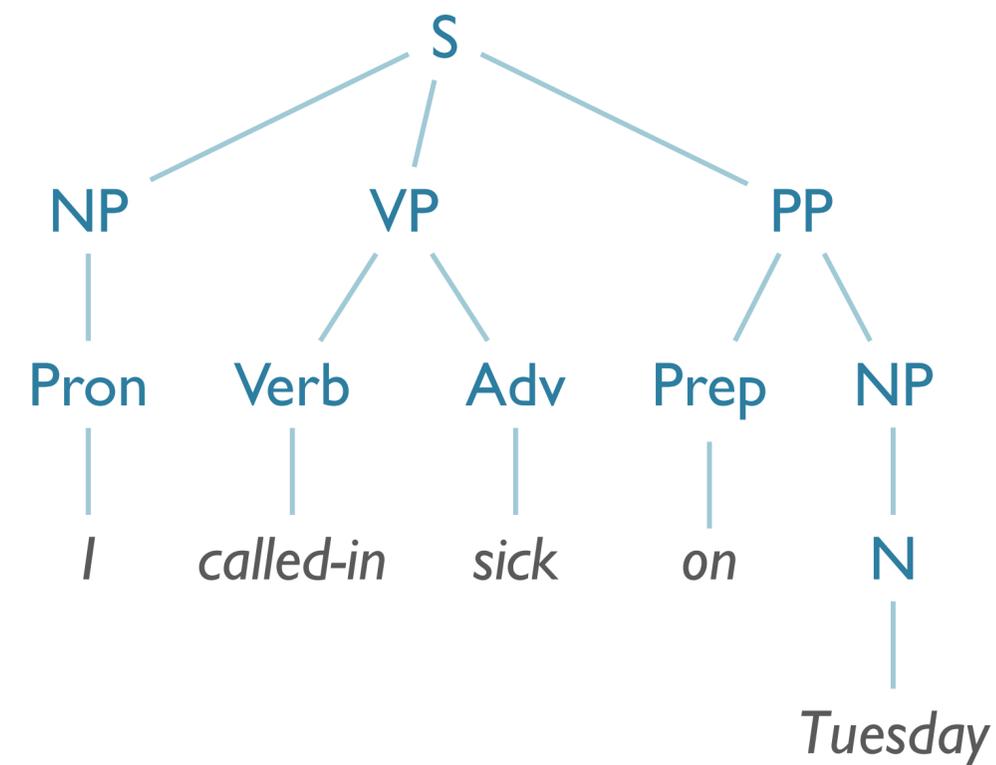
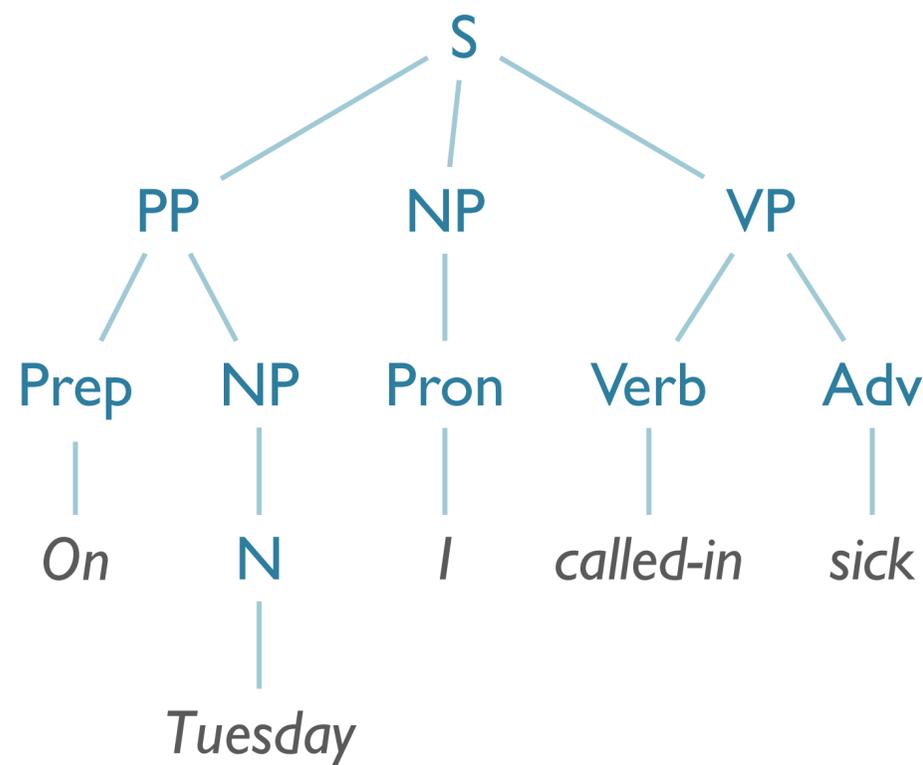
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 - = (*Subject*) did (*theme*) to (*patient*)

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 - Clear encapsulation of predicate-argument structure
 - Phrase structure may obscure, e.g. *wh-movement*
- Good match for question-answering, relation extraction
 - *Who* did *what* to *whom*?
 - = (*Subject*) did (*theme*) to (*patient*)
 - Helps with parallel relations between roles in **questions**, and roles in **answers**

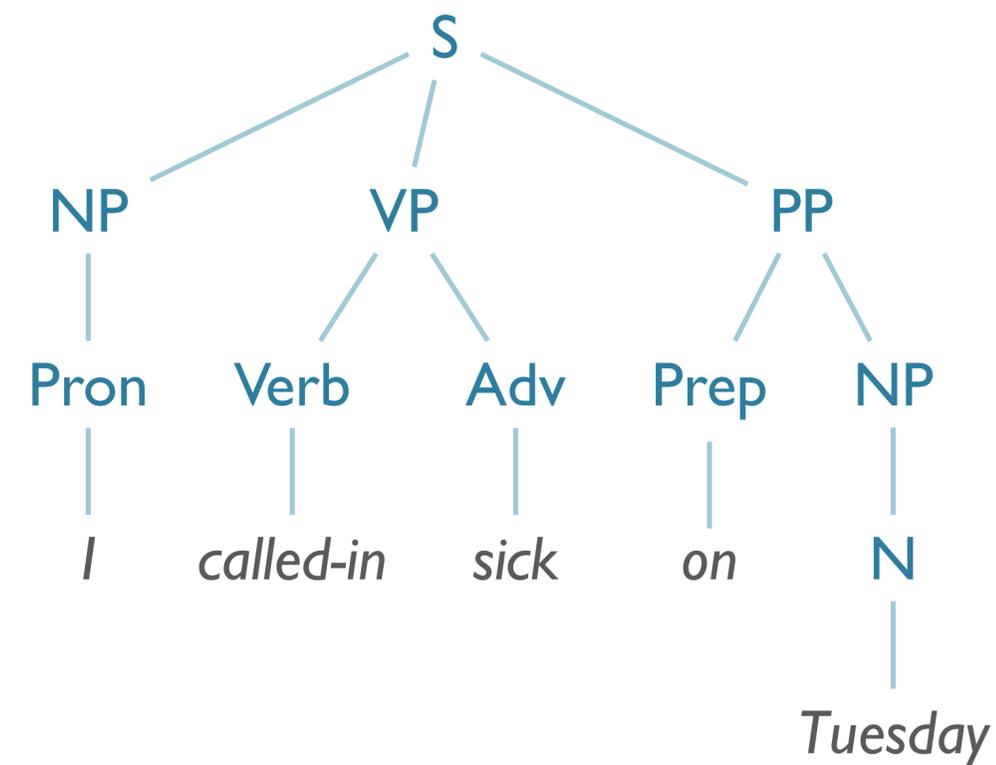
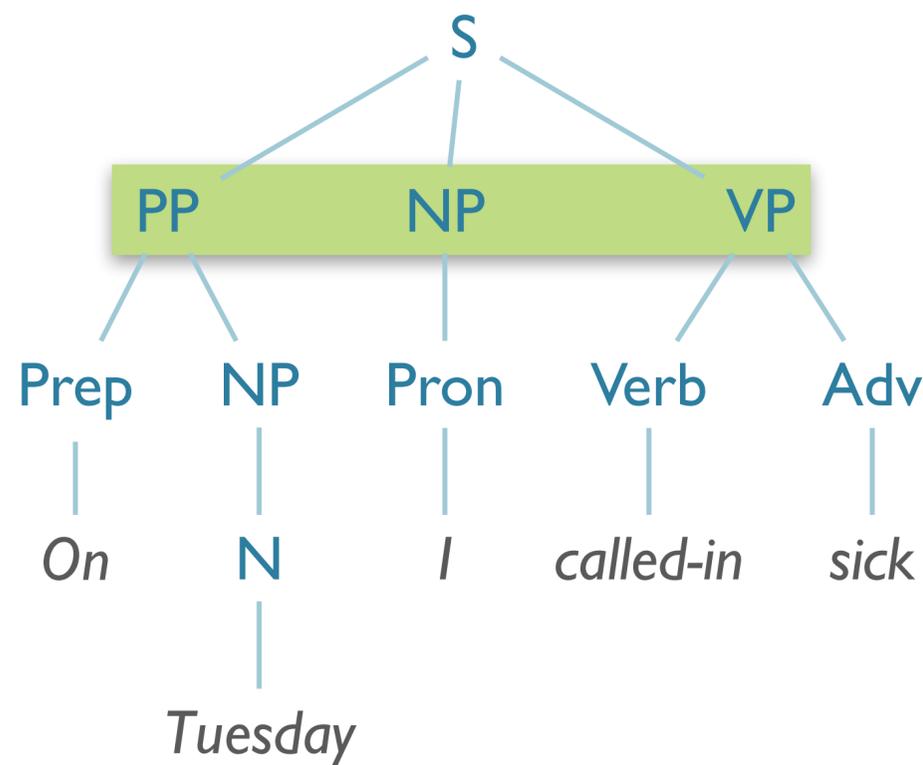
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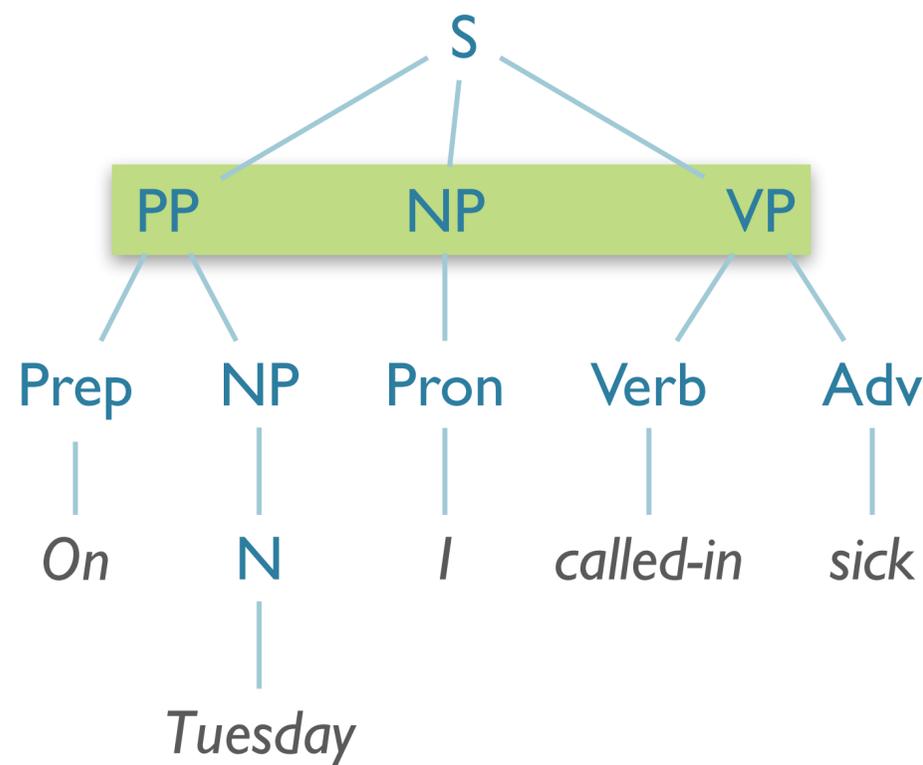


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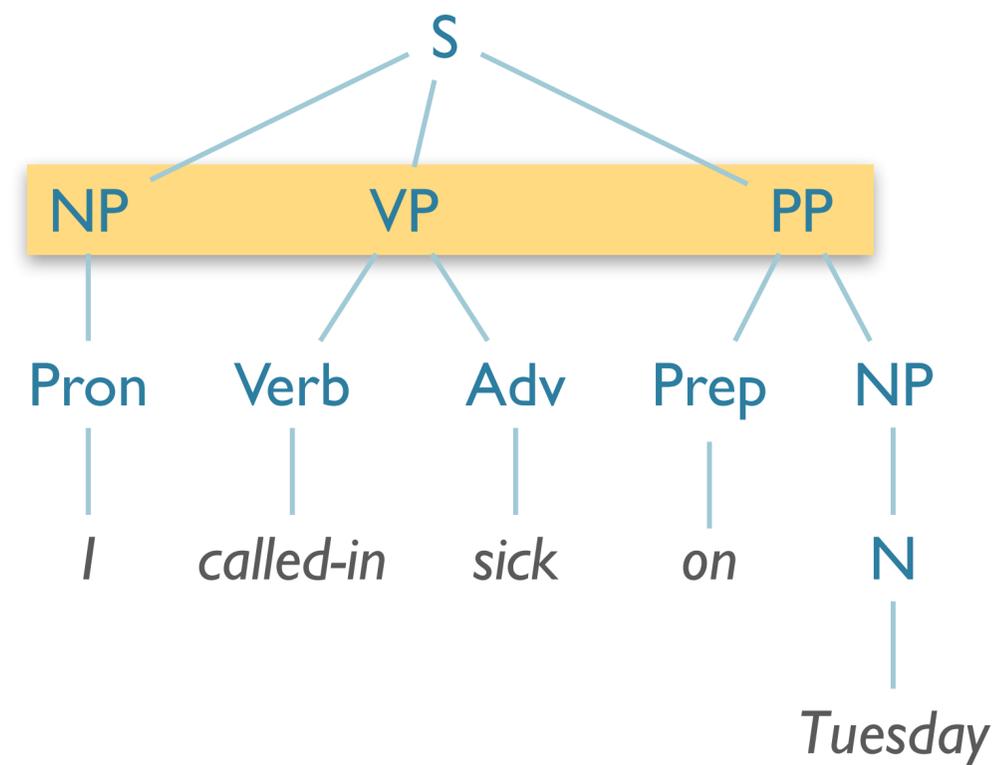
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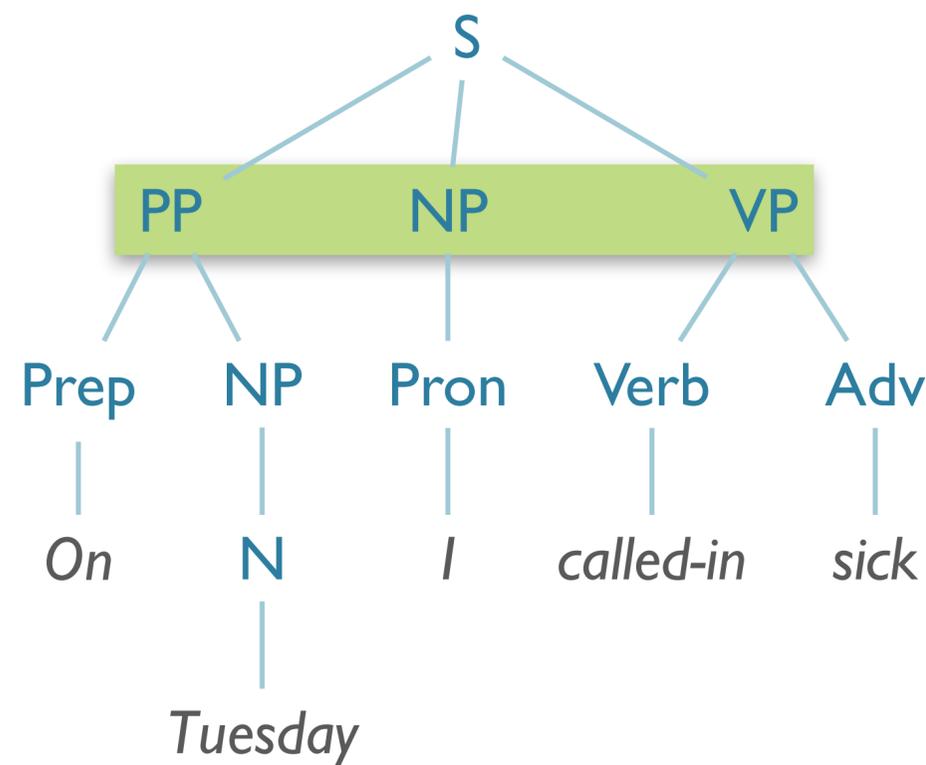
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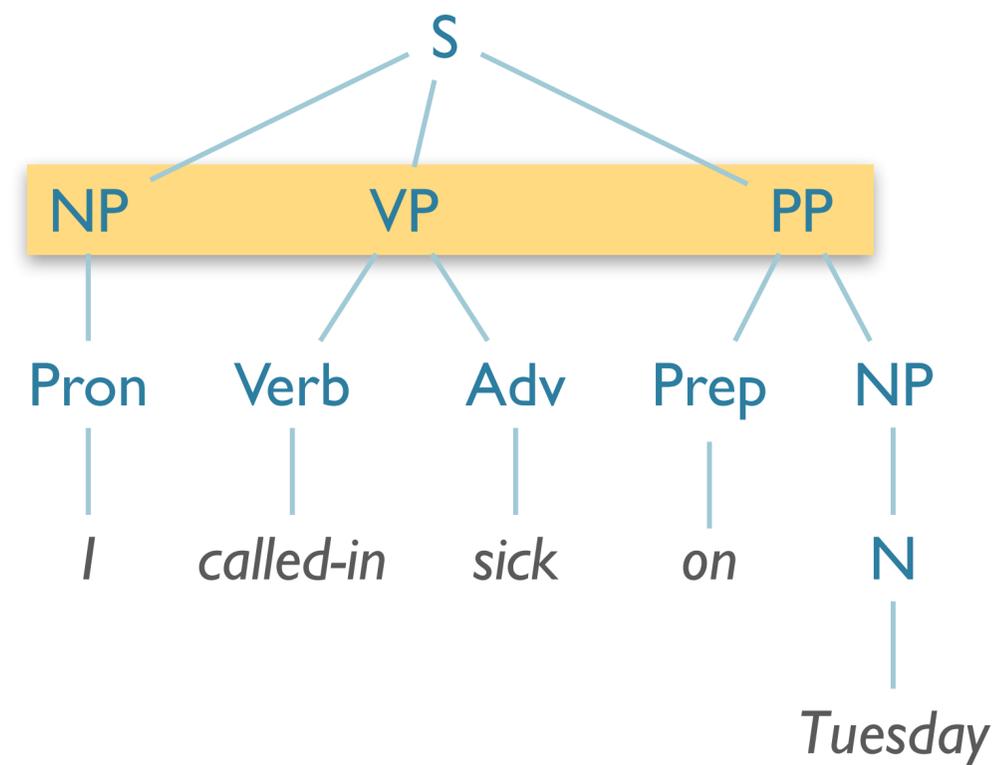
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Why Dependency Grammar?

- English has relatively fixed word order
- Big problem for languages with freer word order



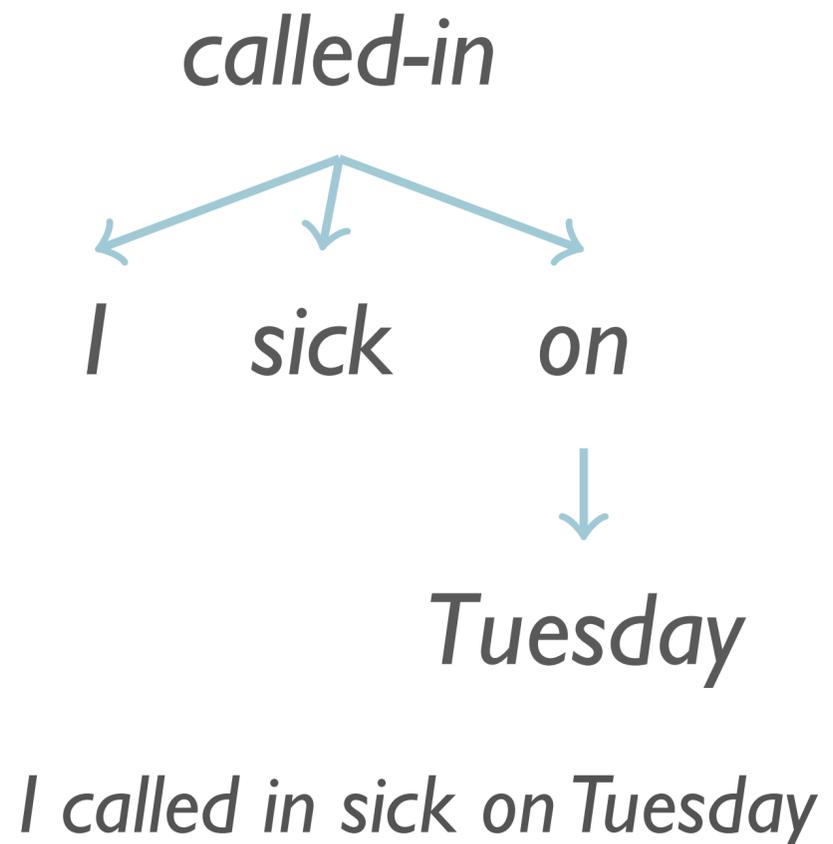
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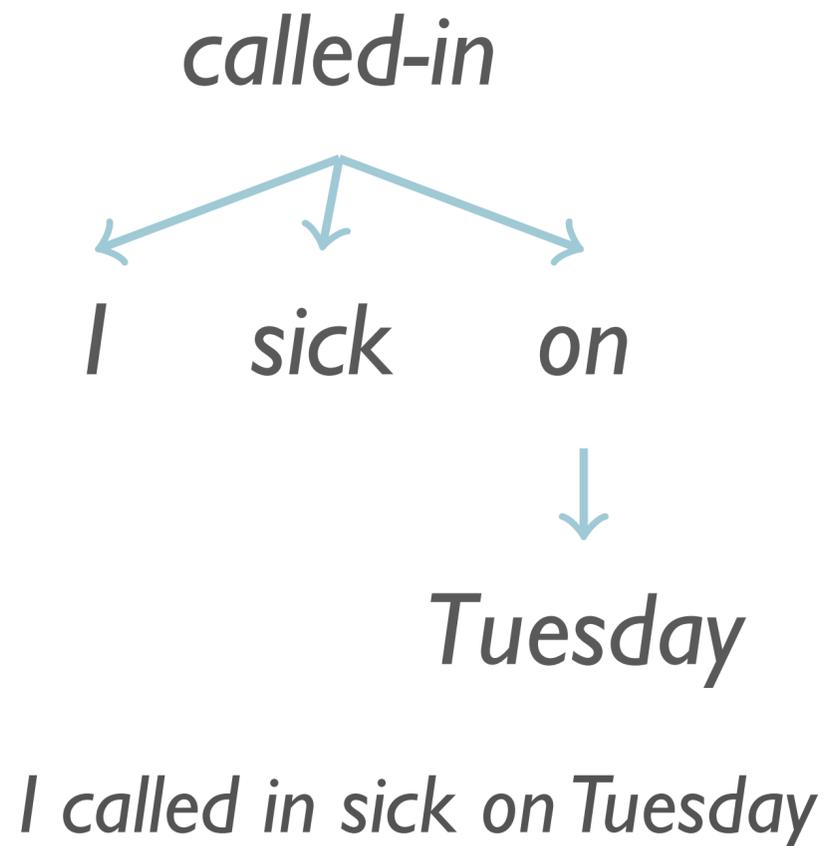
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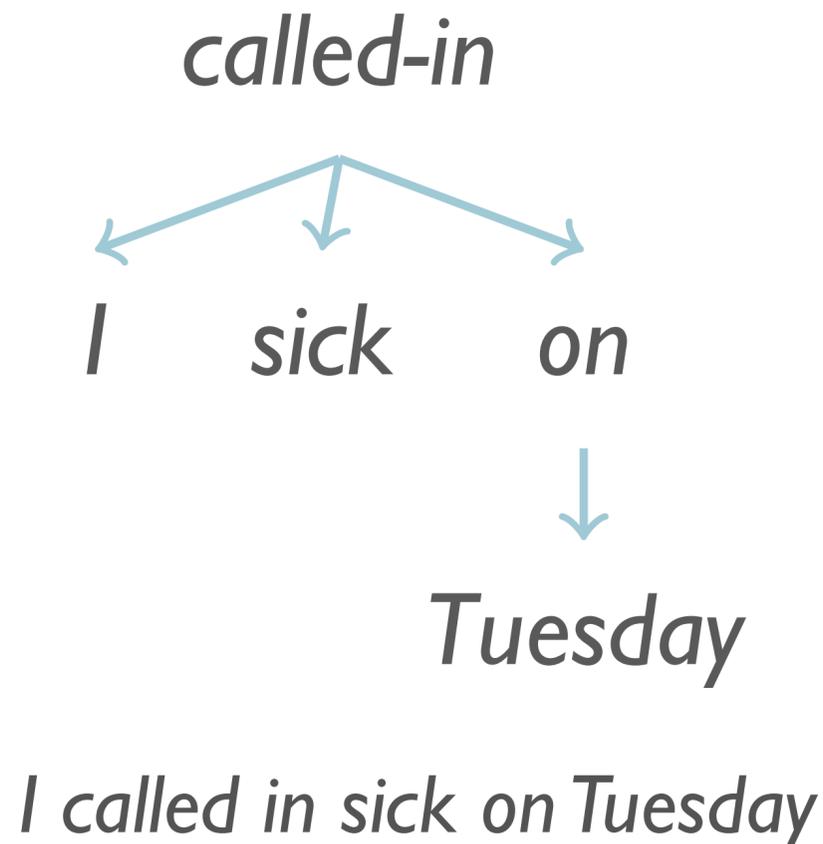
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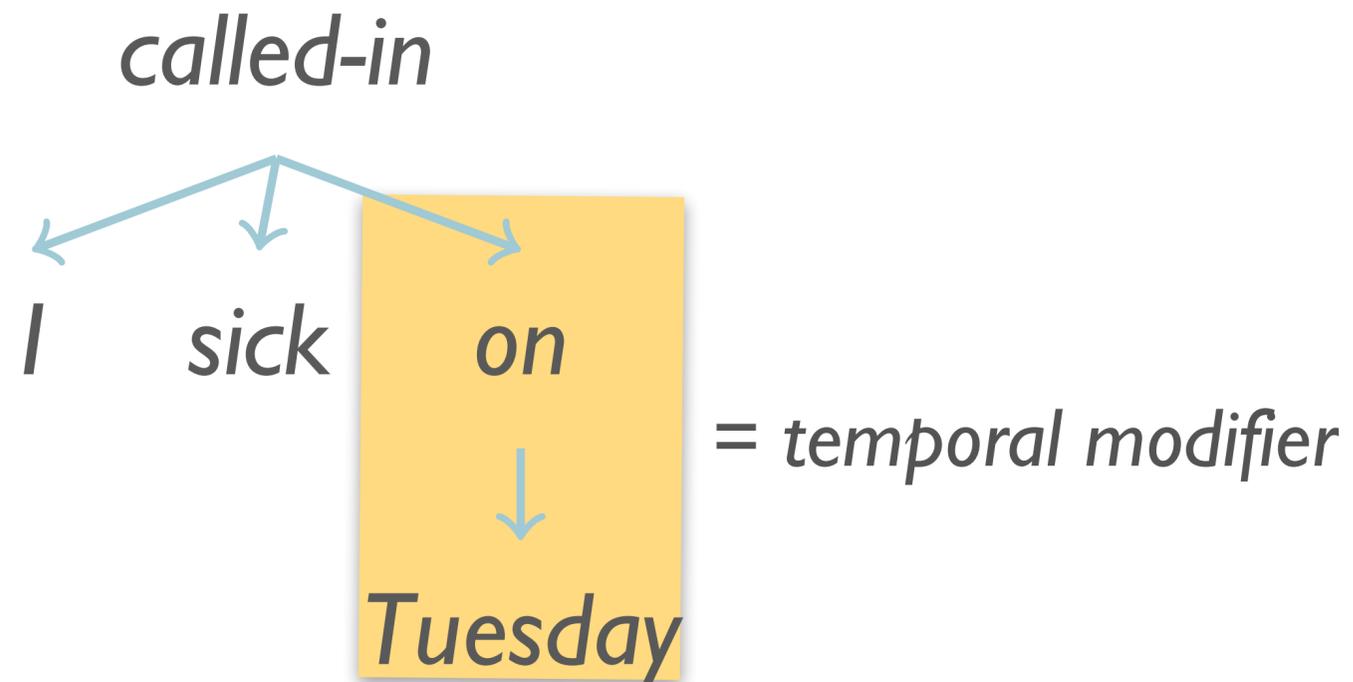
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I called in sick on Tuesday

Why Dependency Grammar?

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when did I call in sick?

Natural Efficiencies

- Phrase Structures:
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- Phrase Structures:
 - Must derive full trees of many non-terminals
- Dependency Structures:
 - For each word, identify
 - Syntactic head, h
 - Dependency label, d
 - Inherently lexicalized
 - Strong constraints hold between pairs of words

Visualization

- Web demos:
 - displaCy: <https://explosion.ai/demos/displacy>
 - Stanford CoreNLP: <http://corenlp.run/>
- [spaCy](#) and [stanza](#) Python packages have good built-in parsers
 - [quick live demo]
- LaTeX: tikz-dependency (<https://ctan.org/pkg/tikz-dependency>)

Resources

- Universal Dependencies:
 - Consistent annotation scheme (i.e. same POS, dependency labels)
 - Treebanks for >70 languages
 - Sizes: German, Czech, Japanese, Russian, French, Arabic, ...

Possible Future Extensions

People have expressed interest in providing annotated data for the following languages but no data has been provided so far.

| | | | | | | |
|---|--|------------------------|---|--------|--|----------------------------------|
| ▶ | | Abaza | 1 | <1K | | Northwest Caucasian |
| ▶ | | Amharic | 1 | - | | Afro-Asiatic, Semitic |
| ▶ | | Ancient Greek | 1 | 19K | | IE, Greek |
| ▶ | | Archaic Irish | 1 | - | | IE, Celtic |
| ▶ | | Assamese | 1 | - | | IE, Indic |
| ▶ | | Bengali | 2 | - | | IE, Indic |
| ▶ | | Bhojpuri | 1 | - | | IE, Indic |
| ▶ | | Cappadocian | 1 | - | | IE, Greek |
| ▶ | | Cusco Quechua | 1 | - | | Quechuan |
| ▶ | | Czech | 1 | 1,198K | | IE, Slavic |
| ▶ | | Danish | 1 | - | | IE, Germanic |
| ▶ | | Dargwa | 1 | - | | Nakh-Daghestanian, Lak-Dargwa |
| ▶ | | English | 3 | 1,209K | | IE, Germanic |
| ▶ | | French | 1 | - | | IE, Romance |
| ▶ | | Frisian | 1 | - | | IE, Germanic |
| ▶ | | Georgian | 1 | - | | Kartvelian |
| ▶ | | Gheg | 1 | - | | IE, Albanian |
| ▶ | | Greek | 1 | - | | IE, Greek |
| ▶ | | Gujarati | 1 | - | | IE, Indic |
| ▶ | | Hiligaynon | 1 | - | | Austronesian, Central Philippine |
| ▶ | | Icelandic | 1 | - | | IE, Germanic |
| ▶ | | Irish | 1 | - | | IE, Celtic |
| ▶ | | Italian | 1 | - | | IE, Romance |
| ▶ | | Kabyle | 1 | 47K | | Afro-Asiatic, Berber |
| ▶ | | Kannada | 1 | - | | Dravidian, Southern |
| ▶ | | Khoekhoe | 1 | - | | Khoe-Kwadi |
| ▶ | | Kiga | 1 | - | | Niger-Congo, Bantoid |
| ▶ | | Korean | 2 | - | | Korean |
| ▶ | | Kyrgyz | 1 | - | | Turkic, Northwestern |
| ▶ | | Ladino | 1 | - | | IE, Romance |
| ▶ | | Laz | 1 | 2K | | Kartvelian |
| ▶ | | Macedonian | 1 | - | | IE, Slavic |
| ▶ | | Magahi | 2 | 7K | | IE, Indic |
| ▶ | | Maghrebi Arabic French | 1 | - | | Code switching |
| ▶ | | Mandiyali | 1 | - | | IE, Indic |
| ▶ | | Marathi | 1 | 205K | | IE, Indic |

Summary

- Dependency grammars balance complexity and expressiveness
 - Sufficiently expressive to capture predicate-argument structure
 - Sufficiently constrained to allow efficient parsing

Summary

- Dependency grammars balance complexity and expressiveness
 - Sufficiently expressive to capture predicate-argument structure
 - Sufficiently constrained to allow efficient parsing

- Still not perfect
 - “On Tuesday I called in sick” vs. “I called in sick on Tuesday”
 - These feel pragmatically different (e.g. topically), might want to represent difference syntactically.

Roadmap

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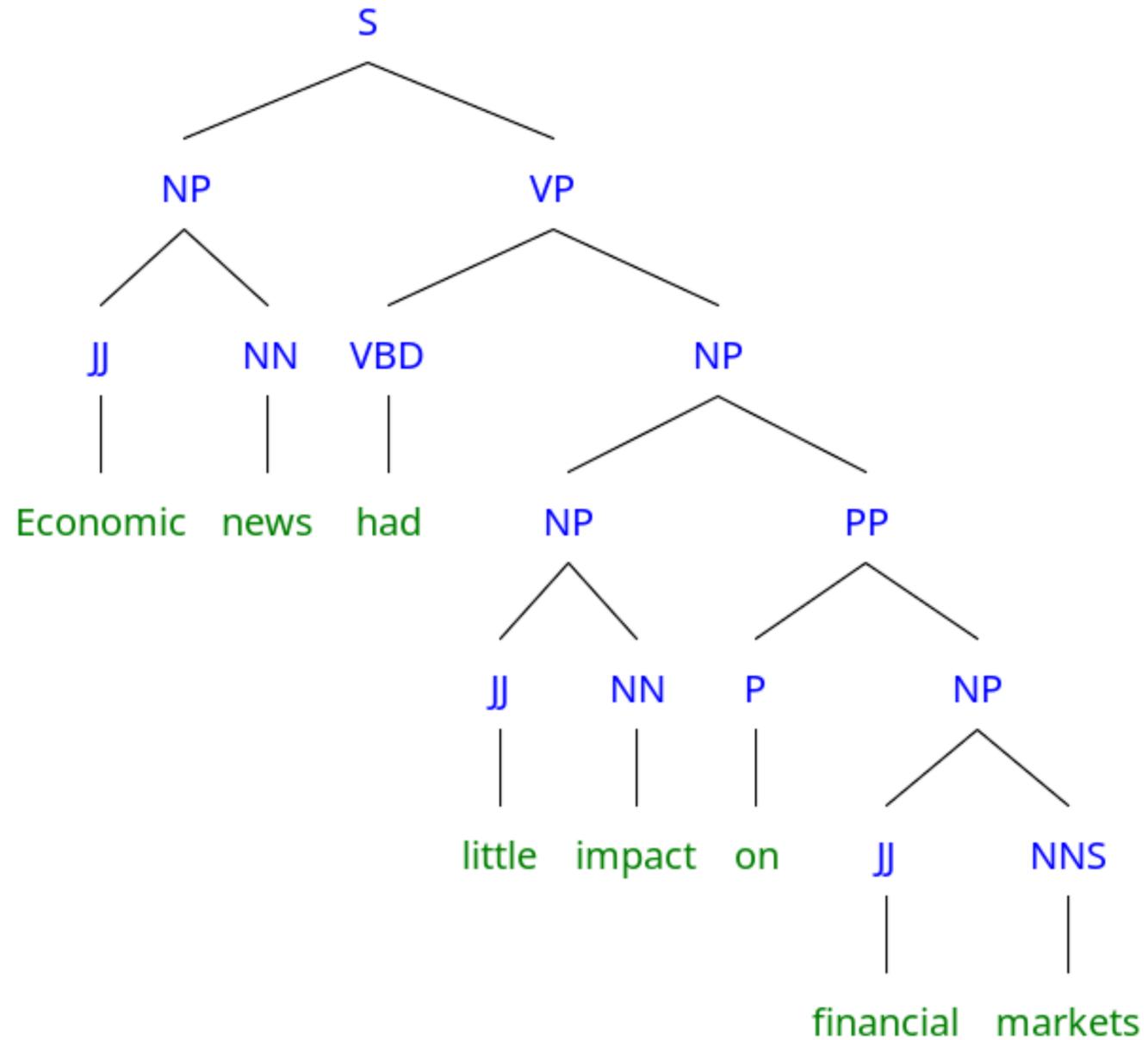
Conversion: PS \rightarrow DS

- Can convert Phrase Structure (PS) to Dependency Structure (DS)
 - ...without the dependency labels

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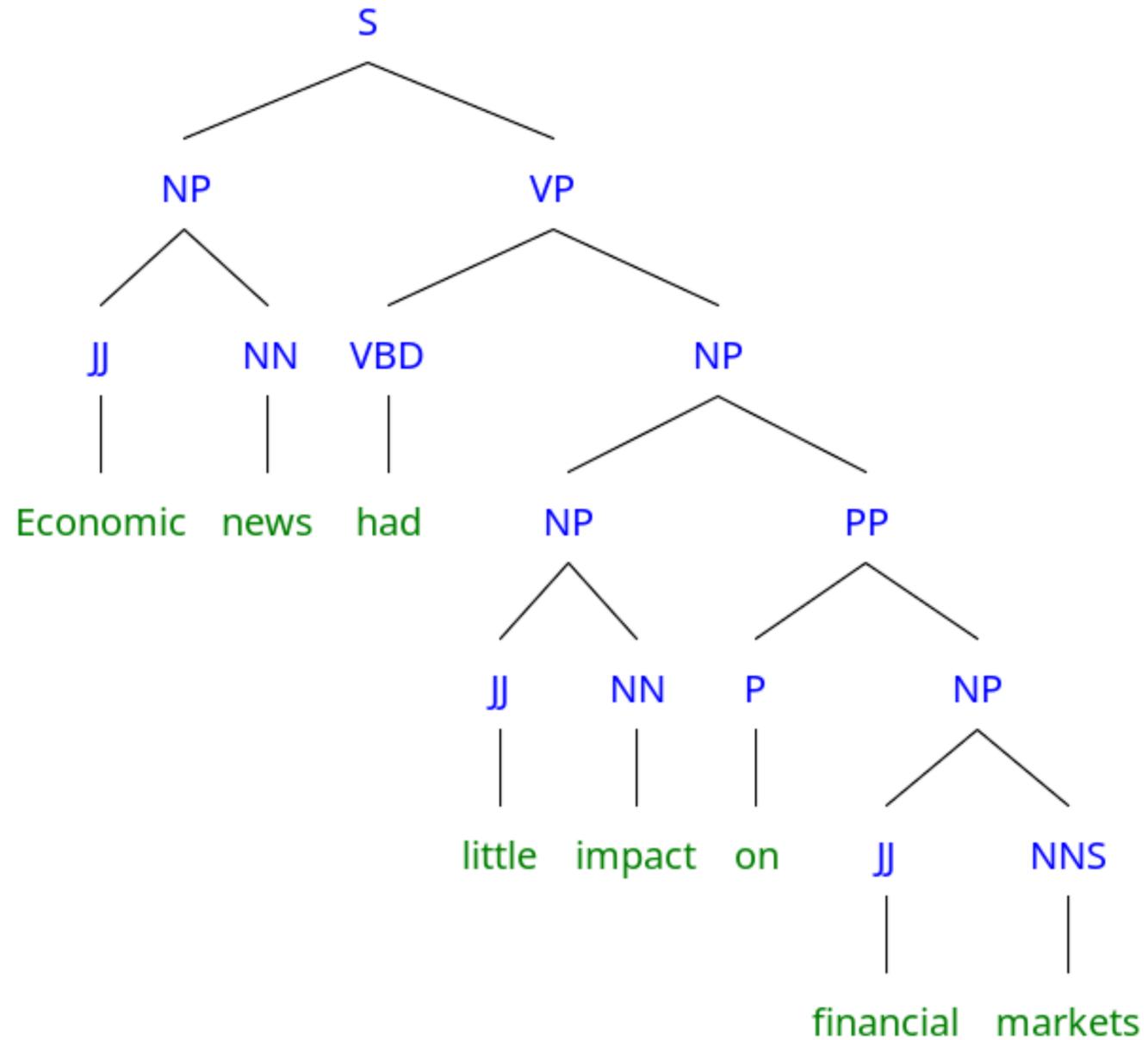
- Can convert Phrase Structure (PS) to Dependency Structure (DS)
 - ...without the dependency labels
- Algorithm:
 - Identify all head children in PS
 - Make head of each non-head-child depend on head of head-child
 - Use a *head percolation* table to determine headedness

Conversion: PS → DS

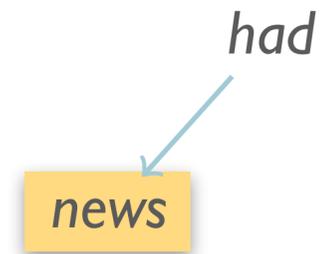
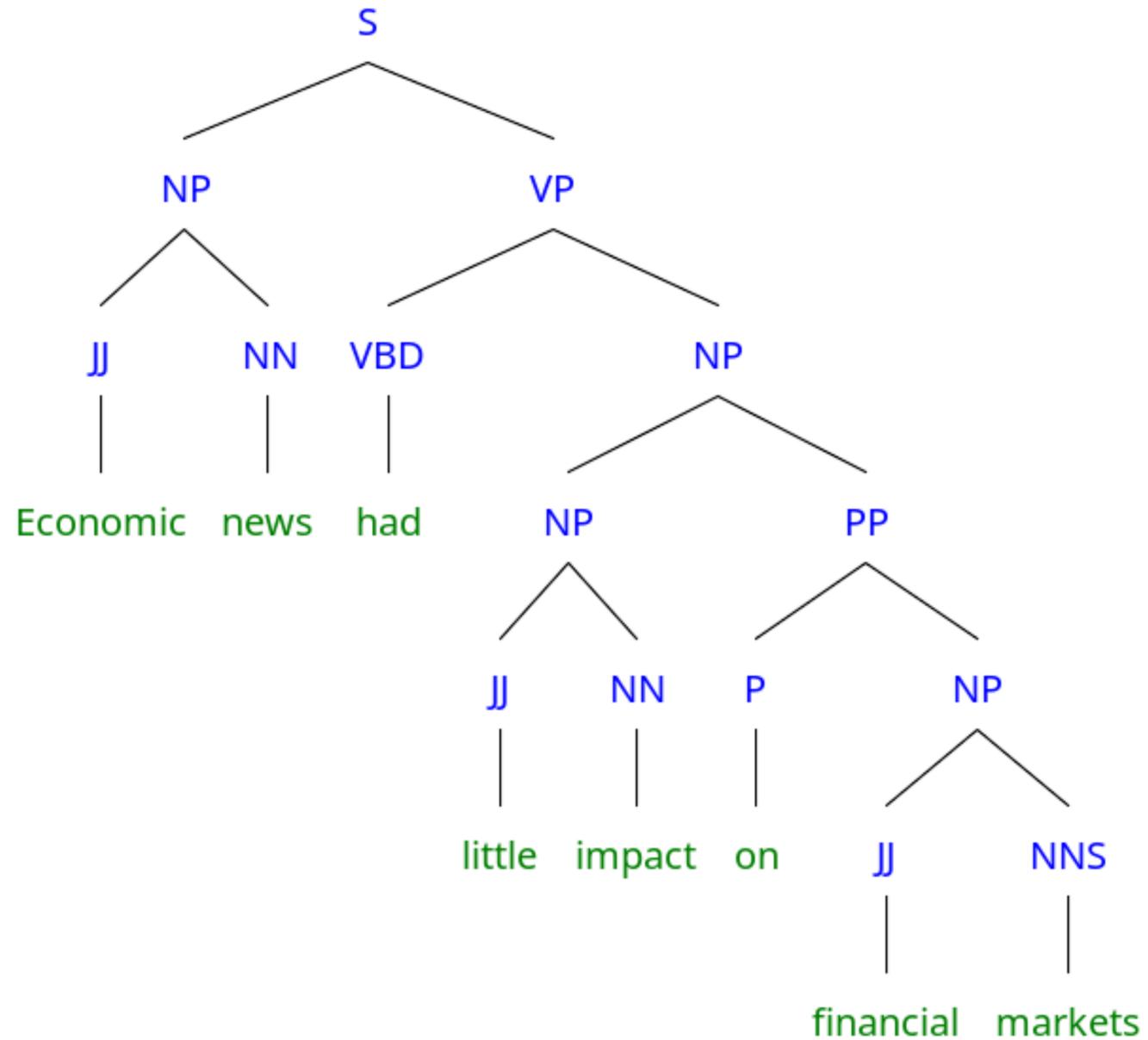


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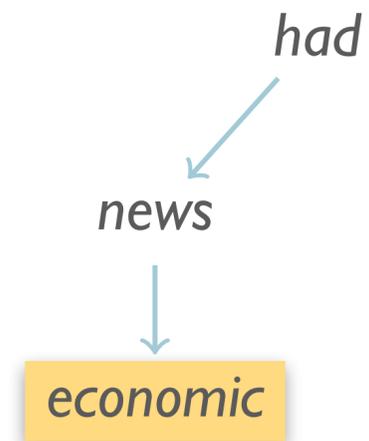
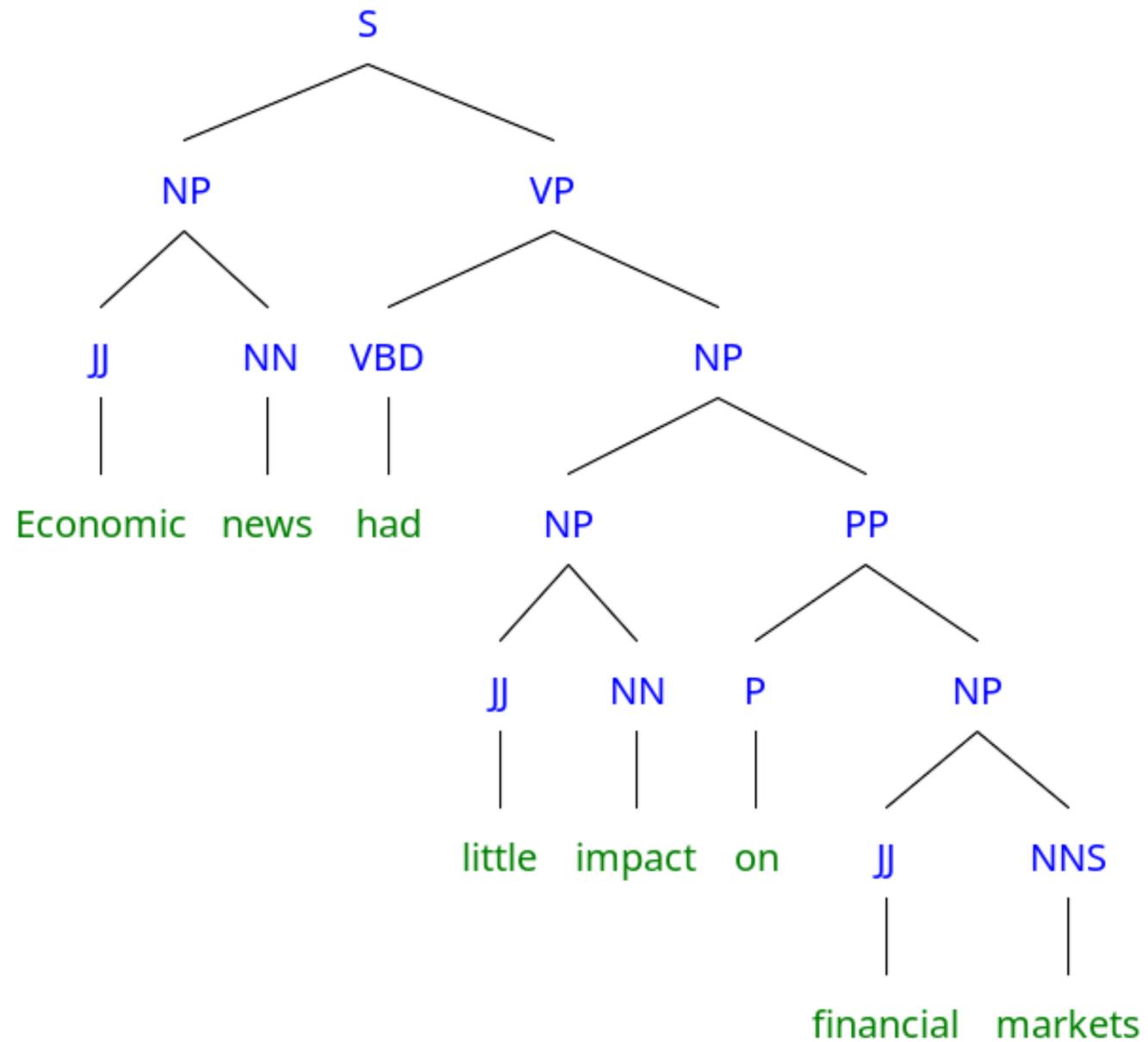
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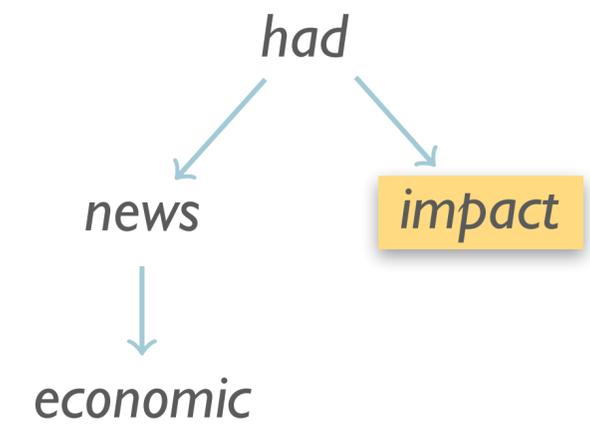
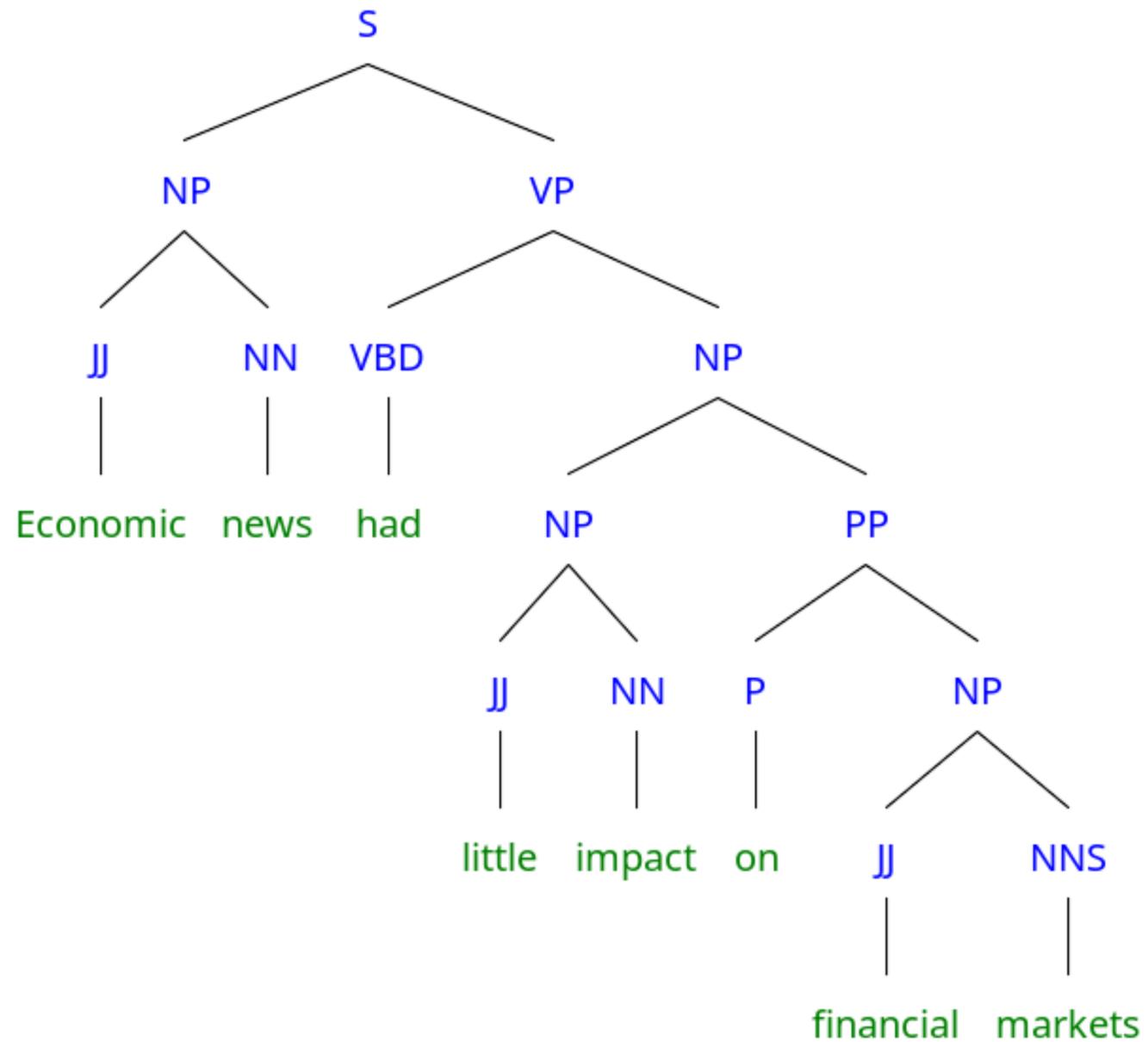
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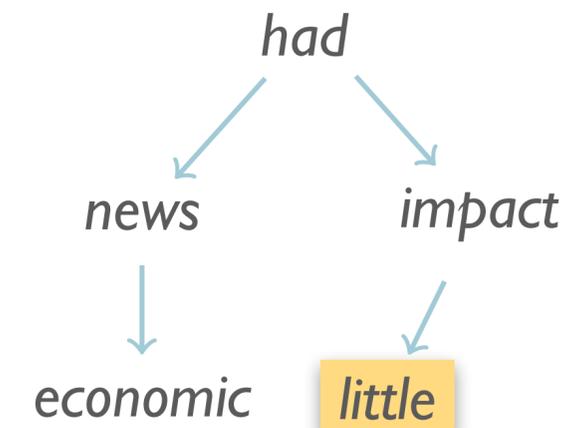
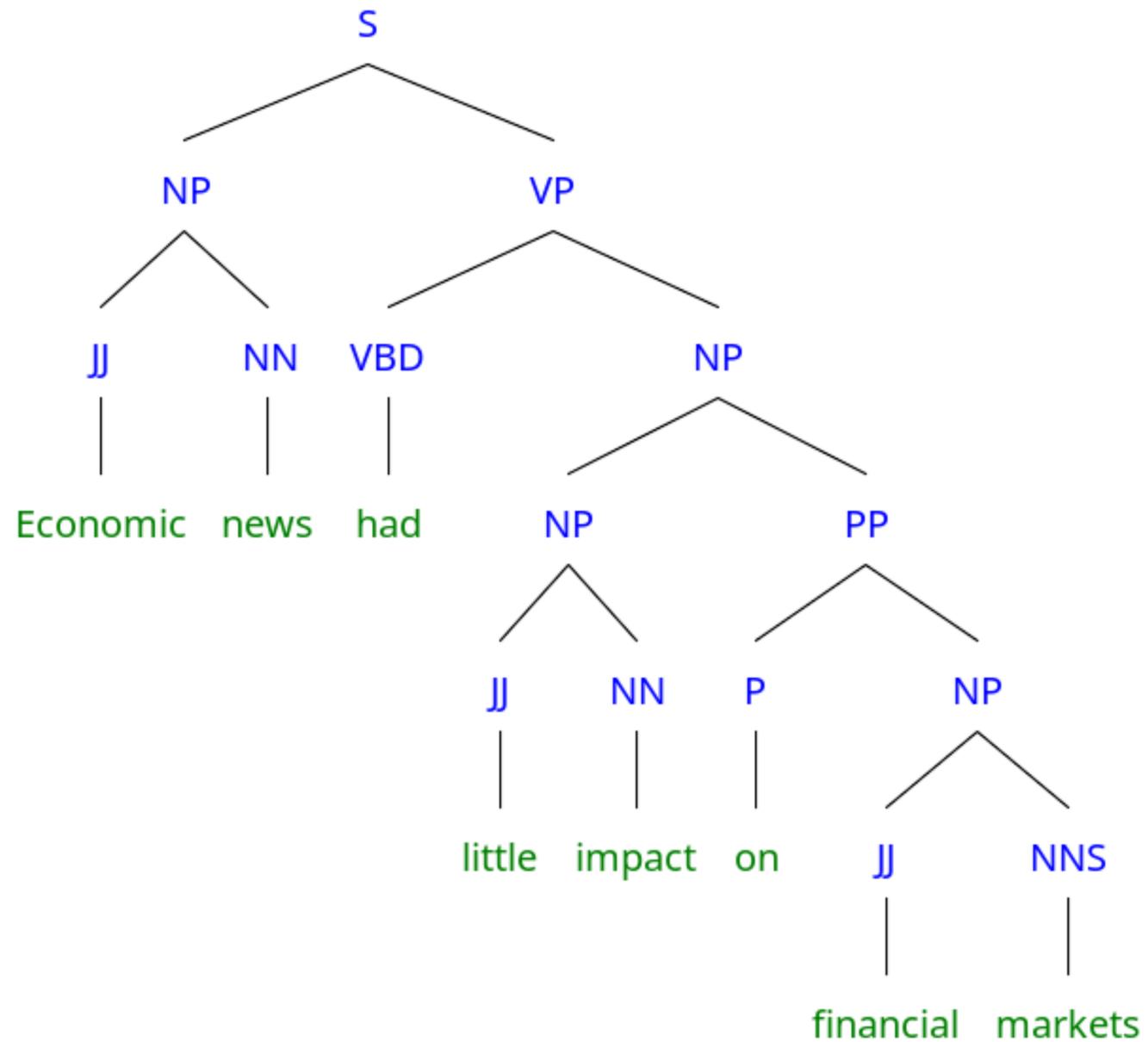
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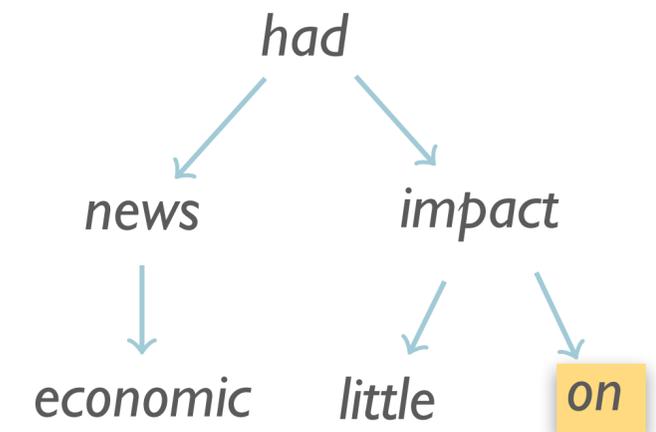
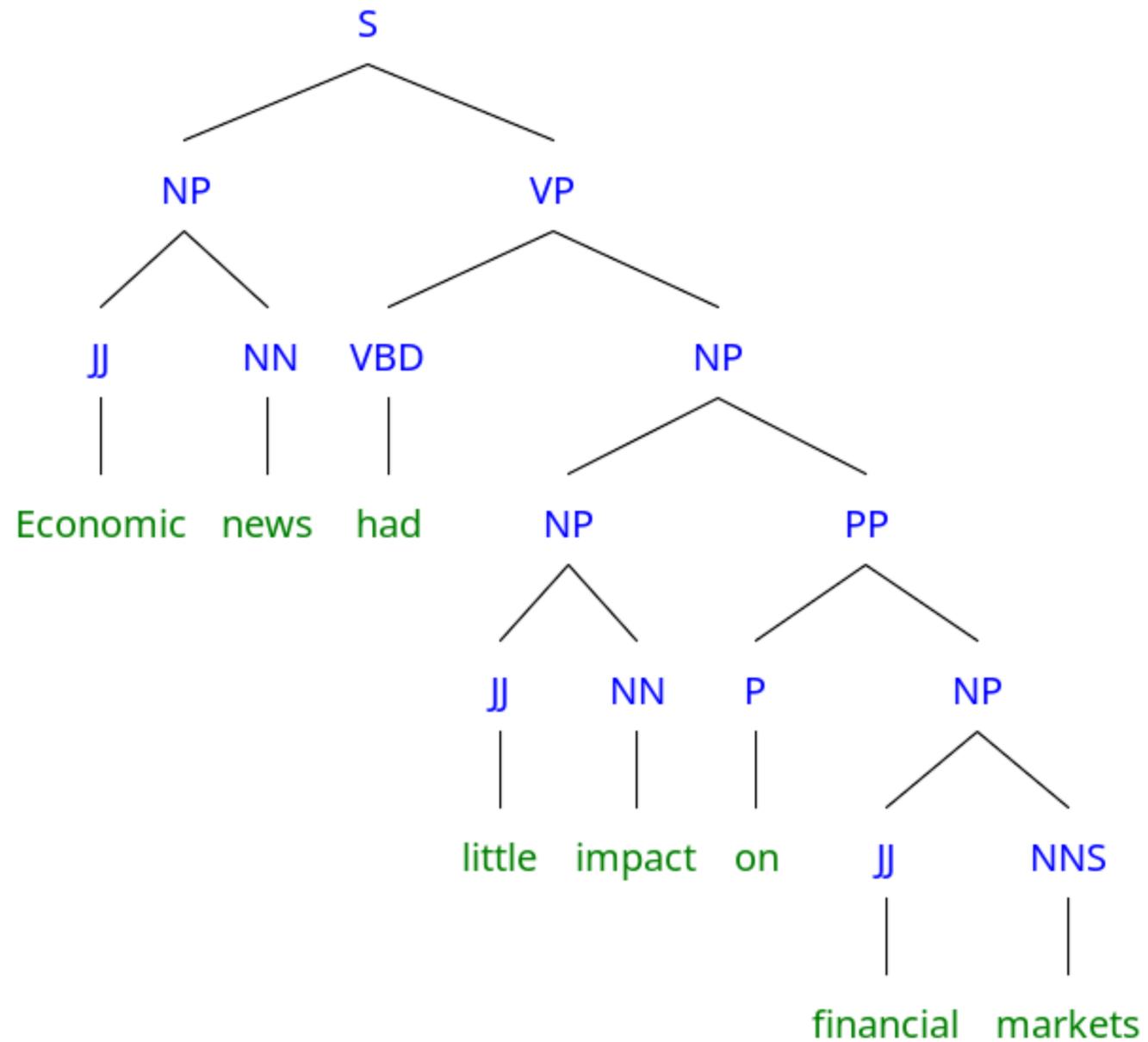
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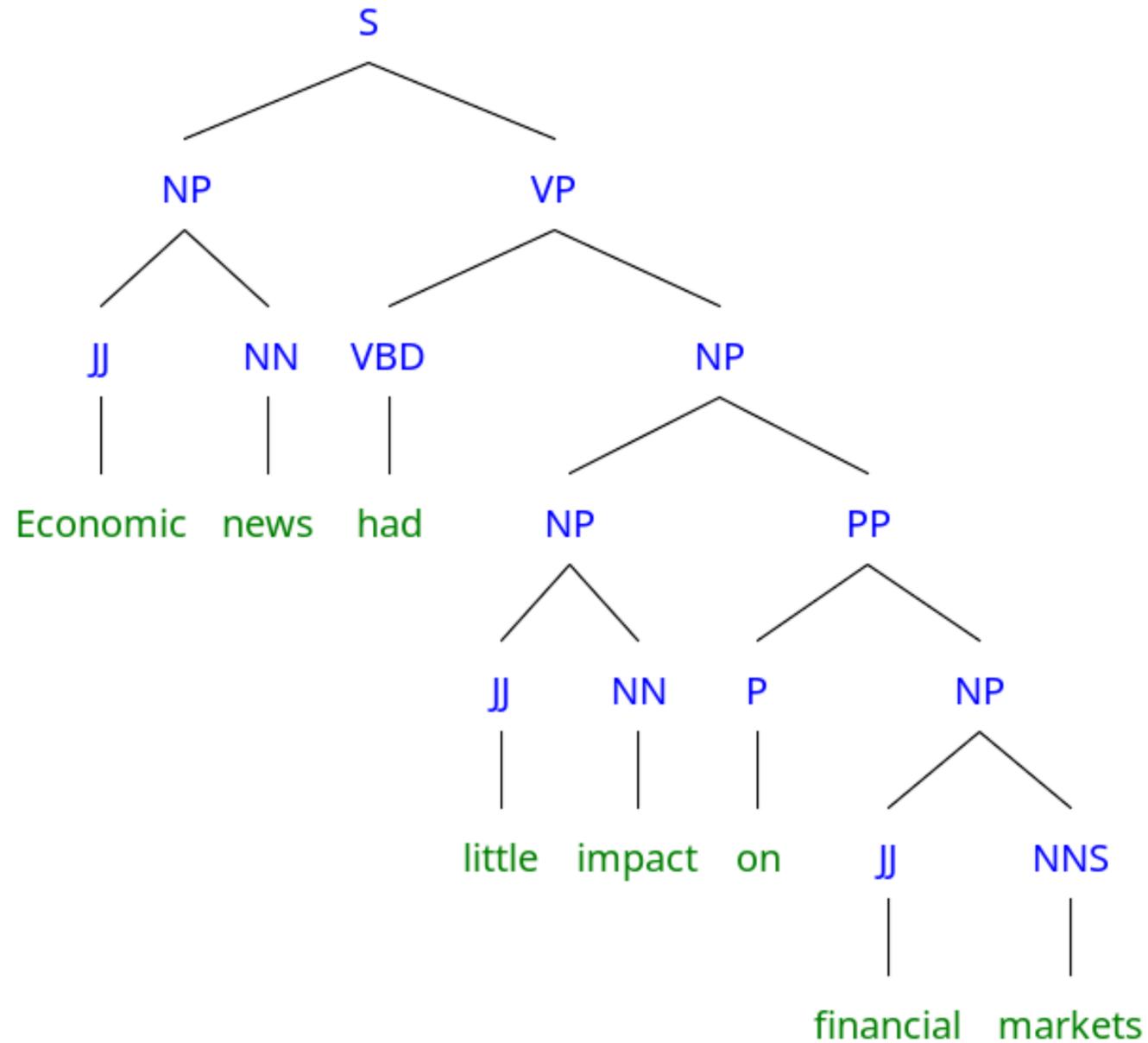
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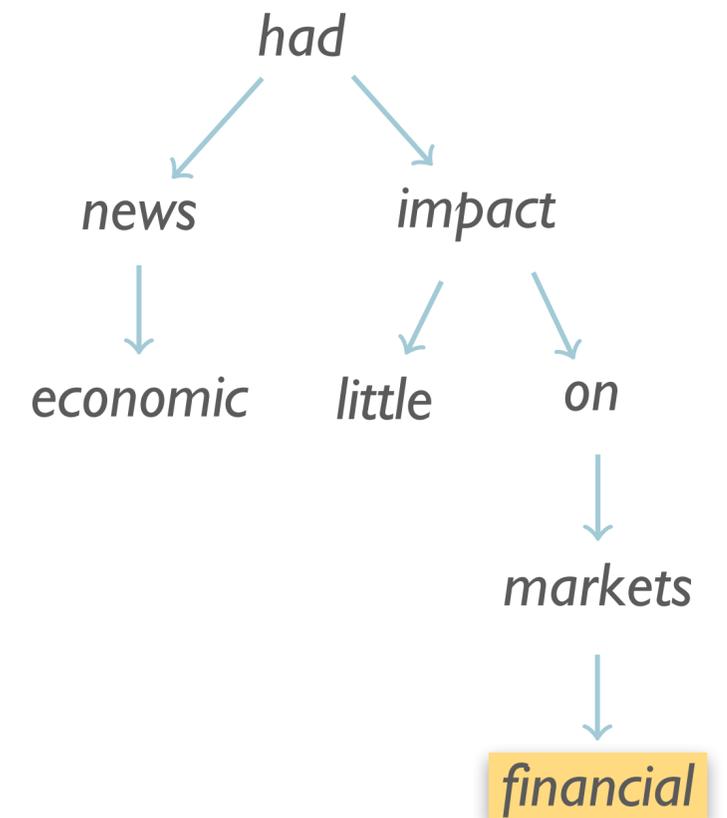
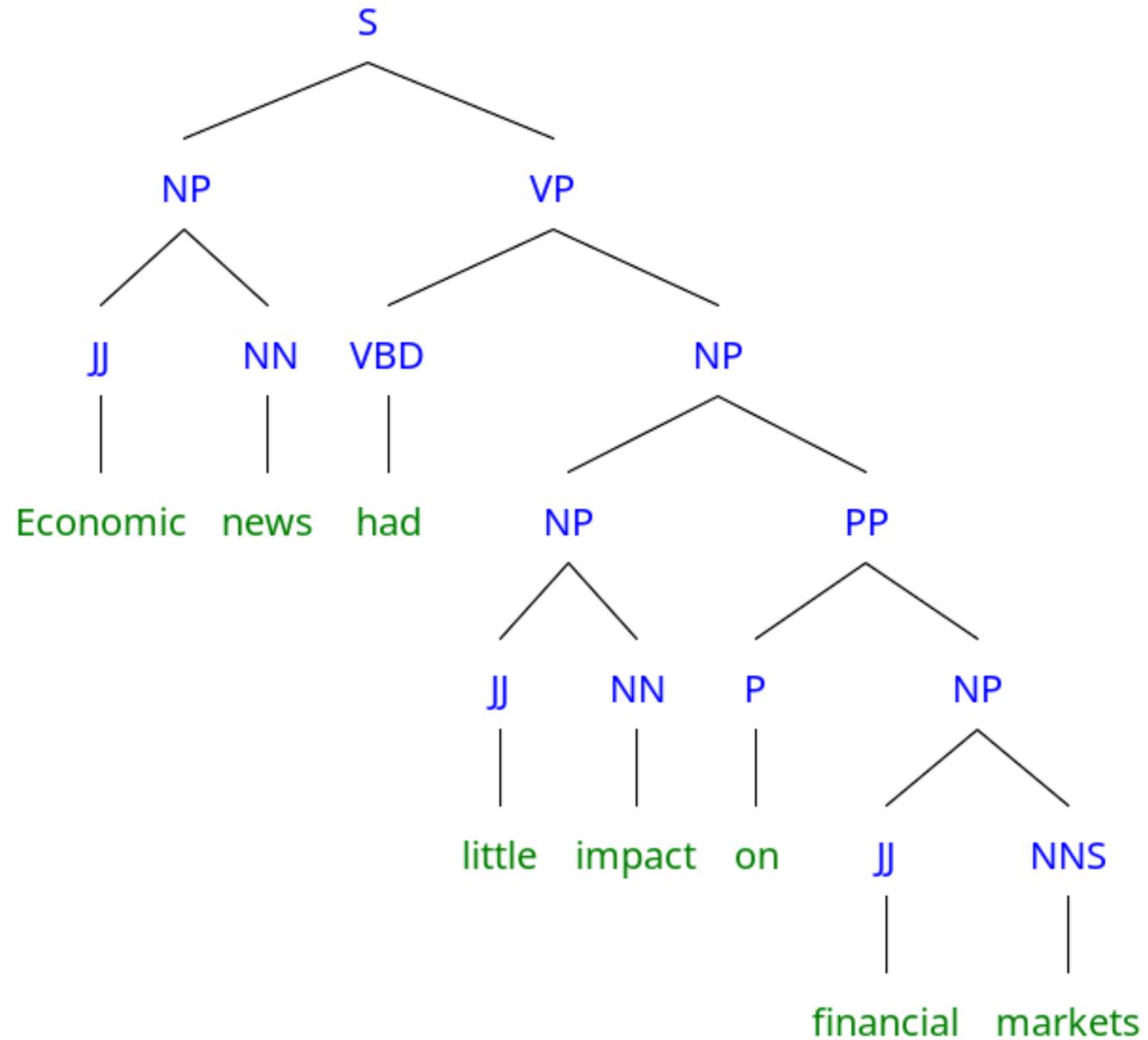
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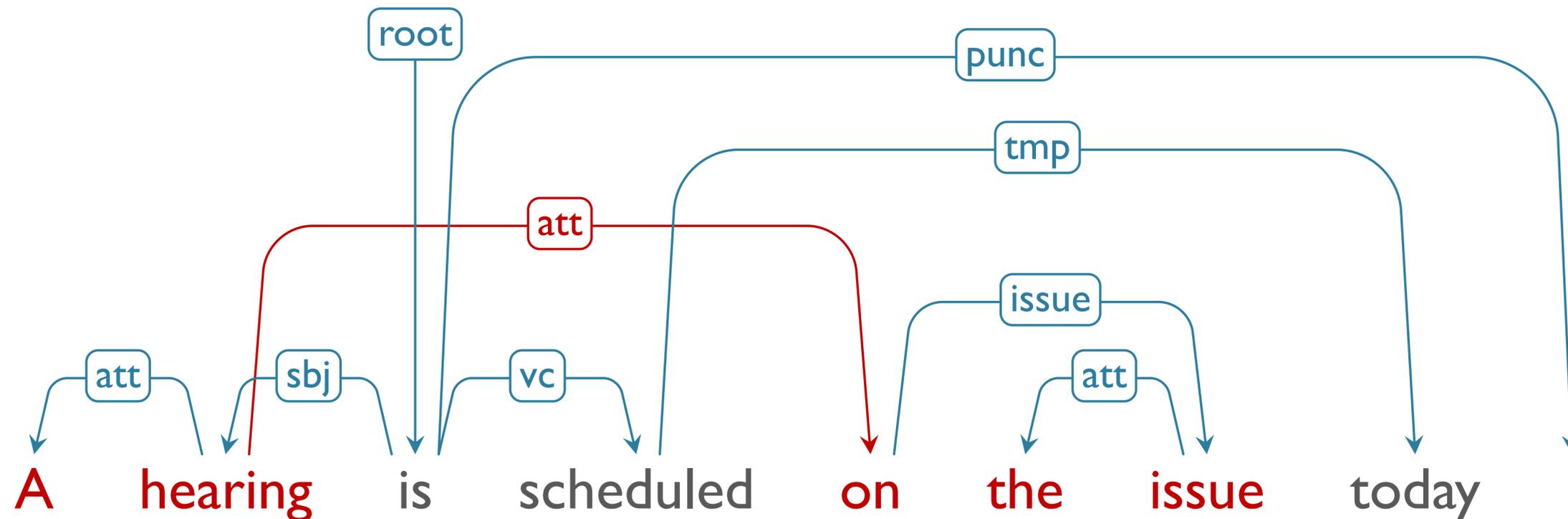
Head Percolation Table

- Finding the head of an NP:
 - If the rightmost word is preterminal, return
 - ...else search Right→Left for first child which is *NN, NNP, NNPS...*
 - ...else search Left→Right for first child which is *NP*
 - ...else search Right→Left for first child which is *\$, ADJP, PRN*
 - ...else search Right→Left for first child which is *CD*
 - ...else search Right→Left for first child which is *JJ, JJS, RB or QP*
 - ...else return rightmost word.

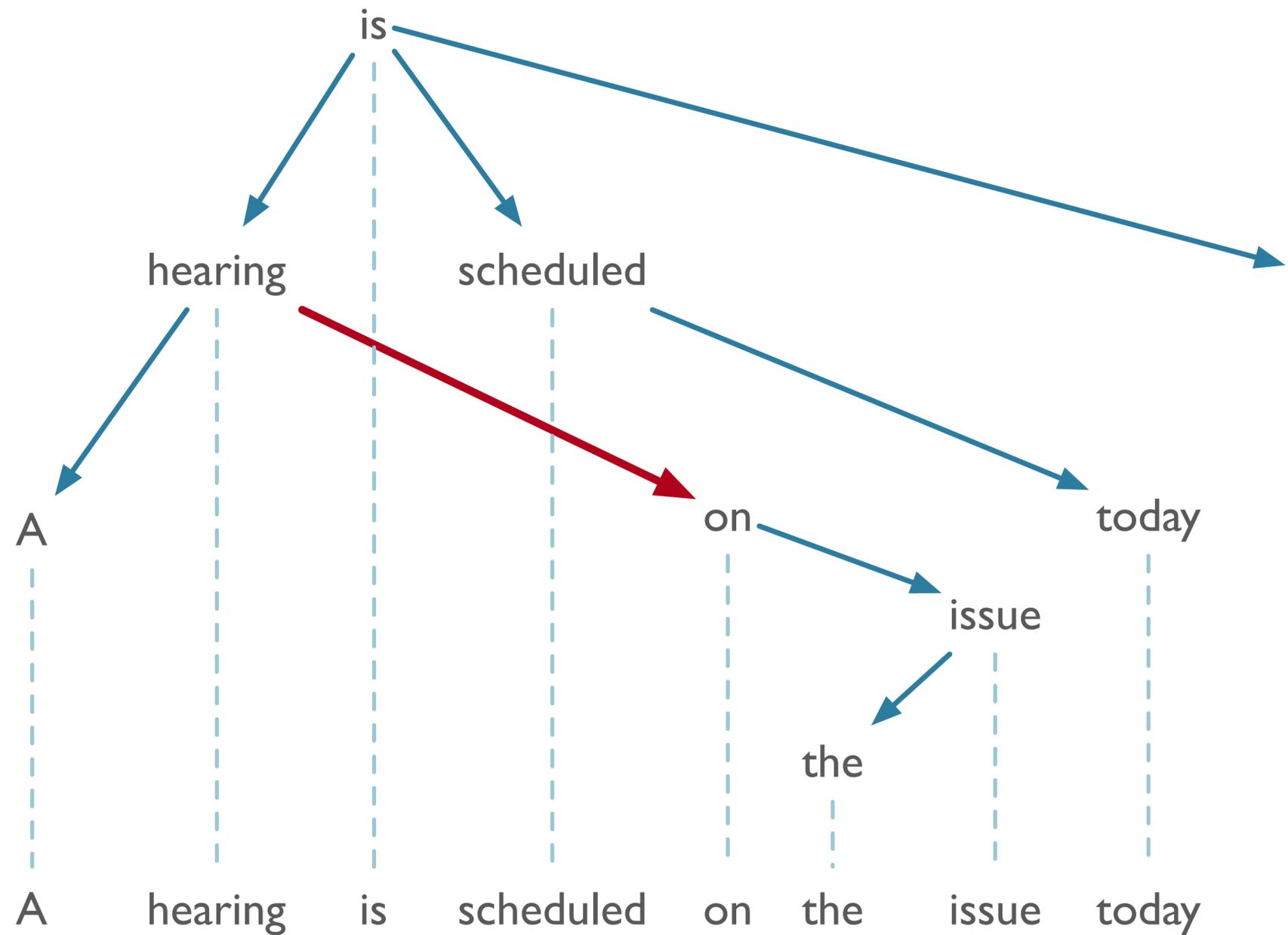
From J&M Page 411, via [Collins \(1999\)](#)

Conversion: DS \rightarrow PS

- Can map any *projective* dependency tree to PS tree
- Projective:
 - Does not contain “crossing” dependencies w.r.t. word order

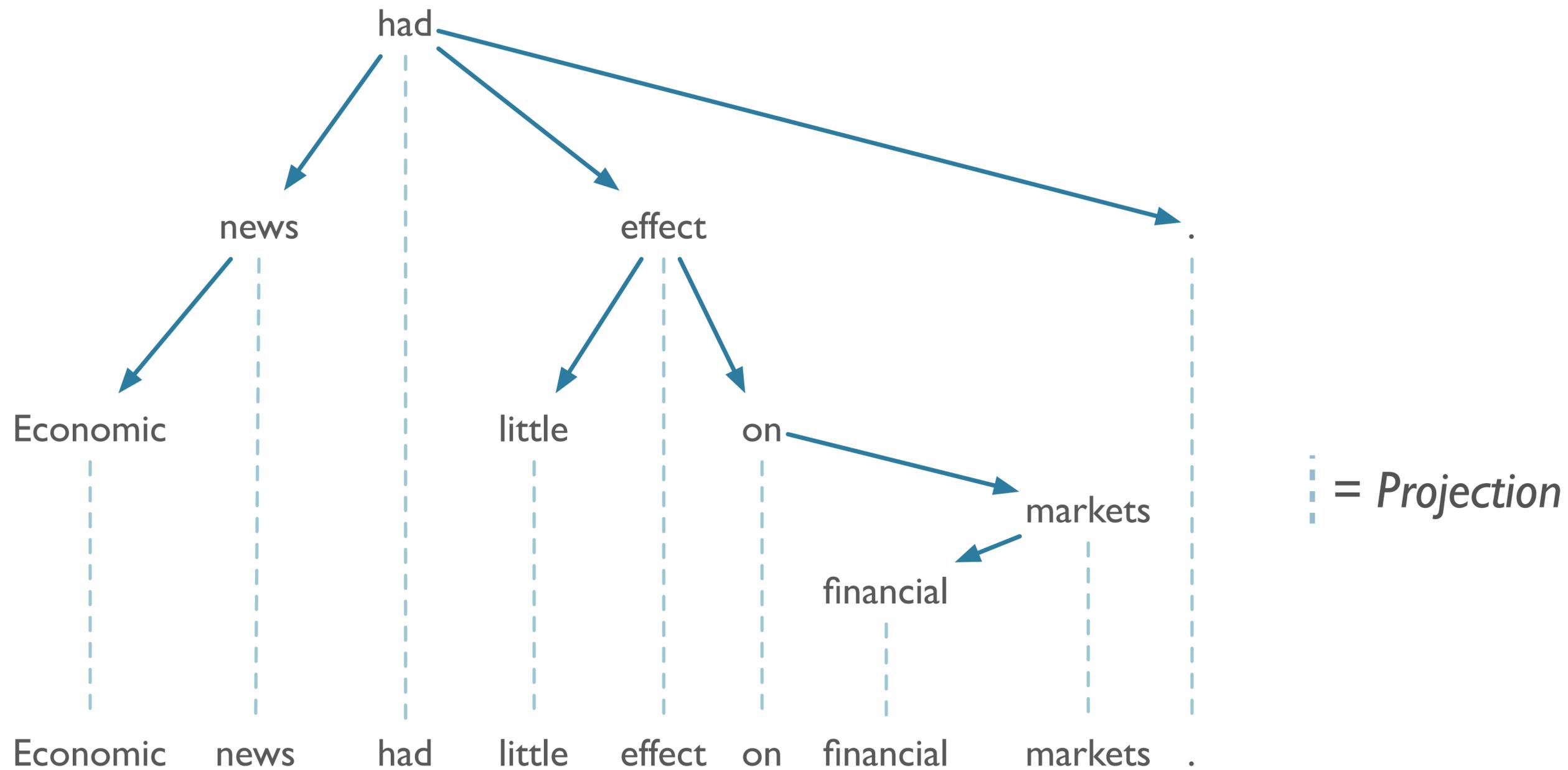


Non-Projective DS

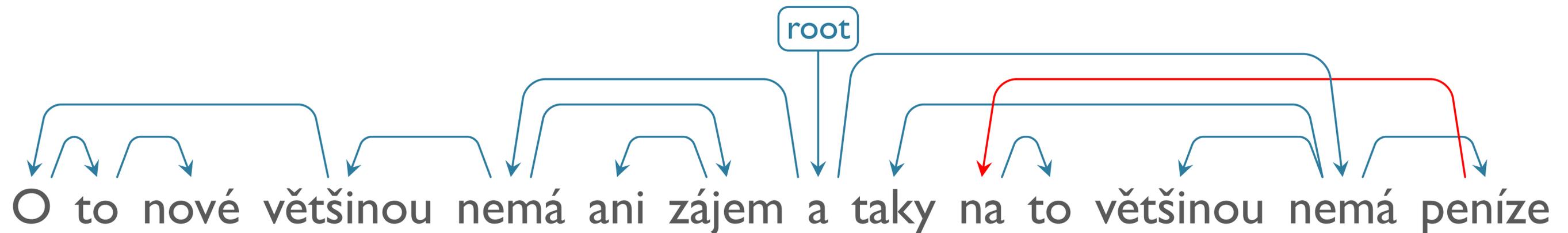
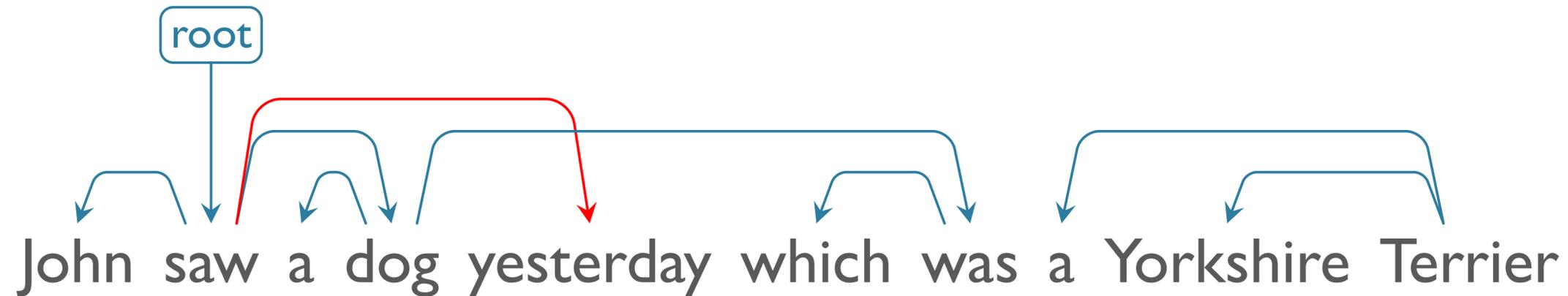


 = *Projection*

Projective DS



More Non-Projective Parses



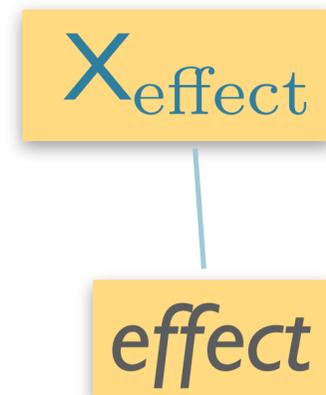
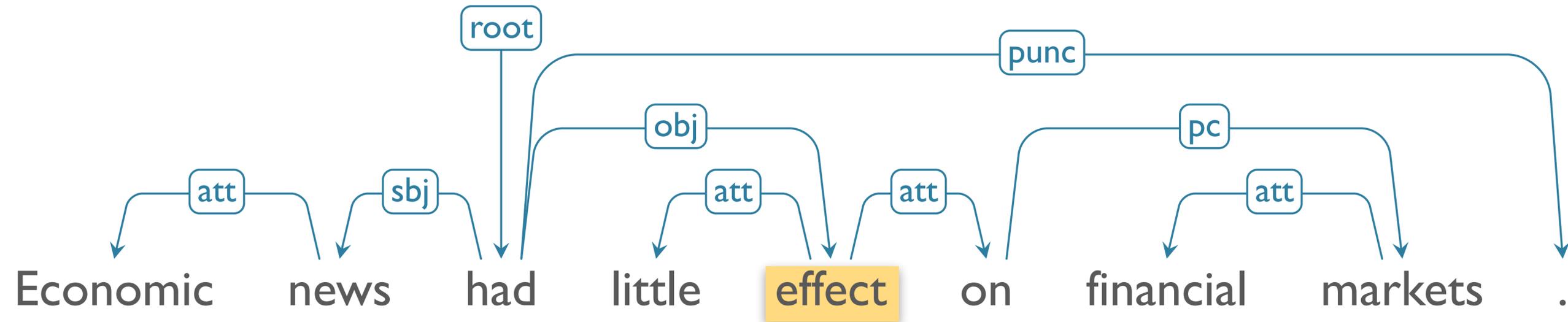
He is mostly not even interested in the new things and in most cases, he has no money for it either.

From [McDonald et. al, 2005](#)

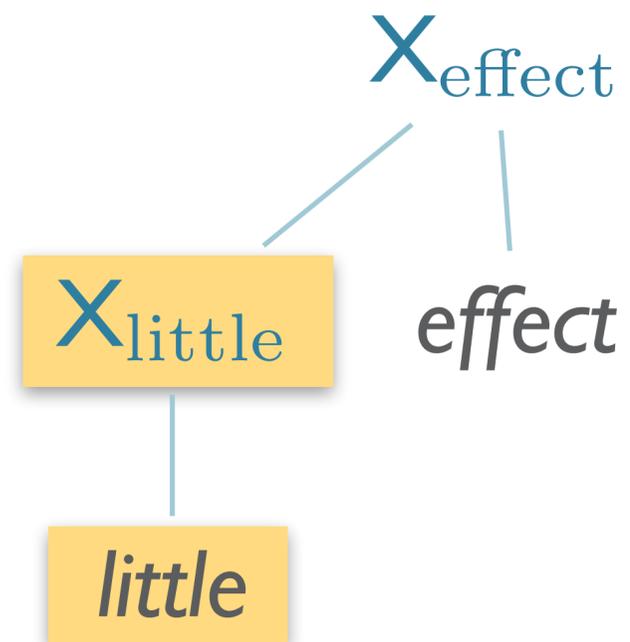
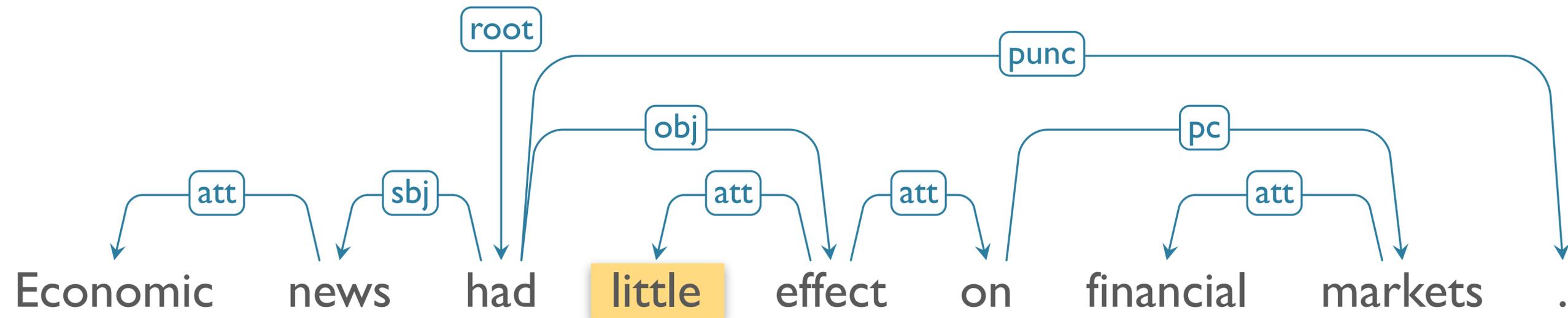
Conversion: DS \rightarrow PS

- For each node w with outgoing arcs...
 - ...convert the subtree w and its dependents t_1, \dots, t_n to a new subtree:
 - Nonterminal: X_w
 - Child: w
 - Subtrees t_1, \dots, t_n in original sentence order

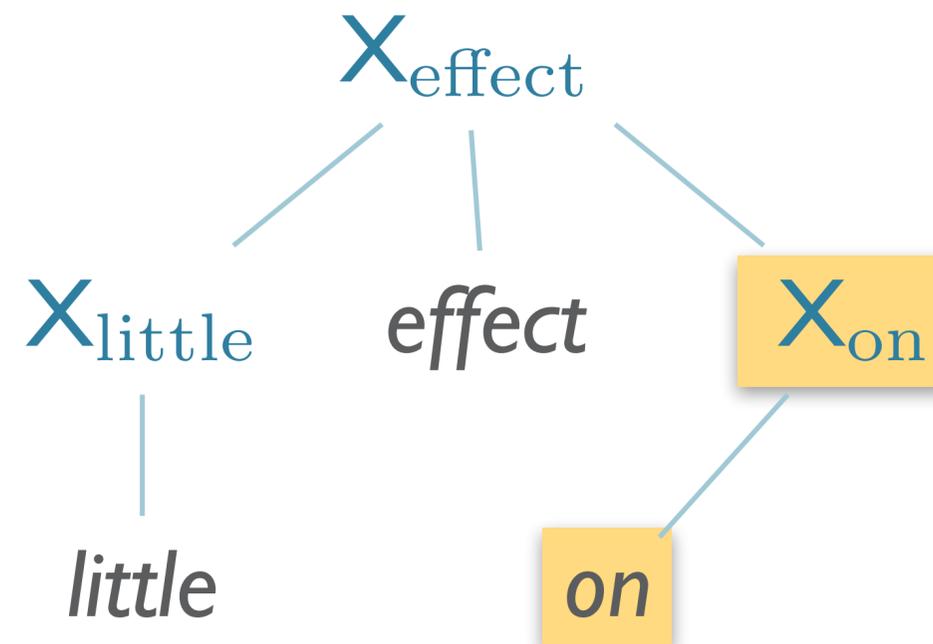
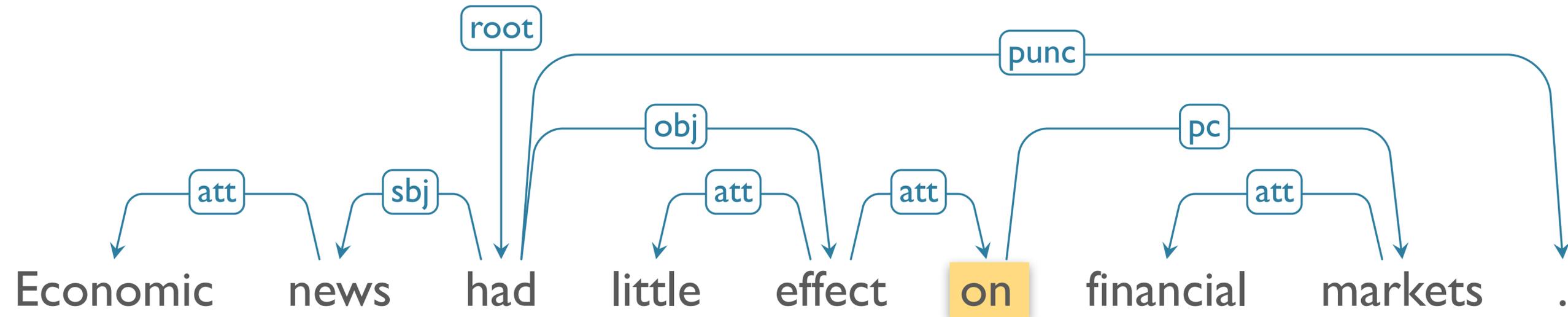
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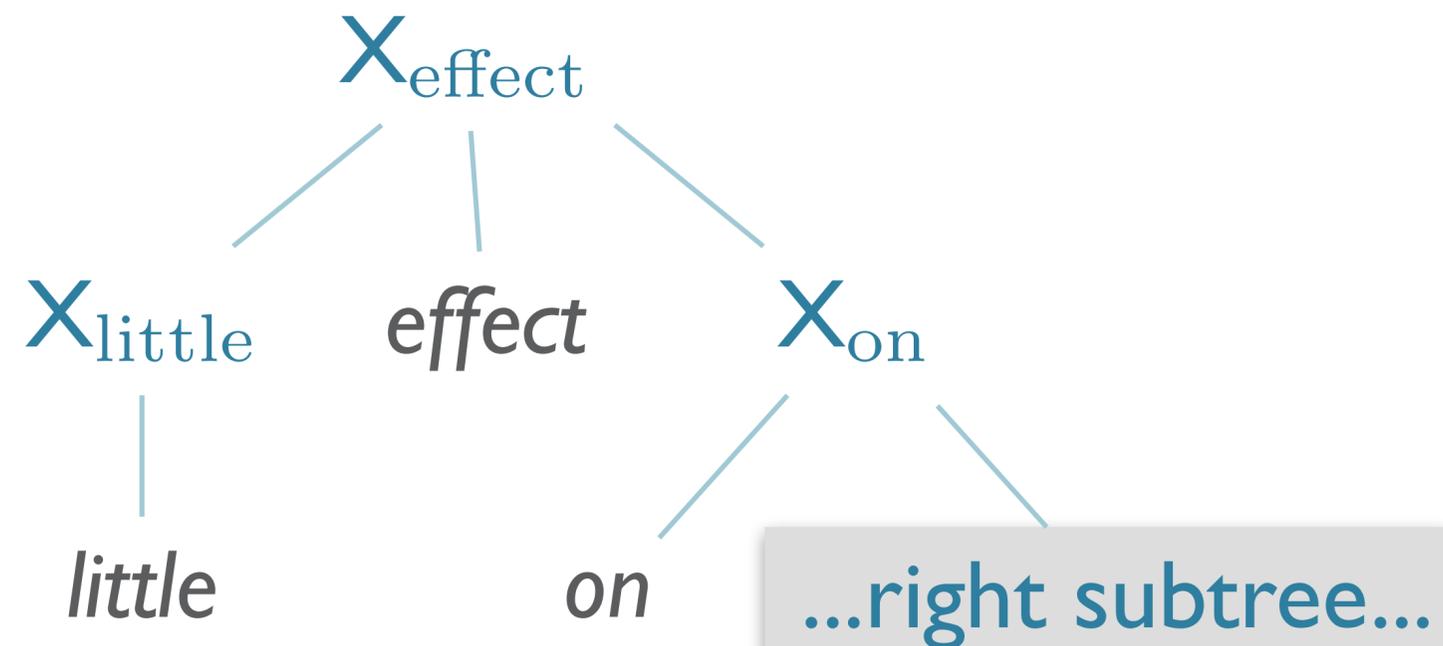
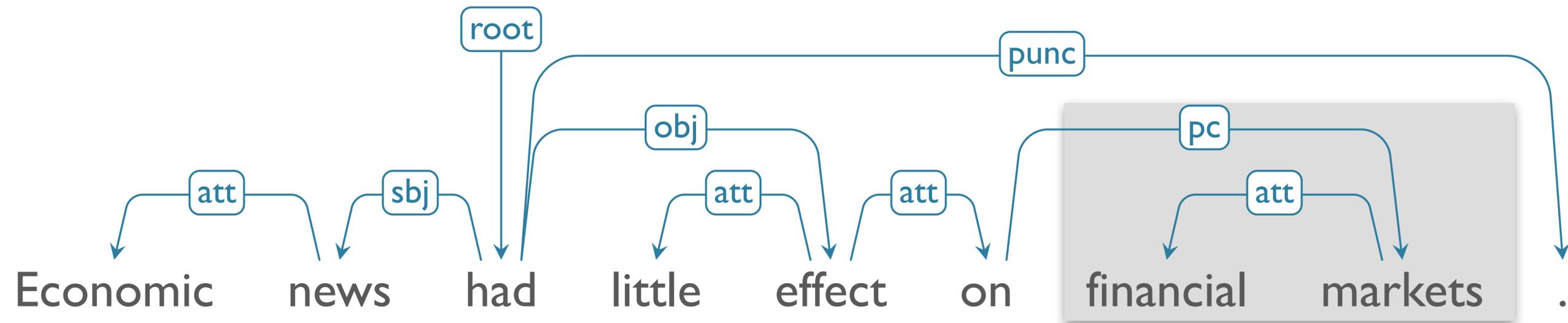
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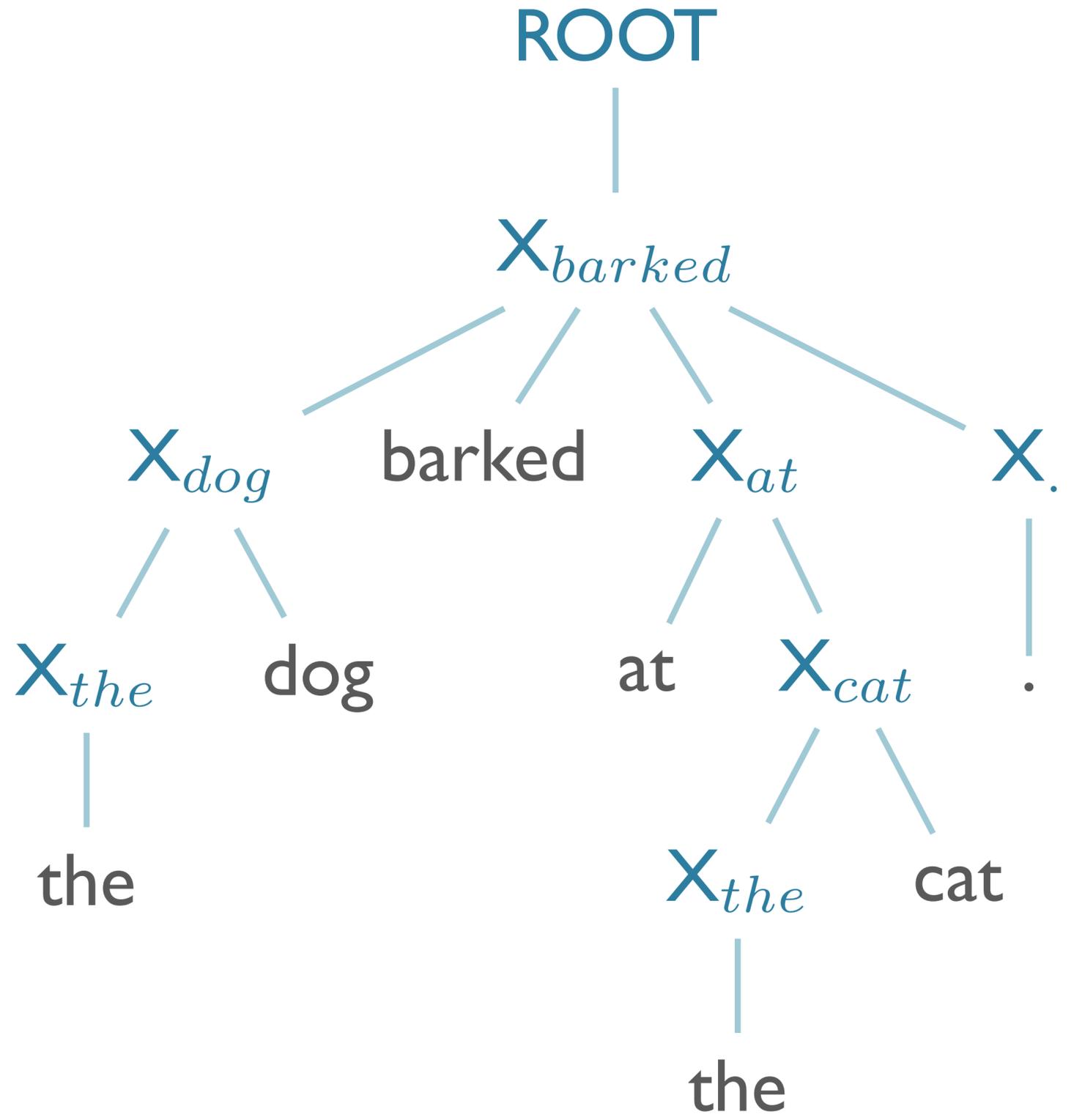
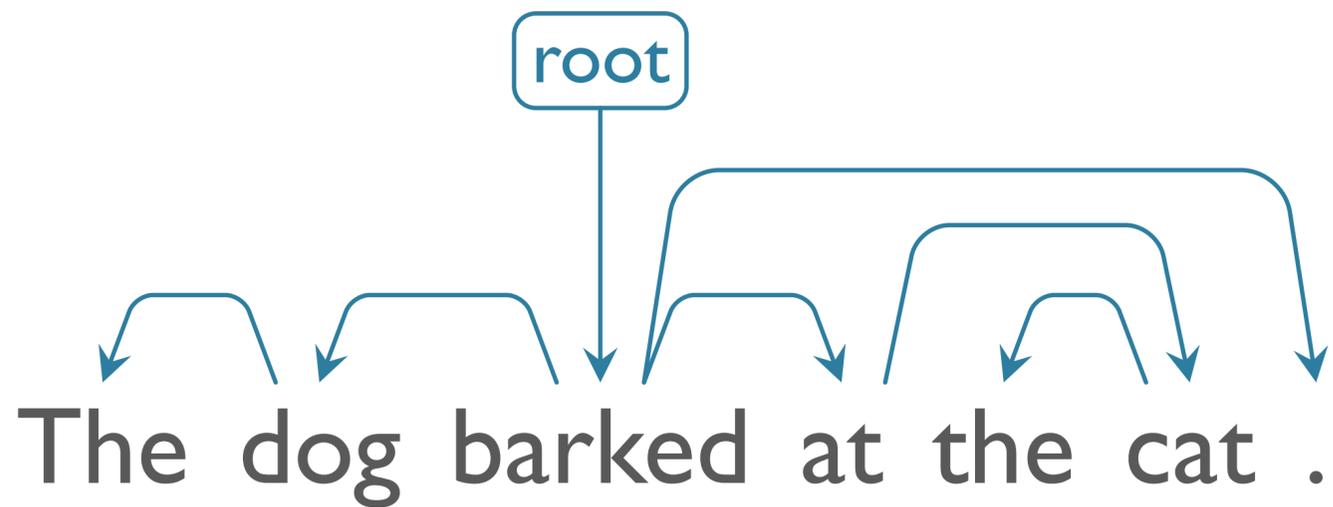


Conversion: DS → PS



Conversion: DS \rightarrow PS

- What about labeled dependencies?
 - Can attach labels to nonterminals associated with non-heads
 - e.g. $X_{little} \rightarrow X_{little:nmod}$
- Doesn't create typical PS trees
 - *Does* create fully lexicalized, labeled, context-free trees
- Can be parsed with any standard CFG parser



Example from J. Moore, 2013

Roadmap

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 - Motivation:
 - Limitations of Context-Free Grammars
- **Dependency Parsing**
 - By conversion to CFG
 - **By Graph-based models**
 - By transition-based parsing

Graph-based Dependency Parsing

- Goal: Find the highest scoring dependency tree \hat{T} for sentence S
 - If S is unambiguous, T is the correct parse
 - If S is ambiguous, T is the highest scoring parse
- Where do scores come from?
 - Weights on dependency edges by learning algorithm
 - Learned from dependency treebank
- Where are the grammar rules?
 - ...there aren't any! All data-driven.

Graph-based Dependency Parsing

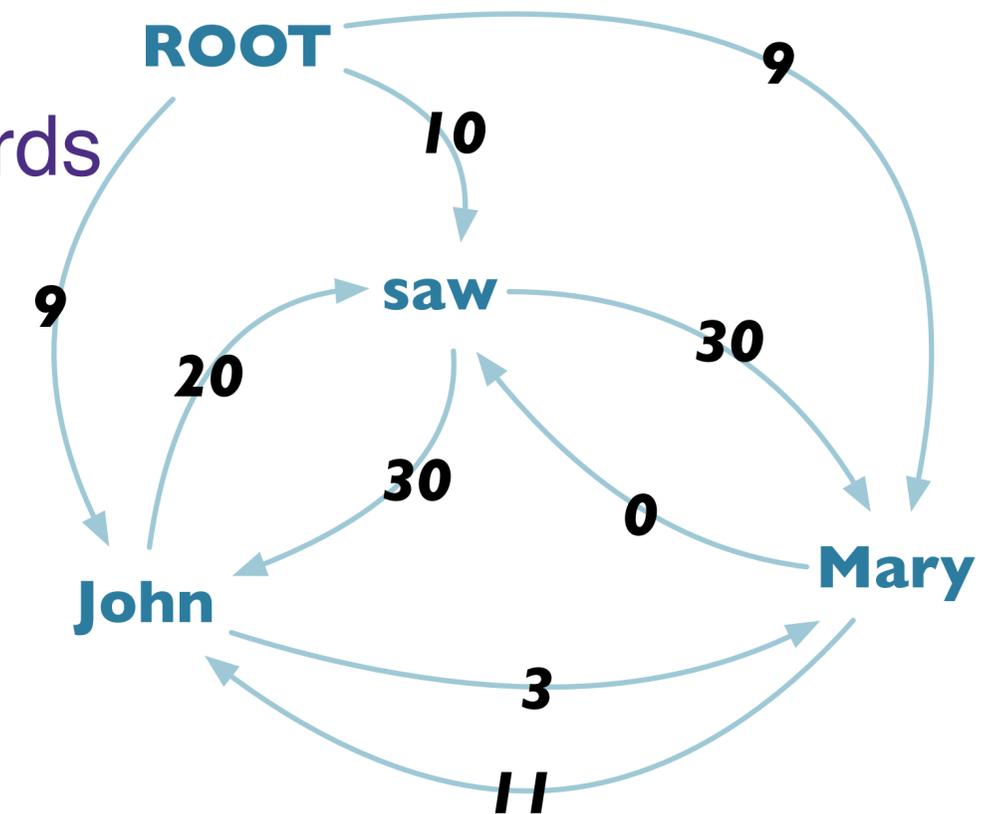
- Map dependency parsing to Maximum Spanning Tree (MST)
- Build fully connected initial graph:
 - Nodes: words in sentence to parse
 - Edges: directed edges between all words
 - + Edges from ROOT to all words
- Identify maximum spanning tree
 - Tree s.t. all nodes are connected
 - Select such tree with highest weight

Graph-based Dependency Parsing

- Arc-factored model:
 - Weights depend on end nodes & link
 - Weight of tree is sum of participating arcs

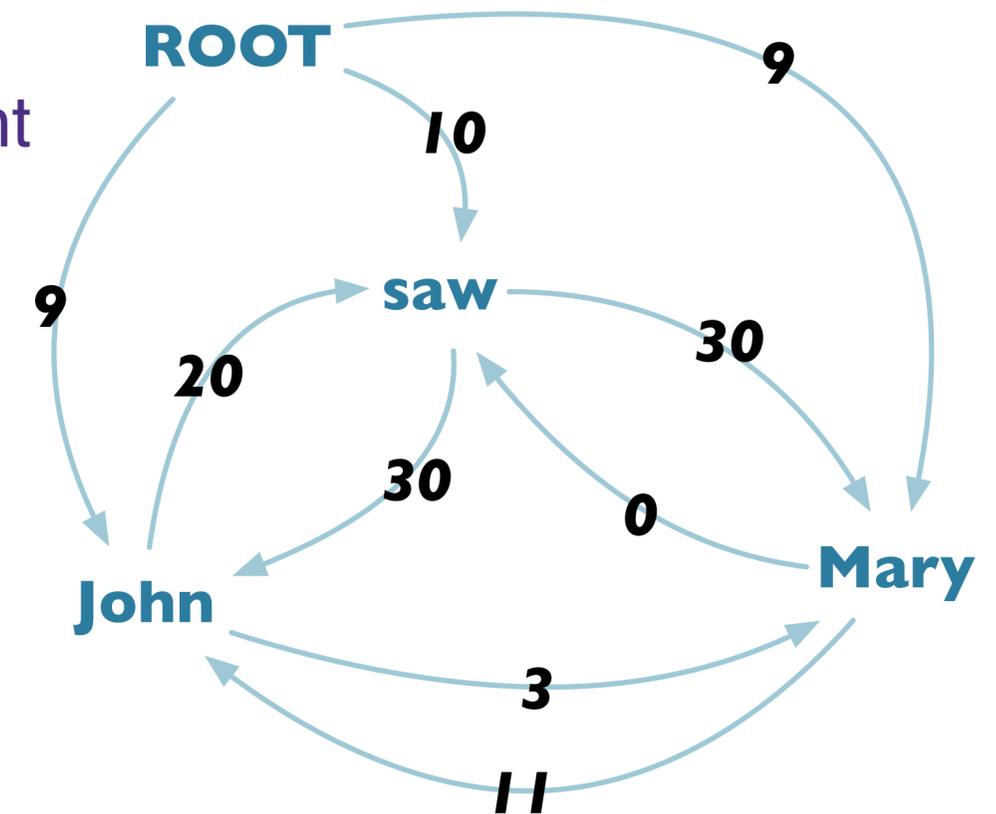
Initial Graph: *(McDonald et al, 2005b)*

- *John saw Mary*
 - All words connected: ROOT only has outgoing arcs
- Goal: Remove arcs to create a tree covering all words
 - Resulting tree is parse



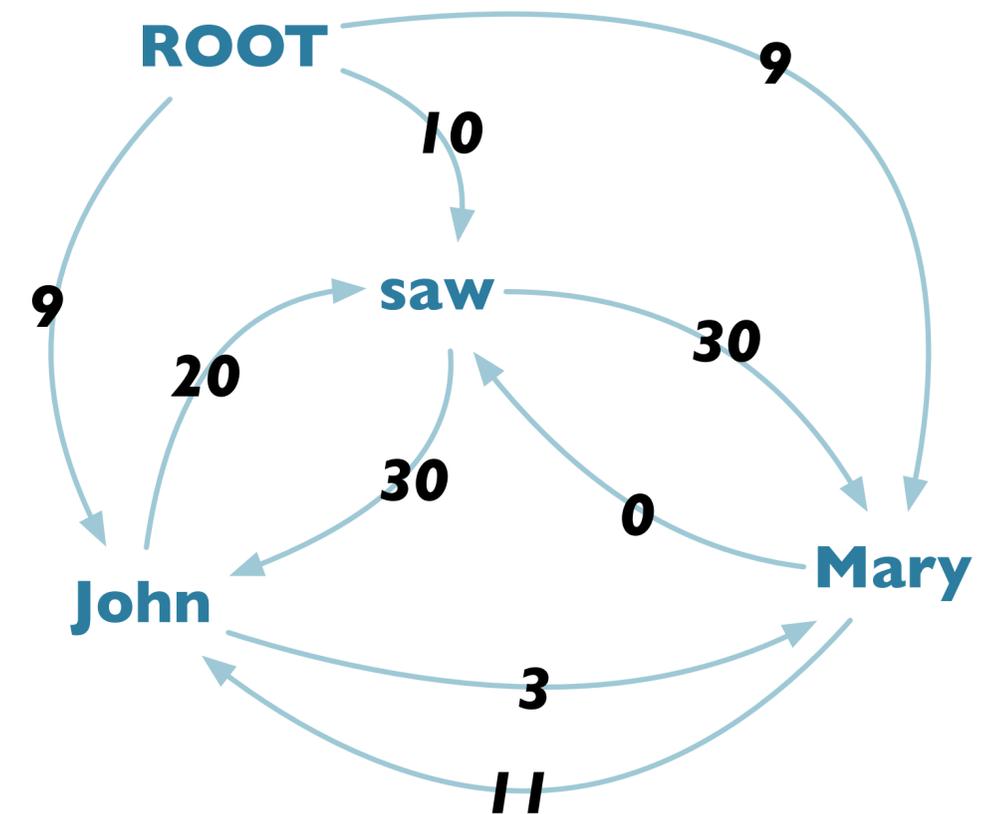
Maximum Spanning Tree

- McDonald et al, 2005 use variant of Chu-Liu-Edmonds algorithm for MST (CLE)
- Sketch of algorithm:
 - For each node, greedily select incoming arc with max weight
 - If the resulting set of arcs forms a tree, this is the MST.
 - If not, there must be a cycle.
 - “Contract” the cycle: Treat it as a single vertex
 - Recalculate weights into/out of the new vertex
 - Recursively do MST algorithm on resulting graph
- Running time: naïve: $O(n^3)$; Tarjan: $O(n^2)$
 - Applicable to non-projective graphs



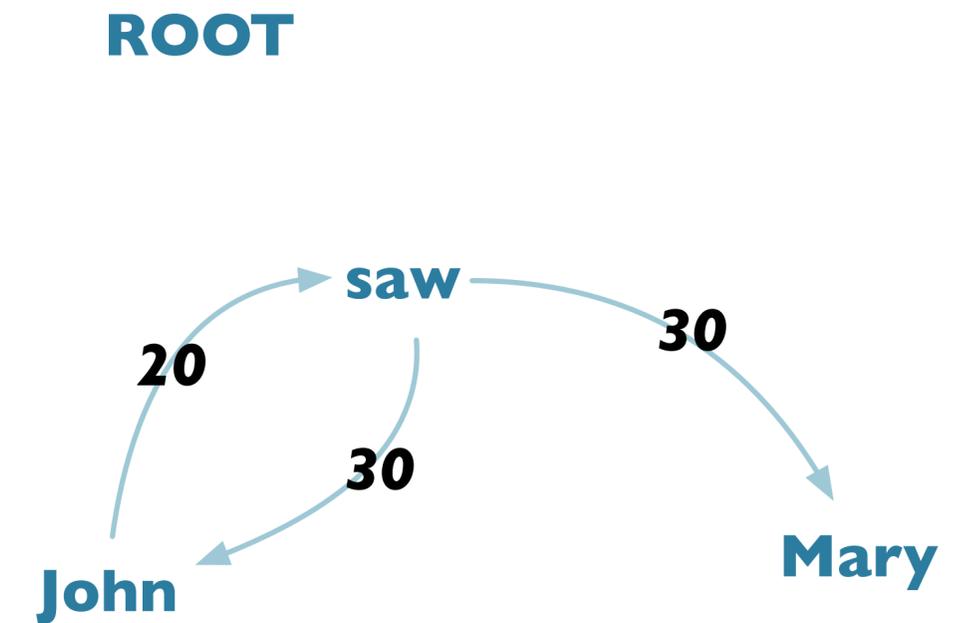
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.



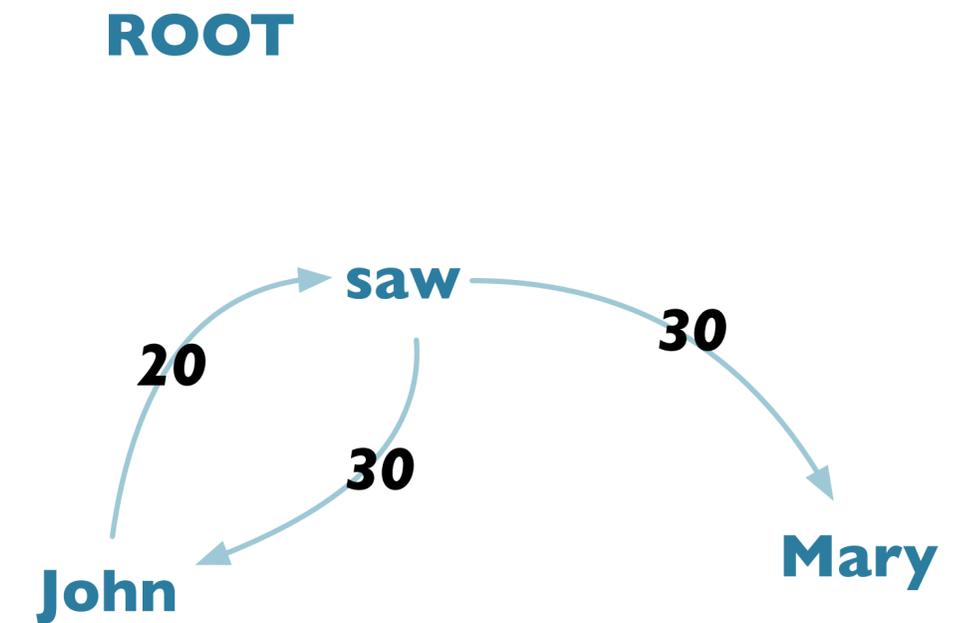
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.



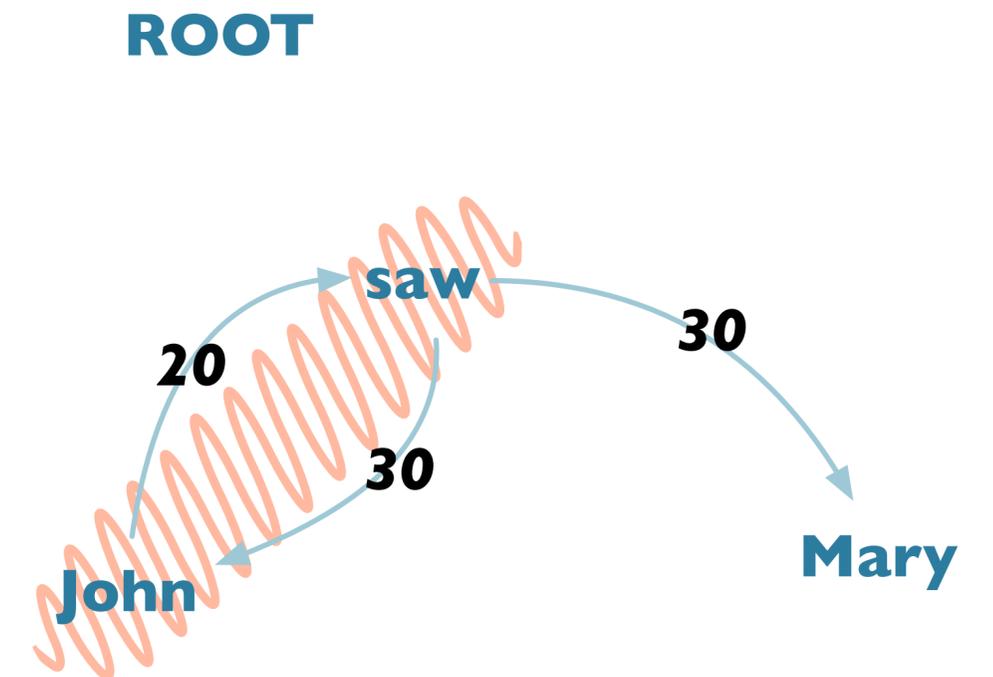
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?



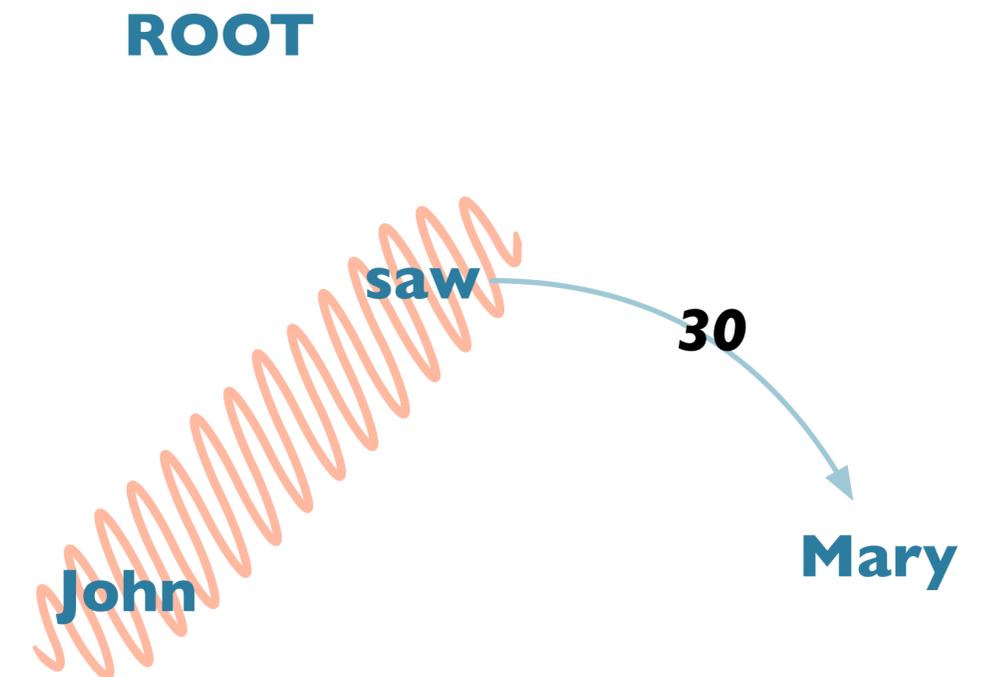
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
 - No, there's a cycle.



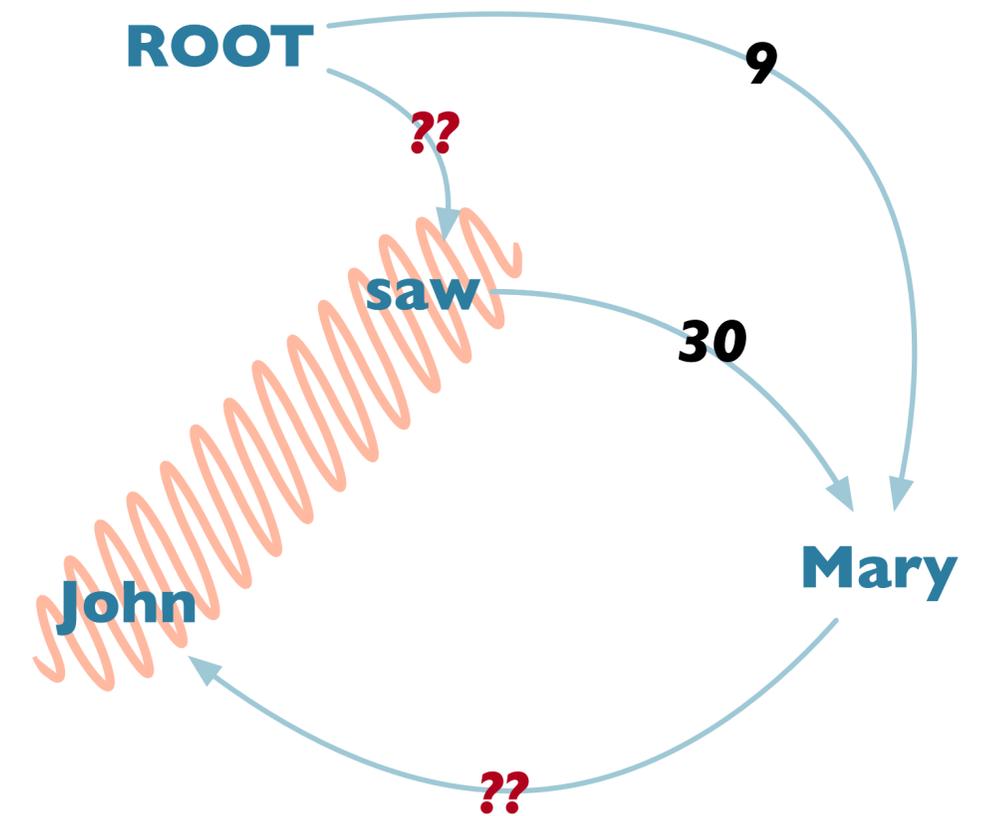
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
 - No, there's a cycle.
- Collapse the cycle



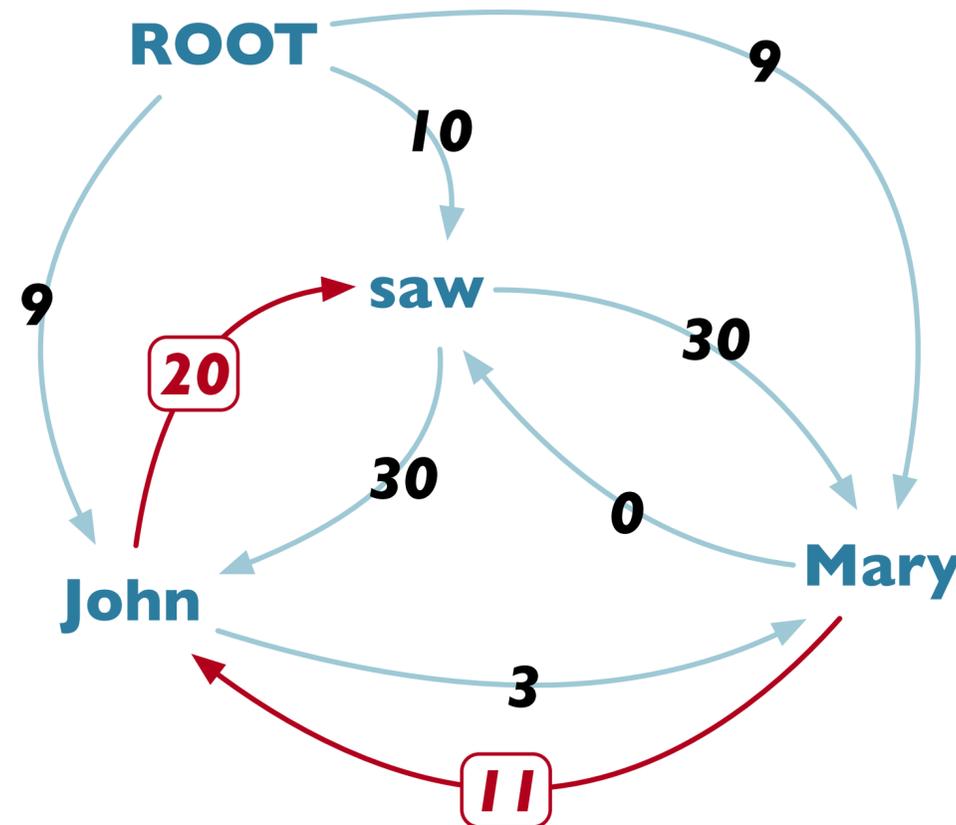
Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
 - No, there's a cycle.
- Collapse the cycle
- And re-examine the edges again



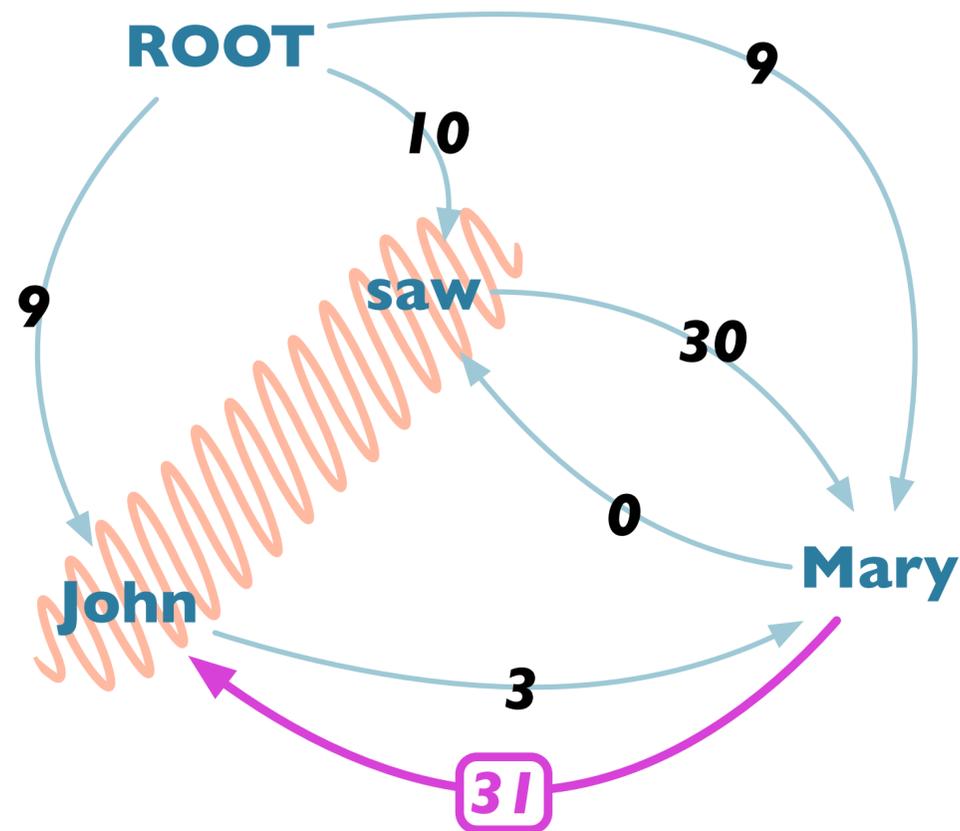
Calculating Weights for Collapsed Vertex

$$s(\text{Mary}, C) = 11 + 20 = 31$$



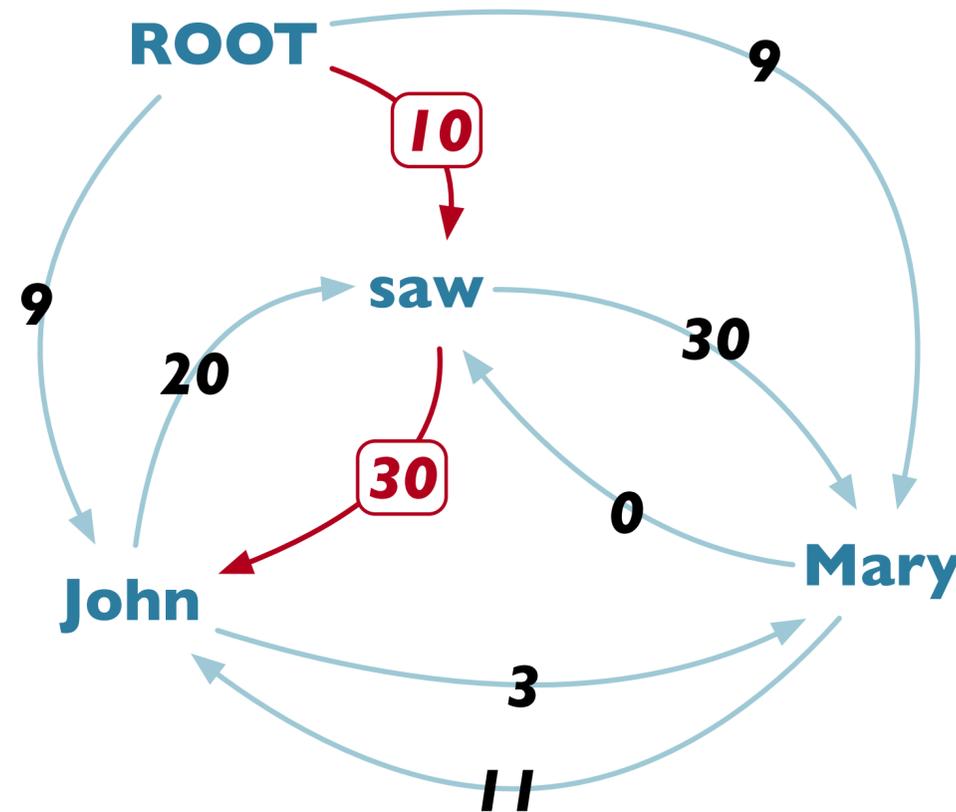
Calculating Weights for Collapsed Vertex

$$s(\text{Mary}, C) = 11 + 20 = 31$$



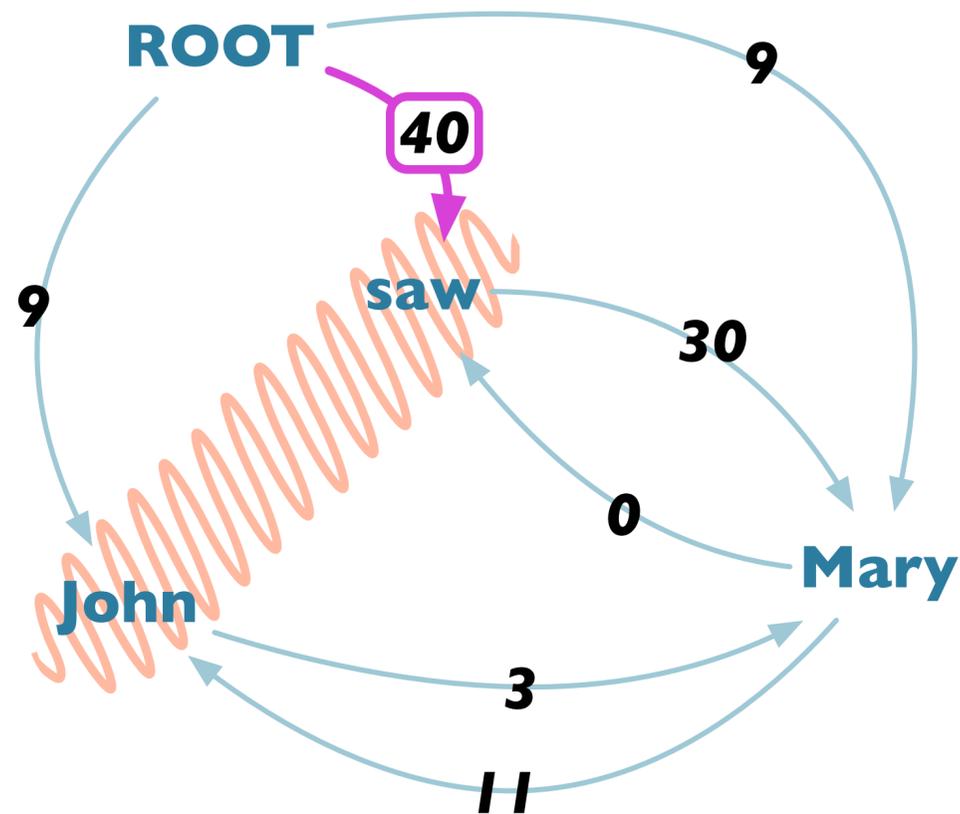
Calculating Weights for Collapsed Vertex

$$s(\text{ROOT}, C) = 10 + 30 = 40$$



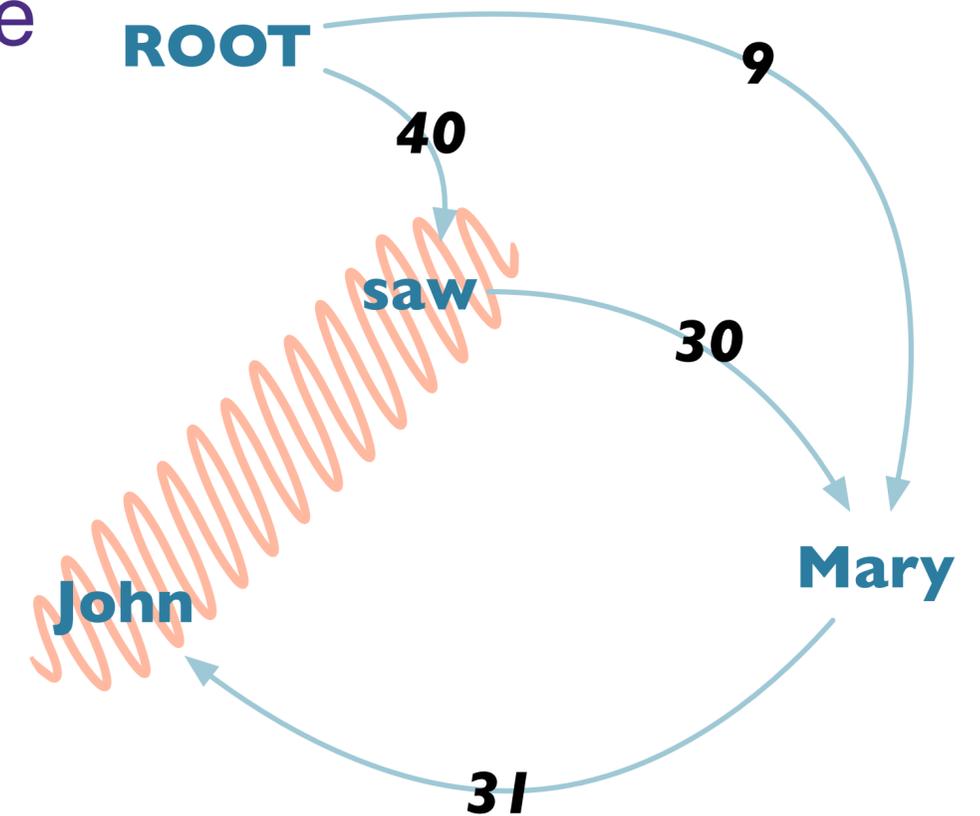
Calculating Weights for Collapsed Vertex

$$s(\text{ROOT}, C) = 10 + 30 = 40$$



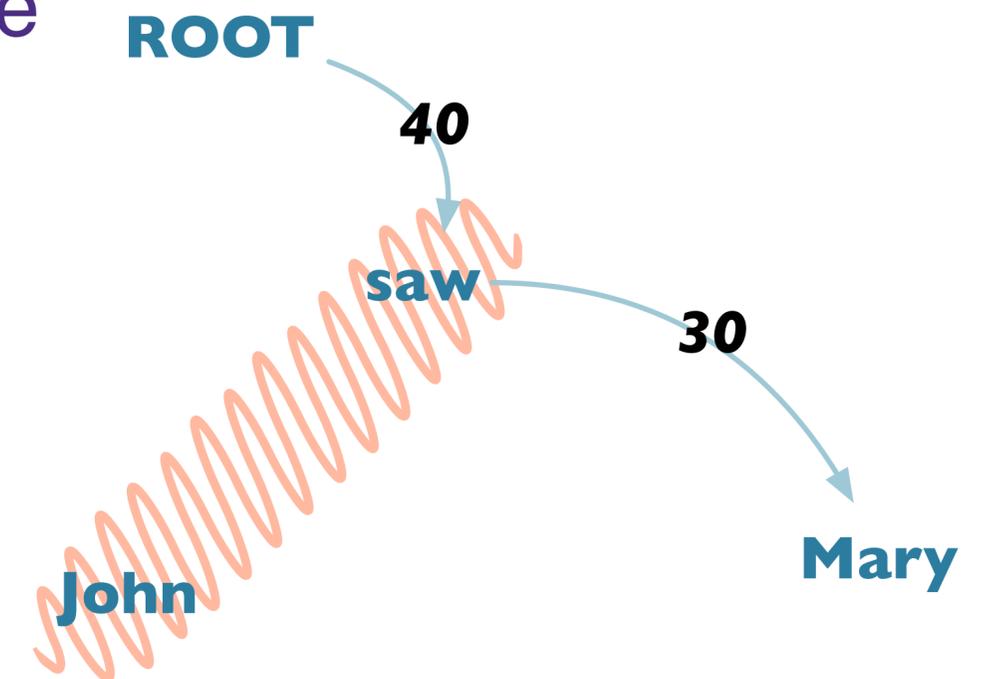
Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge



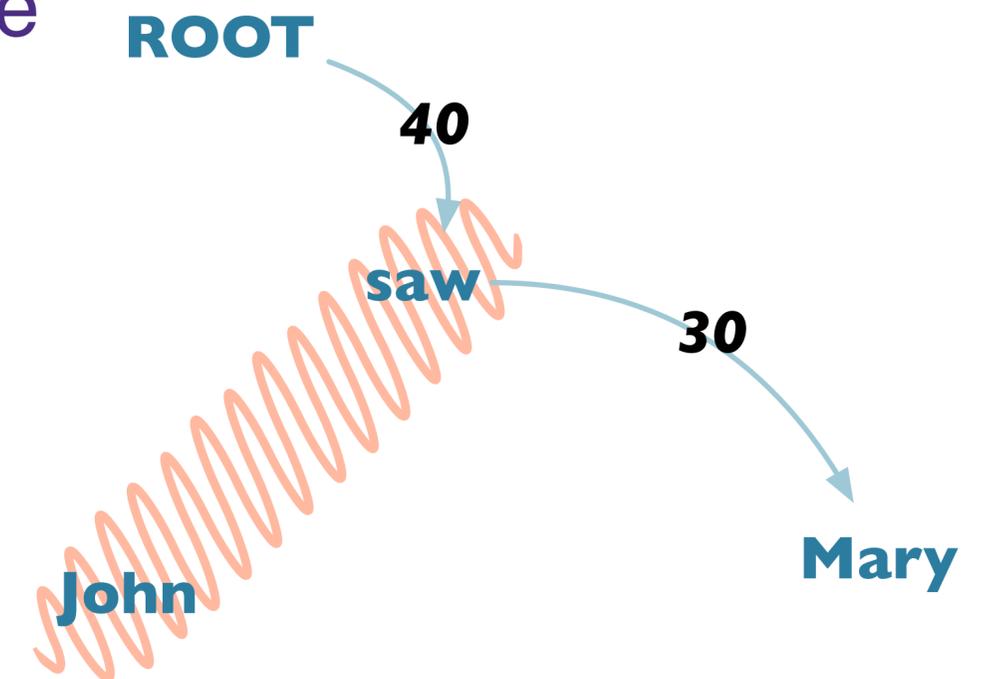
Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge



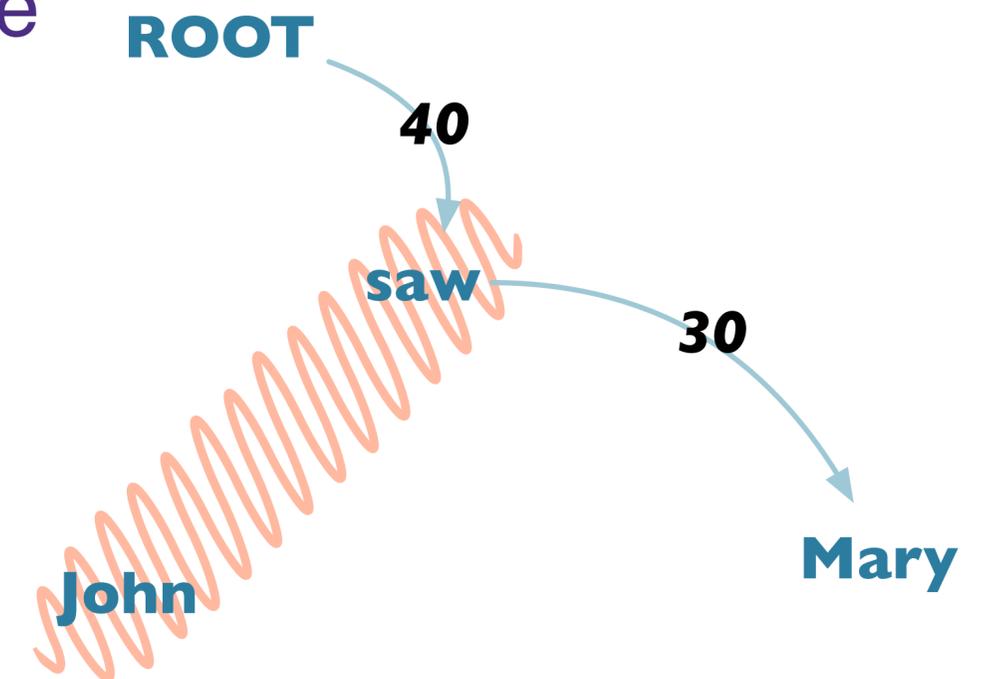
Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge
- Is it a tree?



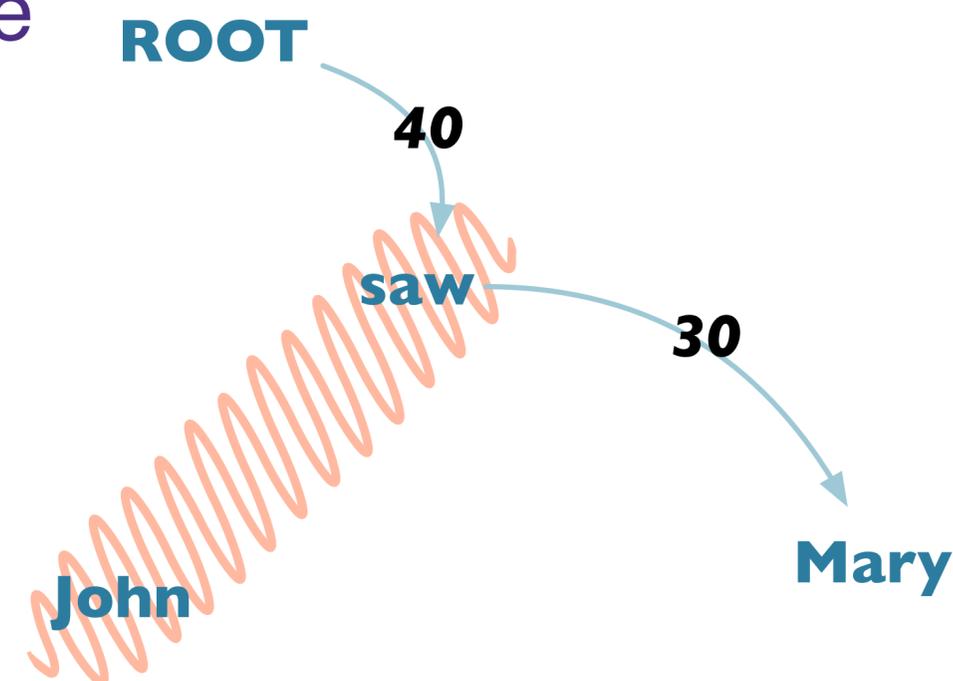
Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge
- Is it a tree?
 - **Yes!**



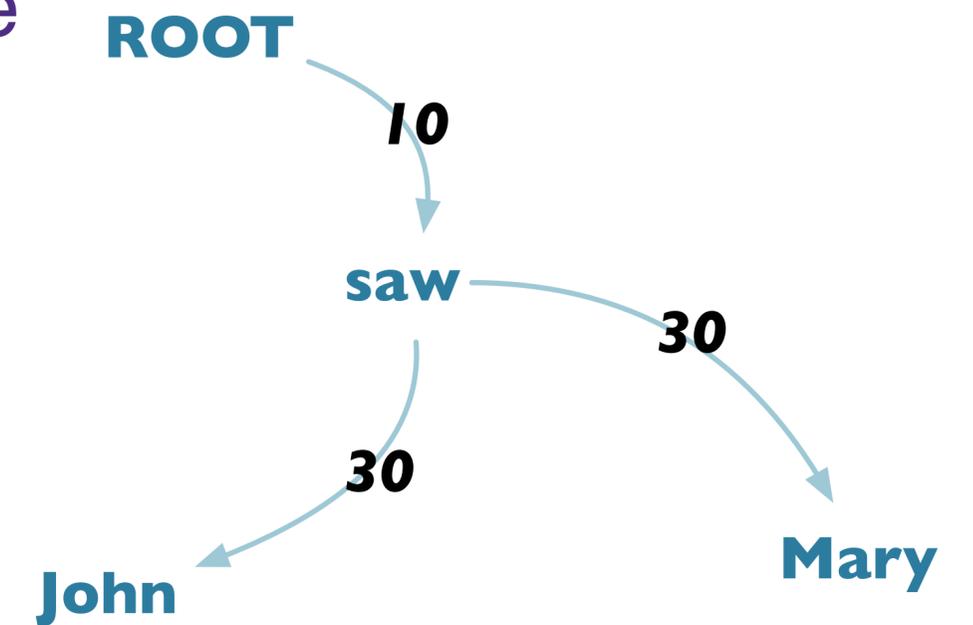
Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge
- Is it a tree?
 - **Yes!**
 - ...but must recover collapsed portions.



Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge
- Is it a tree?
 - **Yes!**
 - ...but must recover collapsed portions.



MST Algorithm

function MAXSPANNINGTREE($G=(V,E)$, $root$, $score$) **returns** *spanning tree*

$F \leftarrow []$

$T' \leftarrow []$

$score' \leftarrow []$

for each $v \in V$ **do**

$bestInEdge \leftarrow \operatorname{argmax}_{e=(u,v) \in E} score[e]$

$F \leftarrow F \cup bestInEdge$

for each $e=(u,v) \in E$ **do**

$score'[e] \leftarrow score[e] - score[bestInEdge]$

if $T=(V,F)$ is a spanning tree **then return** it

else

$C \leftarrow$ a cycle in F

$G' \leftarrow \text{CONTRACT}(G, C)$

$T' \leftarrow \text{MAXSPANNINGTREE}(G', root, score')$

$T \leftarrow \text{EXPAND}(T', C)$

return T

function CONTRACT(G, C) **returns** *contracted graph*

function EXPAND(T, C) **returns** *expanded graph*

Figure 15.13 The Chu-Liu Edmonds algorithm for finding a maximum spanning tree in a weighted directed graph.

Learning Weights

- Weights for arc-factored model learned from dependency treebank
 - Weights learned for tuple (w_i, w_j, l)
- McDonald et al, 2005a employed discriminative ML
 - MIRA (Crammer and Singer, 2003)
- Operates on vector of local features

Features for Learning Weights

- Simple categorical features for (w_i, L, w_j) including:
 - Identity of w_i (or char 5-gram prefix), POS of w_i
 - Identity of w_j (or char 5-gram prefix), POS of w_j
 - Label of L , direction of L
 - Number of words between w_i, w_j
 - POS tag of w_{i-1} , POS tag of w_{i+1}
 - POS tag of w_{j-1} , POS tag of w_{j+1}
- Features conjoined with direction of attachment and distance between words

Dependency Parsing

- Dependency Grammars:
 - Compactly represent predicate–argument structure
 - Lexicalized, localized
 - Natural handling of flexible word order
- Dependency parsing:
 - Conversion to phrase structure trees
 - Graph-based parsing (MST), efficient non-proj $O(n^2)$
 - Next time: *Transition-based parsing*

Further Reading

- Ryan McDonald, Koby Crammer, and Fernando Pereira. 2005. Online Large-Margin Training of Dependency Parsers. In *Proceedings of the 43rd Annual Meeting of the Association for Computational Linguistics*, pages 91–98. May. [\[link\]](#)
- Ryan McDonald, Fernando Pereira, K. Ribarov, and Jan Hajič. 2005b. Non-projective dependency parsing using spanning tree algorithms. In *Proceedings of the conference on Human Language Technology and Empirical Methods in Natural Language Processing*, pages 523–530. Association for Computational Linguistics. [\[link\]](#)
- Sandra Kübler, Ryan McDonald, and Joakim Nivre. 2009. *Dependency Parsing*. Morgan & Claypool. [\[link\]](#)
- Jason M. Eisner. 1996. Three new probabilistic models for dependency parsing: An exploration. In *Proceedings of the 16th Conference on Computational Linguistics*, pages 340–345. Association for Computational Linguistics. [\[link\]](#)
- Michael Collins. 1999. *Head-Driven Statistical Models For Natural Language Parsing*. [\[link\]](#)